

**BEFORE THE PUBLIC SERVICE COMMISSION  
OF THE STATE OF MISSOURI**

In the Matter of Missouri-American            )  
Water Company for Certificates of            )  
Convenience and Necessity Authorizing    )  
it to Install, Own, Acquire, Construct,    ) File No. \_\_\_\_\_  
Operate, Control, Manage and Maintain    )  
a Sewer System in an area of St. Louis    )  
County, Missouri (Homestead Estates).    )

**APPLICATION AND, IF NECESSARY,  
MOTION FOR WAIVER**

COMES NOW Missouri-American Water Company (MAWC) pursuant to Sections 393.140 and 393.170, RSMo and 4 CSR 240-2.060, 4 CSR 240-3.305, 4 CSR 240-3.600, and 4 CSR 240-4.020(2)(B), and for its Application and, If Necessary, Motion for Waiver, states as follows to the Missouri Public Service Commission (Commission):

**BACKGROUND**

1. This Application is to obtain a certificate of convenience and necessity for MAWC to install, own, acquire, construct, operate, control, manage and maintain a sewer system in St. Louis County, Missouri in a subdivision known as Homestead Estates.
  
2. MAWC is a Missouri corporation with its principal office and place of business at 727 Craig Road, St. Louis, Missouri 63141. MAWC is a Missouri corporation in good standing. A certified copy of MAWC's certificate of good standing was submitted in Case No. SA-2007-0316 and is incorporated by reference. MAWC currently provides water service to the public in and around the cities of St. Joseph, Joplin, Brunswick, Mexico, Warrensburg, Parkville, Riverside, Jefferson City, and parts of Cole, St. Charles, Warren, Jefferson, Morgan, Pettis, Benton, Barry, Stone, Greene, Taney, Christian, and Platte Counties, and most all of St. Louis County, Missouri.

MAWC currently provides water service to approximately 457,300 customers. MAWC provides sewer service to approximately 13,221 customers in Callaway, Jefferson, Pettis, Cole, Morgan, Platte, Taney, Stone, Christian, and Warren Counties, Missouri. MAWC is a “water corporation,” a “sewer corporation” and a “public utility” as those terms are defined in Section 386.020 RSMo, and is subject to the jurisdiction and supervision of the Commission as provided by law. MAWC has no overdue Commission annual reports or assessment fees. There is no pending action or final unsatisfied judgment or decision against MAWC from any state or federal agency or court which involves customer service or rates, which action, judgment or decision has occurred within three years of the date of this Application other than cases pending before this Commission – Cases Nos. WA-2017-0278 (Pevely Farms), WC-2017-0234 (Spicer), WC-2017-0251 (Anderson), WO-2017-0297 (ISRS), WR-2017-0285 (Rate Case), WF-2017-0349 (Financing Petition), WU-2017-0351 (AAO Property Taxes), WU-2017-0296 (AAO Lead Service Lines) and WO-2017-0191 (Territorial Agreement).

3. Communications respecting this Application should be addressed to the undersigned counsel and:

Ms. Takisha Walker  
Manager Business Development – Proposal & Integration  
Missouri American Water  
727 Craig Rd  
St. Louis, MO 63141  
O: 314.996.2215  
M: 314.440.9236  
E: takisha.walker@amwater.com

**CERTIFICATE**

4. MAWC requests permission, approval and Certificates of Convenience and Necessity to install, own, acquire, construct, operate, control, manage and maintain a sewer

system for the public in an area of St. Louis County, Missouri, as set forth on the map attached to this Application as **Appendix A**. This area is generally known as the Homestead Estates subdivision. There are approximately 39 wastewater customers at this time.

5. A legal description of the area sought to be certificated for sewer service is attached to this Application as **Appendix B**.

6. Attached hereto and marked as **Appendix C** is a list of ten residents or land owners within the proposed service area. Appendix C has been identified as Confidential in accordance with Commission Rule 4 CSR 240-2.135(2)(A)1, as it contains customer specific information.

7. Attached hereto and marked as **Appendix D** is a feasibility study for the sewer systems, including estimated expenses and revenues during the first three years of operation by MAWC. No external financing is anticipated. Appendix D has been identified as Confidential in accordance with Commission Rule 4 CSR 240-2.135(2)(A)4 and 6, as it contains market specific information and information representing strategies employed in contract negotiations.

8. MAWC will not require any franchises or permits from municipalities, counties, or other authorities in connection with the proposed systems.

9. To provide service to the proposed area, MAWC will purchase a sewer distribution system from the Homestead Estates Homeowners Association (Seller). A copy of the Asset Purchase Agreement governing this transaction is attached hereto as **Appendix E** (Agreement). Appendix E has been identified as Confidential in accordance with Commission Rule 4 CSR 240-2.135(2)(A)4 and 6, as market specific information and information representing strategies employed in contract negotiations.

10. MAWC proposes to provide sewer service pursuant to the existing rates, rules, and regulations currently applicable to MAWC's Cedar Hill service territory found in Mo. P.S.C. No. 26.

11. The grant of the requested certificates (and approval of the underlying transaction) will result in regulated sewer service to be provided to the current and future residents of the Homestead Estates subdivision. The systems would be acquired by MAWC, a Missouri public utility, and be subject to the jurisdiction of the Commission. MAWC has considerable expertise and experience in providing water and sewer utility services to residents of the State of Missouri and is fully qualified, in all respects, to own and operate the sewer system currently being operated in Homestead Estates. MAWC currently provides water service to Homestead Estates.

#### **CONTINGENT REQUEST FOR WAIVER**

12. This case is not likely to be a contested case within the meaning of Commission Rule 4 CSR 240-4.020(2) because previous applications addressing the same issues presented in this filing have generally not become contested proceedings. MAWC represents to the Commission that it did not engage in any conduct which would have constituted a violation of any ex parte rule or standard of conduct if a 60-day notice had been filed pursuant to 4 CSR 240-4.020(2).

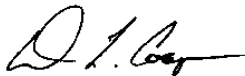
13. Sixty days prior to the filing of this Application, the parties did not have an asset purchase agreement, and this Application has been filed as soon as possible after the execution of the Agreement. It would serve no purpose to wait sixty days before filing the application for Commission approval. Accordingly, to the extent that the Commission may find it to be

applicable, and for good cause shown, MAWC requests a waiver from the provisions of Commission Rule 4 CSR 240-4.020(2) to allow for the filing of this Application.

**WHEREFORE**, MAWC requests the Commission grant it permission, approval and Certificates of Convenience and Necessity authorizing MAWC to:

- a) install, acquire, build, construct, own, operate, control, manage and maintain a sewer systems for the public within the areas referred to above;
- b) acquire the assets identified herein of Homestead Estates Homeowners Association; and,
- c) take such other actions as may be deemed necessary and appropriate to accomplish the purposes of the Agreement and the Application and to consummate related transactions in accordance with the Agreement.

Respectfully Submitted,



Dean L. Cooper, MBE#36592  
BRYDON, SWEARENGEN & ENGLAND P.C.  
312 E. Capitol Avenue  
P.O. Box 456  
Jefferson City, MO 65012  
(573) 635-7166 telephone  
(573) 635-3847 facsimile  
dcooper@brydonlaw.com

Timothy W. Luft, MBE # 40506  
Corporate Counsel  
MISSOURI-AMERICAN WATER COMPANY  
727 Craig Road  
St. Louis, MO 63141  
314-996-2279  
timothy.luft@amwater.com

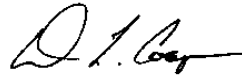
**ATTORNEYS FOR MISSOURI-AMERICAN WATER COMPANY**

**CERTIFICATE OF SERVICE**

I do hereby certify that a true and correct copy of the foregoing document has been sent by electronic mail this 19<sup>th</sup> day of July 2017, to:

General Counsel's Office  
[staffcounsel@psc.mo.gov](mailto:staffcounsel@psc.mo.gov)

Office of the Public Counsel  
[opc@ded.mo.gov](mailto:opc@ded.mo.gov)



---



## **APPENDICES**

Appendix A	Map of Area
Appendix B	Legal Description
Appendix C	List of Ten Residents or Land Owners - Confidential
Appendix D	Feasibility Study - Confidential
Appendix E	Asset Purchase Agreement - Confidential