

April 8, 2016

Missouri Public Service Commission

Re: 2015 Annual Renewable Energy Standard Compliance Report: Non-proprietary Information

This version of the Annual Compliance Report is a fully completed version. Portions of this report contain confidential information which has been removed.

### **EMPIRE DISTRICT ELECTRIC COMPANY**

# 2015 ANNUAL RENEWABLE ENERGY STANDARD COMPLIANCE REPORT

Prepared in Compliance with 4 CSR 240-20.100

April 15, 2016



### Contents

INTRODUCTION		4
	MISSOURI RETAIL ELECTRIC SALES AND	6
NAMES AND A STANDARD BOARD BY AND ADDRESS OF THE STANDARD BOARD BOARD BY	TAIL ELECTRIC SALES SUPPLIED BY RGY RESOURCES	6
	NUMBER AND VALUE OF RECS CREATED	7
507E250 4 NO: (1) (1) (1) (1) (1) (1) (1)	E AND NUMBER OF RECS ACQUIRED, RED, RETIRED	8
SECTION (8) (A) 1 G: IDENTIFIC	ATION OF RECS CARRIED FORWARD	9
SECTION (8) (A) 1 H: GAINS ANI	D LOSSES FROM SALE OR PURCHASE	9
SECTION (8) (A) 1 I: ACQUISITIO	ON OF RENEWABLE ENERGY	. 10
	ON OF ELECTICAL ENERGY AND/OR RECS GENERATORS	. 11
SECTION (8) (A) 1 K: CUSTOME	RS RECEIVING SOLAR REBATE	. 11
SECTION (8) (A) 1 L: CUSTOMEI	RS DENIED SOLAR REBATE	. 12
SECTION (8) (A) 1 M: FUNDS EX	(PENDED BY EDE FOR SOLAR REBATES	. 12
SECTION (8) (A) 1 N: ANNUAL C	OMPLIANCE WITH RES COMPLIANCE PLAN	. 12
SECTION (8) (A) 1 O: NON-COM	IPLIANCE WITH RES COMPLIANCE PLAN	. 12
	TION OF ACTUAL CALENDAR YEAR RETAIL	. 13

ATTACHMENT 1: RECS ACQUIRED

ATTACHMENT 2: RECS RETIRED

ATTACHMENT 3: RECS CARRIED FORWARD

ATTACHMENT 4: REC REPORT

ATTACHMENT 5: WIND GENERATION ALLOCATION FOR MO RETAIL CUSTOMERS

ATTACHMENT 6: PURCHASE OF SOLAR SRECS

ATTACHMENT 7: ELK RIVER, CLOUD COUNTY MERIDIAN WAY, STANTON SOLAR FARM

**ATTESTATIONS** 

ATTACHMENT 8: SOLAR CUSTOMER NET GENERATION

ATTACHMENT 9: AFFIDAVIT OF COMPLIANCE WITH THE 2015 COMPLIANCE PLAN

ATTACHMENT 10: RETAIL RATE IMPACT CALCULATION

# 2015 ANNUAL RENEWABLE ENERGY STANDARD COMPLIANCE REPORT

#### INTRODUCTION

Pursuant to the Missouri Public Service Commission's (Commission) renewable energy standards rule, 4 CSR 240-20.100(8), The Empire District Electric Company (EDE or Empire), a Kansas corporation, is filing the Annual Renewable Energy Standard (RES) Compliance Report. The rule became effective in September 2010, and pursuant to the rule EDE must file the 2015 RES Compliance Report on or before April 15, 2016.

EDE began to develop its wind renewable energy portfolio on December 10, 2004, when it entered into a 20-year contract with Elk River Windfarm, LLC (owned by Avangrid Renewables) to purchase all of the energy generated at the 150-megawatt Elk River Windfarm located in Butler County, Kansas.

On June 19, 2007, EDE enhanced its renewable energy portfolio when it entered into a 20-year purchased power agreement with Cloud County Windfarm, LLC. EDP Renewables North America LLC is an indirect parent company of Cloud County Windfarm, LLC. Pursuant to the terms of the agreement, EDE purchases all of the output from the 105-megawatt Phase 1 Meridian Way Windfarm located in Cloud County, Kansas.

In addition, the Ozark Beach Hydroelectric Project, owned by EDE has produced renewable hydropower for many years. The RES rules allow for the banking of Renewable Energy Credits (REC) for up to a three year time period. This has allowed the use of eligible Ozark Beach RECs generated in 2014 and 2015 in meeting the 2015 RES requirements.

Effective May 16, 2015, Empire began offering rebates for Missouri customers for qualifying solar installations in accordance with the Missouri RES and Empire's Solar Rebate Rider approved by the Commission.

The following sections provide information required to indicate compliance with the rule:

# SECTION (8) (A) 1 A, B: TOTAL MISSOURI RETAIL ELECTRIC SALES AND REVENUE

The following table represents the number of megawatt-hours (MWh) of electricity delivered in 2015 by EDE to its Missouri retail customers, as reflected in the monthly billing statements. In addition, a column is provided capturing the revenue from total retail electric sales to Missouri customers:

Table 1: Missouri 2015 Total Retail Sales

*Missouri Retail Sales	Year	MWh	Revenue
	2015	4,059,623	\$450,474,700

<sup>\*</sup>Data Source: Customers Kilowatt Hours Revenue Report

# SECTION (8) (A) 1 C: TOTAL RETAIL ELECTRIC SALES SUPPLIED BY RENEWABLE ENERGY RESOURCES

In compliance with Section 393.1030, RSMo, EDE retired sufficient RECs to provide the equivalent of 5% of its retail electric sales for 2015. With 4,059,623 MWh total retail electric sales for 2015, 89,873 RECs were retired from EDE's Ozark Beach Hydroelectric Project (Ozark Beach), a certified renewable energy facility. Ozark Beach consists of 4 generators with individual nameplate ratings of 4MW each. The facility received Missouri Department of Natural Resources Certification of Renewable Energy Generation Facility status on September 11, 2011. Using the provision included in 4 CSR 240-20.100 (3) (G), the additional credit allowance of 0.25 per REC was claimed because the renewable energy resource is physically located in the state of Missouri.

In addition, 86,581 2015 RECs were retired from our Elk River Windfarm, received through our ongoing purchased power agreement. Elk River also received Missouri Department of Natural Resources Certification of Renewable Energy Generation Facility status in 2011.

Finally, a combination of 810 Solar RECs (SRECs) from customer–generated sources and a spot purchase of SRECS were used to meet the 2% solar compliance requirement. EDE received certification for the aggregate customer solar renewable energy generation facilities from the Department of Economic Development in 2016. Certification was also received for the Stanton Solar Farm, the source of the wholesale SRECs.

All RECs retired to meet the 5% compliance requirement are shown in the table below.

Table 2: REC Retirement for Compliance with 2015 Missouri RES

Missouri Retail Sales	Non-Solar RES Requirement	<sup>1</sup> Non-Solar RECs Retired	Solar RES Requirement	<sup>2</sup> Solar RECs Retired	Total RECs Retired
4,059,623	198,922		4,060		
	Ozark Beach	89,873 (1.25 Mo Source)	EDE Solar Aggregate 1, 2, 3	810 (1.25 Mo Source)	
	Elk River	86,581	Wholesale Purchase	3,048	
Total		198,922		4,060	202,982

<sup>&</sup>lt;sup>1</sup>Vintage 2014 and 2015 RECs from Ozark Beach (Missouri source) and Vintage 2015 RECs from Elk River were retired for compliance with the Missouri RES.

# SECTION (8) (A) 1 D: CURRENT NUMBER AND VALUE OF RECS CREATED BY EDE OWNED FACILITY.

In 2015, EDE-owned Ozark Beach generated 41,996 MWh. Using the provision of the Missouri RES allowing for additional credit of 0.25, the resultant RECs created for 2015 energy totaled 52,495 RECs (41,996 x 1.25). The RECs generated from the EDE-owned source are utilized first to meet compliance as additional costs are not incurred to the rate payer in order to acquire RECs. The RECs generated from Ozark Beach have little value as they typically cannot

<sup>&</sup>lt;sup>2</sup> 2015 Vintage SRECs were retired from EDE Solar Aggregates (Missouri source), and 2015 vintage SRECs were retired from the wholesale purchase.

be used to meet Renewable Portfolio Standards (RPS) in other states. EDE plans to bank the RECs remaining after 2015 compliance for future RES compliance.

# SECTION (8) (A) 1 E, F: SOURCE AND NUMBER OF RECS ACQUIRED, SOLD, TRANSFERRED, RETIRED

EDE receives renewable energy from five sources: ownership of Ozark Beach; two purchased power agreements (one with the Elk River Windfarm and the other with the Meridian Way Windfarm); customer-generated solar, and solar purchased from the open market. (See ATTACHMENT 1)

Pursuant to the terms of the two 20-year windfarm agreements, EDE will purchase all of the output from the 150-megawatt Elk River Windfarm, and 105-megawatts from the Meridian Way Windfarm. EDE anticipates annual generation of approximately 550,000 MWhs from Elk River and approximately 330,000 MWhs from Meridian Way.

During 2015, 88,263 vintage 2014 and 2015 RECs from hydroelectric generation at Ozark Beach were retired to fulfill the non-solar Missouri compliance obligation. In addition, 86,581 vintage 2015 RECs from generation at the Elk River Windfarm were retired for the non-solar RES obligation for 2015. In March of 2016, 1,610 additional 2015 RECs from hydroelectric generation at Ozark Beach were retired.

To meet the solar obligation, SRECs vintage 2015 purchased from a third party broker (3Degrees Group, Inc.) and 810 SRECs generated from EDE customers in 2015 were obtained. In total, 4,060 SRECs were retired in March of 2016 to fulfill the solar Missouri compliance obligation. Any remaining SRECs will be banked for future use. RECs from Ozark Beach and SRECs from customer installed net metered systems are entitled to the 1.25 factor as they represent Missouri based generation.

EDE utilizes the North American Renewable Registry (NARR) as recommended by Staff and approved by the Commission for tracking all RECs. In total, 198,922 RECs and 4,060 SRECs were retired to fulfill the 2015 compliance obligation. (See ATTACHMENT 2)

### SECTION (8) (A) 1 G: IDENTIFICATION OF RECS CARRIED FORWARD

As indicated earlier, RECs generated by Ozark Beach, Elk River Windfarm, EDE Solar Aggregates 1, 2, and 3 and purchased SRECs are registered with the NARR. ATTACHMENT 3 included with this report indicates by source and serial number RECs registered with the NARR that have been carried forward for future use. For 2015 compliance, 2014 and 2015 Ozark Beach vintage RECs totaling 89,873 were retired for compliance using the 3-year banking provision. 10,140 Ozark Beach RECs from 2015 were carried forward into 2016. For the 2015 compliance year 86,581 Elk River RECs vintage 2015 were retired for compliance. Carried forward are additional 2015 Elk River RECs totaling. In 2015, EDE sold the majority of the 2014 and 2015 RECs associated with Elk River that were not used for compliance with the Missouri RES. A portion of the Elk River RECs were retired for compliance with the Kansas RES. Solar SRECS totaling were carried forward from 2015 for use in future compliance.

In addition to the RECs registered with the NARR EDE receives additional RECs from the Meridian Way Windfarm. These RECs are not being used for Missouri compliance; therefore they are not included in this reporting section. EDE does not anticipate utilizing RECs from the Meridian Way Windfarm for compliance throughout the entirety of the RES compliance period specified in Section 393.1030, RSMo.

# SECTION (8) (A) 1 H: GAINS AND LOSSES FROM SALE OR PURCHASE

EDE utilized wind RECs from the Elk River Windfarm purchased power agreement in order to comply with the Missouri non-solar RES. SRECs were purchased in order to comply with the solar portion of the Missouri RES.

ATTACHMENTS 4 and 5 include a REC report indicating sales of RECs, and the amount of wind generation allocated for Missouri retail customers by each wind farm. Any gains from sale or purchase were returned to the customers through the fuel adjustment.

SRECs were acquired from a wholesale provider and registered in the NARR. EDE purchased SRECs to meet the RES requirement. (See ATTACHMENT 6)

#### SECTION (8) (A) 1 I: ACQUISITION OF RENEWABLE ENERGY

Non-Solar renewable energy is purchased for EDE from the following non-utility owned facilities:

Elk River

Wind (Resource)

Avangrid Renewables (Owner)

14980 SE 190<sup>th</sup> Rd.

Latham, KS 67072

Meridian Way I

Wind (Resource)

EDP Renewables North America LLC (Owner)

1409 Iron Road

Concordia, KS 66901

Solar renewable energy was purchased for EDE from the following wholesale provider and non-utility owned facility:

3Degrees Group, Inc. (Wholesale)

407 Sansome

San Francisco, CA 94111

Stanton Solar Farm (Generator)

Regenesis Solar Power, LLC

2295 Commerce Point Drive

Suite 100 Lakeland, FL 33801-6963

All energy was derived from eligible renewable energy technology (wind, hydro and solar). ATTACHMENT 7 includes information to certify that the renewable energy attributes of the energy from Elk River Windfarm, Meridian Way Windfarm, and the Stanton Solar Farm have not been used to meet the requirements of any other local or state mandate.

# SECTION (8) (A) 1 J: ACQUISITION OF ELECTICAL ENERGY AND/OR RECS FROM CUSTOMER GENERATORS

ATTACHMENT 8 shows the system operational dates and estimated amounts of SRECs generated from customer generators using the Commission–approved estimation method, PVWatts. The 2015 SRECS were acquired by EDE as a condition of receiving the solar rebate instituted in May and extending through December of 2015.

### SECTION (8) (A) 1 K: CUSTOMERS RECEIVING SOLAR REBATE

The total number of customers that applied and received a solar rebate by December 31, 2015 in accordance with section (4) of the Rule:

Table 4: Customers Receiving Solar Rebate

	EDE
RECEIVED A SOLAR REBATE PRIOR TO	234
12/31/2015	

### SECTION (8) (A) 1 L: CUSTOMERS DENIED SOLAR REBATE

There were no customers that were denied a solar rebate

# SECTION (8) (A) 1 M: FUNDS EXPENDED BY EDE FOR SOLAR REBATES

Table 5: Funds Expended by EDE for Solar Rebates

	EDE
SOLAR REBATES PAID PRIOR TO	\$3,098,478
12/31/2015	

# SECTION (8) (A) 1 N: ANNUAL COMPLIANCE WITH RES COMPLIANCE PLAN

See Affidavit of Compliance with 2015 Compliance Plan, ATTACHMENT 9.

# SECTION (8) (A) 1 O: NON-COMPLIANCE WITH RES COMPLIANCE PLAN

EDE has made every effort to comply and believes it has achieved compliance the Missouri RES Compliance Plan for the 2015 compliance period.

# SECTION (8) (A) 1 P: CALCULATION OF ACTUAL CALENDAR YEAR RETAIL RATE IMPACT

See ATTACHMENT 10. The calculations in ATTACHMENT 10 are based on total company not Missouri jurisdictional.

**EMPIRE** 

2015 RES REPORT

ATTACHMENT 1

RECS ACQUIRED

☐ HIGHLY CONFIDENTIAL

NON-PROPRIETARY

An Oregon Limited Liability Company

#### INVOICE

Invoice Date: Due Date: February 3, 2014 February 23, 2014

The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173 Invoice #: #0LRZ2 Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance

December-14

Prepay Surplus/Deficiency

Delivered Generation:

į

Current Month Prepayment Plus: Prepay Surplus or Deficiency

Prepay

Total

Grand Total Paid

\$ 1

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

JP Morgan Chase
Chicago, IL
ABA No.
ACH ABA No.
For Account of Acolus Wind Power

Account No.

Iberdrola Contact: christopher.evidente@iberdrolaren.com

Phone: 503-796-7116

253 - 165500

Total Amount Due To Elk River Windfarm, LLC:

5

-

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date shown above, please contact Chris Evidente

> SCHED 2-23-15 DL

An Oregon Limited Liability Company

#### **INVOICE**

Invoice Date: Due Date:

March 2, 2015 March 23, 2015

The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173

Invoice #: #0LUL4 Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance

February-15

Prepay Surplus/Deficiency

Delivered Generation:

Current Month Prepayment

Plus: Prepay Surplus or Deficiency

Prepay

Total

Grand Total Paid

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

JP Morgan Chase Chicago, IL ABA No. ACH ABA No. For Account of Aeolus Wind Power II LLC. Account No.

Iberdrola Contact: christopher.evidente@iberdrolaren.com

Phone: 503-796-7116

253 - 165500

Total Amount Due To Elk River Windfarm, LLC:

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date shown above, please contact Chris Evidente

> SCHED 3-23-15 DL

An Oregon Limited Liability Company

#### REVISED INVOICE

April 6, 2015 April 27, 2015 Invoice Date: The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802 Invoice #: #0LXC8 Phone: (417) 625-6194 Customer #: 900166 Fax: (417) 625-5173 Description Pre-payment and monthly billing for energy and renewable energy credits. Prior Month Prepayment Balance Delivered Generation: Prepay Surplus/Deficiency Current Month Prepayment Prepay Plus: Prepay Surplus or Deficiency Total Grand Total Paid Net Terms: Due 20 days after receipt of invoice by wire transfer to: JP Morgan Chase Chicago, IL 253 - 165500 ACH ABA No. For Account of Aeolus Wind Power II LLC. Account No. Iberdrola Contact: christopher.evidente@iberdrolaren.com Phone: 503-796-7116 Total Amount Due To Elk River Windfarm, LLC:

Finance charges will be applied to accounts that are not paid in full by the stated due date.

If you do not agree with the due date shown above, please contact Chris Evidente

An Oregon Limited Liability Company

#### REVISED INVOICE

Invoice Date: Due Date:

May 4, 2015 May 25, 2015

The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173

Invoice #: #0M061 Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance

March-15

Prepay Surplus/Deficiency

Grand Total Paid

Current Month Prepayment

Plus: Prepay Surplus or Deficiency

Delivered Generation:

Prepay

Total

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

253 - 165500

JP Morgan Chase Chicago, IL ABA No. ACH ABA No. For Account of Aeolus Wind Power II LLC. Account No.

Iberdrola Contact: christopher.evidente@iberdrolaren.com

Phone: 503-796-7116

Total Amount Due To Elk River Windfarm, LLC:

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date shown above, please contact Chris Evidente

> SCHED 5-26-15 DL

An Oregon Limited Liability Company

#### REVISED INVOICE

Invoice Date: Due Date:

June 2, 2015 June 22, 2015

The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173

Invoice #: #0M351 Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance

March-15

Prepay Surplus/Deficiency

Grand Total Paid

Current Month Prepayment Plus: Prepay Surplus or Deficiency Prepay

Delivered Generation:

Total

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

JP Morgan Chase Chicago, IL ABA No. ACH ABA No. For Account of Aeolus Wind Power II LLC. Account No.

253 - 165500

Iberdrola Contact: christopher.evidente@iberdrolaren.com Phone: 503-796-7116

Total Amount Due To Elk River Windfarm, LLC:

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date shown above, please contact Chris Evidente

sched 6-22-15 dl

An Oregon Limited Liability Company

#### **INVOICE**

Invoice Date: Due Date:

July 7, 2015 July 27, 2015

The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173

Invoice#: #0MaSZ Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance

Prepay Surplus/Deficiency

Ртерау

Delivered Generation:

Current Month Prepayment

Plus: Prepay Surplus or Deficiency

Total

Grand Total Paid

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

JP Morgan Chase Chicago, IL

ABA No. ACH ABA No.

For Account of Acolus Wind Power II LLC.

Iberdrola Contact: christopher.evidente@iberdrolaren.com Phone: 503-796-7116

253-165500

Total Amount Due To Elk River Windfarm, LLC:

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date shown above, please contact Chris Evidente

M" 24.15

An Oregon Limited Liability Company

#### **INVOICE**

Invoice Date: Due Date:

August 4, 2015 August 24, 2015

The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173

Invoice #: #0M88C Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance

July-15

Prepay Surplus/Deficiency

Delivered Generation:

Current Month Prepayment

Grand Total Paid

Plus: Prepay Surplus or Deficiency

Prepay

Total



253 - 165500 Net Terms: Due 20 days after receipt of invoice by wire transfer to:

JP Morgan Chase Chicago, IL ABA No. ACH ABA No. For Account of Acolus Wind Power II LLC.

Account No.

Iberdrola Contact: christopher.evidente@iberdrolaren.com Phone: 503-796-7116

Total Amount Due To Elk River Windfarm, LLC:

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date shown above, please contact Chris Evidente

9.24.15 9.24.15

Elk	River	Windfarm,	L	${f L}$	C

An Oregon Limited Liability Company

#### INVOICE

Invoice Date: Due Date: September 2, 2015 September 22, 2015

The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173

Invoice #: #0MB13 Customer #: 900166

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance
August-15 Delivered Generation:
Prepay Surplus/Deficiency

Current Month Prepayment Prepay
Plus: Prepay Surplus or Deficiency

Total

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

253 - 165500

JP Morgan Chase
Chicago, IL
ABA No.
ACH ABA No.
For Account of Acolus Wind Power II LLC.
Account No.

Iberdrola Contact: christopher.evidente@iberdrolaren.com

Phone: 503-796-7116

Grand Total Paid

Total Amount Due To Elk River Windfarm, LLC:

\$

\$

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date shown above, please contact Chris Evidente

4.22.15 ON

RECEIVED

#### Elk River Windfarm, LLC

An Oregon Limited Liability Company

OCT 122015

#### INVOICE

Invoice Date: Dae Onte: October 5, 2015 October 26, 2016 ACCOUNTING

The Empire District Electric Co. Attn: Kristy Tackett 602 Jophic Street PO Box 127 Joplin, MO 61802

Phone: (417) 625-6194 Fax: (417) 625-5173 Invoice #: #24986 Customer#: 900166

Description

Pre-payment and mouthly billing for energy and renewable energy credits:

Prior Month Prepayment Balance September-15

Prepay Surplus/Deficiency

Delivered Generation:

Current Month Prepayment

Plus: Prepay Suralus or Deficiency

Prepay

Teal

Grand Total Paid

\$

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

JP Morgan Chase Chicago, IL

Chicago, IL ABA No. ACH ABA No.

ACH ABA No.
For Account of Acolus Wind Power II LLC.
Account No.

Iberdrola Contact: christopher.evidente@iberdrolaren.com Phone: 503-796-7116 953-145500

Total Amount Due To Elk River Windfarm, LLC:

. 5

Finance charges will be applied to necounts that are not paid in full by the stated due date. If you do not agree with the due date those phose, please context Chris Evidente

10.26.13

An Oregon Limited Liability Company

#### INVOICE

Invoice Date: Due Date: November 4, 2015 November 24, 2015

The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173 Invoice #: #26228 Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance

September-15 Prepay Surplus/Deficiency Delivered Generation:

Current Month Prepayment Plus: Prepay Surplus or Deficiency

Prepay

Total

Grand Total Paid

\$

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

253 - 165500

JP Morgan Chase
Chicago, IL
ABA No.
ACH ABA No.
For Account of Aeolus Wind Power II LLC.
Account No.

Iberdrola Contact: christopher.evidente@iberdrolaren.com

Phone: 503-796-7116

S

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date shown above, please contact Chris Evidente

Total Amount Due To Elk River Windfarm, LLC:

SCHED 11-24-15 DL

An Oregon Limited Liability Company

#### INVOICE

Invoice Date: Due Date: December 7, 2015 December 28, 2015

The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173 Invoice #: #26228 Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance

November-15 Prepay Surphis/Deficiency Delivered Generation: \_

Current Month Prepayment

Prepay

Plus: Prepay Surplus or Deficiency

Total

Grand Total Paid

\$

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

253 - 165500

JP Morgan Chase
Chicago, IL
ABA No.
ACH ABA No.
For Account of Acolus Wind Power II LLC.
Account No.

Iberdrola Contact: christopher.evidente@iberdrolaren.com

Phone: 503-796-7116

Total Amount Due To Elk River Windfarm, LLC:

•

Finance charges will be applied to accounts that are not paid in full by the stated due date, If you do not agree with the due date shown above, please contact Chris Evidente

Maken.
12.23-15
IN

An Oregon Limited Liability Company

JAN 12 2016

#### INVOICE

ACCOUNTING

Invoice Date: Due Date: January 6, 2016 January 26, 2016

The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173 Invoice #: #28535 Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance

November-15 Prepay Surplus/Deficiency

Grand Total Paid

Delivered Generation:

Current Month Prepayment

Plus: Prepay Surplus or Deficiency

Prepay

Total

\_\_\_

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

JP Morgan Chase
Chicago, IL
ABA No.
ACH ABA No.
For Account of Aeolus Wind Power II LLC.
Account No.

Iberdrola Contact: christopher.evidente@iberdrolaren.com

Phone: 503-796-7116

253-145500

Total Amount Due To Elk River Windfarm, LLC:

5

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date shown above, please contact Chris Evidente

1-26-16 1-26-16

#### **INVOICE**

### **Cloud County Wind Farm LLC**

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

The Empire District Electric Company

602 S Joplin St Joplin, MO 64802 Attn: Accounts Payable Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

INVOICE NO.
CCE 0115
TERMS
Net 10
INVOICE DATE
2/10/2015
DUE DATE

2/20/2015

253 - 555430

January-2015				
Energy (MWh)	Rate (\$/MWh)	Amount Due		
<b>(4.34)</b>	9	\$		
	1	1 1		

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price =

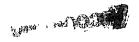
Wh. Second Half Contract Price

Please remit payment to:

JP Morgan Chase
Routing No:
Account No:
Account Name: Cloud County
Ref: CCE Power Production

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568

SCHED 2-20-15 DL



ž.,

INVOICE

### **Cloud County Wind Farm LLC**

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

RECEIVED



The Empire District Electric Company

602 S Joplin St

Joplin, MO 64802

Attn: Accounts Payable

Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

253-555430

INVOICE NO.
CCE 0215
TERMS
Net 10
INVOICE DATE
3/10/2015
DUE DATE
3/20/2015

	Energy (MWh)	Rate (\$/MWh)	Amount Due
Energy Output		1	\$
Lost Production			
Availability LDs			
Availability LD3	Total	Amount Due	\$ <b>(</b> )

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price YMWh.

Please remit payment to:

JP Morgan Chase

Routing No:

Account No:

**Account Name: Cloud County Ref: CCE Power Production** 

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568



**INVOICE** 

## **Cloud County Wind Farm LLC**

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

The Empire District Electric Company

602 S Joplin St

Joplin, MO 64802

Attn: Accounts Payable

Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

INVOICE NO.

CCE 0315

**TERMS** 

Net 10 **INVOICE DATE** 

4/8/2015

**DUE DATE** 4/20/2015

Marc	:h-2015	
Energy (MWh)	Rate (\$/MWh)	Amount Due
		\$
	, selection of the contract co	1 1

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price = MWh. Second Half Contract Price =

MWh.

Please remit payment to:

JP Morgan Chase

Routing No.

Account No: ¶

Account Name: Cloud County

**Ref: CCE Power Production** 

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568

253 - 555430



MAY 1 3 '15

**INVOICE** 



### **Cloud County Wind Farm LLC**

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

The Empire District Electric Company

602 S Joplin St Joplin, MO 64802

Attn: Accounts Payable Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

INVOICE NO. CCE 0415 **TERMS** Net 10 **INVOICE DATE** 5/8/2015 **DUE DATE** 5/18/2015

253-555430

Amount Due
Amount Dac

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price = MWh. Second Half Contract Price = YMWh.

Please remit payment to:

JP Morgan Chase

Routing No:

Account No:

Account Name: Cloud County **Ref: CCE Power Production** 

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568

fronter 5.16.15

15° 1 1 W.L.

INVOICE

ACCOUNTING

### **Cloud County Wind Farm LLC**

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

The Empire District Electric Company

Lost Production Availability LDs

602 S Joplin St

Joplin, MO 64802

Attn: Accounts Payable Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

INVOICE NO. CCE 0515 **TERMS** Net 10 **INVOICE DATE** 6/9/2015 **DUE DATE** 

6/19/2015

May-2015 Energy (MWh) Rate (\$/MWh) **Amount Due** Energy Output

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price = MWh. Second Half Contract Price =

Total Amount Due S

Please remit payment to:

JP Morgan Chase .

Routing No: Account No:

**Account Name: Cloud County** 

**Ref: CCE Power Production** 

For questions regarding this involce, please call: Daniel Saldanha (713) 356-2568

253 - 555430

16-19-15 ON



**INVOICE** 

# **Cloud County Wind Farm LLC**

808 Travis St Ste 700

Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

#### The Empire District Electric Company

602 S Joplin St

Joplin, MO 64802

Attn: Accounts Payable Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

INVOICE NO.

CCE 0615

**TERMS** 

Net 10 **INVOICE DATE** 

7/6/2015

**DUE DATE** 

7/16/2015

MI

253-555430

June-2015				
	Energy (MWh)	Rate (\$/MWh)	Amount Due	
Energy Output			\$	
Lost Production				
Availability LDs				

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price = MWh. Second Half Contract Price = /MWh.

Please remit payment to:

JP Morgan Chase

Routing No:

Account No:

**Account Name: Cloud County Ref: CCE Power Production** 

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568

NIG 1 1 '15

ACCOUNTI<del>NG</del>

**INVOICE** 

### **Cloud County Wind Farm LLC**

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

The Empire District Electric Company

602 S Joplin St

Joplin, MO 64802

Attn: Accounts Payable Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

INVOICE NO. CCE 0715 **TERMS** Net 10 **INVOICE DATE** 8/10/2015 **DUE DATE** 8/20/2015

253-555430

July-2015					
	Energy (MWh)	Rate (\$/MWh)	Amount Due		
Energy Output		•	\$		
Lost Production					
Availability LDs					

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price = MWh. Second Half Contract Price =

Please remit payment to:

JP Morgan Chase

YMWh.

Routing No: Account No:

**Account Name: Cloud County Ref: CCE Power Production** 

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568

fre- \$ 1 Th g. 20.15

#### **INVOICE**

### **Cloud County Wind Farm LLC**

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

The Empire District Electric Company

602 S Joplin St

Joplin, MO 64802 Attn: Accounts Payable

Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

INVOICE NO. CCE 0815

**TERMS** 

Net 10

**INVOICE DATE** 

9/9/2015

**DUE DATE** 

9/21/2015

17.14				
	Energy (MWh)	Rate (\$/MWh)		Amount Due
Energy Output		1	\$	
Lost Production				
Availability LDs				
	Total	<b>Amount Due</b>	\$	

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price = MWH. Second Half Contract Price =

Please remit payment to:

JP Morgan Chase

Routing No:

Account No:

**Account Name: Cloud County Ref: CCE Power Production** 

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568

J53-555430

pecterl 921-19 DK

RECEWED

007 122015

INVOICE

ACCOUNTING Cloud County Wind Farm LLC

808 Travis St Ste 700 Houston, TX 77602

PH: (713) 265-0350 FAX: (713) 265-0365

The Empire District Electric Company

602 S Joplin St Joplin, MO 64802

Attn: Accounts Payable Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

INVOICE NO. CCE 0915 **TERMS** Net 10

INVOICE DATE 10/8/2015

**DUE DATE** 10/19/2015

253-555430

September-2015					
	Energy (MWh)	Rate (\$/MWh)	Amount Due		
Energy Output			\$		
Lost Production					
Availability LDs					

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Form LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008, First Half Contract Price = MWh. Second Half Contract Price =

YMWh.

Please remit payment to:

JP Morgan Chase

Routing No:

Account No:

Account Name: Cloud County **Ref: CCE Power Production** 

For questions regarding this invoice, please coll: Daniel Soldanha (713) 356-2568

10-19-15 10-19-15

### RECEIVED

NOV 10 2015

INVOICE

## ACCOUNTING Cloud County Wind Farm LLC

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

The Empire District Electric Company

602 S Joplin St Joplin, MO 64802

Attn: Accounts Payable Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

INVOICE NO. CCE 1015 TERMS Net 10

INVOICE DATE 11/9/2015

DUE DATE 11/19/2015

253 - 555430

	Octob	er-2015		.: .	
	Energy (MWh)	Rate (\$/MWh)		Amo	ount Due
Energy Output			\$	(	حيي
Lost Production					
Availability LDs					
	Total	Amount Due	1		Call of Second Page

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price =

/MWh. Second Half Contract Price =

MWh.

Please remit payment to:

JP Morgan Chase Routing No: 1

Account No. 1 Account Name: Cloud County Ref: CCE Power Production

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568

Now hot 11-19-15 DK

### RECEIVED

DEC 15 2015

## ACCOUNTING

**INVOICE** 

## **Cloud County Wind Farm LLC**

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

The Empire District Electric Company

602 S Joplin St

Joplin, MO 64802

Attn: Accounts Payable

Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

INVOICE NO. CCE 1115

**TERMS** 

Net 10

**INVOICE DATE** 

12/8/2015

**DUE DATE** 

12/18/2015

253-555430

	INOVEIL	ber-2015	등 경기 기계 전환 기계 등 등 기계
	Energy (MWh)	Rate (\$/MWh)	Amount Due
Energy Output			\$
Lost Production			
Availability LDs			

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price = MIM/MWh. Second Half Contract Price =

YMWh.

Please remit payment to:

JP Morgan Chase

Routing No:

Account No: 7

Account Name: Cloud County

**Ref: CCE Power Production** 

For questions regarding this invoice, please call: Daniel Sàldanha (713) 356-2568

12.18.19 12.18.19

RECEIVED

**INVOICE** 

JAN 12 2016 ACCOUNTING

## **Cloud County Wind Farm LLC**

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

The Empire District Electric Company

602 S Joplin St

Joplin, MO 64802

Attn: Accounts Payable

Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

INVOICE NO. CCE 1215

**TERMS** 

Net 10

**INVOICE DATE** 

1/8/2016

**DUE DATE** 

1/18/2016

253 - 555430

	Decem	ber-2015	
	Energy (MWh)	Rate (\$/MWh)	Amount Due
Energy Output		1	\$
Lost Production			
Availability LDs			
Availability LOS	Total	Amount Due	\$

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price = MWh. Second Half Contract Price = YMWh.

Please remit payment to:

JP Morgan Chase

Routing No:

Account No: 7

Account Name: Cloud County **Ref: CCE Power Production** 

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568

pho 6192 1-19-16 OM

**EMPIRE** 

2015 RES REPORT

ATTACHMENT 2

RECS RETIRED

☐ HIGHLY CONFIDENTIAL

NON-PROPRIETARY

State/Provincial/Voluntary Compliance Report

4/7/2016

	ment	12/28/2015	12/28/2015	12/28/2015	12/28/2015	03/28/2016	01/30/2015	12/28/2015	12/28/2015	12/28/2015	12/28/2015	12/28/2015	12/28/2015	12/28/2015
	Retirement	12/28,	12/28,	12/28	12/28	03/28	02/10	12/28	12/28	12/28	12/26	12/28	12/26	12/26
_	LIHI Certified	Š	8	8	Š	S	No	N N	8	o N	2	S.	g	2
85'98	US EPA GPP Eligibie	8	No ON	8	SN SN	8	N N	2	S S	Š.	8	2	g	N O
# 8¢	Green-a Climate RE Protocol Eligible	8	No.	No	ON.	No	No	92	2	9N	o <sub>N</sub>	8	No	S.
3	Green-e Energy Eligible	9	8	8	Q.	2	No No	ę.	9	No No	8	Q	o Z	2
3	ME Class	o S	8	S.	ON.	S	8	Q.	OZ OZ	S S	S.	N N	Š.	2
ام	ME Class	Š	S	8	8	8	No No	N 0	S.	8	S S	8	S S	용
<u>8</u>	뚔	Š	o <sub>N</sub>	g	2	N <sub>O</sub>	S.	2	2	N O	S	2	2	S
11	⊒	2	Š	8	ક્ર	§.	S <sub>Z</sub>	8	2	S.	Š.	8	2	2
SOLARAGG	Ν	8	8	δ 8	8	8	Yes	8 8	ν 2	8	Yes No	Yes No	Yes	Yes No
귛	NG KS	No Yes	No Yes	No Yes	No Yes	No Yes	N N	No Yes	No Yes	No Yes	§	§.	2	S S
2	MO	Yes	, X es	Yes	Yes	že Z	Yes	, Kes	Yes	Yes	Yes	, Kes	× ×	Yes
	Duration - End Date	1/31/2015	2/28/2015	5/31/2015	9/30/2015	11/30/2015	1/31/2014	2/28/2014	3/31/2014	4/30/2014	9/30/2014	10/31/2014	12/31/2014	3/31/2015
3,048	Duration - Start Date	1/1/2015	2/1/2015	5/1/2015	9/1/2015	11/1/2015	1/1/2014	2/1/2014	3/1/2014	4/1/2014	9/1/2014	10/1/2014	12/1/2014	3/1/2015
PURCHASED =	Compliance Equivalency	6,347.50	4,238.75	5,995.00	825.00	2,012.50	3,380.00	5,192.50	8,125.00	8,246.25	5,826.25	4,700.00	7,478.75	8,597.50
RCH	Quantity	5,078	3,391	4,796	099	1,610	2704	4,154	6,500	6,597	4,661	3,760	5,983	6,878
P. PU	Certificate Serial Numbers	NAR- REC-99- MO-01- 2015- 27118-1 to 5078	NAR- REC-99- MO-02- 2015- 33794-1 to 3391	NAR- REC-99- MO-05- 2015- 37611-1 to 4796	NAR- REC-99- MO-09- 2015- 51493-1 to 660	NAR- REC-99- MO-11- 2015- 57975-1 to 1610	NAR- REC-99- MO-01- 2014- 10 2364-1	NAR- REC-99- MO-02- 2014- 9549-1 to 4154	NAR- REC-99- MO-03- 2014- 10176-1 to 6500	NAR- REC-99- MO-04- 2014- 10307-1 to 6597	NAR- REC-99- MO-09- 2014- 19254-1 to 4661	NAR- REC-99- MO-10- 2014- 20616-1 to 3760	NAR- REC-99- MO-12- 2014- 26855-1 to 5983	NAR- REC-99- MO-03- 2015-
SOLAR	Certificate Vintage	01/2015	02/2015	05/2015	09/2015	11/2015	01/2014	02/2014	03/2014	04/2014	09/2014	10/2014	12/2014	03/2015
m	Fuel/Project Type	Hydroelectric Water	Hydroelectric Water	Hydroelectric Water	Hydroelectric Water	Hydroelectric Water	Hydroelectric «Water	Hydroelectric   Water	Hydroelectric Water	Hydroelectric Water	Hydroelectric Water	Hydroelectric Water	Hydroelectric Water	Hydroelectric Water
89,87	Asset	Ozark Beach - Ozark Beach Hydro	Ozark Beach - Ozark Beach Hydro	Ozark Beach - Ozark Beach Hydro	Ozark Beach - Ozark Beach Hydro	Ozark Beach - Ozark Beach Hydro	Ozark Beach - Ozark Beach Hydro	Ozark Beach - Ozark Beach Hydro	Ozark Beach - Ozark Beach Hydro	Ozark Beach - Ozark Beach Hydro	Ozark Beach - Ozark Beach Hydro	Ozark Beach - Ozark Beach Hydro	Ozark Beach - Ozark Beach Hydro	Ozark Beach - Ozark
17	NARID	GEN99	GEN99	GEN99	GEN99	GEN99	GEN99	GEN99	GEN99	GEN99	GEN99	GEN99	GEN99	GEN99
HYDRO	Additional Details		ŧ	t	1	t	- THE CALL AND ADDRESS OF THE	•					1	,
T	Reason	,	,					ŧ	•		1	•	1	
line 597	Compliance Period	2015	2015	2015	2015	2015	3074	2015	2015	2015	2015	2015	2015	2015
Sp	State	O W	£	Q.	ξ	Q E	Ω	δ	Õ	δ	Θ Q	Θ	Θ	OM O
orint.a	Subaccount ID											A. 10	·	
/ge/	Suba	270	270	270	270	270	270	270	270	270	270	270	270	270
myModule/include/print.asp,	SubAccount	DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET
/myMoc	Account Holder	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company

State/Provincial/Voluntary Compliance Report

4/7/2016

												•		
Relirement Date		12/28/2015	12/28/2015	12/28/2015	12/28/2015	12/28/2015	12/28/2015	12/28/2015	12/28/2015	12/28/2015	04/05/2016	04/06/2016	04/06/2016	04/07/2016
Certified	-	S.	S S	oN S	ON ON	8	o Z	o <sub>S</sub>	o Z	S <sub>S</sub>	8	8	8	2
US EPA GPP Eligible		o <sub>N</sub>	92	D.	o. N	S.	N O	S.	o <sub>N</sub>	o N	Š.	N.	8	Š
Green-o Climate RE Protocol Eligible		ę.	No	o <sub>N</sub>	8	S.	o Z	N N	S S	S S	N O	2	8	g
Green-e Energy Eligible		oN O	No	o <sub>N</sub>	8	Š	o Z	8	N	ON.	Yes	Yes	Yes	o <sub>N</sub>
Glass ===================================	2	2	2	o Z	8	8	o <sub>N</sub>	2	2	o Z	2	2	g	ક
ME Class		o <sub>N</sub>	000	2	o <sub>N</sub>	o N	2	8	Š.	2	2	8	δÑ	S.
8		S S	g	g	8	No	2	2	2	2	S S	2	8	2
긛		N.	2	2	8	2	8	8	8	8	, sa ,	, Yes	, , , , , , , , , , , , , , , , , , ,	2
ķ	ļ	8	2	2	N N	δ 8	8	S N	Yes	Yes	oN oN	oN N	92 92	Yes
N XS	<u> </u>	No Yes	No Yes	No Yes	No · Yes	No Yes	No Yes	No Yes	<u>2</u>	× 2	2	e S	2	2
OE S	_	Yes	Yes	Yes	Yes	Yes	, kes	Yes	Yes	Yes	Se X	SB.	Yes	Yes
Duration - End Date		4/30/2015	6/30/2015	10/31/2015	1/31/2014	5/31/2014	6/30/2014	7/31/2014	8/31/2014	11/30/2014	2/28/2015	3/31/2015	4/30/2015	12/31/2015
Duration - Start Date		4/1/2015	6/1/2015	10/1/2015	1/1/2014	5/1/2014	6/1/2014	7/1/2014	8/1/2014	11/1/2014	2/1/2015	3/1/2015	4/1/2015	6/1/2015
Compliance Equivalency		6,297.50	1,893.75	3,612.50	7,058.75	3,233.75	5,528.75	5,256.25	6,460.00	5,415,00	629.00	845.00	837,00	660.00
Quantity		5,038	1,515	2,890	5,647	2,587	4,423	4,205	5,168	4,332	629	24.8	837	528
Certificate Serial Numbers	34801-1 to 6878	NAR- REC-99- MO-04- Z015- 35328-1 to 5038	NAR- REC-99- MO-06- 2015- 44533-1 to 1515	NAR- REC-99- MO-10- 2015- 51615-1 to 2890	NAR- REC-99- MO-01- 2014- 9364- 2705 to 8351	NAR- REC-99- MO-05- 2014- 13579-1 to 2587	NAR- REC-99- MC-06- 2014- 13998-1 to 4423	NAR- REC-99- MO-07- 2014- 14211-1 to 4205	NAR- REC-99- MO-08- 2014- 15871-1	NAR- REC-99- MO-11- 2014- 21138-1 to 4332	NAR- REC- 366-FL- 02-2015- 45632-1 to 629	NAR- REC- 366-FL- 03-2015- 45639-1 to 845	NAR- REC- 366-FL- 04-2015- 45646-1 to 837	NAR- AGG-
Certificate Vintage		04/2015	06/2015	10/2015	01/2014	05/2014	06/2014	07/2014	08/2014	11/2014	02/2015	03/2015	04/2015	12/2015
Fuel/Project Type		Hydroelectric Water	Hydroelectric Water	Hydroelectric Water	Hydroelectric Water	Hydroelectric Water	Hydroelectric Water	. Hydroelectric Water	Hydroelectric Water	Hydroelectric Water	Solar	Solar	Solar	Solar
Assot	Beach Hydro	Ozark Beach - Ozark Beach Hydro	Ozark Beach - Ozark Beach Hydro	Ozark Beach - Ozark Beach Hydro	Ozark Beach - Ozark Beach Hydro	Ozark Beach - Ozark Beach Hydro	Ozark Beach - Ozark Beach Hydro	Ozark Beach - Ozark Beach Hydro	Ozark Beach - Ozurk Beach Hydro	Ozark Beach - Ozark Beach Hydro	Stanton Solar Farm - RP- Orlando, LLC	Stanton Solar Farm - RP- Orlando,	Stanton Solar Farm - RP- Orlando,	
NAR ID		GEN99	GEN99	GEN99	GEN99	GEN99	GEN99	GEN99	. GEN99	GEN99	. GEN366	GEN366	GEN366	. AGG2321
Additional Details				ŧ		r	•			•	ž		,	,
Reason					1				ı	1		1		
Compliance Period		2015	2015	2015	2015	2015	2015	2015	2015	2015	2015	2015	2015	2015
State		Θ	ω	Õ	Θ	δ Š	Q E	Θ	O	ω	Θ	δ Ö	Ο Σ	δ
Subaccount ID		270	072	270	270	270	270	270	270	270	270	270	270	270
SubAccount		DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET
Account Holder	242-00-1-2	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District

State/Provincial/Voluntary Compliance Report

4/7/2016

Retirement Date		04/06/2016	04/06/2016	04/06/2016	04/07/2016	04/07/2016	12/28/2015	12/28/2015
LIHI		<sub>S</sub>	2	S.	2	8	No	O.N.
US EPA GPP Ellalble		92	o <sub>N</sub>	o Z	92	0 2	8	o <sub>S</sub>
Green-e Climate RE Protocol	Eligible	9	ĝ	2	8	S.	g	S <sub>N</sub>
Green-e Energy Eligible		Yes	Yes	Yes	N	N <sub>O</sub>	Yes	Yes
Class =		o 2	S.	8	8	Q.	2	No.
ME Class		2	8	8	2	g.	S <sub>Z</sub>	8
æ		. S	N ON	8	8	<sup>9</sup> N	2	2
닐		Yes	Yes	Yes	8	. 2	S <sub>N</sub>	2
ž		S S	2	2	<sup>8</sup>	2	2	2
ž.		8	2	8	Yes	Yes	, ves	Yes
S S		ટ	ž	ટ	R	2	- <u>8</u>	2
MO		Yes	Yes	Yes	, Yes	Yes	Yes	Yes
Duration - End Date		5/31/2015	5/31/2015	1/31/2015	12/31/2015	12/31/2015	2/28/2015	1/31/2015
Duration - Start Date		5/1/2015	5/1/2015	1/1/2015	5/1/2015	9/1/2015	2/1/2015	1/1/2015
Compliance Equivalency		48.00	75.00	614.00	265.00	87.50	40,269.00	46,312.00
Quantity		84	75	614	212	62	40,269	46,312
Cortificate Serial Numbers	2321- MO-12- 2015- 62778-1 to 528	NAR- REC- 366-FL- 05-2015- 45653- 76 to 123	NAR- REC- 366-FL- 05-2015- 45653-1 to 75	NAR- REC- 366-FL- 01-2015- 45625-1 to 614	NAR- AGG- 2322- MO-12- 62779-1 to 212	NAR- AGG- 2323- MO-12- 2015- 62780-1 to 70	NAR- REC-97- KS-02- 2015- 33804-1 to 40269	NAR- REC-97- KS-01- 2015- 27117-1 to 46312
Corlificato Vintage		05/2015	05/2015	01/2015	12/2015	12/2015	02/2015	01/2015
FueliProject Type		. Solar	Solar	Solar	Solar	Solar	Wind	Wind
Assot	EDE Solar Aggregate #1	Stanton Solar Farm - RP- Orlando, LLC	Stanton Solar Farm - RP- Orfando,	Stanton Solar Farm - RP- Orlando,	EDE Solar Aggregate #2	EDE Solar Aggregate #3	Elk River Wind - Elk River Wind	EIK River Wind - EIK River Wind
NARID		GEN366	GEN366	. GEN366	AGG2322	. AGGZ323	GEN97	GEN97
Addilonal Detalis		ı					z	
Reason			1	**************************************	(			
Compliance Period		2015	2015	2015	2015	2015	2015	2015
State		Q.	δ	S S	Š Š	문	§.	Š
Subaccount ID		270	270	270	270	270	270	270
SubAccount		DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET	DefaultRET
	Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company	Empire District Electric Company

#### **CERTIFICATES IN SUBACCOUNT**

Print Date: 31 of March 2016 20:54:55 GMT

Sub- Account	Sub- Account ID	Retirement Type	State	Compliance Period	Reason	Avolded Carbon	NAR ID	Asset	FuelProject Type	Certificate Vintage	Certificate Serial Numbers	Quantity	Transferor	MO Compliance Equivalency	МО	NC	KS	NY	IL	PR	ME Class I	ME Class II	Green-s Energy Eligible	Green-e Climate RE Protocol Eligible	US EPA GPP EFgible	LIHI Certified	Avoided Carbon	Green-e CEmzh Protocol (Metric Yons COZe)
2015 CompBance	585	RPS	KS	2015	Purchased Energy	-	GEN97	Elk Ruver Wind - Elk Ruver Wind	Wind	03/2015	HAR- REC-97- KS-03- 2015- 34600-1 to 12090	12,090	-	12090	Yes	No	Yes	No	No	Но	Но	Ко	Yes	No	Но	Но		-
2015 Compliance	585	RPS	ĸs	2015	Purchased Energy	-	GEN97	Elk River Wind - Elk River Wind	Wind	02/2015	NAR- REC-97- KS-02- 2015- 33804- 40270 to 46115	S,846		5846	Yes	No	Yes	Ko	Нo	Ha	Но	Ro	Yes	No	No	No	-	

**EMPIRE** 

2015 RES REPORT

#### ATTACHMENT 3

#### RECS CARRIED FORWARD

☐ HIGHLY CONFIDENTIAL

NON-PROPRIETARY

#### **CERTIFICATES IN SUBACCOUNT**

Print Date: 7 of April 2016 14:56:45 GMT

Sub- Account	Sub- Account ID	NARID	Asset	Fuel/Project Type	Certificate Vintage	Certificate Serial Numbers	Quantity	Transferor	MO Compliance Equivalency	МО	NC	Ks	NY	It.	PR	ME Class I	ME Class II	Green-e Energy Eligible	Green-e Climate RE Protocol Eligible	US EPA GPP Eligible	LIHI Certified	Avoided Carbon	Green-e Climate Protocol (Metric Tons CO2e)
DefaultACT	269	AGG2323	EDE Solar Aggregate #3	Solar	12/2015	NAR- AGG- 2323- MO-12- 2015- 62780- 71 to 71	1	-	1.25	Yes	No	Yes	No	No	No	No	No	No	No	No	No	_	-
DefaultACT	269	GEN97	Elk River Wind - Elk River Wind	Wind	01/2016	NAR- REC-97- KS-01- 2016- 62525-1 to 41279	41,279	,	41279	Yes	No	Yes	No	No	No	No	Na	Yes	No	No	No	-	-
DefaultACT	269	GEN97	Elk River Wind - Elk River Wind	Wind	03/2015	NAR- REC-97- KS-03- 2015- 34800- 12091 to 39111	27,021	-	27021	Yes	No	Yes	No	No	No	No	No	Yes	No	No	No	-	-
DefaultACT	269	GEN99	Ozark Beach - Ozark Beach Hydro	Hydroelectric Water	12/2015	NAR- REC-99- MO-12- 2015- 59837-1 to 6834	6,834	•	8542.5	Yes	No	Yes	No	No	No	No	No	No	No	No	. No		-
DefaultACT	269	GEN99	Ozark Beach - Ozark Beach Hydro	Hydroelectric Water	11/2015	NAR- REC-99- MO-11- 2015- 57975- 1611 to 4916	3,306	•	4132.5	Yes	No	Yes	No	No	No	No	No	No	No	No	No	-	-
DefaultACT	269	GEN99	Ozark Beach - Ozark Beach Hydro	Hydroelectric Water	01/2016	NAR- REC-99- MO-01- 2016- 62526-1 to 75	75	•	93,75	Yes	No	Yes	No	No	No	No	No	No	No	No	No	-	-
DefaultACT	269	GEN366	Stanton Solar Farm - RP- Orlando, LLC	Solar	05/2015	NAR- REC- 366-FL- 05-2015- 45653- 124 to 1075	952	3Degrees Group, Inc.	952	Yes	No	No	No	Yes	No	No	No	Yes	No	No	No	-	-

**EMPIRE** 

2015 RES REPORT

ATTACHMENT 4

REC REPORT

☐ HIGHLY CONFIDENTIAL

NON-PROPRIETARY

Renewable Energy Certificate Position Report Empire District Electric Company <u>Elk River 2015</u>

HIGHLY CONFIDENTIAL						SIN NIVEL FOLS							
	January	February	March	April	May	June	ylnf	August	September	October	November	December	Total
	2015	2015	2015	2015	2015	2015	2015	2015	2015	2015	2015	2015	2015
Expected REC's	50,855	44,594	60,957	28,757	40,897	50,133	30,737	37,594	35,645	50,293	53,588	608'29	55,173
**Actual REC's													509,34
MO Compliance for 2015	46,312	40,269								- Contraction of the Contraction	The state of the s		86,58
Ks Compliance for 2015		5,846	12,090										n
REC's Sold													17,93
Counterparty													
Quantity	0	0	292,366	0	0	0	254,068	0	0	0	0	0	546,434
	,			,	,	,		,	·	· ·	69	, 4	4
	•									,	·	·	9
	,		5				2		,		,		69
Net Revenue (\$) \$	,		S				\$		,	\$	s		\$
		•	-	•	•	1	•	•	•	1			
Quantity	0	0		0	•	•	6	0	0	23,672		0	23,672
Price (\$/REC) \$	•	•				•			· •	\$	,	•	•
Gross Revenue   \$			,	,		•	,	,	,	s	9		*
Commission S's 3	•			٠.		,	,		,	S			S
Net Revenue (\$) \$					. \$	. \$				\$	. 50	,	4
			•	1					Ì			1	
	6	6	•						•				377,809
	•	,	,			1			69	60	·	69	1
Gross Revenue &		,	,		,	,					ı və v	, us 6	
Collinasion es		,		,		,			9	9 0	2 6	9 4	
Net Revenue (\$) \$	1		,		,	,	,	,			,		*
1	•	c	c	c	c	c	•	c	c	•			
Price (S/REC) S	•		•		·	· ·			-		6/3	69	67
						,							. 4
Commission \$'s \$	,		, ,			,	1	. 1	· 1	9 69	,	. 49	
Net Revenue (\$)   \$	,	· ·		-					\$			٠ .	\$
distance	ď	G	ď	C	o	c	C	d	c	ď			
(CHO) solid	•	,	•	,	,	. '		,	,			,	
(CENTRE (ALLE)		÷ 6		,	,	,	·	,		•	·	9 6	• 6
Gross Aevenue		, ,		, ,		, ,		, ,	, t		96	96	o 45
Net Revenue (\$)									5		s		4
Cumulative Remaining RECs	0	0	27,021	27,021	27,021	27,021	120,72	120,72	27,021	120,12	27,02	127,021	27,0
Total Gross Revenue	- 3	- \$	\$	- \$	- \$	- \$	\$		-	\$	•	-	\$
Total Expense (Commission)		- - s	\$		,		\$	,	,	S		•	\$
Total Net Revenue			S	,		,	s			\$		,	<i>s</i> 2

"Actual REC's is Expected REC's until month expires

Renewable Energy Certificate Position Report Empire District Electric Company

Third below																			
2015   2015		January	February		March	April	May		June	July	August	September	ő	tober	November	December	-	Total	Τ
24.122		2015	2015		2015	2015	2015		2015	2015	2015	2015	2	015	2015	2015		2015	
The contraction of the contracti	ed REC's	24,152	26	.,870	33,090	32,196		3,340	28,703	16,958	25,508	25,33	1	28,797	31,071	30.1	2	ı	329.186
And the control of th	I REC's						Ī											315	315,146
Commission of the Commission	Sold					AND DESCRIPTION OF THE PERSON		-									F		0
Application	rparty																j T		
Committee   Comm																	T	-	Γ
Commission (Section 1997)  Commission (Section 1	Quantity		8	11,906	0	9	_	0	0	0	0		0	0	0		0	8.	81.906
Orminassigning St. No. 10. 10. 10. 10. 10. 10. 10. 10. 10. 10	Price (\$/REC)			1		· ·	69	<i>u</i>			,		67			69	_		
Net Reventure (S)   Street (S	Gross Revenue			v.	•	. 65	49					. 6/1	65	- 65	•	• 65		1	ï
Net Revenue (5) 65 1	Commission \$'s			4	,		• 63	1		,		. 60	69	1	•	·	-		ľ
Committee   Comm	Net Revenue (\$)	. 8	\$	\$			ø	H	Н				\$			s	,	10	1
Price (STREE)   Commission   Street   Commission   Street   Street   Commission   Stre				_															Γ
Commission St. No. 1	Quantity	0	\$	52,842	0	_	0	0	0	0	•		0	0	0		0	152	152,842
Commission (S)         S	Price (\$/REC)	,	us.	1		· 69	69	4			•	69	s)		,	s	,		
Commission St.	Gross Revenue	,	S	S	•	•	- 69	- 65	,	,		. 64	· cr			. 65			Ì
Not Revenue (3) 55	Commission S's		· s	63			• 69	1		1		· 64	• 65	,		. 64			
Pice (RREC) S			65	S	,		s	T	t				s	t		6	İ		
Price (SATESTY)   Price (SAT				_				-									Ė		Γ
Price (KREC) 55 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	quantity	1	_	1			•	ĺ						0	216,532		0	216	216,532
Orders   Face   Commission   State   Commission			69	<b>€</b>			8	· ·		). S	s	*		49		<sub>6</sub>			1
Origination St. 47   St. 1			€7	<del>67</del>	•		s)	69 1	•		, s	· •>	49	49		ss.		<b>.</b>	6
Net Reventue (5) 57   10   10   10   10   10   10   10   1	_		cs)	,		5	s	٠,			٠,	69	49			s		46	ľ
Price (S/REC)   S   S   S   S   S   S   S   S   S	_		es.				59	1	-							s			ĵ
Price (Stretch of Stretch of Stre		•		•	•				•			1	-						
Commission Street   Comm		,		_	9						_		ì				1		98,614
A continguation of the contingual of the continue of the continu	Price (\$/REC)	,		us (	•		₩.	1	•	,		·	69		,		1	•	
Commission   State   Commiss			e e	,	,	·	<i>s</i> •	1	,	,	·	·	v3 (	1		69 (			,
Constitution   Cons			0	$^{\dagger}$			Ą	t	t	,		,	20	1		99 6			
Advantity Prince (KENC) 55 TO				t			,	†	†			2	,	†		9	<u>†</u>		
Price (§/REC) 00	Quantity	O		o	C		-	c	6	d			_	c	•		c		c
Gross Revenue Commission State Commissio	Drice (S/REC)		4					,							5		,		,
Company Architics   Company Architect   Comp	Carried month			+			. 6	<b>&gt;</b> 6	'			·	9 6	9 6	•	2 4	_	•	
Net Revenue (5) 6	Commission 5's			. ·		· ·	÷ 64	9 <del>(</del>	. ,			· ·	9 4		2	o 6		A 14	
## A PRECS	Net Revenue (\$)		S	69	,		69		,			· ·	s			9 64			Π.
inision)		0		0	0		0	0	0	0			0	0	0		ľ		0
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0																	Ė		
	oss Revenue pense (Commission)		v, v,	s s	, .	, ,	s s	φφ , ,			, ,	, ,	s s	, ,		w w	<u> </u>	SS	
	ot Revenue	s	8	S			5	,		5	5	,	J				İ,	-	

"\*Actual REC's is Expected REC's until month expires

**EMPIRE** 

2015 RES REPORT

# ATTACHMENT 5 WIND GENERATION ALLOCATION

☐ HIGHLY CONFIDENTIAL

☑ NON-PROPRIETARY



#### **ATTACHMENT 5**

#### WIND GENERATION ALLOCATION FOR MO RETAIL CUSTOMERS 2015

Facility	Generation (MWh)	Percentage*	Energy Supplied to Missouri Retail Customers (MWh)
Elk River Windfarm	509,347	83.5807%	425,715.79
Meridian Way Windfarm	315,146	83.5807%	263,401.23

<sup>\*</sup> Allocation based on 12-month average CP Demand Factor

**EMPIRE** 

2015 RES REPORT

# ATTACHMENT 6 PURCHASE OF SOLAR SRECS

☐ HIGHLY CONFIDENTIAL

NON-PROPRIETARY

#### Confirmation Letter #2

This Confirmation Letter describes a transaction between Buyer and Seller for the sale, purchase and Delivery of Renewable Energy Certificates ("RECs") pursuant to and in accordance with the terms of the Renewable Energy Certificate Purchase and Sale Agreement between the Parties dated February 16, 2015 (the "Agreement") and constitutes part of and is subject to the terms and provisions of the Agreement. Provided, that, to the extent there is a conflict between a provision of the Agreement and this Confirmation Letter, the terms of this Confirmation Letter shall control for the purposes of this transaction.

Initially capitalized terms used and not otherwise defined herein are defined in the Agreement.

Basic Commercial Terms:

Buyer Delivery Contact [Name, Email]:

Seller Delivery Contact [Name, Email]:

Trade Date:	March 31, 2016
Transaction Reference:	7453
Seller:	3Degrees Group, Inc.
Buyer:	Empire District Electric Company
Facility:	Seller's Choice
Eligible Renewable Resource Type:	Solar
Geography:	Seller's Choice
Period Type [Generation, Reporting]:	Generation
Time Period:	January 01, 2015 - June 30, 2015
Product Quantity (REC):	
Contract Price (\$/REC):	
Product Specific Terms:	
Applicable Standard(s):	Eligible under Green-e Energy National Standard v2.7
Environmental Attributes retained by	None
Seller, if any:	
Media Rights Conferred [yes, no]	No
Delivery Terms:	
Delivery Date:	Within 3 Business Days of Confirmation Letter #2 execution
Delivery Obligation [Firm, Unit	Firm
Contingent, Project Contingent]:	
Applicable Tracking System:	NARR
Attestation Form:	Yes

The Parties agree to the transaction set forth herein and each Party represents that the person signing this Confirmation Letter on its behalf is authorized to execute on behalf of the Party for whom they sign.

Rebecca Heffren, rheffren@empiredistrict.com

Trade Operations, settlements@3degreesinc.com

Empire District Electric Company
Signature
Name Tim Wilson
Title Proposed & Energy Supply Services
Date 4-1-16

**EMPIRE** 

2015 RES REPORT

ATTACHMENT 7

**ATTESTATIONS** 

☐ HIGHLY CONFIDENTIAL

MNON-PROPRIETARY



### **GREEN-E ENERGY RENEWABLE GENERATOR** REGISTRATION FORM AND ATTESTATION

#### I. Facility information

Name of Generation Facility ("Facility"): Elk River

Company or Person that Owns Facility ("Seller"): Elk River Windfarm, LLC

Address of Facility: 15936 SE 190th, Latham, KS 67072

North American Electricity Reliability Corporation (NERC) region in which Facility is located: NYISO

Facility ID Number: 56303 EIA or QF? (check one) Nameplate Capacity (MW): 150

Date of Capacity Upgrade or Repowering:<sup>3</sup> / / Date Facility was First Operational: 12/15/2005

Contact Person: Adam Cherry Title: Origination Analyst

Telephone: 503-423-2086 Email Address: adam.cherry@iberdrolaren.com

#### II. Renewable electricity or RECs<sup>4</sup> supplied to Purchaser indicated below, by fuel type

On the table below, list the renewable megawatt-hours (MWh) sold or transferred to Purchaser, broken down by quarter of generation in separate rows:

Fuel Type⁵	# MWh RECs / Renewable Elec. Sold	Period of Generation (quarter/yy or mm/yy)
Wind	4	Q1 2015
Wind		Q2 2015
Wind		Q3 2015
Wind		Q4 2015

<sup>1</sup> If you are unsure of which region Facility is in, see http://www.nerc.com/regional/

<sup>&</sup>lt;sup>2</sup> Enter Energy Information Administration (EIA) identification number for the generating facility; if no EIA number, enter the utility-assigned Qualifying Facility (QF) identification number.

<sup>&</sup>lt;sup>3</sup> If applicable. Repowered facilities must meet Green-e Energy's criteria for repowering, available at http://www.Green-e Energy.org/docs/Repowering Defin and Instructions.doc

Renewable Energy Certificates, which represent the renewable attributes of 1 MWh of renewable electricity generation.

If using biomass fuels, list out the specific type (i.e. landfill gas, wood waste, etc.) and fill in section IV below.

#### III. Declaration

I, Ryan Ohira, Manager, Risk Control, declare that the I renewable electricity (electricity bundled with renewable attributes) / X renewable attributes only (check one) generated by Facility during the Period of Generation were sold exclusively from Seller to Empire District Electric Company ("Purchaser").

I further declare that:

- 1) all the renewable attributes (including CO<sub>2</sub> benefits), including any emissions offsets, reductions or claims, represented by the renewable electricity generation listed above were transferred to Purchaser;
- 2) to the best of my knowledge, the renewable attributes were not sold, marketed or otherwise claimed by a third party other than Purchaser;
- 3) Seller sold the renewable attributes only once;
- 4) the renewable attributes or the electricity that was generated with the attributes was not used to meet any federal, state or local renewable energy requirement, renewable energy procurement, renewable portfolio standard, or other renewable energy mandate by Seller, nor to the best of my knowledge, by any other entity other than Purchaser;7
- 5) the renewable electricity sold or electricity associated with the attributes sold was not used on-site for powering electric generation equipment (parasitic load);
- 6) if Purchaser is receiving electricity bundled with renewable attributes from Seller, the renewable electricity was delivered into the NERC region in which Facility is located;
- 7) if Facility is located in Canada, it is EcoLogo certified and was throughout the Period of Generation; and
- 8) the electricity that was generated with the attributes was not separately sold, separately marketed or otherwise separately represented as renewable energy by Seller, or, to the best of my knowledge, any other entity other than Purchaser.

Please indicate the following:

ls F	Facility owner reporting its direct greenhouse gas emissions in a legally binding cap and trade program for the
time	e period of generation listed on this form?
	Yes; <sup>8</sup> list the cap and trade program: No
If S	eller is providing only RECs to Purchaser and selling the associated electricity to a utility or load-serving entity
plea	ase write the name of the utility or load-serving entity here: Empire District Electric Company
	Check box if sale is part of a Qualifying Facility (QF) contract

Page 3 of 3

<sup>&</sup>lt;sup>6</sup> If selling renewable attributes to Purchaser without electricity, please fill in the name of the load serving entity buying the undifferentiated electricity, if applicable, at the bottom of this Declaration section.

Renewable attributes used by Purchaser for any of the purposes listed in 4) are ineligible for Green-e Energy

<sup>&</sup>lt;sup>8</sup> In this case the renewable energy or RECs reported on this form may be ineligible for Green-e Energy certification. For more information, contact Green-e Energy Staff at 415-561-2100.

#### IV. Additional statement required for and applicable to biomass facilities only

- 1) I attest that no more than five percent (5%) fossil fuels and other fuels that are not Green-e Energy eligible, measured on a BTU basis, were used, including as a start-up, pilot or supplemental fuel, to produce the electricity and/or RECs in the above Green-e Energy eligible biomass generation plant or biomass boiler;
- 2) I attest that this facility was in substantial compliance with its operating permit regarding emissions during the Period of Generation reported above;
- 3) I attest that if this facility is subject to New Source Review (NSR), it was compliant with all standards pertaining to NSR during the period of generation reported above; and
- 4) I attest that Seller owned the renewable and environmental attributes of the biomass fuels I have listed in the table below at the time of the fuel's use for electricity generation.

Biomass Fuel Type	,	Facility that Produced Fuel or Origin/Source of Fuel

#### V. Signature

As an authorized agent of Seller, I attest that the above statements are true and correct.

This Attestation form is used by the Center for Resource Solutions to verify the accuracy of claims made by Participant renewable energy providers. The information on this form is held strictly confidential and will not be shared with any other party except in aggregate form.



## **GREEN-E ENERGY RENEWABLE GENERATOR** REGISTRATION FORM AND ATTESTATION

	Ecoilit.	, infani	mailan
ı.	Facility	/ IIIIQII	nation

Name of Generation Facility ("Facility"): Meridian Way Wind Farm

Company or Person that Owns Facility ("Seller"): Cloud County Wind Farm, LLC

Address of Facility: 1409 Iron Road. Suite A Concordia, KS 66901

North American Electricity Reliability Corporation	n (NERC) region in which Facility is located: <sup>1</sup> <u>SPP</u>	
Facility ID Number:² <u>56784</u> ⊠ EIA or □ QF? (cl	heck one) Nameplate Capacity (MW): <u>105</u>	
Date Facility was First Operational: 12/05/2008	Date of Capacity Upgrade or Repowering:3/_	
Contact Person: <u>Daniel Saldanha</u>	Title: Senior Settlements Associate	
Telephone: 713-356-2568	Email Address: daniel.saldanha@edpr.com	

#### II. Renewable electricity or RECs4 supplied to Purchaser indicated below, by fuel type

On the table below, list the renewable megawatt-hours (MWh) sold or transferred to Purchaser, broken down by quarter of generation in separate rows:

Page 1 of 3

<sup>1</sup> If you are unsure of which region Facility is in, see http://www.nerc.com/regional/

<sup>&</sup>lt;sup>2</sup> Enter Energy Information Administration (EIA) identification number for the generating facility; if no EIA number, enter the utility-assigned Qualifying Facility (QF) identification number.

<sup>&</sup>lt;sup>3</sup> If applicable. Repowered facilities must meet Green-e Energy's criteria for repowering, available at http://www.Green-e Energy.org/docs/Repowering Defin and Instructions.doc

<sup>4</sup> Renewable Energy Certificates, which represent the renewable attributes of 1 MWh of renewable electricity generation.

Fuel Type <sup>5</sup>	# MWh RECs / Renewable Elec. Sold	Period of Generation (quarter/yy or mm/yy)
Wind		01/15
Wind		02/15
Wind		03/15

#### III. Declaration

I, Brian Hayes, Executive Vice President, Asset Operations, declare that the ⊠ renewable electricity (electricity bundled with renewable attributes) / ☐ renewable attributes only<sup>6</sup> (check one) generated by Facility during the Period of Generation were sold exclusively from Seller to The Empire District Electric Company ("Purchaser").

I further declare that:

- 1) all the renewable attributes (including CO<sub>2</sub> benefits), including any emissions offsets, reductions or claims, represented by the renewable electricity generation listed above were transferred to Purchaser;
- 2) to the best of my knowledge, the renewable attributes were not sold, marketed or otherwise claimed by a third party other than Purchaser;
- 3) Seller sold the renewable attributes only once;
- 4) the renewable attributes or the electricity that was generated with the attributes was not used to meet any federal, state or local renewable energy requirement, renewable energy procurement, renewable portfolio standard, or other renewable energy mandate by Seller, nor to the best of my knowledge, by any other entity other than Purchaser;<sup>7</sup>
- 5) the renewable electricity sold or electricity associated with the attributes sold was not used on-site for powering electric generation equipment (parasitic load);
- 6) if Purchaser is receiving electricity bundled with renewable attributes from Seller, the renewable electricity was delivered into the NERC region in which Facility is located;
- 7) if Facility is located in Canada, it is EcoLogo certified and was throughout the Period of Generation; and
- 8) the electricity that was generated with the attributes was not separately sold, separately marketed or otherwise separately represented as renewable energy by Seller, or, to the best of my knowledge, any other entity other than Purchaser.

Page 2 of

<sup>&</sup>lt;sup>5</sup> If using biomass fuels, list out the specific type (i.e. landfill gas, wood waste, etc.) and fill in section IV below.

<sup>&</sup>lt;sup>6</sup> If selling renewable attributes to Purchaser without electricity, please fill in the name of the load serving entity buying the undifferentiated electricity, if applicable, at the bottom of this Declaration section.

<sup>&</sup>lt;sup>7</sup> Renewable attributes used by Purchaser for any of the purposes listed in 4) are ineligible for Green-e Energy certification.

	Please indicate the following:
ls F	Facility owner reporting its direct greenhouse gas emissions in a legally binding cap and trade program for the
tim	e period of generation listed on this form?
	Yes; <sup>8</sup> list the cap and trade program:No
If S	eller is providing only RECs to Purchaser and selling the associated electricity to a utility or load-serving entity,
plea	ase write the name of the utility or load-serving entity here:
	Check box if sale is part of a Qualifying Facility (QF) contract
IV.	Additional statement required for and applicable to biomass facilities only
1) I	attest that no more than five percent (5%) fossil fuels and other fuels that are not Green-e Energy eligible,
mea	asured on a BTU basis, were used, including as a start-up, pilot or supplemental fuel, to produce the electricity
	Nor RECs in the above Green-e Energy eligible biomass generation plant or biomass boiler;
	attest that this facility was in substantial compliance with its operating permit regarding emissions during the
Per	iod of Generation reported above;
3) [	attest that if this facility is subject to New Source Review (NSR), it was compliant with all standards pertaining to
	R during the period of generation reported above; and
4) [	attest that Seller owned the renewable and environmental attributes of the biomass fuels I have listed in the
	e below at the time of the fuel's use for electricity generation.
I	Biomass Fuel Type Facility that Produced Fuel or Origin/Source of Fuel
ı	
ŀ	
l	
L	

#### V. Signature

M ....

As an authorized agent of Seller, I attest that the above statements are true and correct.

Signature W. H

Houston, TX Place of Execution

This Attestation form is used by the Center for Resource Solutions to verify the accuracy of claims made by Participant renewable energy providers. The information on this form is held strictly confidential and will not be shared with any other party except in aggregate form.

May 2008 Green-e Energy Renewable Generator Registration Form and Attestation Copyright © 2008 Center for Resource Solutions. All rights reserved.

Page 3 of 3

<sup>&</sup>lt;sup>8</sup> In this case the renewable energy or RECs reported on this form may be ineligible for Green-e Energy certification. For more information, contact Green-e Energy Staff at 415-561-2100.



## GREEN-E ENERGY RENEWABLE GENERATOR REGISTRATION FORM AND ATTESTATION

1. 1	Fa	cîl	itv	info	rm	ation

Name of Generation Facility ("Facility"): Meridian Way Wind Farm

Company or Person that Owns Facility ("Seller"): Cloud County Wind Farm, LLC

Address of Facility: 1409 Iron Road. Suite A Concordia, KS 66901

· · ·	n (NERC) region in which Facility is located:1 SPP	
Facility ID Number: <sup>2</sup> <u>56784</u> ⊠ EIA or □ QF? (c	neck one) Nameplate Capacity (MVV). 105	
Date Facility was First Operational: <u>12/05/2008</u>	Date of Capacity Upgrade or Repowering:3/_	_/
Contact Person: <u>Daniel Saldanha</u>	Title: Senior Settlements Associate	
Telephone: 713-356-2568	Email Address: daniel.saldanha@edpr.com	

#### II. Renewable electricity or RECs4 supplied to Purchaser indicated below, by fuel type

On the table below, list the renewable megawatt-hours (MWh) sold or transferred to Purchaser, broken down by quarter of generation in separate rows:

Y

<sup>1</sup> If you are unsure of which region Facility is in, see <a href="http://www.nerc.com/regional/">http://www.nerc.com/regional/</a>

<sup>&</sup>lt;sup>2</sup> Enter Energy Information Administration (EIA) identification number for the generating facility; if no EIA number, enter the utility-assigned Qualifying Facility (QF) identification number.

<sup>&</sup>lt;sup>3</sup> If applicable. Repowered facilities must meet Green-e Energy's criteria for repowering, available at http://www.Green-e Energy.org/docs/Repowering Defin and Instructions.doc

<sup>&</sup>lt;sup>4</sup> Renewable Energy Certificates, which represent the renewable attributes of 1 MWh of renewable electricity generation.

# MWh RECs / Renewable Elec. Sold	Period of Generation (quarter/yy or mm/yy)
	04/15
	05/15
	06/15
	06/15
	# MWh RECs / Renewable Elec. Sold

#### III. Declaration

I, Brian Hayes, Executive Vice President, Asset Operations, declare that the  $\boxtimes$  renewable electricity (electricity bundled with renewable attributes) /  $\square$  renewable attributes only<sup>6</sup> (check one) generated by Facility during the Period of Generation were sold exclusively from Seller to The Empire District Electric Company ("Purchaser").

I further declare that:

- 1) all the renewable attributes (including CO<sub>2</sub> benefits), including any emissions offsets, reductions or claims, represented by the renewable electricity generation listed above were transferred to Purchaser;
- 2) to the best of my knowledge, the renewable attributes were not sold, marketed or otherwise claimed by a third party other than Purchaser;
- 3) Seller sold the renewable attributes only once;
- 4) the renewable attributes or the electricity that was generated with the attributes was not used to meet any federal, state or local renewable energy requirement, renewable energy procurement, renewable portfolio standard, or other renewable energy mandate by Seller, nor to the best of my knowledge, by any other entity other than Purchaser;<sup>7</sup>
- 5) the renewable electricity sold or electricity associated with the attributes sold was not used on-site for powering electric generation equipment (parasitic load);
- 6) if Purchaser is receiving electricity bundled with renewable attributes from Seller, the renewable electricity was delivered into the NERC region in which Facility is located;
- 7) if Facility is located in Canada, it is EcoLogo certified and was throughout the Period of Generation; and
- 8) the electricity that was generated with the attributes was not separately sold, separately marketed or otherwise separately represented as renewable energy by Seller, or, to the best of my knowledge, any other entity other than Purchaser.

<sup>7</sup> Renewable attributes used by Purchaser for any of the purposes listed in 4) are ineligible for Green-e Energy certification.



<sup>&</sup>lt;sup>5</sup> If using biomass fuels, list out the specific type (i.e. landfill gas, wood waste, etc.) and fill in section IV below.

<sup>&</sup>lt;sup>6</sup> If selling renewable attributes to Purchaser without electricity, please fill in the name of the load serving entity buying the undifferentiated electricity, if applicable, at the bottom of this Declaration section.

Please indicate the following:
Is Facility owner reporting its direct greenhouse gas emissions in a legally binding cap and trade program for the
time period of generation listed on this form?
☐ Yes; <sup>8</sup> list the cap and trade program:
If Seller is providing only RECs to Purchaser and selling the associated electricity to a utility or load-serving entity,
please write the name of the utility or load-serving entity here:
. □ Check box if sale is part of a Qualifying Facility (QF) contract
IV. Additional statement required for and applicable to biomass facilities only
1) I attest that no more than five percent (5%) fossil fuels and other fuels that are not Green-e Energy eligible,
measured on a BTU basis, were used, including as a start-up, pilot or supplemental fuel, to produce the electricity
and/or RECs in the above Green-e Energy eligible biomass generation plant or biomass boiler;
2) I attest that this facility was in substantial compliance with its operating permit regarding emissions during the
Period of Generation reported above;
3) I attest that if this facility is subject to New Source Review (NSR), it was compliant with all standards pertaining to
NSR during the period of generation reported above; and
4) I attest that Seller owned the renewable and environmental attributes of the biomass fuels I have listed in the
table below at the time of the fuel's use for electricity generation.
Biomass Fuel Type Facility that Produced Fuel or Origin/Source of Fuel
V. Characterina
V. Signature
As an authorized agent of Seller, I attest that the above statements are true and correct.

Houston, TX Place of Execution

Signature

This Attestation form is used by the Center for Resource Solutions to verify the accuracy of claims made by Participant renewable energy providers. The information on this form is held strictly confidential and will not be shared with any other party except in aggregate form.

Green-e Energy Renewable Generator Registration Form and Attestation

Page 3 of 3

<sup>&</sup>lt;sup>8</sup> In this case the renewable energy or RECs reported on this form may be ineligible for Green-e Energy certification. For more information, contact Green-e Energy Staff at 415-561-2100.



## **GREEN-E ENERGY RENEWABLE GENERATOR REGISTRATION FORM AND ATTESTATION**

I.	Fac	cility	inf	form	ıation

Name of Generation Facility ("Facility"): Meridian Way Wind Farm

Company or Person that Owns Facility ("Seller"): Cloud County Wind Farm, LLC

Address of Facility: 1409 Iron Road. Suite A Concordia, KS 66901

North American Electricity Reliability Corporation (NEF	RC) region in which Facility is located:1 <u>SPP</u>	
Facility ID Number:² <u>56784</u> ⊠ EIA or □ QF? (check o	one) Nameplate Capacity (MW): <u>105</u>	
Date Facility was First Operational: <u>12/05/2008</u>	Date of Capacity Upgrade or Repowering: <sup>3</sup> //	
Contact Person: <u>Daniel Saldanha</u>	Title: Senior Settlements Associate	
Telephone: 713-356-2568 Emai	il Address: <u>daniel.saldanha@edpr.com</u>	

#### II. Renewable electricity or RECs4 supplied to Purchaser indicated below, by fuel type

On the table below, list the renewable megawatt-hours (MWh) sold or transferred to Purchaser, broken down by quarter of generation in separate rows:

<sup>1</sup> If you are unsure of which region Facility is in, see <a href="http://www.nerc.com/regional/">http://www.nerc.com/regional/</a>

<sup>&</sup>lt;sup>2</sup> Enter Energy Information Administration (EIA) identification number for the generating facility; if no EIA number, enter the utility-assigned Qualifying Facility (QF) identification number.

<sup>&</sup>lt;sup>3</sup> If applicable. Repowered facilities must meet Green-e Energy's criteria for repowering, available at http://www.Green-e Energy.org/docs/Repowering Defin and Instructions.doc

<sup>&</sup>lt;sup>4</sup> Renewable Energy Certificates, which represent the renewable attributes of 1 MWh of renewable electricity generation.

Fuel Type <sup>5</sup>	# MWh RECs / Renewable Elec. Sold	Period of Generation (quarter/yy or mm/yy)
Wind		07/15
Wind		.08/15
Wind		09/15

#### III. Declaration

May 2008

I, Brian Hayes, Executive Vice President, Asset Operations, declare that the 🛛 renewable electricity (electricity bundled with renewable attributes) / 
renewable attributes only (check one) generated by Facility during the Period of Generation were sold exclusively from Seller to The Empire District Electric Company ("Purchaser").

I further declare that:

- 1) all the renewable attributes (including CO<sub>2</sub> benefits), including any emissions offsets, reductions or claims, represented by the renewable electricity generation listed above were transferred to Purchaser;
- 2) to the best of my knowledge, the renewable attributes were not sold, marketed or otherwise claimed by a third party other than Purchaser;
- 3) Seller sold the renewable attributes only once;
- 4) the renewable attributes or the electricity that was generated with the attributes was not used to meet any federal, state or local renewable energy requirement, renewable energy procurement, renewable portfolio standard, or other renewable energy mandate by Seller, nor to the best of my knowledge, by any other entity other than Purchaser;7
- 5) the renewable electricity sold or electricity associated with the attributes sold was not used on-site for powering electric generation equipment (parasitic load);
- 6) if Purchaser is receiving electricity bundled with renewable attributes from Seller, the renewable electricity was delivered into the NERC region in which Facility is located;
- 7) if Facility is located in Canada, it is EcoLogo certified and was throughout the Period of Generation; and
- 8) the electricity that was generated with the attributes was not separately sold, separately marketed or otherwise separately represented as renewable energy by Seller, or, to the best of my knowledge, any other entity other than Purchaser.

<sup>&</sup>lt;sup>5</sup> If using biomass fuels, list out the specific type (i.e. landfill gas, wood waste, etc.) and fill in section IV below.

<sup>&</sup>lt;sup>6</sup> If selling renewable attributes to Purchaser without electricity, please fill in the name of the load serving entity buying the undifferentiated electricity, if applicable, at the bottom of this Declaration section.

<sup>&</sup>lt;sup>7</sup> Renewable attributes used by Purchaser for any of the purposes listed in 4) are ineligible for Green-e Energy certification.

Please indicate the following:
Is Facility owner reporting its direct greenhouse gas emissions in a legally binding cap and trade program for the
time period of generation listed on this form?
☐ Yes; <sup>8</sup> list the cap and trade program:
If Seller is providing only RECs to Purchaser and selling the associated electricity to a utility or load-serving entity,
please write the name of the utility or load-serving entity here:
☐ Check box if sale is part of a Qualifying Facility (QF) contract
IV. Additional statement required for and applicable to biomass facilities only
1) I attest that no more than five percent (5%) fossil fuels and other fuels that are not Green-e Energy eligible,
measured on a BTU basis, were used, including as a start-up, pilot or supplemental fuel, to produce the electricity
and/or RECs in the above Green-e Energy eligible biomass generation plant or biomass boiler;
2) I attest that this facility was in substantial compliance with its operating permit regarding emissions during the
Period of Generation reported above;
3) I attest that if this facility is subject to New Source Review (NSR), it was compliant with all standards pertaining to
NSR during the period of generation reported above; and
4) I attest that Seller owned the renewable and environmental attributes of the biomass fuels I have listed in the
table below at the time of the fuel's use for electricity generation.
Biomass Fuel Type Facility that Produced Fuel or Origin/Source of Fuel
<u>V. Signature</u>

Houston, TX Place of Execution

Signature

This Attestation form is used by the Center for Resource Solutions to verify the accuracy of claims made by Participant renewable energy providers. The information on this form is held strictly confidential and will not be shared with any other party except in aggregate form.

As an authorized agent of Seller, I attest that the above statements are true and correct.

<sup>&</sup>lt;sup>8</sup> In this case the renewable energy or RECs reported on this form may be ineligible for Green-e Energy certification. For more information, contact Green-e Energy Staff at 415-561-2100.



## **GREEN-E ENERGY RENEWABLE GENERATOR** REGISTRATION FORM AND ATTESTATION

ı	Eas	~ilit~z	inform	ation
ı.	га	CHILV	шоп	lauon

Name of Generation Facility ("Facility"): Meridian Way Wind Farm

Company or Person that Owns Facility ("Seller"): Cloud County Wind Farm, LLC

Address of Facility: 1409 Iron Road. Suite A Concordia, KS 66901

North American Electricity Reliability Corporation (NERC) region in which Facility is located: SPP					
Facility ID Number:² <u>56784</u> ⊠ EIA or □ QF? (ch	neck one) Nameplate Capacity (MW): <u>105</u>				
Date Facility was First Operational: 12/05/2008	Date of Capacity Upgrade or Repowering:3//_				
Contact Person: <u>Daniel Saldanha</u>	Title: Senior Settlements Associate				
Telephone: <u>713-356-2568</u>	Email Address: daniel.saldanha@edpr.com				

#### II. Renewable electricity or RECs<sup>4</sup> supplied to Purchaser indicated below, by fuel type

On the table below, list the renewable megawatt-hours (MWh) sold or transferred to Purchaser, broken down by quarter of generation in separate rows:

<sup>1</sup> If you are unsure of which region Facility is in, see <a href="http://www.nerc.com/regional/">http://www.nerc.com/regional/</a>

<sup>&</sup>lt;sup>2</sup> Enter Energy Information Administration (EIA) identification number for the generating facility; if no EIA number, enter the utility-assigned Qualifying Facility (QF) identification number.

<sup>&</sup>lt;sup>3</sup> If applicable. Repowered facilities must meet Green-e Energy's criteria for repowering, available at http://www.Green-e Energy.org/docs/Repowering Defin and Instructions.doc

<sup>&</sup>lt;sup>4</sup> Renewable Energy Certificates, which represent the renewable attributes of 1 MWh of renewable electricity generation.

newable Elec. Sold (quarter/yy or mm/yy) 10/15
11/15
12/15

#### III. Declaration

I, Brian Hayes, Executive Vice President, Asset Operations, declare that the ⊠ renewable electricity (electricity bundled with renewable attributes) / I renewable attributes only (check one) generated by Facility during the Period of Generation were sold exclusively from Seller to The Empire District Electric Company ("Purchaser").

I further declare that:

- 1) all the renewable attributes (including CO<sub>2</sub> benefits), including any emissions offsets, reductions or claims, represented by the renewable electricity generation listed above were transferred to Purchaser;
- 2) to the best of my knowledge, the renewable attributes were not sold, marketed or otherwise claimed by a third party other than Purchaser;
- 3) Seller sold the renewable attributes only once;
- 4) the renewable attributes or the electricity that was generated with the attributes was not used to meet any federal, state or local renewable energy requirement, renewable energy procurement, renewable portfolio standard, or other renewable energy mandate by Seller, nor to the best of my knowledge, by any other entity other than Purchaser;7
- 5) the renewable electricity sold or electricity associated with the attributes sold was not used on-site for powering electric generation equipment (parasitic load);
- 6) if Purchaser is receiving electricity bundled with renewable attributes from Seller, the renewable electricity was delivered into the NERC region in which Facility is located;
- 7) if Facility is located in Canada, it is EcoLogo certified and was throughout the Period of Generation; and
- 8) the electricity that was generated with the attributes was not separately sold, separately marketed or otherwise separately represented as renewable energy by Seller, or, to the best of my knowledge, any other entity other than Purchaser.



<sup>&</sup>lt;sup>5</sup> If using biomass fuels, list out the specific type (i.e. landfill gas, wood waste, etc.) and fill in section IV below.

<sup>&</sup>lt;sup>6</sup> If selling renewable attributes to Purchaser without electricity, please fill in the name of the load serving entity buying the undifferentiated electricity, if applicable, at the bottom of this Declaration section.

<sup>7</sup> Renewable attributes used by Purchaser for any of the purposes listed in 4) are ineligible for Green-e Energy certification.

Please indicate the following:	
Is Facility owner reporting its direct greenhouse gas emissions in a legally binding cap and trade program for	or the
time period of generation listed on this form?	
<ul> <li>Yes;<sup>8</sup> list the cap and trade program:</li> <li>No</li> </ul>	
If Seller is providing only RECs to Purchaser and selling the associated electricity to a utility or load-serving	entity,
please write the name of the utility or load-serving entity here:	
☐ Check box if sale is part of a Qualifying Facility (QF) contract	
•	
IV. Additional statement required for and applicable to biomass facilities only	
1) I attest that no more than five percent (5%) fossil fuels and other fuels that are not Green-e Energy eligib	ie,
measured on a BTU basis, were used, including as a start-up, pilot or supplemental fuel, to produce the ele-	ctricity
and/or RECs in the above Green-e Energy eligible biomass generation plant or biomass boiler;	
2) I attest that this facility was in substantial compliance with its operating permit regarding emissions during	; the
Period of Generation reported above;	
3) I attest that if this facility is subject to New Source Review (NSR), it was compliant with all standards pert	aining to
NSR during the period of generation reported above; and	
4) I attest that Seller owned the renewable and environmental attributes of the biomass fuels I have listed in	the
table below at the time of the fuel's use for electricity generation.	
Biomass Fuel Type Facility that Produced Fuel or Origin/Source of Fuel	
Biomaco i doi Typo	

#### V. Signature

As an authorized agent of Seller, I attest that the above statements are true and correct.

Houston, TX Place of Execution

This Attestation form is used by the Center for Resource Solutions to verify the accuracy of claims made by Participant renewable energy providers. The information on this form is held strictly confidential and will not be shared with any other party except in aggregate form.

<sup>&</sup>lt;sup>8</sup> In this case the renewable energy or RECs reported on this form may be ineligible for Green-e Energy certification. For more information, contact Green-e Energy Staff at 415-561-2100.



**AUDIT YEAR: NA** 

# GREEN-E ENERGY RENEWABLE ATTESTATION FROM WHOLESALE PROVIDER OF ELECTRICITY OR RECS

#### I. Wholesale Provider Information

Name of Wholesale Provider:

3Degrees Group Inc.

Address of Provider:

407 Sansome Street, 4th Floor, San Francisco, CA 94111

Contact Person: Alison Lee

Title: Settlements Manager

Telephone: (415) 659-8866

Fax: (415) 680-1561

Email Address: alee@3degreesinc.com

#### II. Declaration

I, Steve McDougal, CEO, declare that the (indicate with "x")<sup>1</sup> \_\_\_ electricity bundled with renewable attributes / x renewable attributes only<sup>2</sup> listed below were sold exclusively from: <u>3Degrees Group Inc.</u> ("Provider") to: **Empire District Electric Company** ("Purchaser").

I further declare that:

- 1) all the renewable attributes (including CO<sub>2</sub> benefits), including any emissions offsets, reductions or claims, represented by the renewable electricity generation listed below were transferred to Purchaser;
- 2) to the best of my knowledge, the renewable attributes were not sold, marketed or otherwise claimed by a third party;
- 3) Provider sold the renewable attributes only once;
- 4) the renewable attributes or the electricity that was generated with the attributes was not used to meet any federal, state or local renewable energy requirement, renewable energy procurement, renewable portfolio standard, or other renewable energy mandate by Provider, nor, to the best of my knowledge, by any other entity;
- 5) the electrical energy that was generated with the attributes was not separately sold, separately marketed or otherwise separately represented as renewable energy by Provider, or, to the best of my knowledge, by any other entity; and
- 6) the facilities that generated all of the renewable electricity / renewable attributes (as indicated above) sold to Purchaser are listed below by fuel type.

<sup>&</sup>lt;sup>1</sup> Use separate forms to report electricity and REC sales.

<sup>&</sup>lt;sup>2</sup> If Provider purchased electricity bundled with renewable attributes and has stripped off those attributes to sell in this transaction, and is selling the undifferentiated electricity to a utility or load-serving entity, see section III also.

## List the renewable MWhs sold or transferred to Purchaser identified below by quarter of generation as a separate line item.

Provider Reference Number (Optional)	Generator Name	Generator ID Number (EIA or QF)	Nameplate Capacity (MW)	Fuel Type (if biomass, be specific; i.e. Landfill Gas)	# MWhs RECs / Elec. Sold	First Date of Generator Operation (mm/yy) <sup>3</sup>	Period of Generation (quarter#/yy or mm/yy)	Selling as Green-e Energy Certified Wholesale? <sup>4</sup> (Yes/No)
53438	Stanton Solar Farm - RP- Orlando, LLC (FL)	NA	5.91	Solar		2011-11-01	2015-01-01 - 2015-01-31	No
53439	Stanton Solar Farm - RP- Orlando, LLC (FL)	NA	5.91	Solar	-	2011-11-01	2015-02-01 - 2015-02-28	No
53440	Stanton Solar Farm - RP- Orlando, LLC (FL)	NA	5.91	Solar	-	2011-11-01	2015-03-01 - 2015-03-31	No
53441	Stanton Solar Farm - RP- Orlando, LLC (FL)	NA	5.91	Solar		2011-11-01	2015-04-01 - 2015-04-30	No
53442	Stanton Solar Farm - RP- Orlando, LLC (FL)	NA	5.91	Solar	-	2011-11-01	2015-05-01 - 2015-05-31	No
53457	Stanton Solar Farm - RP- Orlando, LLC (FL)	NA	5.91	Solar	-	2011-11-01	2015-05-01 - 2015-05-31	No
					-	Total # RECs / Elec. Sold		

#### III. Additional Statement required of Provider selling electricity to Purchaser

I declare that the electricity listed above was delivered into the NERC region(s) or ISO(s) in which the Generator(s) listed above are located.

## IV. Additional Statement required if Provider is selling only RECs to Purchaser and selling the associated electricity to a utility or load-serving entity

Please write the name of the utility or load-serving entity he	ere:

As an authorized agent of Provider, I attest that the above statements are true and correct.

<sup>&</sup>lt;sup>3</sup> For facilities that have added new renewable capacity, please indicate the amount and operational date of the new capacity <u>and</u> the existing capacity.

<sup>&</sup>lt;sup>4</sup> Provider may only indicate 'Yes' if Provider has a contract with Green-e Energy to sell RECs/renewable energy wholesale as Green-e Energy certified, the MWh listed in each row of this table are sold to Purchaser as Green-e Energy certified, and the MWh listed will undergo Green-e Energy Annual Verification.

Steve McDongal	
Signature	<u>04/01/2016</u> Date

San Francisco, CA Place of Execution

This Attestation form is used by the Center for Resource Solutions to verify the accuracy of claims made by Participant renewable energy providers. The information on this form is held strictly confidential and will not be shared with any other party except in aggregate form.

**EMPIRE** 

2015 RES REPORT

# ATTACHMENT 8 SOLAR CUSTOMER NET GENERATION

☐ HIGHLY CONFIDENTIAL

NON-PROPRIETARY

							TOTAL SRECS 2015
Entry	Customer #	Agg Group	Rate	Type*	Operational Date	Power (kW)	(Post Tariff)
99		1	RG	PV	6/30/2015	16.47	11640.9754
71		1	RG	PV	6/19/2015	10.71	8157.63743
100		1	RG	PV	6/30/2015	9.76	6892.512
122		1	RG	PV	8/27/2015	4.88	2019.45419
65		1	CB	PV	6/12/2015	26.78	21243.7647
97		1	RG	PV	6/30/2015	27.29	19290.4495
58		1	RG	PV	6/4/2015	6.50	5399.81
63		1	RG	PV	6/11/2015	10.75	8570.0075
124		1	RG	PV	9/3/2015	5.46	2077.9668
117		1	RG	PV	8/21/2015	6.63	2941.77377
96		1	RG	PV	6/30/2015	16.32	11570.7848
73		1	RG	PV	6/22/2015	3.65	2723.96014
80		1	RG	PV	6/25/2015	9.76	7134.05573
68		1	RG	PV	6/17/2015	7.50	5763.525
62		1	RG	PV	6/11/2015	11.50	9177.09583
281		1	TEB	PV	12/23/2015	25.25	510.111871
279		1	TEB	PV	12/22/2015	25.25	573.875855
84		1	RG	PV	6/26/2015	5.50	4004.84792
106		1	RG	PV	7/24/2015	15.50	9067.6
74		1	RG	PV	6/22/2015	10.00	7473.14167
105		1	RG	PV	7/20/2015	10.20	6148.28306
115		1	RG	PV	8/20/2015	8.67	3870.32529
118		1	LP	PV	8/25/2015	25.50	10806.4887
66		1	RG	PV	6/15/2015	14.79	11507.3595
72		1	RG	PV	6/19/2015	9.69	7353.6441
214		1	TEB	PV	11/23/2015	25.22	2477.1084
78		1	CB	PV	6/24/2015	6.63	4879.26839
230		1	TEB	PV	11/30/2015	49.98	3943.422
114		1	RG	PV	8/20/2015	13.01	5835.17569
83		1	RG	PV	6/26/2015	7.28	5300.96233
98		1	RG	PV	6/30/2015	11.44	8088.06093
282		1	TEB	PV	12/23/2015	25.25	510.111871
111		1	RG	PV	8/10/2015	14.28	7118.48787
103		1	RG	PV	7/14/2015	6.10	3870.98129
92		1	RG	PV	6/29/2015	12.50	8898.60156
116		1	RG	PV	8/21/2015	9.69	4299.51552
160		1	RG	PV	10/20/2015	6.50	1311.34355
85		1	RG	PV	6/26/2015	8.16	5941.738
77		1	RG	PV	6/23/2015	7.40	5477.68294
113		1	RG	PV	8/20/2015	15.30	6864.91258
283		1	TEB	PV	12/23/2015	25.25	510.111871
90		1	RG	PV	6/26/2015	12.00	8737.85
89		1	RG	PV	6/26/2015	13.20	9611.635
149		1	GP	PV	10/5/2015	37.23	9503.37784
64		1	RG	PV	6/12/2015	9.76	7761.29027

112	1	RG	PV	8/11/2015	9.36	4619.28077	
86	1	RG	PV	6/26/2015	7.54	5490.28242	
69	1	RG	PV	6/18/2015	9.50	7262.54417	
137	1	RG	PV	9/22/2015	17.09	5223.2262	
61	1	RG	PV	6/9/2015	4.73	3813.02578	
67	1	RG	PV	6/16/2015	6.00	4639.56	
82	1	RG	PV	6/26/2015	10.92	7951.4435	
102	1	RG	PV	7/9/2015	16.58	10891.5149	
60	1	RG	PV	6/9/2015	8.00	6460.70667	
57	1	RG	PV	6/2/2015	10.50	8831.7425	
95	1	RG	PV	6/30/2015	18.30	12974.5933	
119	1	SH	PV	8/25/2015	26.78	11263.4652	
101	1	RG	PV	7/6/2015	33.80	22789.7045	
76	1	RG	PV	6/23/2015	8.00	5940.19333	
75	1	RG	PV	6/22/2015	16.25	12114.7743	
91	1	RG	PV	6/26/2015	14.64	10628.0361	
228	1	RG	PV	11/30/2015	14.03	1106.5725	
70	1	RG	PV	6/18/2015	12.75	9738.19234	
87	1	RG	PV	6/26/2015	10.71	7798.53113	
107	1	RG	PV	7/30/2015	6.24	3464.81032	
81	1	RG	PV	6/25/2015	7.80	5699.84025	
93	1	GP	PV	6/29/2015	25.00	17774.75	
94	1	TEB	PV	6/29/2015	25.00	17769.7604	
59	1	RG	PV	6/5/2015	3.76	3116.07807	
104	1	RG	PV	7/16/2015	4.68	2923.46013	
79	1	RG	PV	6/24/2015	7.28	5350.3632	
88	1	RG	PV	6/26/2015	10.71	7798.53113	
138	1	TEB	PV	9/22/2015	25.22	7710.2584	
							528.0063
188	2	RG	PV	11/9/2015	14.54	1989.2601	
266	2	RG	PV	12/18/2015	9.69	318.175839	
175	2	RG	PV	10/29/2015	5.10	865.140968	
165	2	RG	PV	10/22/2015	16.50	3211.05968	
200	2	RG	PV	11/18/2015	10.20	1142.604	
215	2	RG	PV	11/24/2015	9.18	876.3228	
231	2	СВ	PV	12/1/2015	25.76	1951.56435	
233	2	RG	PV	12/2/2015	14.28	1045.98697	
202	2	RG	PV	11/18/2015	14.79	1656.7758	
209	2	RG	PV	11/20/2015	6.38	678.9375	
56	2	RG	PV	5/27/2015	50.00	42585	
178	2	RG	PV	11/3/2015	10.40	1595.568	
128	2	RG	PV	9/9/2015	17.08	6096.5352	
166	2	RG	PV	10/22/2015	11.22	2183.52058	
152	2	RG	PV	10/12/2015	10.71	2466.37481	
121	2	RG	PV	8/26/2015	9.95	4164.99808	
108	2	RG	PV	7/30/2015	12.24	6796.35871	
223	2	RG	PV	11/25/2015	16.07	1489.2255	
181	2	RG	PV	11/6/2015	4.59	666.1926	
170	2	RG	PV	10/26/2015	13.01	2345.30492	
-							

167	2	RG	PV	10/23/2015	6.50	1241.77258
134	2	RG	PV	9/18/2015	12.24	3934.9152
177	2	RG	PV	10/30/2015	8.16	1355.11277
275	2	RG	PV	12/22/2015	11.99	272.446113
162	2	RG	PV	10/21/2015	6.89	1364.45153
183	2	RG	PV	11/6/2015	20.15	2923.8453
240	2	RG	PV	12/7/2015	15.40	933.538065
130	2	RG	PV	9/14/2015	15.30	5134.6545
189	2	RG	PV	11/10/2015	8.67	1162.647
216	2	RG	PV	11/24/2015	6.12	584.2152
176	2	RG	PV	10/29/2015	5.87	994.912113
191	2	RG	PV	11/10/2015	10.71	1436.211
173	2	RG	PV	10/27/2015	10.46	1834.15269
220	2	RG	PV	11/25/2015	10.46	969.1785
219	2	RG	PV	11/25/2015	10.20	945.54
194	2	RG	PV	11/12/2015	9.95	1278.7281
218	2	RG	PV	11/25/2015	5.10	472.77
131	2	RG	PV	9/15/2015	2.16	719.928
187	2	RG	PV	11/6/2015	11.99	1739.5029
169	2	RG	PV	10/23/2015	14.54	2776.79453
143	2	RG	PV	9/30/2015	13.77	3775.734
142	2	RG	PV	9/30/2015	11.48	3146.445
127	2	RG	PV	9/9/2015	5.36	1911.4137
269	2	RG	PV	12/18/2015	11.48	376.787177
109	2	RG	PV	8/3/2015	7.65	4080.18919
146	2	RG	PV	10/1/2015	9.36	2522.82194
196	2	RG	PV	11/13/2015	11.22	1411.7004
129	2	RG	PV	9/9/2015	7.14	2548.5516
150	2	RG	PV	10/7/2015	10.71	2657.42739
140	2	RG	PV	9/25/2015	4.50	1322.55
159	2	RG	PV	10/19/2015	16.07	3298.35179
164	2	RG	PV	10/22/2015	6.76	1315.56142
204	2	RG	PV	11/18/2015	14.79	1656.7758
133	2	RG	PV	9/18/2015	12.24	3934.9152
199	2	RG	PV	11/16/2015	14.28	1678.4712
179	2	RG	PV	11/4/2015	6.60	994.356
126	2	RG	PV	9/4/2015	7.50	2824.8
136	2	RG	PV	9/21/2015	16.07	4974.6879
139	2	RG	PV	9/25/2015	6.12	1798.668
135	2	RG	PV	9/18/2015	11.48	3688.983
248	2	RG	PV	12/11/2015	8.42	425.093226
145	2	RG	PV	9/30/2015	14.30	3921.06
232	2	RG	PV	12/2/2015	5.10	373.566774
192	2	RG	PV	11/12/2015	8.42	1082.0007
208	2	RG	PV	11/19/2015	7.40	807.9777
123	2	RG	PV	8/31/2015	8.00	3151.2
193	2	RG	PV	11/12/2015	9.69	1245.9402
144	2	RG	PV	9/30/2015	8.06	2210.052
132	2	RG	PV	9/16/2015	8.16	2687.5776
	_			,,		

195	2	RG	PV	11/13/2015	5.60	704.592	
163	2	RG	PV	10/21/2015	12.75	2526.7621	
201	2	RG	PV	11/18/2015	5.10	571.302	
151	2	RG	PV	10/7/2015	12.24	3037.05987	
246	2	RG	PV	12/10/2015	30.60	1623.08323	
244	2	RG	PV	12/9/2015	15.30	850.186452	
182	2	RG	PV	11/6/2015	14.04	2037.7656	
253	2	RG	PV	12/15/2015	26.27	1061.4449	
120	2	RG	PV	8/26/2015	13.52	5660.1256	
207	2	RG	PV	11/19/2015	5.36	585.0873	
180	2	RG	PV	11/5/2015	7.65	1118.67863	
264	2	RG	PV	12/18/2015	9.18	301.429742	
247	2	RG	PV	12/11/2015	25.25	1275.27968	
125	2	СВ	PV	9/3/2015	16.83	6405.1614	
168	2	RG	PV	10/23/2015	9.92	1895.136	
							211.677
225	3	RG	PV	11/30/2015	7.91	623.7045	
172	3	RG	PV	10/27/2015	18.36	3245.51497	
278	3	RG	PV	12/22/2015	18.20	413.727097	
197	3	RG	PV	11/13/2015	11.22	1411.7004	
206	3	RG	PV	11/18/2015	16.58	1856.7315	
267	3	RG	PV	12/18/2015	10.20	334.921935	
265	3	RG	PV	12/18/2015	8.67	284.683645	
186	3	RG	PV	11/6/2015	4.58	664.45092	
273	3	RG	PV	12/22/2015	5.10	115.934516	
184	3	RG	PV	11/6/2015	4.48	650.2272	
213	3	RG	PV	11/23/2015	11.50	1129.53	
224	3	RG	PV	11/25/2015	19.38	1796.526	
198	3	RG	PV	11/16/2015	4.48	526.5792	
284	3	RG	PV	12/23/2015	27.54	556.485677	
217	3	RG	PV	11/25/2015	5.10	472.77	
241	3	RG	PV	12/8/2015	9.18	533.298774	
226	3	RG	PV	11/30/2015	10.97	865.1385	
270	3	RG	PV	12/18/2015	12.50	410.279371	
212	3	RG	PV	11/23/2015	5.10	500.922	
190	3	RG	PV	11/10/2015	6.00	804.6	
276	3	RG	PV	12/22/2015	11.70	265.967419	
243	3	RG	PV	12/9/2015	15.30	850.186452	
158	3	RG	PV	10/13/2015	7.50	1700.39516	
259	3	RG	PV	12/17/2015	10.20	360.685161	
274	3	RG	PV	12/22/2015	10.20	231.869032	
254	3	RG	PV	12/16/2015	8.00	303.096774	
141	3	RG	PV	9/29/2015	7.80	2169.492	
239	3	RG	PV	12/7/2015	9.44	571.943613	
245	3	RG	PV	12/10/2015	17.08	905.956258	
227	3	RG	PV	11/30/2015	11.99	945.6165	
234	3	RG	PV	12/3/2015	6.50	459.696774	
185	3	RG	PV	11/6/2015	4.48	650.2272	
268	3	RG	PV	12/18/2015	11.22	368.414129	

237	3	RG	PV	12/4/2015	7.28	496.472516
262	3	RG	PV	12/18/2015	4.08	133.968774
287	3	CB	PV	12/29/2015	54.90	277.333548
257	3	RG	PV	12/17/2015	5.61	198.376839
286	3	RG	PV	12/29/2015	17.67	89.262
271	3	RG	PV	12/21/2015	13.26	334.921935
211	3	RG	PV	11/20/2015	13.52	1439.3475
255	3	RG	PV	12/17/2015	31.62	1118.124
229	3	RG	PV	11/30/2015	16.80	1325.52
251	3	RG	PV	12/14/2015	6.12	262.784903
261	3	CB	PV	12/17/2015	12.00	424.335484
238	3	RG	PV	12/7/2015	7.80	472.830968
249	3	CB	PV	12/11/2015	18.30	924.445161
258	3	RG	PV	12/17/2015	10.20	360.685161
171	3	RG	PV	10/27/2015	11.22	1983.37026
235	3	RG	PV	12/3/2015	8.32	588.411871
203	3	RG	PV	11/18/2015	13.44	1505.5488
148	3	RG	PV	10/2/2015	9.18	2441.55426
210	3	RG	PV	11/20/2015	12.74	1356.81
174	3	RG	PV	10/28/2015	9.69	1678.33926
252	3	СВ	PV	12/15/2015	7.84	316.837161
280	3	RG	PV	12/23/2015	3.92	79.2092903
263	3	RG	PV	12/18/2015	5.10	167.460968
221	3	RG	PV	11/25/2015	11.20	1038.24
242	3	RG	PV	12/9/2015	8.93	495.942097
272	3	RG	PV	12/22/2015	8.00	181.858065
277	3	RG	PV	12/22/2015	14.00	318.251613
161	3	RG	PV	10/20/2015	6.12	1234.68039
250	3	RG	PV	12/11/2015	24.64	1244.71742
147	3	RG	PV	10/1/2015	16.00	4312.51613
236	3	RG	PV	12/3/2015	8.93	631.199032
222	3	RG	PV	11/25/2015	15.05	1394.6715
260	3	RG	PV	12/17/2015	9.98	352.728871
285	3	RG	PV	12/29/2015	4.56	23.0353548
153	3	RG	PV	10/12/2015	13.92	3205.59639
154	3	RG	PV	10/12/2015	10.26	2362.74561
155	3	СВ	PV	10/12/2015	8.12	1869.93123
156	3	RG	PV	10/12/2015	20.88	4808.39458
157	3	СВ	PV	10/12/2015	4.64	1068.53213
205	3	RG	PV	11/18/2015	16.07	1799.6013

TOTAL GROUP 3 2015 SRECS 71.29987

TOTAL 2015 SRECS 810.9832

THE EMPIRE DISTRICT ELECTRIC COMPAN	ΙΥ	ATTACHM	IENT 8 SUPF	PLEMENT	
P.S.C. Mo. No5	Sec.	4		Original Sheet No.	23
Canceling P.S.C. Mo. No  ForALL TERRITORY	Sec.			Sheet No.	
		EBATE RIDER DER SR			

#### PURPOSE:

The purpose of this Rider SR is to implement the solar rebate established through §393.1030 RSMo. and to establish the terms, conditions and procedures, consistent with applicable law and MoPSC orders and rules, which the Company will rely on in accepting rebate applications, authorizing rebate payments to eligible participants for a qualifying solar electric system ("System"), and the handling of solar renewable energy credits ("SRECs") associated with the new or expanded System.

#### **AVAILABILITY:**

Subject to the Retail Rate Impact limitations set forth in 4 CSR 240-20.100(5) and §393.1030 RSMo., all Missouri retail electric customers of the Company who install, own, operate and maintain a solar electric generation system in parallel with the Company's service in accordance with the following limitations and conditions are eligible for the solar rebate:

- 1. The customer must have a completed and approved Net Metering Application and Agreement on file with the Company in accordance with the Company's Net Metering Rider, Rider NM.
- 2. The customer must be an active account on the Company's system and in good payment standing.
- 3. The System must be permanently installed on the customer's premise.
- 4. The customer must declare the installed System will remain in place on the account holder's premise for the duration of its useful life which shall be deemed to be a minimum of ten (10) years.
- 5. The solar modules and inverters shall be new equipment and include a manufacturer's warranty of ten (10) years.
- No retail electric account will be eligible for a solar rebate for more than twenty-five kilowatts (25 kW) of new or expanded new capacity irrespective of the number of meters/service points associated with the account holder.
- 7. The System shall meet all requirements of 4 CSR 240-20.065 and the Company's Net Metering Rider, Rider NM.
- 8. The System must be situated in a location where a minimum of eighty-five percent (85%) of the solar resource is available to the System.
- 9. The customer must execute an affidavit for Company's use in complying with §393.1030 RSMo. The affidavit can be obtained from Company's website www.empiredistrict.com.
- 10. The system or expansion of an existing system must become operational after December 31, 2009, and must become operational on or before June 30, 2020.

DATE EFFECTIVE	May 16, 2015 June 4, 2015

THE EMPIRE DISTRICT ELECTRIC CO	MPANY			
P.S.C. Mo. No5	Sec.	4	Original Sheet No.	23b
			Chaot No	
Canceling P.S.C. Mo. No.	Sec.		 Sheet No.	
For ALL TERRITORY				
	SOLAR REE	BATE RIDER		
	RIDE	RSR		

#### **SOLAR REBATE APPLICATION:**

For the customer's convenience, the Solar Rebate Application is located in two (2) places: Section H. and Section I. of an "INTERCONNECTION APPLICATION/AGREEMENT FOR NET METERING SYSTEMS WITH CAPACITY OF ONE HUNDRED KILOWATTS (100 kW) OR LESS", an integral part of the Company's Net Metering Rider, Rider NM; and Section A. and Section B. of the "MISSOURI SOLAR ELECTRIC REBATE APPLICATION", an integral part of the Company's Solar Rebate Rider, Rider SR - both of which can be obtained from the Company's website www.empiredistrict.com.

#### SYSTEM:

Qualifying solar electric system.

#### REBATE RATE SCHEDULE:

Subject to the Availability provisions of this Rider SR, complete and accurate Solar Rebate Applications received by the Company or postmarked on or before December 31st of any year, and for which the System becomes operational on or before June 30th of the following year, will be eligible for a solar rebate according to the following schedule:

Application Received	Operation Status	
on or before December 31 <sup>st</sup> of the Year	Achieved on or before June 30 <sup>th</sup> of the Year	Rebate Rate per Watt
2015	2014	\$2.00
2015	2015	\$1.50
2015	2016	\$1.00
2016-2018	2017-2019	\$0.50
2019	2020	\$0.25

If a customer has satisfied all of the System Completion Requirements by June 30th of an indicated year, but the Company is not able to complete all of the Company's steps needed to establish an Operational Date on or before June 30th, the Rebate Rate will be determined as though the Operational Date was June 30th. If it is subsequently determined that the customer or the System did not satisfy all Completion Requirements required of the customer on or before June 30th of the indicated year, the Rebate Rate will be determined based on the Operational Date.

#### RESERVATION QUEUE:

The Company will establish a Reservation Queue for solar rebate payments based on the System Qualification Dates. A customer, and their developer (if applicable), whose Net Metering Application and Solar Rebate Application are conditionally approved pending field commissioning safety test will be notified in writing, by letter or email, that either:

DATE EFFECTIVE	May 16, 2015 June 4, 2015
----------------	------------------------------

THE EINPIRE DISTRIC	I ELECTRIC COMP	AIN I			
P.S.C. Mo. No.	5	Sec.	4	 Original Sheet No.	23d
. 10101 11107 110.				 v	
Canceling P.S.C. Mo. N	lo	Sec.		 Sheet No.	
_					
For <u>ALL TERRI</u>	TORY				
		SOLAR RE	BATE RIDER		
			ER SR		
1)		1110			11

If a customer has satisfied all of the Completion Requirements but the Company is not able to complete all of the Company's steps needed to establish an Operational Date by the expiration of the Rebate Commitment, the Rebate Rate will be determined as though the Operational Date was achieved prior to the expiration. If it is subsequently determined that the customer or the System did not satisfy all Completion Requirements required of the customer on or before the expiration date, then the Rebate Commitment will expire and no payment will be made.

#### REBATE PAYMENT:

The amount of the rebate will be the combined direct current (DC) rating of the solar module(s) in watts as indicated by the applicant by clearly identifying the specific model number on the manufacturer's specification sheet(s) for the new System or the current expansion of an existing System multiplied by the rebate rate as determined by the Rebate Rate Schedule Provisions of this Rider SR.

A rebate payment will not be issued until:

- 1. A complete and accurate Net Metering Application has been executed by the customer and the Company, and
- 2. A complete and accurate Solar Rebate Application has been accepted by the Company and a Rebate Commitment made by the Company, and
- Customer has satisfied all Completion Requirements, and
- 4. The System is operational.

A current Empire Net Metering customer does not need to complete a new Net Metering Application in order to take advantage of this Rider SR.

Rebate payments will be made within the time frames set forth by applicable law and MoPSC orders and rules.

NOTE: Confirmation by the Company that the System was installed and operational does not constitute any warranty or guaranty of fitness for a particular use. The Company expressly disclaims all warranties and conditions of merchantability and fitness for a particular purpose in connection with the customer's solar electric system. The customer is solely responsible for determining the appropriateness of using a qualifying solar electric system, including but not limited to the risk of system operational errors, damage to or loss of property, and unavailability or interruption of System operations. The Company will not be liable for any direct damages or for any special, incidental, or indirect damages or for any economic consequential damages. The Company will not be liable for any damages claimed based on a third party claim.

DATE EFFECTIVE May 16, 2015  June 4, 2015
---

P.S.C. Mo. No5 Sec.	4 Original Sheet No.
Canceling P.S.C. Mo. No Sec.	Sheet No
For ALL TERRITORY	
SOLAR REB RIDEI	
MISSOURI SOLAR ELECTR	IC REBATE APPLICATION
A. Solar Rebate (For Solar Installations only)	
Solar Module Manufacturer:	Inverter Rating:kW
Solar Module Model No:	Number of Modules/Panels:
Module Rating:DC Watts	System rating (sum of solar panels:kW
Module Warranty:years (circle on spec. sheet)	Inverter Warranty:years (circle on spec. sheet)
Location of modules:RoofGrou	nd
Installation type:FixedBalla	st
System Installation Date:	
Customer E-mail Address:	
Customer Contact Phone Number:	
Customer Contact Phone Number:  Solar electric system must be permanently installed application.  Required documents to receive solar rebate (required electric solder) - Copies of detail receipts/invoices with pure - Copies of detail spec. sheets on each core - Copies of proof of warranty sheet (minimum - Photo(s) of completed system	ed on the applicant's premises for a valid  ired to be attached for a valid application):  chase date circled  mponent
Customer Contact Phone Number:  Solar electric system must be permanently installed application.  Required documents to receive solar rebate (required electric system)  - Copies of detail receipts/invoices with pure copies of detail spec. sheets on each core core copies of proof of warranty sheet (minimus Photo(s) of completed system)  - Completed Taxpayer Information Form (III	ed on the applicant's premises for a valid  ired to be attached for a valid application): chase date circled imponent um of 10 year warranty)
Customer Contact Phone Number:  Solar electric system must be permanently installed application.  Required documents to receive solar rebate (required electric system)  - Copies of detail receipts/invoices with pure copies of detail spec. sheets on each core core copies of proof of warranty sheet (minimus Photo(s) of completed system)  - Completed Taxpayer Information Form (III	ed on the applicant's premises for a valid  ired to be attached for a valid application): chase date circled imponent um of 10 year warranty)
Customer Contact Phone Number:  Solar electric system must be permanently installed application.  Required documents to receive solar rebate (required electric system)  - Copies of detail receipts/invoices with pure copies of detail spec. sheets on each core core copies of proof of warranty sheet (minimus Photo(s) of completed system)  - Completed Taxpayer Information Form (III	ed on the applicant's premises for a valid  ired to be attached for a valid application): chase date circled imponent um of 10 year warranty)
Customer Contact Phone Number:  Solar electric system must be permanently installed application.  Required documents to receive solar rebate (required electric system)  - Copies of detail receipts/invoices with pure copies of detail spec. sheets on each core core copies of proof of warranty sheet (minimus Photo(s) of completed system)  - Completed Taxpayer Information Form (III	ed on the applicant's premises for a valid  ired to be attached for a valid application): chase date circled imponent um of 10 year warranty)
Customer Contact Phone Number:  Solar electric system must be permanently installed application.  Required documents to receive solar rebate (required electric system)  - Copies of detail receipts/invoices with pure copies of detail spec. sheets on each core core copies of proof of warranty sheet (minimus Photo(s) of completed system)  - Completed Taxpayer Information Form (III	ed on the applicant's premises for a valid  ired to be attached for a valid application): chase date circled imponent um of 10 year warranty)
Customer Contact Phone Number:  Solar electric system must be permanently installed application.  Required documents to receive solar rebate (required electric system)  - Copies of detail receipts/invoices with pure copies of detail spec. sheets on each core core copies of proof of warranty sheet (minimus Photo(s) of completed system)  - Completed Taxpayer Information Form (III	ed on the applicant's premises for a valid  ired to be attached for a valid application): chase date circled imponent um of 10 year warranty)
Customer Contact Phone Number:  Solar electric system must be permanently installed application.  Required documents to receive solar rebate (required electric system)  - Copies of detail receipts/invoices with pure copies of detail spec. sheets on each core core copies of proof of warranty sheet (minimus Photo(s) of completed system)  - Completed Taxpayer Information Form (III	ed on the applicant's premises for a valid  ired to be attached for a valid application): chase date circled imponent um of 10 year warranty)
Customer Contact Phone Number:  Solar electric system must be permanently installed application.  Required documents to receive solar rebate (required electric system)  - Copies of detail receipts/invoices with pure copies of detail spec. sheets on each core core copies of proof of warranty sheet (minimus Photo(s) of completed system)  - Completed Taxpayer Information Form (III	ed on the applicant's premises for a valid  ired to be attached for a valid application): chase date circled imponent um of 10 year warranty)

FILED
Missouri Public
Service Commission
ET-2015-0285; YE-2015-0322

THE EMPIRE DISTRICT ELECTRIC COMPANY					
P.S.C. Mo. No5 Sec	c	4	<u> </u>	Original Sheet No.	23h
Canceling P.S.C. Mo. No Sec	c			Sheet No	
ForALL TERRITORY					
	R REBAT RIDER S	E RIDER SR			
The undersigned warrants, certifies, and repres correct to the best of my knowledge; and the Electric Rebate program requirements.					4
Print Name of Applicant	_	Print Insta	aller's Name		
Applicant's Signature	_	Installer's	Signature		
If Applicant is a Business, Print Title/Authority of Person Signing on behalf of Applicant	<del>.</del>				
Date	-	Date			

MUST BE MAILED TO EMPIRE VIA U.S. POSTAL SERVICE, FEDEX OR UPS

DATE OF ISSUE May 5, 2015
ISSUED BY Kelly S. Walters, Vice President, Joplin, MO

DATE EFFECTIVE May 16, 2015
June 4, 2015

THE EMPIRE DISTRICT ELECTRIC C	OMPANY	ATTACHN	MENT 8 SUPF	'LEMEN I	
P.S.C. Mo. No5	Sec.	4		Original Sheet No.	23
Canceling P.S.C. Mo. No ForALL TERRITORY	Sec.	<u> </u>		Sheet No.	
		BATE RIDER ER SR			

#### PURPOSE:

The purpose of this Rider SR is to implement the solar rebate established through §393.1030 RSMo. and to establish the terms, conditions and procedures, consistent with applicable law and MoPSC orders and rules, which the Company will rely on in accepting rebate applications, authorizing rebate payments to eligible participants for a qualifying solar electric system ("System"), and the handling of solar renewable energy credits ("SRECs") associated with the new or expanded System.

#### **AVAILABILITY:**

Subject to the Retail Rate Impact limitations set forth in 4 CSR 240-20.100(5) and §393.1030 RSMo., all Missouri retail electric customers of the Company who install, own, operate and maintain a solar electric generation system in parallel with the Company's service in accordance with the following limitations and conditions are eligible for the solar rebate:

- 1. The customer must have a completed and approved Net Metering Application and Agreement on file with the Company in accordance with the Company's Net Metering Rider, Rider NM.
- 2. The customer must be an active account on the Company's system and in good payment standing.
- 3. The System must be permanently installed on the customer's premise.
- 4. The customer must declare the installed System will remain in place on the account holder's premise for the duration of its useful life which shall be deemed to be a minimum of ten (10) years.
- 5. The solar modules and inverters shall be new equipment and include a manufacturer's warranty of ten (10) years.
- No retail electric account will be eligible for a solar rebate for more than twenty-five kilowatts (25 kW) of new or expanded new capacity irrespective of the number of meters/service points associated with the account holder.
- 7. The System shall meet all requirements of 4 CSR 240-20.065 and the Company's Net Metering Rider, Rider NM.
- 8. The System must be situated in a location where a minimum of eighty-five percent (85%) of the solar resource is available to the System.
- 9. The customer must execute an affidavit for Company's use in complying with §393.1030 RSMo. The affidavit can be obtained from Company's website www.empiredistrict.com.
- 10. The system or expansion of an existing system must become operational after December 31, 2009, and must become operational on or before June 30, 2020.

ıy 5, 2015	DATE EFFECTIVE	May 16, 2015 June 4, 2015
s Vice President Jonlin MO		

Ma

DATE OF ISSUE

THE EINFIRE DISTRICT ELECT	RIC COMPAINT				
P.S.C. Mo. No	5 Se	ec. 4		Original Sheet No.	23a
				· ·	
Canceling P.S.C. Mo. No.	Se	ec		Sheet No.	
For ALL TERRITORY					
TOI ALL TERRITORY					
	SOLA	AR REBATE RIDE	₹		
		RIDER SR			

#### **DEFINITIONS:**

#### APPLICATION REQUIREMENTS:

THE EMPIRE DICTRICT ELECTRIC COMPANY

All Net Metering Application and Solar Rebate Application information necessary to receive an approval from the Company. These applications are available on the Company's website www.empiredistrict.com and must be provided to the Company including, but not limited to, accurate account number, name and service address matching customer billing information, all of the Net Metering Application, all fields of Solar Rebate Application except the "System Installation Date," customer and developer signatures, System plans, specifications, warranties and wiring diagram.

#### COMPLETION REQUIREMENTS:

All System installation and final documentation requirements as defined on the Company's website www.empiredistrict.com provided to the Company including, but not limited to, the System installation date, all required signatures, approval of the local inspection authority having jurisdiction (if applicable), copies of detailed receipts and invoices, System photo(s), taxpayer information form (if applicable), and affidavit.

#### **NET METERING APPLICATION:**

Section A. through Section D. of an "INTERCONNECTION APPLICATION / AGREEMENT FOR NET METERING SYSTEMS WITH CAPACITY OF ONE HUNDRED KILOWATTS (100 kW) OR LESS", an integral part of the Company's Net Metering Rider, Rider NM, which can be obtained from the Company's website www.empiredistrict.com.

#### **OPERATIONAL DATE:**

The date that the Company installs a meter or meters capable of determining net energy consumption and permits parallel operation of the System with the Company's electrical distribution system in accordance with the Company's "Net Metering Rider, Rider NM" tariff.

#### QUALIFICATION DATE:

The date that determines a customer's relative position in the Reservation Queue.

#### REBATE COMMITMENT:

The Company's written communication to customer, by letter or email, confirming that solar rebate funding is available for a Solar Rebate Application submitted by customer.

#### RESERVATION QUEUE:

May 5, 2015

DATE OF ISSUE

The list of all complete Net Metering Applications that have been received by the Company which have not expired and have not been paid a Solar Rebate.

May 16, 2015 DATE EFFECTIVE ISSUED BY Kelly S. Walters, Vice President, Joplin, MO

THE EMPIRE DISTRICT	ELECTRIC COMP	ANY			
P.S.C. Mo. No.	5	Sec.	4	Original Sheet No.	23b
				_	
Canceling P.S.C. Mo. No.		Sec.		 Sheet No.	
For ALL TERRIT	ORY				
			BATE RIDER		

#### SOLAR REBATE APPLICATION:

For the customer's convenience, the Solar Rebate Application is located in two (2) places: Section H. and Section I. of an "INTERCONNECTION APPLICATION/AGREEMENT FOR NET METERING SYSTEMS WITH CAPACITY OF ONE HUNDRED KILOWATTS (100 kW) OR LESS", an integral part of the Company's Net Metering Rider, Rider NM; and Section A. and Section B. of the "MISSOURI SOLAR ELECTRIC REBATE APPLICATION", an integral part of the Company's Solar Rebate Rider, Rider SR - both of which can be obtained from the Company's website www.empiredistrict.com.

#### SYSTEM:

Qualifying solar electric system.

#### REBATE RATE SCHEDULE:

Subject to the Availability provisions of this Rider SR, complete and accurate Solar Rebate Applications received by the Company or postmarked on or before December 31st of any year, and for which the System becomes operational on or before June 30th of the following year, will be eligible for a solar rebate according to the following schedule:

Application Received on or before December 31 <sup>st</sup> of the Year	Operation Status Achieved on or before June 30 <sup>th</sup> of the Year	Rebate Rate per Watt
2015	2014	\$2.00
2015	2015	\$1.50
2015	2016	\$1.00
2016-2018	2017-2019	\$0.50
2019	2020	\$0.25

If a customer has satisfied all of the System Completion Requirements by June 30th of an indicated year, but the Company is not able to complete all of the Company's steps needed to establish an Operational Date on or before June 30th, the Rebate Rate will be determined as though the Operational Date was June 30th. If it is subsequently determined that the customer or the System did not satisfy all Completion Requirements required of the customer on or before June 30th of the indicated year, the Rebate Rate will be determined based on the Operational Date.

#### RESERVATION QUEUE:

The Company will establish a Reservation Queue for solar rebate payments based on the System Qualification Dates. A customer, and their developer (if applicable), whose Net Metering Application and Solar Rebate Application are conditionally approved pending field commissioning safety test will be notified in writing, by letter or email, that either:

> May 16, 2015 DATE EFFECTIVE

THE EMPIRE DISTRIC	T ELECTRIC COMP	PANY			
P.S.C. Mo. No.	5	Sec.	4	 Original Sheet No.	23c
				 •	
Canceling P.S.C. Mo. N		Sec.		 Sheet No.	
		SOLAR RE	BATE RIDER		
			ER SR		

- 1. Solar rebate funds have been committed for their System, subject to the Qualification Date not changing and the commitment not expiring; or
- 2. Solar rebate funds cannot be guaranteed for their System. The Company will use the following notice in this event:

"Empire cannot guarantee solar rebate funds for your System. Empire has filed its sixty-day notice of reaching its annual retail rate impact limit pursuant to Section 393.1030, RSMo. You may still receive a solar rebate if: a) the Public Service Commission determines that Empire has not yet met its annual retail rate impact limit; b) additional rebates become available due to other qualified solar systems dropping out of the reservation queue; or c) additional rebates become available at the start of the next calendar year."

At least monthly, the Company will notify in writing, by letter or email, those customers and their developers that did not receive a Rebate Commitment but for which a Rebate Commitment is now being made as a result of other Systems that have dropped out of the Reservation Queue. Details concerning the Reservation Queue are posted on the Company website www.empiredistrict.com. Applications will be processed within the time frames set forth by applicable law and MoPSC orders and rules.

#### QUALIFICATION DATE AND REBATE COMMITMENT:

The Qualification Date will be the postmarked date of the Net Metering Application and/or the Solar Rebate Application received by the Company that satisfy the Application Requirements and are subsequently approved by the Company.

The Company will only make a Rebate Commitment to a customer that has a Qualification Date and the customer, and their developer, will be notified in writing, by letter or email, of any deficiencies in the Application Requirements that will prevent a Rebate Commitment by the Company.

The Company's Rebate Commitment to a customer will expire if:

- 1. The System has not attained an Operational Date within six (6) months of the Rebate Commitment date and the Company has not granted a six (6) month extension of the Rebate Commitment based upon the customer's submission of a report of substantial progress requesting the extension which includes proof of purchase of the major System components, demonstration of partial System construction and building permit (if required), or
- 2. The System has not attained an Operational Date within twelve (12) months of the Rebate Commitment date, or
- 3. The System is not constructed in accordance with the design submitted by the customer and approved by the Company, thereby causing the Net Metering Application to become invalid

	May 16, 2015_	
	Iviay 10, 2017	
/E	June 4, 2015	

THE EMPIRE DISTRICT ELECTRIC COM	MPANY		
P.S.C. Mo. No5	Sec. <u>4</u>	 Original Sheet No.	23d
Canceling P.S.C. Mo. No ForALL TERRITORY	Sec	 Sheet No.	
	SOLAR REBATE RIDER RIDER SR		

If a customer has satisfied all of the Completion Requirements but the Company is not able to complete all of the Company's steps needed to establish an Operational Date by the expiration of the Rebate Commitment, the Rebate Rate will be determined as though the Operational Date was achieved prior to the expiration. If it is subsequently determined that the customer or the System did not satisfy all Completion Requirements required of the customer on or before the expiration date, then the Rebate Commitment will expire and no payment will be made.

#### **REBATE PAYMENT:**

The amount of the rebate will be the combined direct current (DC) rating of the solar module(s) in watts as indicated by the applicant by clearly identifying the specific model number on the manufacturer's specification sheet(s) for the new System or the current expansion of an existing System multiplied by the rebate rate as determined by the Rebate Rate Schedule Provisions of this Rider SR.

A rebate payment will not be issued until:

DIOTOIOT EL COTOIO COMPANY

- 1. A complete and accurate Net Metering Application has been executed by the customer and the Company, and
- 2. A complete and accurate Solar Rebate Application has been accepted by the Company and a Rebate Commitment made by the Company, and
- Customer has satisfied all Completion Requirements, and
- 4. The System is operational.

A current Empire Net Metering customer does not need to complete a new Net Metering Application in order to take advantage of this Rider SR.

Rebate payments will be made within the time frames set forth by applicable law and MoPSC orders and rules.

NOTE: Confirmation by the Company that the System was installed and operational does not constitute any warranty or guaranty of fitness for a particular use. The Company expressly disclaims all warranties and conditions of merchantability and fitness for a particular purpose in connection with the customer's solar electric system. The customer is solely responsible for determining the appropriateness of using a qualifying solar electric system, including but not limited to the risk of system operational errors, damage to or loss of property, and unavailability or interruption of System operations. The Company will not be liable for any direct damages or for any special, incidental, or indirect damages or for any economic consequential damages. The Company will not be liable for any damages claimed based on a third party claim.

DATE EFFECTIVE

THE EMPIRE DISTRICT	ELECTRIC COM	ANY			
P.S.C. Mo. No.	5	Sec.	4	 Original Sheet No.	23e
Canceling P.S.C. Mo. No.		Sec.		Sheet No.	
-		000.	-		
For ALL TERRIT	JRY			 	
		SOLAR RE	BATE RIDER		
		RID	ER SR		

#### SOLAR RENEWABLE ENERGY CREDITS (SREC'S):

Customer shall transfer to the Company all right, title and interest in and to the solar renewable energy credits ("SRECs") associated with the new or expanded System that qualified customer for the solar rebate for a period of ten (10) years from the date the Customer receives its solar rebate.

SRECs produced by the System for which a rebate is received cannot be sold or promised for sale to any other party by customer or used by customer for any environmental or "green" program for a period of ten (10) years from the date the Customer receives its solar rebate.

The number of SRECs produced annually will be determined by the Company using PVWatts software developed by the U.S. Department of Energy (DOE) with the result rounded to the tenths digit.

May 16, 2015

P.S.C. Mo. No.	5	Sec.	4	Original Sheet No.
Canceling P.S.C. Mo. No	D	Sec.		Sheet No
For ALL TERRIT	<u>rory</u>			- Control and Control
		SOLAR REBA RIDER		
MIS	SSOURI SOLA	R ELECTRI	C REBATE APPI	<u>LICATION</u>
A. Solar Rebate (For	· Solar Installatio	ns only)		
Solar Module Manufactu	rer:		Inverter Rating:	kW
Solar Module Model No:		_	Number of Modules/	Panels:
Module Rating:		_DC Watts	System rating (sum	of solar panels:kW
Module Warranty:	years (circle on s	pec. sheet)	Inverter Warranty:	years (circle on spec. sheet)
Location of modules:	Roof	Groun	d	
nstallation type:	Fixed	Ballas	t	
System Installation Date:	•			
Customer E-mail Addres	s:			
Customer E-mail Addres Customer Contact Phone				
Customer Contact Phone	e Number <u>:</u>			premises for a valid
Customer Contact Phone	e Number <u>:</u>			premises for a valid
Customer Contact Phone  Solar electric system  application.  Required documents  - Copies of - Copies of - Copies of - Photo(s) of - Completed	n must be permar to receive solar detail receipts/inv detail spec. sheet proof of warranty of completed syste	rebate (requirection oices with purcess on each company sheet (minimular	d on the applicant's red to be <u>attached</u> fo chase date circled aponent m of 10 year warranty	or a valid application):
Customer Contact Phone  Solar electric system  application.  Required documents  - Copies of - Copies of - Copies of - Photo(s) of - Completed	n must be permar to receive solar detail receipts/involetail spec. sheet proof of warranty of completed systed d Taxpayer Inform	rebate (requirection oices with purcess on each company sheet (minimular	d on the applicant's red to be <u>attached</u> fo chase date circled aponent m of 10 year warranty	or a valid application): /)
Customer Contact Phone  Solar electric system  application.  Required documents  - Copies of - Copies of - Copies of - Photo(s) of - Completed	n must be permar to receive solar detail receipts/involetail spec. sheet proof of warranty of completed systed d Taxpayer Inform	rebate (requirection oices with purcess on each company sheet (minimular	d on the applicant's red to be <u>attached</u> fo chase date circled aponent m of 10 year warranty	or a valid application): /)
Customer Contact Phone  Solar electric system  application.  Required documents  - Copies of - Copies of - Copies of - Photo(s) of - Completed	n must be permar to receive solar detail receipts/involetail spec. sheet proof of warranty of completed systed d Taxpayer Inform	rebate (requirection oices with purcess on each company sheet (minimular	d on the applicant's red to be <u>attached</u> fo chase date circled aponent m of 10 year warranty	or a valid application): /)
Customer Contact Phone  Solar electric system  application.  Required documents  - Copies of - Copies of - Copies of - Photo(s) of - Completed	n must be permar to receive solar detail receipts/involetail spec. sheet proof of warranty of completed systed d Taxpayer Inform	rebate (requirection oices with purcess on each company sheet (minimular	d on the applicant's red to be <u>attached</u> fo chase date circled aponent m of 10 year warranty	or a valid application): /)
Solar electric system application.  Required documents - Copies of - Copies of - Copies of - Photo(s) of - Completed	n must be permar to receive solar detail receipts/involetail spec. sheet proof of warranty of completed systed d Taxpayer Inform	rebate (requirection oices with purcess on each company sheet (minimular	d on the applicant's red to be <u>attached</u> fo chase date circled aponent m of 10 year warranty	or a valid application): /)
Solar electric system application.  Required documents - Copies of - Copies of - Copies of - Photo(s) of - Completed	n must be permar to receive solar detail receipts/involetail spec. sheet proof of warranty of completed systed d Taxpayer Inform	rebate (requirection oices with purcess on each company sheet (minimular	d on the applicant's red to be <u>attached</u> fo chase date circled aponent m of 10 year warranty	or a valid application): /)
Customer Contact Phone  Solar electric system  application.  Required documents  - Copies of - Copies of - Copies of - Photo(s) of - Completed	n must be permar to receive solar detail receipts/involetail spec. sheet proof of warranty of completed systed d Taxpayer Inform	rebate (requirection oices with purcess on each company sheet (minimular	d on the applicant's red to be <u>attached</u> fo chase date circled aponent m of 10 year warranty	or a valid application): /)

DATE EFFECTIVE

DATE OF ISSUE May 5, 2015
ISSUED BY Kelly S. Walters, Vice President, Joplin, MO

FILED
Missouri Public
Service Commission
ET-2015-0285; YE-2015-0322

THE EMPIRE DISTRICT ELECTRIC COMPANY					
P.S.C. Mo. No5 Sec.	4	<u></u>	Original Sheet No.	23g	
Canceling P.S.C. Mo. No Sec. ForALL TERRITORY			Sheet No.		
1	REBATE RIDER IDER SR				
B. Solar Rebate Declaration (For Solar Installa	itions only)			•	
I understand that the complete terms and conditio Company's Rider SR – Solar Rebate tariff.	ns of the solar reb	oate program	are included in the		
I understand that this program has limited budget, first-served basis, while funds are available. It is pon a waiting list for the next year's rebate program be modified or discontinued at any time without no	possible that I may if funds run out fo	y be notified to or the current	hat I have been placed		
I understand that the solar electric system must be permanently installed and remain in place on premises for the duration of its useful life – a minimum often (10) years, and the system shall be situated in a location where a minimum of eighty-five percent (85%) of the solar resource is available to the system.					

I understand the equipment must be new when installed, commercially available, and carry a minimum ten (10) year warranty.

I understand a rebate may be available from the Company on expanded or new solar electric systems that become operational after 12/31/2009. The applicable rebate rate and additional details and requirements can be found in the Company's Rider SR - Solar Rebate.

I understand the DC wattage rating provided by the original manufacturer and as noted in Section H will be used to determine the rebate amount.

I understand if my rebate is six hundred dollars (\$600) or more I will receive a Form 1099. (Please consult your tax advisor with any questions.)

I understand that as a condition of receiving a solar rebate, I am transferring to Company all right, title and interest in and to the solar renewable energy credits (SRECs) associated with the new or expanded System that qualified for the solar rebate and that the SRECs cannot be sold or promised for sale to any other party or used by customer for any environmental or "green" program for a period of ten (10) years from the date the I receive the solar rebate.

(NOTE: Confirmation by the Company that the System was installed and operational does not constitute any warranty or guaranty of fitness for a particular use. The Empire District Electric Company expressly disclaims any and all warranties or conditions of merchantability and fitness for a particular purpose in connection with the customer's solar electric system. The customer is solely responsible for determining the appropriateness of using a qualifying solar electric system, including but not limited to the risk of system operational errors, damage to or loss of property, and unavailability or interruption of System operations. The Empire District Electric Company will not be liable for any direct damages or for any special, incidental, or indirect damages or for any economic consequential damages. The Empire District Electric Company will not be liable for any damages claimed based on a third party claim.)

MUST BE MAILED TO EMPIRE VIA U.S. POSTAL SERVICE, FEDEX OR UPS

DATE OF ISSUE May 5, 2015	DATE EFFECTIVE	May 16, 2015 June 4, 2015	
ISSUED BY Kelly S. Walters, Vice President, Joplin, MO			

FILED

THE EMPIRE DISTRICT ELECTRIC COMPAN P.S.C. Mo. No. 5	Sec.	4		Original Sheet No.	
P.S.C. Mo. No5	Jeu.	<del></del>		Onginal Sheet No.	
Canceling P.S.C. Mo. No.	Sec.			Sheet No.	
For ALL TERRITORY					
S		BATE RIDER R SR			
The undersigned warrants, certifies, and recorrect to the best of my knowledge; an Electric Rebate program requirements.	epresents d the inst	that the infori allation meet	mation provide s all Missouri	d in this form is true a Net Metering and So	and olar
Print Name of Applicant	Andrews and the second	Print Insta	aller's Name		
Applicant's Signature		Installer's	Signature		
If Applicant is a Business, Print Title/Author Person Signing on behalf of Applicant	rity of				
Date		Date			
MUST BE MAILED TO EMPIRE VIA U.S.	POSTAL S	BERVICE, FE	DEX OR UPS		
				May 16, 2015	
DATE OF ISSUE <u>May 5, 2015</u> SSUED BY Kelly S. Walters, Vice President, Jo	nlin MO	_ DATE I	EFFECTIVE	June 4, 2015	

FILED
Missouri Public
Service Commission
ET-2015-0285; YE-2015-0322

**EMPIRE** 

2015 RES REPORT

## ATTACHMENT 9

#### AFFIDAVIT OF COMPLIANCE

☐ HIGHLY CONFIDENTIAL

NON-PROPRIETARY

# ATTACHMENT 9: AFFIDAVIT OF COMPLIANCE WITH 2015 COMPLIANCE PLAN

STATE OF MISSOURI	)
	) ss
COUNTY OF JASPER	)

On April 15, 2015, The Empire District Electric Company filed a Compliance Plan outlining our plan to comply with the Renewable Energy Standard for the years of 2015-2017.

In accordance with that document, EDE stated that the company would utilize the non-solar generation from contracts with Elk River Windfarm, Cloud County Windfarm, and generation from the Ozark Beach Hydroelectric facility owned by EDE. EDE retired 198,922 vintage 2014 and 2015 RECs from the Ozark Beach facility and the Elk River Windfarm order to meet the 2015 non-solar compliance requirements.

EDE stated the solar requirement would be met through customer-generated and/or purchased SRECs. EDE retired 4,060 SRECs from these sources

The 2016 RES Compliance Plan states that EDE will continue to utilize non-solar RECs generated by the Ozark Beach facility and the Elk River or Cloud County Windfarm if needed. EDE will utilize solar SRECs from customer-generated or purchase SRECs as needed for compliance.

The total costs for meeting the 2015 annual Missouri RES are as follows:

Resource	Cost	Resource	Cost
		Solar Purchased/Retired for 2015	\$7,027
Ozark Beach Generation	-0-		·
NAR Administration	\$46,206	Solar Customer Generation Rebates	\$3,098,478
Elk River PPA, Compliance Portion	\$2,681,414		

On the \_\_\_\_\_\_ day of April, 2016, before me appeared Blake A. Mertens, to me personally known, who, being by me first duly sworn, states that he is Vice President -Energy Supply of The Empire District Electric Company and acknowledges that he has read the above and foregoing document and believes that the statements therein are true and correct to the best of his information, knowledge and belief.

SHERRI J. BLALOCK Notary Public - Notary Seal State of Missouri, Newton County Commission # 14969626 My Commission Expires Nov 16, 2018

Blake A. Mertens

**EMPIRE** 

2015 RES REPORT

# ATTACHMENT 10 RETAIL RATE IMPACT CALCULATION

 $\hfill\square$  HIGHLY CONFIDENTIAL

NON-PROPRIETARY

#### 2016 RES Filing

Empire requested that ABB perform modeling for their Electric Utility Renewable Energy Standard (RES) Requirements. The modeling included calculating the retail rate impact per section 5 of Missouri 4 CSR 240-20.100 (the "Rule").

### Assumptions:

Rule 5 (B) 1 states that the non-renewable generation and purchase power portfolio shall be determined by adding, to the utility's existing generation and purchased power resource portfolio excluding all renewable resources, additional non-renewable resources sufficient to meet the utility's needs on a least-cost basis for the next ten (10) years. To achieve this portfolio, ABB removed the following renewables from the 2016 IRP resources; 1) Elk River and Meridian Way Wind – 36 MWs of Accredited Capacity and Ozark Beach Hydro – 16 MWs of Accredited Capacity. In addition, the impact of the residential and commercial solar additions that were removed from the load forecast by Itron were added back. To account for the loss of capacity, the 2029 Combined Cycle was brought on in 2026 in order to maintain a minimum capacity margin of 12%.

Rule 5 (B) 2 states that the RES-compliant portfolio shall be determined by adding to the utility's existing generation and purchased power resource portfolio an amount of least cost renewable resources sufficient to achieve the portfolio requirements set forth in section (2) of this rule and an amount of least-cost non-renewable resources, the combination of which is sufficient to meet the utility's needs for the next ten (10) years. ABB is using the preferred plan (Plan 5) from Empire's latest IRP filing. Plan 5 included renewable resources that meet the RES requirements set forth in section (2). As required by rule 5 (C), rebates made during each calendar year were also included in the cost of generation from renewable energy resouces.

Rule 5 (B) 4 states that the assumptions regarding projected renewable energy resource additions will utilize the most recent electric utility resource planning analysis. Weighting of the greenhouse gas emissions, gas prices and market prices were applied to the 2016 IRP assumptions. Table 1 includes the expected value of costs associated with greenhouse gas emissions used in this analysis. Weighted market prices were developed in ABB's 2015 Spring Reference Case database with modifications that included: 1) Weighted Henry Hub gas prices shown in Figure 1 and a weighted carbon tax. Figure 2 includes market prices for the SPP-KSMO market area with the impact of a carbon tax begining in 2022.

Figure 1 HH Gas Prices



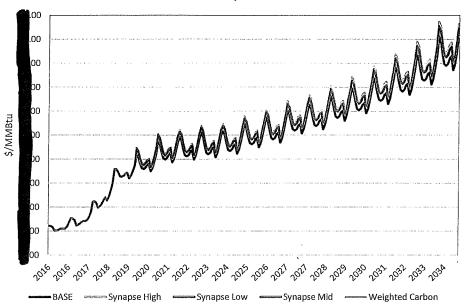
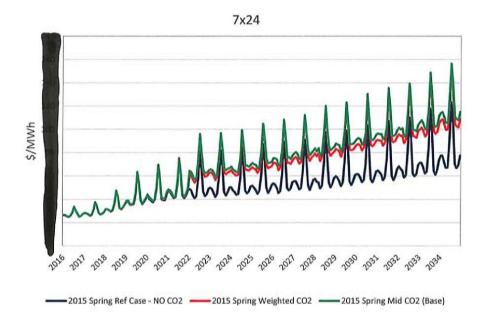


Table 1 Expected Value Carbon Tax

	10%	25%	50%	15%	
Year	No Carbon Cap	Synapse Low	Mid (based on EPA BB)	Synapse High	Expected
			Avg of Low & High	_	Value
2022	-				
2023	-				
2024	-				
2025	-				
2026	-				
2027	=				
2028	-				
2029	-			•	
2030	- '				
2031	-				
2032	-				
2033	-				
2034	_				

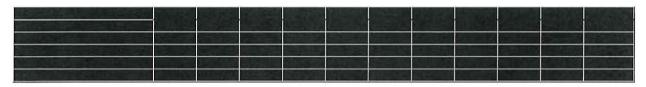
Figure 2 SPP-KSMO 7 x 24 Weighted Market Prices (Nominal \$/MWh)



### Results:

The following table shows the 1% calculation for the 10 year average over the succeeding 10-year period required by Rule 5 (B). The following values are based on total company not Missouri jurisdictional.

Table 2 Annual Revenue Requirements



The following tables summarize the Retail Rate Impact calculation required by Rule 5 (G).

Table 3 2016-2025 RRI Calculation Period

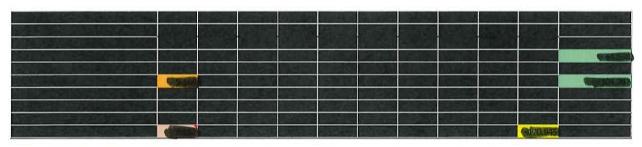


Table 4 2017-2026 RRI Calculation Period

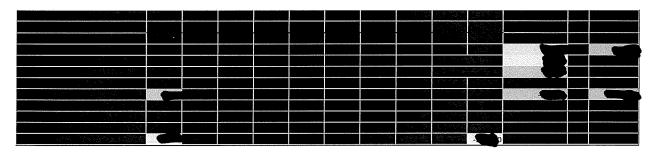


Table 5 2018-2027RRI Calculation Period

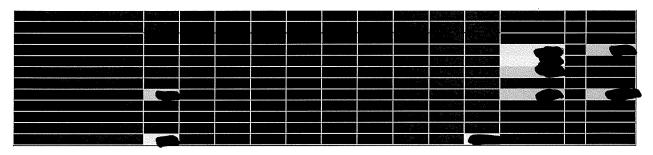


Table 6 2019-2028 RRI Calculation Period

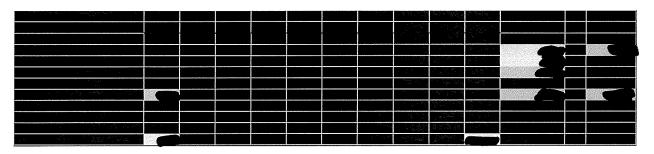


Table 7 2020-2029 RRI Calculation Period

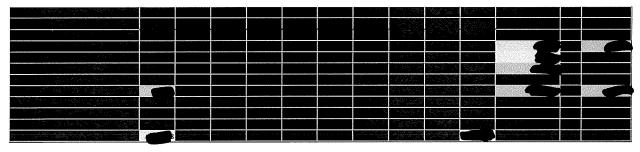


Table 8 2021-2030 RRI Calculation Period

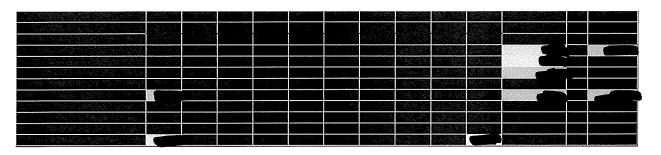


Table 9 2022-2031 RRI Calculation Period

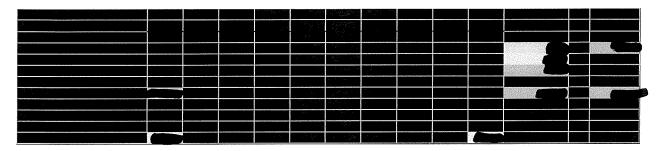


Table 10 2023-2032 RRI Calculation Period

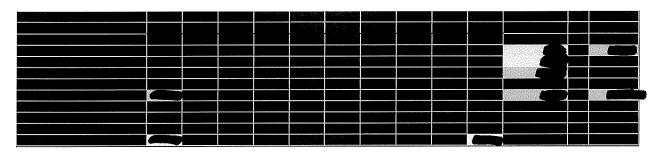


Table 11 2024-2033 RRI Calculation Period

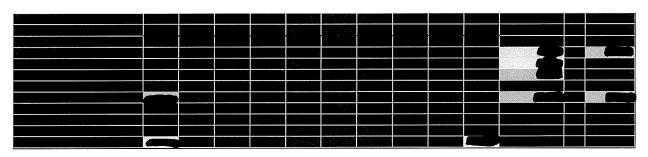


Table 12 2025-2034 RRI Calculation Period

