Page 170 1 STATE OF MISSOURI 2 PUBLIC SERVICE COMMISSION 3 4 5 TRANSCRIPT OF PROCEEDINGS 6 On-The-Record Proceeding 7 February 25, 2013 8 Jefferson City, Missouri Volume 9 9 10 In the Matter of the Empire) District Electric Company of) 11 Joplin, Missouri for Authority to) File Tariffs Increasing Rates for) File No. ER-2012-0345 12 Electric Service Provided to) Customers in the Missouri Service) 13 Area of the Company.) 14 15 16 MICHAEL BUSHMANN, Presiding, 17 Regulatory LAW JUDGE. 18 KEVIN D. GUNN, Chairman, TERRY M. JARRETT, 19 STEPHEN M. STOLL, WILLIAM P. KENNEY, 20 COMMISSIONERS. 21 22 REPORTED BY: 23 KELLENE K. FEDDERSEN, CSR, RPR, CCR MIDWEST LITIGATION SERVICES 24 25

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Page 172 1 FOR: Missouri Gas Energy. 2 LEWIS R. MILLS, JR., Public Counsel P.O. Box 2230 3 200 Madison Street, Suite 650 4 5 Jefferson City, MO 65102-2230 (573)751-4857 6 7 8 FOR: Office of the Public Counsel 9 and the Public. 10 SARAH KLIETHERMES, Senior Counsel 11 NATHAN WILLIAMS, Deputy Counsel 12 JEFFREY KEEVIL, Senior Counsel 13 Missouri Public Service Commission P.O. Box 360 200 Madison Street 14 Jefferson City, MO 65102 15 (573)751-3234 FOR: Staff of the Missouri Public 16 Service Commission. 17 18 19 20 21 22 23 2.4 25

Page 173 PROCEEDINGS 1 2 (WHEREUPON, the on-the-record presentation 3 began at 10:01 a.m.) 4 JUDGE BUSHMANN: Good morning. It's 5 Monday, February 25th, 2013. The Missouri Public Service 6 Commission has set this time for an on-the-record 7 proceeding to direct questions to the parties regarding a Stipulation & Agreement in File No. ER-2012-0345, which is 8 captioned as in the matter of the application of the 9 10 Empire District Electric Company of Joplin, Missouri for authority to file tariffs increasing rates for electric 11 12 service provided to customers in the Missouri service area 13 of the company. 14 My name is Michael Bushmann. I'm the 15 Regulatory Law Judge that will be taking care of this proceeding this morning. Let's begin with counsel making 16 17 their entries of appearance. For the Empire District 18 Electric Company. MS. CARTER: Diana Carter and Russ Mitten 19 of Brydon, Swearengen & England, representing the Empire 20 21 District Electric Company. 22 JUDGE BUSHMANN: For Office of Public 23 Counsel. 24 MR. MILLS: On behalf of the Office of Public Counsel and the public, my name is Lewis Mills. 25 My

Page 174 address is Post Office Box 2230, Jefferson City, Missouri 1 2 65102. 3 JUDGE BUSHMANN: Staff of the Missouri Public Service Commission. 4 MS. KLIETHERMES: On behalf of the Staff, 5 Sarah Kliethermes, Nathan Williams and Jeffrey Keevil for 6 and of the Staff of the Missouri Public Service 7 Commission, 200 Madison Street, Jefferson City, Missouri. 8 9 JUDGE BUSHMANN: Missouri Department of Natural Resources. 10 MR. KNEE: Jeremy Knee, Assistant Attorney 11 12 General, representing the department in this case, P.O. Box 899, Jefferson City, 65102. 13 14 JUDGE BUSHMANN: Midwest Energy Consumers Group. 15 16 MR. WOODSMALL: David Woodsmall on behalf 17 of MECG. 18 JUDGE BUSHMANN: Midwest Energy Users 19 Association. 20 MR. CONRAD: Stu Conrad and the law firm of 21 Finnegan, Conrad & Peterson, 3100 Broadway, Suite 1209, Kansas City, Missouri 6410 -- I'm sorry 64101 -- 64111. 22 I'll get it right in a minute. 23 24 By the way, Judge, are you streaming? 25 JUDGE BUSHMANN: Yes, sir.

Page 175 1 MR. CONRAD: Okay. 2 JUDGE BUSHMANN: And for Missouri Gas 3 Energy. MR. JACOBS: Todd Jacobs on behalf of 4 5 Southern Union Company, d/b/a Missouri Gas Energy. 6 JUDGE BUSHMANN: As far as preliminary 7 matters go, this Stipulation & Agreement that we're discussing this morning, there was one party that did not 8 9 sign the agreement, so it's nonunanimous. Under the Commission rules, if a party doesn't sign, they have seven 10 days to object to the Stipulation & Agreement. The party 11 12 that didn't sign was Missouri Gas Energy. Mr. Jacobs, does Missouri Gas Energy waive 13 that seven-day requirement to object to this Stipulation & 14 15 Agreement? 16 MR. JACOBS: That's correct, Judge, we do 17 waive that period. 18 JUDGE BUSHMANN: And does Missouri Gas 19 Energy oppose the Stipulation & Agreement? 20 MR. JACOBS: We do not object to it. 21 JUDGE BUSHMANN: And are you -- so you're not intending to object or request a hearing? 22 23 MR. JACOBS: Correct. 24 JUDGE BUSHMANN: Thank you. Are there any other preliminary matters that the parties need to take 25

Page 176 1 up? I don't hear any. 2 At this point what I'd like to do would be 3 to put the potential witnesses that may be called to testify today under oath. We'll just do it all at one 4 5 time to save time. The parties that I have -- or the 6 witnesses that I have designated are Kim Bolin, Shawn 7 Lange and Adam Bickford, and I believe Kelly Walters also. 8 Ms. Walters, are you on the line? 9 MS. WALTERS: I am. 10 JUDGE BUSHMANN: Do the parties have 11 anybody else that might be a potential witness they want 12 to designate at this point? So for those four people, could you please raise your right hand. 13 14 (Witnesses sworn.) 15 JUDGE BUSHMANN: Thank you. And as you respond to any questions today, could you please identify 16 17 yourself so that the court reporter will be able to make 18 sure that she knows who's speaking. 19 Now we're ready for any statements or any 20 questions from the Commissioners about the Stipulation & 21 Agreement, and why don't we start with Chairman Gunn. CHAIRMAN GUNN: Thank you. And I want to 22 23 thank all the parties for doing this on a little bit of an 24 expedited schedule, and thank you for the waiver of the I thought it would hopefully be better, if the 25 time.

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Page 177 Commission does vote on approval, that it be a full 1 2 Commission, and so I appreciate everybody doing that. I 3 just have a couple questions, and then I'll move on. The question about customer charge, has the 4 5 customer charge changed in this or is it staying the same? Any party can answer. 6 7 MS. CARTER: There's no increase in the customer charge with these tariffs for residential. 8 9 COMMISSIONER GUNN: And that was my -that's my biggest concern. I appreciate that. What 10 11 was -- what was the original amount of the request? If 12 the original tariff sheets were filed, do we know what the 13 approximate revenue increase would be? 14 MS. KLIETHERMES: I believe that's 30.7 million. 15 COMMISSIONER GUNN: Okay. I thought it was 16 17 a little bit lower than that, so that's very helpful for 18 me. 19 And then can someone talk to me quickly about the energy efficiency part of this, because 20 21 there's -- there hasn't been a filing under MEEIA yet; is that correct? 22 23 MS. CARTER: Empire actually made a MEEIA filing and then, upon agreement of all the parties, 24 withdrew that filing, and there is an agreement as to when 25

Page 178 the next MEEIA filing will take place. But Adam Bickford 1 2 would probably be the person for that if you have specific 3 questions. COMMISSIONER GUNN: Let me ask this in 4 5 general before we make anybody get up here. Is the amount of revenue increase, the approximate amount that we have, 6 7 is there a portion of that that includes funding for 8 energy efficiency programs or will that be taken care of 9 in the next MEEIA filing in the next potential rate case? 10 MS. CARTER: There are non-MEEIA programs. Empire had existing energy efficiency programs that are 11 12 continuing until their new program's put in place through a MEEIA filing. 13 14 CHAIRMAN GUNN: Got you. So these are -these are programs that were either already in place or 15 that are done -- they are not part of any MEEIA filing as 16 17 MEEIA's voluntary, but there will be another MEEIA filing which will deal with it on a broader basis than we do 18 19 here? 20 MS. CARTER: Yes. Likely Empire's MEEIA 21 filing would be at the end of this calendar year. CHAIRMAN GUNN: Okay. Now I just have a 22 quick question for Public Counsel. There have been 23 24 concerns about some of the rebuild Joplin issues previously. Are those still separate or were those 25

Page 179 discussed or talked about? Are any particular programs 1 2 for Joplin dealt with in the agreement? 3 MR. MILLS: There aren't any -- and you may be referring to some of the -- some of the rebates for 4 5 appliances when you talk about concerns. 6 CHAIRMAN GUNN: Right. 7 MR. MILLS: None of that is directly dealt 8 with in this agreement. 9 COMMISSIONER GUNN: All right. So we will -- those concerns will be dealt with or are continued 10 11 to be dealt with in a separate issue not resolved here, so 12 we should expect those to continue cropping up --MR. MILLS: Perhaps. 13 14 COMMISSIONER GUNN: -- if those concerns still exist? 15 16 MR. MILLS: Right. 17 COMMISSIONER GUNN: That's all the questions I have for folks. Again, I appreciate it. 18 Τ know this was hard work. I want to thank everybody for 19 20 putting the time in and getting this done. It's been an 21 extraordinarily busy time for everyone involved with the 22 amount of rate cases that have come through here, and I just want to commend everybody on sitting down and getting 23 this done. So we appreciate it. I want to thank 24 everybody, but I don't have anything further. Thanks, 25

Page 180 1 Judge. 2 JUDGE BUSHMANN: Commissioner Jarrett. 3 COMMISSIONER JARRETT: Thank you, Judge. Good morning, everybody. I also want to echo Chairman 4 5 Gunn's sentiments on the settlement. We appreciate the parties getting together and cooperating in good faith and 6 7 all of that to come to a -- hopefully a mutually beneficial agreement for everybody. 8 I had a guick guestion of Empire. I know 9 you had filed an interim rate increase request for storm 10 costs. Does this settlement take care of Empire's 11 12 concerns and Empire's costs for the storm that they were 13 seeking in the interim? 14 MS. CARTER: Kelly, are you available to 15 answer that? 16 MS. WALTERS: Yes. And yes, those costs 17 are included in this permanent of the 27 and a half 18 million. 19 COMMISSIONER JARRETT: Okay. Thank you. And then I just had another question. If you could 20 21 refresh my memory, I know you mentioned in the settlement agreement Iatan 2 and Plum Point. What other generation 22 does Empire own besides Plum Point and Iatan? 23 24 MS. WALTERS: I'm sorry. This is Kelly Walters with Empire. I'm not sure I understood the 25

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1	question. Are you asking if there are other costs
2	included in this for generation?
3	COMMISSIONER JARRETT: No. I'm just asking
4	generally to refresh my memory, what generation does
5	Empire own? I know that in the agreement Iatan 2 and Plum
6	Point are mentioned. Are there other plants that Empire
7	owns?
8	MS. WALTERS: Yes. Iatan 1 and 2 we have a
9	12 percent ownership. With Plum Point we have 50
10	megawatts of ownership and 50 megawatts of purchased power
11	agreement. In addition, we have a coal-fired facility,
12	our Asbury facility, that we are 100 percent owner. We
13	have Riverton, we have a Riverton 150 megawatt gas unit.
14	This year we transitioned two coal units at Riverton,
15	Riverton 7 and 8, we transitioned those to gas.
16	We have gas units at our Energy Center
17	facility and we have gas at State Line. In addition, we
18	have purchased power agreements for wind farms which makes
19	up about 15 percent of our generation.
20	COMMISSIONER JARRETT: Okay. Thank you.
21	Again, I want to thank everybody for cooperating and
22	coming to an agreement, and I don't have any further
23	questions.
24	JUDGE BUSHMANN: Commissioner Stoll.
25	COMMISSIONER STOLL: I think I have one

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1	probably for the company also. On the agreement No. 12,
2	could you elaborate on that a little bit about the
3	economic development rider, exactly how that works?
4	MS. CARTER: Yes, Commissioner. The tariff
5	sheets are actually attached, and there is a Schedule EDR,
6	which is a new tariff going in for Empire with the stated
7	purpose of encouraging industrial and commercial business
8	development in Missouri, and it would apply to give a
9	slightly lower rate for new business development, and the
10	tariff defines what would constitute new business
11	development, and would apply to customers who enter into
12	an agreement for economic incentives of sorts.
13	For example, if you're you can receive
14	from the state or federal government certain business
15	development incentives, this would go hand in hand with
16	that. And the settlement agreement provides that that
17	won't negatively impact other Empire customers. So Empire
18	would be absorbing any of those discounts.
19	COMMISSIONER STOLL: So the other customers
20	aren't really paying for that?
21	MS. CARTER: That is correct.
22	COMMISSIONER STOLL: And one other quick
23	one, I think. Back on No. 6, authorized this may be
24	something that's covered in your statements in a minute,
25	but authorize the tracking of revenue related to the

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Page 183 recovery of an Iatan 2 ITC tax liability. What is the 1 2 that acronym, ITC? 3 MS. CARTER: Investment tax credit. COMMISSIONER STOLL: Investment tax credit 4 5 tax liability. 6 MS. CARTER: And if you have specific 7 questions on that one, Ms. Walters will be able to handle that for you. 8 9 COMMISSIONER STOLL: I think that's as deep as I'll get. 10 MS. WALTERS: I'm happy with that as well. 11 12 COMMISSIONER STOLL: Okay. Thank you very 13 much. Thank you, Judge. 14 JUDGE BUSHMANN: Commissioner Bill Kenney. 15 COMMISSIONER W. KENNEY: Mr. Chairman, I think I'll just listen to the testimony, thank you, or the 16 17 explanation. Excuse me. 18 JUDGE BUSHMANN: Any other questions from the Commissioners? Hearing none. Do the parties have 19 anything further that they need to bring up at this time? 20 21 MR. WOODSMALL: I would just mention an issue that I was thinking may catch the Commission's eye. 22 Earlier in the case the Commission attempted to or did 23 24 interject the issue of rate stability, and it later came out, but I would note that the parties are always 25

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1	looking well, at least the consumers are always mindful
2	of that, and in this case there is a 18-month moratorium.
3	So there is some focus on rate stability here. It will be
4	at least 18 months until there is another general rate
5	increase that takes effect.
6	JUDGE BUSHMANN: Thank you, Mr. Woodsmall.
7	Anything further?
8	MS. KLIETHERMES: Yes, Judge. If the
9	Commissioners were seeking sworn testimony that certain
10	experts find the stipulation to be a reasonable resolution
11	of the case, we do have Staff witnesses who are prepared
12	to so testify.
13	COMMISSIONER GUNN: That's probably a good
14	idea just to put it on the record that we have in the
15	record that probably one from Staff and maybe someone from
16	the company and someone else just wants to testify that
17	they find this to be a just and reasonable settlement of
18	all the issues in the case, we should probably get that on
19	the record.
20	Just a comment on to Mr. Woodsmall. I
21	actually I saw that. I'm and you see in a lot of
22	other states these types of moratoriums that are done
23	through settlement, and I actually think that they're much
24	more effective when done through settlement rather than
25	rather than done through a formal mechanism because it

Page 185 gets everybody on board. Everybody can make those risk 1 2 assessments and make a determination where that happens. 3 I would love -- my opinion's not going to count for much for very much longer, but I would love to 4 5 see more of these as general policy statements where people can agree that there's a certain amount of time 6 7 because I think stability definitely from a business 8 development standpoint, from a company standpoint, from a residential consumer standpoint, if they know there's a 9 certain amount of time in which those rates are going to 10 11 stay stable, it allows them to do budgeting and planning 12 and do it for at least that period of time in which rates 13 aren't going to be raised. So I would encourage all the folks that are 14 not -- or that are involved in other rate cases, not just 15 Empire's, we're hitting a little bit of a fingers crossed 16 17 period that we're not going to get -- not going to see a lot of people in as quickly as we've seen in the past, but 18 that when they're look at resolving issues in these cases, 19 20 that that becomes a point of discussion. That's one of 21 the reasons why we wanted to talk about it. I applaud the parties for doing that. 22 23 So I appreciate you pointing that out because as I saw it, I think it's an important point. 24 Ι want to thank everybody for putting it in. 25 I think it's

Page 186 probably a good idea that we get on the record that people 1 2 agree that this is a just and reasonable or whatever the 3 magic words are. Thanks. JUDGE BUSHMANN: Okay. Who would you like 4 5 to have testify about that? You can stay right there and just testify from there. 6 7 MS. KLIETHERMES: Should I just ask 8 questions? 9 Good morning, Ms. Bolin. Could you indicate whether you consider this resolution contained in 10 the stipulation and the attached tariffs to reflect a just 11 12 and reasonable resolution of the Empire rate case? MS. BOLIN: Yes, I believe the resolution 13 to be just and reasonable. 14 15 JUDGE BUSHMANN: Thank you. And Ms. Carter or Mr. Mitten, would you like to ask Ms. Walters that same 16 17 question? 18 MS. CARTER: Yes. Ms. Walters, do you 19 believe the --20 MS. WALTERS: Yes. 21 MS. CARTER: -- Nonunanimous Stipulation & Agreement that was filed in this proceeding on Friday is a 22 just and reasonable resolution of this rate case? 23 24 MS. WALTERS: Yes, I do. 25 MS. CARTER: And do you believe the tariffs

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1	attached to that Stipulation & Agreement contain just and
2	reasonable rates?
3	MS. WALTERS: I do.
4	JUDGE BUSHMANN: Thank you. Do the parties
5	have anything further they need to add at this point?
6	Hearing none, this on-the-record proceeding is now
7	adjourned. Thank you very much. We're off the record.
8	(WHEREUPON, the on-the-record presentation
9	concluded at 10:18 a.m.)
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1	CERTIFICATE
2	STATE OF MISSOURI)
3) ss.
4	COUNTY OF COLE)
5	I, Kellene K. Feddersen, Certified
6	Shorthand Reporter with the firm of Midwest Litigation
7	Services, do hereby certify that I was personally present
8	at the proceedings had in the above-entitled cause at the
9	time and place set forth in the caption sheet thereof;
10	that I then and there took down in Stenotype the
11	proceedings had; and that the foregoing is a full, true
12	and correct transcript of such Stenotype notes so made at
13	such time and place.
14	Given at my office in the City of
15	Jefferson, County of Cole, State of Missouri.
16	
17	
18	Kellene K. Feddersen, RPR, CSR, CCR
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