

## **History of Aqua Missouri Small Company Rate Increase Requests as of 05/16/06**

QS-2005-0008 Aqua Missouri – Development-Sewer

QW-2005-0009 Aqua Missouri – RU-Water

QS-2005-0010 Aqua Missouri – CU-Sewer

QW-2006-0011 Aqua Missouri – CU-Water

**05/17/05:** Letters Requesting Increases Received, Entered Into EFIS and Work ID Nos. Assigned; Notifications re: Submissions Sent to Suzie Mankin; Work Items Sent to Dale J's Task List; Status of Assessment Payments and Annual Report Filings Confirmed as Current by Suzie M; Request for Personnel Assignments Sent to USD Managers by Suzie M; Letters Forwarded to W/S Dept

**05/24/05:** Draft of Initial Customer Notices Sent to Company

**05/27/05:** Staffing Assignments Completed

**06/06/05:** Initial Customer Notices Mailed

**06/27/05:** Copy of Initial Notices Submitted to Tracking File

**09/01/05:** Extension Agreement re: Tariff Filing Deadline Submitted to Tracking File (extends filing date from 10/14 to 11/14)

**11/03/05:** Summary of Public Comments and Copies of Customer Letters, E-Mails, etc Submitted to Tracking Files (all documents submitted to the "0008" tracking file and reference to that file submitted to the other tracking files)

**11/10/05:** 2nd Extension Agreement re: Tariff Filing Deadline Submitted to Tracking File (extends filing date from 11/14 to 12/14)

**12/20/05:** 3rd Extension Agreement re: Tariff Filing Deadline Submitted to Tracking File (extends filing date from 12/14 to 01/13/06)

**01/05/06:** Conference Call with Company to Identify & Discuss Remaining Issues; Agreed on Additional Extension of Agreement/Tariff Filing Date

**01/19/06:** Draft of 4th Extension Agreement E-Mailed to Company

**01/31/06:** Signed Copy of 4th Extension Agreement Submitted to Tracking Files

**02/27/06:** Settlement Teleconference with Company Representatives; "Agreement in Principal" Reached on Revenue Requirement Issues; Advised Company Representatives that Resolution of the Lake Carmel Sewer Service Issues May be Addressed in the Disposition Agreement; Jack Schreyer to Advise Staff of Upper Management Approval of Agreement in Principal; Company to Provide Supporting Documentation for Proposal to Revise Service Charges

**03/02/06:** Info Received from Company re: Proposal to Revise Service Charges **plus** Company Advised That Info Provided re: Proposal to Revise Service Charges Was Not Sufficient and That Additional Support Is Needed

**03/14/06:** Due Date for Executing Disposition Agreements and Filing of Necessary Tariff Filings (per 4th extension agreement)

**04/04/06:** Draft Disposition Agreements Sent to Company (requested response by 04/11/06; company to draft necessary tariff sheet revisions; agreement for CU-Sewer includes items dealing with tariff provisions re: sewage treatment plants and main extensions)

**04/13/06:** Updated Draft of Agreement for CU-Sewer Sent to Company (includes changes to items dealing with tariff provisions re: sewage treatment plants and main extensions)

**04/27/06:** Phone Call Received from Jack Schreyer Indicating that Company President Nick DeBenedictis has Reviewed the Disposition Agreements and "Is Mulling Them Over" – Jack is to Meet with Kathy Pape "Sometime Next Week" for Further Discussions

**05/02/06:** Received E-Mail Message from Bob Griffin Regarding Possible Remaining Rate Base Issues and Company's Objection Regarding Request for It to Restate Various Plant-Related Entries in Its CY2004 & CY2005 Annual Reports

**05/03/06:** Reply Sent to Bob Griffin re: His 05/02/06 E-Mail (noted therein that Staff thought there was an agreement on all plant-related issues, that it wasn't clear what the remaining rate base issues might be and that the Staff agreed that the CY2004 annual report would not need to be modified but that the CY2005 annual report would be) **plus** E-Mail Received from Bob Griffin Stating that He was Going to Ask Kathy Pape to "Step In At This Point"

**05/09/06:** Phone Call from Jack Schreyer to Jim R re: Company's "Continuing Review" of the Disposition Agreements

**05/16/06:** Jim R Informed by Jack Schreyer that Company Representatives (including Nick DeBenedictis) Will be Meeting on May 25 for Further Discussions Regarding the Proposed Disposition Agreements (Jack does not expect to report back to Jim until after the Memorial Day weekend)

**Status: Waiting for the Company's Response to the Disposition Agreements and for the Company to Complete the Draft Tariff Sheet Revisions**

Notes: (1) Company Never Responded re: Management Approval of Agreement in Principal (see entry of 02/27/06); (2) Company Never Responded to Request for Additional Supporting Documentation re: Proposal to Revise Service Charges (see entry of 03/02/06); (3) Company Has Not Yet Responded re: Issues Raised in 05/02 & 05/03 E-Mails