



**The Empire District Electric Company**  
**A Liberty Utilities Company**  
Case No. ER-2019-0374  
OPC Data Request – 1034

Data Request Received: 04/03/20  
Request No. 1034

Date of Response: 04/13/2020  
Respondent: Mark Timpe

Submitted by: Robert Schallenberg

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**REQUEST:**

Please provide copies of the invoice, approvals, and supporting documentation related to the payment of the \$450,000 financing fee Empire paid LUCo in connection with the 15-year loan, the proceeds of which Empire used to pay its \$90 million first mortgage bonds that matured and came due on June 1, 2018, please include the name, title, employer, party(ies) represented in this financing fee transaction, and a description of the role of each individual who participated in this process.

**RESPONSE:**

This response is provided subject to and without waiving the previously served objections.

As noted in the Empire Board of Directors resolution provided in support of OPC DR 1033, the payment to LUCo by Empire of the \$450,000 fee was authorized by Empire's Board of Directors. A copy of the internal wire transfer form, with invoice attached, and accounting entry screen are provided with this response. The payment was effected by a wire transfer from Empire's UMB Bank account to LUCo's JPMorgan Chase Bank on June 20, 2018.

THE EMPIRE DISTRICT ELECTRIC COMPANY  
INTERNAL CORRESPONDENCE

WIRE TRANSFER OF FUNDS MEMO 10254  
Invoice # / Check # 9

Voucher # \_\_\_\_\_

**LUCO**

VENDOR 10778  
LOCATION 2  
 Regulated  
 State Line Combined Cycle

**RECEIVED**  
**JUN 20 2018**  
**ACCOUNTING**

Vendor # \_\_\_\_\_  
Bank # \_\_\_\_\_  
Terms 00  
Prepaid Y  
Date of Transfer \_\_\_\_\_  
Gross Amount \_\_\_\_\_  
Account # 181104  
Dept ID 000  
Product Code OT FE  
Extensions by \_\_\_\_\_  
Keyed by \_\_\_\_\_

TRANSFER DATE: 6/20/2018

AMOUNT TRANSFERRED: \$ 450,000.00

TRANSFERRED FROM

TRANSFERRED TO (Vendor)

Account No. \_\_\_\_\_

Account No. \_\_\_\_\_


- UMB Bank
- Wells Fargo
- State Street Bank & Trust Company
- Bank of New York
- Other \_\_\_\_\_

- UMB Bank
- Wells Fargo
- State Street Bank & Trust Company
- Bank of New York
- Other JPMorgan Chase Bank

For Payment To/From:  
EDE MAIN ACCOUNT

Purpose of Transfer:  
AS PER ATTACHED  
LUCo invoice # JC10462

cc: File  
C. Roets  
A. Miller

Initials:  
  
6/20/2018

# Sales / Invoices



Page 1/1  
Sales / Invoices JC10462  
Date 6/19/2018

## Liberty Utilities Central

354 Davis Road  
Oakville Ontario L6J 2X1

Customer: Empire District Electric

Purchase Order	Customer ID	Salesperson ID	Shipping Method	Payment Terms ID
	8030-EMPIRE		BEST WAY	
Description: Debt Placement fee				Amount US\$450,000.00

A/C 181104

000-181104

Subtotal	US\$450,000.00
Misc	US\$0.00
Tax	US\$0.00
Freight	US\$0.00
Trade Discount	US\$0.00
Payment	US\$0.00
Total Due	US\$450,000.00

*Handwritten:* JLS  
6/20/18

*Handwritten:* Sequence # 2761643

Summary | Related Documents | Invoice Information | Payments | Voucher Attributes | Error Summary

Business Unit AP001  
 Voucher ID 00175203  
 Voucher Style Regular Voucher  
 Invoice Date 06/20/2018  
 Invoice Received 06/20/2018

Invoice No 910254  
 Accounting Date 06/25/2018  
 \*Pay Terms 00 Due Now  
 Basis Date Type  
 Tax Exempt

Invoice Total	
Line Total	450,000.00
*Currency	USD
Miscellaneous	
Freight	
Sales Tax	
Use Tax	0.00
<b>Total</b>	<b>450,000.00</b>
Difference	0.00

- [Sales/Use Tax Summary](#)
- [Non Merchandise Summary](#)
- [Session Defaults](#)
- [Comments\(0\)](#)
- [Attachments \(1\)](#)
- [Template List](#)
- [Advanced Supplier Search](#)
- [Approval History](#)
- [Supplier Hierarchy](#)
- [Supplier 380](#)

Liberty Utilities Canada

Supplier ID 0000010778  
 ShortName LIBER 2100-001  
 Location 1  
 \*Address 1

Voucher Approvers		View All	Last
Approver(s)	Name		
1	<input type="text"/>		

Save

Calculate

Print

Copy From Source Document

Invoice Lines

Find | View All | First 1 of 1 Last

Line 1  Copy Down  
 \*Distribute by Amount   
 Item   
 Quantity   
 UOM   
 Unit Price   
 Line Amount 450,000.00

SpeedChart   
 Ship To B036  
 Description   
 Packing Slip

One Asset

Calculate

Distribution Lines

Personalize | Find | View All | | First 1 of 1 Last

GL Chart	Exchange Rate	Statistics	Assets										
Copy Down	Line	Merchandise Amt	Quantity	*GL Unit	Account	Dept	Product	Project	Affiliate	Field Work Order	Fund Project	Class	
<input type="checkbox"/>	1	450,000.00		GL001	181104	000	FE						

Summary | Related Documents | Invoice Information | Payments | Voucher Attributes | Error Summary

Business Unit AP001  
 Voucher ID 00175203

Invoice No 910254  
 Invoice Date 06/20/2018

Voucher Style Regular Voucher  
Total Amount 450,000.00  
Supplier Name Liberty Utilities Canada

\*Pay Terms 00 Due Now

Schedule Payments

Payment Information

Find | View All First 1 of 1 La

Payment 1

\*Remit to 0000010778

Location 2

\*Address 1

Liberty Utilities Canada  
354 Davis Road  
Oakville, ON L6J 2X1 CAN

Gross Amount 450,000.00 USD

Discount 0.00 USD

Scheduled Due 06/25/2018

Net Due 06/20/2018

Discount Due

Accounting Date 06/25/2018

Payment Inquiry

Discount Denied

Late Charge

Express Payment

Payment Comments(0)

Holiday/Currency

Payment Options

\*Bank UMB

Pay Group

\*Netting Not Applicable

\*Account WIRE

\*Handling Regular Payment

L/C ID

\*Method WIR Wire

Hold Reason

Supplier Bank

Messages

Layout

Actions

Hold Payment

Separate Payment

Message

Message will appear on remittance advice.

Schedule Payment

\*Action Record a Payment

Payment Date 06/20/2018

Pay Full Amount

Reference 910254

Save