

Exhibit No.:
Issues: Competitive Status
Witness: Adam C. McKinnie
Sponsoring Party: MO PSC Staff
Type of Exhibit: Direct Testimony
Case No.: TO-2007-0301
Date Testimony Prepared: February 26, 2007

MISSOURI PUBLIC SERVICE COMMISSION

UTILITY OPERATIONS DIVISION

DIRECT TESTIMONY

OF

ADAM C. McKINNIE

EMBARQ MISSOURI, INC.

CASE NO. TO-2007-0301

**Jefferson City, Missouri
February 2007**

**** Denotes Proprietary Information ****

My commission expires

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DIRECT TESTIMONY

OF

ADAM C. McKINNIE

EMBARQ MISSOURI, INC.

CASE NO. TO-2007-0301

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DIRECT TESTIMONY

OF

ADAM C. McKINNIE

EMBARQ MISSOURI, INC.

CASE NO. TO-2007-0301

Q. Please state your name and business address.

A. My name is Adam C. McKinnie. My business address is 200 Madison Street, Jefferson City, MO 65102-0360.

Q. By whom are you employed?

A. I am employed by the Missouri Public Service Commission (MoPSC or Commission) as a regulatory economist for the Telecommunications Department Staff (Staff) of the Commission.

Q. What is your educational background?

A. I hold a Bachelor of Arts degree in English and Economics that I received from Northeast Missouri State University (now called Truman State University) in May 1997. I also hold a Master of Science degree in Economics (with electives in Labor, Tax, and Industrial Organization) that I received from the University of Illinois in May 2000.

Q. What are your current responsibilities at the Commission?

A. I review, analyze, and prepare recommendations on controversial tariff filings for both competitive and non-competitive companies, interconnection agreements, certificate applications and merger agreements. I also analyze cost studies and models related to cost structures of companies for various contentious tariff filings; analyze requests for certification and recertification of carriers as eligible telecommunications carriers for the purpose of

1 receiving monies from the Universal Service Fund; and conduct research and work on special
2 projects related to telecommunications and economics.

3 Q. Have you worked on any cases or projects that are related to your testimony in
4 this case?

5 A. Yes, I have. I was the Staff witness in Case No. IO-2006-0316, *In the Matter*
6 *of CenturyTel of Missouri, LLC's Request for Competitive Classification Pursuant to Section*
7 *392.245.5, RSMo. (2005)*, and Case No. IO-2006-0317, *In the Matter of Spectra*
8 *Communications Group, LLC d/b/a CenturyTel's Request for Competitive Classification*
9 *Pursuant to Section 392.245.5, RSMo. (2005)*. In these cases, the two CenturyTel entities
10 requested their residential services (other than exchange access service) be classified as
11 competitive in seven exchanges.

12 Q. Have you testified in any other Commission cases?

13 A. Yes, I have. A list of other Commission cases I have testified in is attached as
14 Schedule 1.

15 EXECUTIVE SUMMARY

16 Q. What is the purpose of your testimony?

17 A. The purpose of my testimony is to evaluate the "Application for Competitive
18 Classification" (Application) filed by Embarq Missouri, Inc. (Embarq), an incumbent local
19 exchange carrier (ILEC), on February 8, 2007. My testimony will recommend the
20 Commission grant Embarq's Application for classifying its business services (other than
21 exchange access service) as competitive in the Lebanon exchange because the Application
22 meets the requirements of the applicable statute, Section 392.245.5 RSMo (2005). My

1 testimony will also recommend the Commission allow Embarq's accompanying tariff filing,
2 Filing No. YI-2007-0559, to go into effect.

3 **BACKGROUND**

4 Q. What is Embarq requesting in the instant case?

5 A. Embarq is seeking competitive classification for all business services, other
6 than exchange access services, offered in its Lebanon exchange pursuant to Section
7 392.245.5.

8 Q. Briefly summarize what is required for an exchange to qualify for competitive
9 status under the thirty-day competitive track described in Section 392.245.5(6) RSMo (2005).

10 A. Two non-affiliated carriers should be providing local voice service to
11 residential and/or business customers within the exchange, depending on whether competitive
12 classification is being sought for residential services, business services, or both (in the instant
13 case, just to business customers). Only one carrier may be a wireless carrier; the second
14 carrier must be providing service in whole or in part over its own facilities.

15 **BASIS FOR CLAIM**

16 Q. What information does Embarq put forth in its Application to support its
17 request for competitive status for business services (other than exchange access service) in the
18 Lebanon exchange?

19 A. Embarq divides its information into two segments – wireless carriers and
20 wireline carriers.

21 **Wireless carriers**

22 Q. What information does Embarq put forth in its Application regarding wireless
23 carriers?

1 A. Embarq identifies the following non-affiliated wireless carriers as providing
2 local service to customers in Embarq's Lebanon exchange: Sprint Nextel, Cingular, Verizon,
3 Alltel, T-Mobile, and US Cellular.

4 Q. What information did Embarq include in its application to support its claim
5 these wireless providers are serving the Lebanon exchange?

6 A. Exhibit A to the Application contains what looks like coverage maps of the
7 various wireless carriers named above.

8 Q. Do coverage maps prove in and of themselves that a wireless carrier is
9 providing service to customers within a geographical area?

10 A. No, they do not.

11 Q. Did Embarq provide any other information regarding wireless carriers?

12 A. Yes, an Embarq representative e-mailed to Staff a portion of the Local
13 Exchange Routing Guide (LERG), dated February 8, 2007, for the Lebanon exchange. The
14 LERG provides a variety of information; however most notably it identifies telephone
15 numbers assigned to specific carriers within an exchange. The LERG pages are attached as
16 Schedule 2.

17 Q. Does Schedule 2 show any wireless carriers with telephone numbers assigned
18 to the Lebanon exchange?

19 A. Yes. Schedule 2 shows that United States Cellular Corp – Missouri (US
20 Cellular), Alltel Communications, Inc. – MO (Alltel), Nextel Communications, Inc. (Sprint
21 Nextel), and New Cingular Wireless PCS, LLC – MO (Cingular) have blocks of telephone
22 numbers assigned to the Lebanon exchange.

1 Q. Does an indication of numbers within the LERG for the Lebanon exchange
2 ensure that a wireless carrier has customers with addresses within an exchange?

3 A. Not necessarily. The LERG shows a carrier has been allotted a block of
4 telephone numbers within an exchange. The LERG does not indicate whether a carrier has
5 assigned any telephone numbers to customers..

6 Q. Did Staff perform an investigation to determine whether or not the above
7 named wireless carriers are providing service to customers geographically located within the
8 Lebanon exchange?

9 A. Yes, Staff did. First, in accordance with procedures followed in previous
10 thirty-day track competitive status cases, Staff attempted to contact representatives of the
11 above named wireless carriers. Staff requested affidavits from these representatives
12 confirming certain information about whether the wireless carrier is providing local voice
13 service within the exchange.

14 Q. What responses has Staff received from wireless carriers?

15 A. Staff has received affidavits from four wireless carriers.

- 16 • A Sprint Nextel representative affirmed Sprint Nextel had, as of the end of
17 2006, at least two business customers with numbers rated as local to the
18 Lebanon exchange with billing addresses inside the Lebanon exchange.
- 19 • A Cingular representative affirmed Cingular has two or more customers
20 associated with business accounts with addresses inside the Lebanon
21 exchange.
- 22 • An Alltel representative stated that Alltel does not track customers by
23 business or residential classification. The representative did affirm Alltel

1 has two customers with local telephone numbers with addresses inside the
2 Lebanon exchange.

- 3 • A U.S. Cellular representative affirmed US Cellular does not have two or
4 more business customers in the exchange with local telephone numbers and
5 addresses within the exchange.

6 The affidavits received from the wireless carriers' representatives are attached as Schedule 3.

7 Q. What other investigation has Staff performed regarding wireless carriers?

8 A. Staff submitted Data Requests to Embarq regarding whether or not Embarq
9 had: (1) ported telephone numbers to wireless carriers within the Lebanon exchange; and (2)
10 whether or not Embarq was providing local telephone numbers to wireless carriers in the
11 Lebanon exchange through Type 1 interconnection.

12 Q. Has Embarq ported telephone numbers to wireless carriers in the Lebanon
13 exchange?

14 A. Yes. Embarq has marked the response to the relevant Data Request as
15 Proprietary. The specific wireless carriers and number of telephone numbers ported are
16 included in the Data Request response in Proprietary Schedule 4. In each of these instances,
17 porting shows that an Embarq landline customer has switched service to a wireless carrier but
18 has retained the telephone number previously associated with wireline, Embarq service.

19 Q. If a customer ports an Embarq wireline telephone number associated with the
20 Lebanon exchange to a wireless carrier, would the customer have had an address within the
21 Lebanon exchange?

22 A. Yes, unless the customer purchased a service such as Foreign Exchange
23 service that allows a wireline telephone number assigned to one exchange to connect to a

1 location physically present in another exchange, the customer location would have been in the
2 Lebanon exchange.

3 Q. Briefly describe Type 1 interconnection.

4 A. Type 1 interconnection between an ILEC and a wireless carrier is a type of
5 interconnection where the wireless carrier utilizes a local telephone number assigned to an
6 ILEC. Type 1 interconnections do not show as assigned to a competitor in the LERG, as the
7 telephone number is still assigned to the ILEC. Essentially, Type 1 interconnection allows a
8 wireless carrier to obtain phone numbers from the ILEC rather than directly from the
9 telephone number administrator.

10 Q. Has Embarq provided telephone numbers to wireless carriers through Type 1
11 interconnection in the Lebanon exchange?

12 A. Yes. Embarq has marked the response to the relevant Data Request as
13 Proprietary. The specific wireless carriers and number of telephone numbers provided via
14 Type 1 interconnection are included in the Data Request response in Proprietary Schedule 5.

15 Q. Based on the evidence gathered above, does Staff conclude at least one
16 wireless carrier unaffiliated with Embarq is providing service within the Lebanon exchange?

17 A. Yes, Staff does.

18 **Wireline carrier(s)**

19 Q. What information does Embarq put forth in its Application regarding wireline
20 carriers?

21 A. On page 2 of its Application, Embarq identifies Fidelity Communications
22 Services I ("Fidelity") as offering local phone service to business customers in direct
23 competition with Embarq in Embarq's Lebanon exchange.

1 In support of its claim, Embarq submitted Exhibit B, a copy of a Fidelity press release
2 that is also available on Fidelity's web site. The press release generally describes how Mid-
3 Missouri Bank switched from Embarq to Fidelity service on August 8th (presumably of 2006).

4 Q. Has Staff performed an investigation into Fidelity's presence in the Lebanon
5 exchange?

6 A. Yes, Staff has had conversations with a Fidelity representative and sent Data
7 Requests to Fidelity to learn more about Fidelity's presence in the Lebanon exchange.

8 Q. As of the date of the Application, does Fidelity have business lines in the
9 Lebanon exchange provided in whole or in part over its own facilities?

10 A. Yes, in a proprietary response to a Data Request, Fidelity states that as of
11 February 8, 2007, it serves ** ** lines and provides the switching functionality for those
12 lines. The response to the Data Request is attached as Schedule 6.

13 Q. Is Fidelity currently providing local telephone numbers to customers within the
14 Lebanon exchange?

15 A. As stated in a response to a Data Request, yes, Fidelity does provide local
16 telephone numbers to customers within the Lebanon exchange. The entire response and Data
17 Request are attached as Schedule 7.

18 Q. Does Fidelity have local telephone numbers available for use by other business
19 customers in the Lebanon exchange?

20 A. As stated in a response to a Data Request, yes, Fidelity does have local
21 telephone number available for use in the Lebanon exchange. The entire response and Data
22 Request are attached as Schedule 8.

1 Q. According to Fidelity, how many business customers does it currently serve in
2 the Lebanon exchange?

3 A. One. Fidelity explains further in a Proprietary response to a Data Request:

4 Description: As of February 8, 2007, please state the number of business
5 customers receiving basic local telecommunications service Fidelity
6 Communications Services I has in the Lebanon exchange.

7
8 Response: One(1). There are several different account names and bills, but, to
9 the best of our knowledge, they are all affiliates of one customer, **

10 **. In addition, all of the lines (except for the **

11 **) are located at the same location,

12 **. .

13 (the full Data Request and Response are attached as Proprietary Schedule 9)

14
15 Q. How does Fidelity's tariff define the term "customer"?

16 A. Fidelity's tariff, PSC MO No. 1 (entitled "Basic Local Tariff"), Section 10,
17 Original Sheet 1, in a section titled Definitions, reads as follows:

18 CUSTOMER

19 Any person, firm, partnership, corporation, municipality, cooperative,
20 organization, governmental agency, etc. provided with services by Fidelity
21 Communications Services I, Inc.

22
23 Q. Is there any reason to believe that Fidelity is serving more than one "person,
24 firm, partnership, corporation, municipality, cooperative, organization, governmental agency,
25 etc." in the Lebanon exchange?

26 A. Yes, there is. The Missouri Secretary of State web site has an option (located
27 at <https://www.sos.mo.gov/BusinessEntity/soskb/csearch.asp>) which allows users to search
28 for registered businesses by name. I have searched for the three entities named by Embarq in
29 its Application and by Fidelity in the press release listed in Exhibit B of Embarq's
30 Application: Mid-Missouri Bank; Mid-Missouri Investment Center; and Mid-Missouri
31 Insurance Agency.

1 Q. What conclusions did your search lead you to?

2 A. At least two of the named entities are separate entities. Schedule 10 shows the
3 results of a search for the term "Mid-Missouri Bank". The search indicates that there is a
4 registered Trust and a registered Bank by that name. Schedule 11 shows the results of a
5 search for the term "Mid-Missouri Investment Center". The search indicates the company is a
6 Limited Liability Company. Even if these two entities are affiliated with each other, they are
7 registered separately according to the Missouri Secretary of State's website. Thus, by the
8 definition in Fidelity's tariff, the Mid-Missouri entities would be separate customers.

9 Q. In your opinion, does it matter whether "customers" of a telecommunications
10 company are affiliated for purposes of competitive review under Section 392.245.5?

11 A. No it does not. The only reference to "affiliates" in Section 392.245.5 is to the two
12 carriers being considered as providing local voice service in competition with the incumbent
13 not being affiliated with that ILEC.

14 Q. Overall, what is Staff's conclusion regarding the presence of Fidelity in the
15 Lebanon exchange?

16 A. Staff concludes that Fidelity is serving at least two business customers in
17 whole or in part over its own facilities with telephone numbers rated as local within the
18 Lebanon exchange.

19 **OVERALL RECOMMENDATION / CONCLUSION**

20 Q. What is Staff's ultimate recommendation in the instant case?

21 A. Staff recommends Embarq's Application be granted and the related instant
22 tariff filing be allowed to go into effect, thus classifying Embarq's business services (other
23 than exchange access service) in the Lebanon exchange as competitive. Embarq meets the

Direct Testimony of
Adam C. McKinnie

1 requirements of the applicable statute, Section 392.245.5. As befits a thirty day track filing,
2 there are at least two unaffiliated entities providing service to business customers in the
3 Lebanon exchange, and for the purposes of this evaluation, only one of those entities is a
4 wireless carrier.

5 Q. Does this end your testimony?

6 A. Yes, it does.

Additional MoPSC Cases where Adam McKinnie has filed testimony:

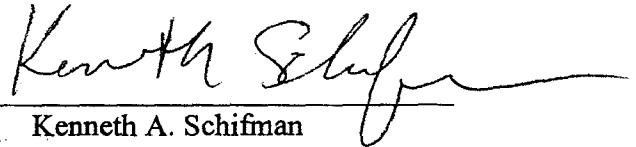
- TO-2003-0531, *In the Matter of the Application of Missouri RSA No. 7 Limited Partnership, d/b/a Mid-Missouri Cellular, for Designation as a Telecommunications Company Carrier Eligible for Federal Universal Service Support Pursuant to Section 254 of the Telecommunications Act of 1996*
- TO-2005-0384, *Application of USCOC of Greater Missouri, LLC For Designation As An Eligible Telecommunications Carrier Pursuant To The Telecommunications Act Of 1996*
- TO-2004-0527, *In the Matter of the Application of WWC License, LLC, d/b/a CellularOne(R), for Designation as an Eligible Telecommunications Carrier, and Petition for Redefinition of Rural Telephone Company Service Areas*
- TO-2005-0325, *In the Matter of the Third Application of Missouri RSA No. 7 Limited Partnership d/b/a Mid-Missouri Cellular for Designation as a Telecommunications Company Carrier Eligible for Federal Universal Service Support pursuant to § 254 of the Telecommunications Act of 1996*
- TO-2006-0172, *In the Matter of the Application of Missouri RSA No. 5 Partnership for Designation as a Telecommunications Company Carrier Eligible for Federal Universal Service Support Pursuant to § 254 of the Telecommunications Act of 1996*
- TO-2005-0466, *In the Matter of the Application of Northwest Missouri Cellular Limited Partnership for Designation as a Telecommunications Company Carrier Eligible for Federal Universal Service Support Pursuant to § 254 of the Telecommunications Act of 1996*
- IO-2003-0281 *In the Matter of the Investigation of the State of Competition in the Exchanges of Sprint Missouri, Inc.*
- TO-2005-0035, *In the Matter of the Second Investigation into the State of Competition in the Exchanges of Southwestern Bell Telephone, L.P., d/b/a SBC Missouri*
- TO-2005-0423, *In the Matter of the Application of Chariton Valley Telecom Corporation for Designation as a Telecommunications Carrier Eligible for Federal Universal Service Support Pursuant to 254 of the Telecommunications Act of 1996*

**BEFORE THE PUBLIC SERVICE COMMISSION
OF THE STATE OF MISSOURI**

AFFIDAVIT OF KENNETH A. SCHIFMAN

STATE OF Kansas)
) ss
COUNTY OF Johnson)

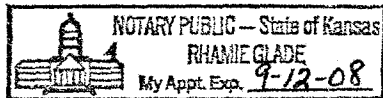
Kenneth A. Schiffman, of lawful age, on his oath states: that as of year-end 2006 Sprint Spectrum L.P. had two or more business customers with telephone numbers that are rated local to the Lebanon, Missouri exchange, wire center designation LBNNMOXA, and who have billing addresses within such exchange. The facts stated herein are true to the best of my knowledge and belief.

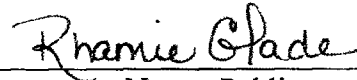


Kenneth A. Schiffman

Director, State Regulatory

Subscribed and sworn to before me this 26th day of February, 2007.





Notary Public

My commission expires 9-12-08

**BEFORE THE PUBLIC SERVICE COMMISSION
OF THE STATE OF MISSOURI**

AFFIDAVIT OF VICKIE JOHNSON

STATE OF GEORGIA)
)
COUNTY OF FULTON)

Vickie Johnson, of lawful age, on his oath states that Cingular, now the new AT&T, has two or more customers associated with business accounts that have addresses within the Lebanon exchange to the best of her knowledge and belief.

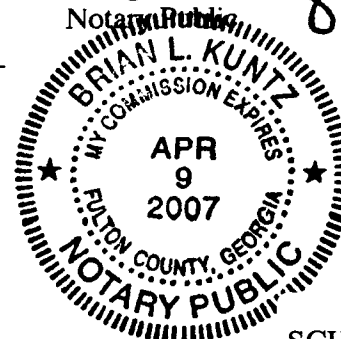
Vickie Johnson
Vickie Johnson

Sr Manager - Tax Operations
Sr. Manager – Tax Operations

Subscribed and sworn to before me this 23rd day of February, 2007

My commission expires _____

Brian L. Kuntz
Notary Public, Fulton County, Georgia
My Commission Expires April 9, 2007



Larry Krajci
Staff Manager
State Affairs

One Allied Drive
Little Rock, AR 72202
P.O. Box 2177, 72203-2177

501-905-5342



February 23, 2007

Adam McKinnie
Regulatory Economist II
Missouri Public Service Commission
Governor Office Building
200 Madison Street, P.O. Box 360
Jefferson City, Missouri 65102

Dear Adam,

Attached per your request is an Affidavit concerning the provision of Alltel wireless service in the Lebanon, Missouri exchange. As we've previously discussed, Alltel does not generally categorize its customers as "residential" or "business" so I could not attest to specific customer counts according to the Commission's requested categories. As a practical matter, we have a retail location in Lebanon, so I believe one might conclude that we serve more than the minimum customers per your rules.

Please let me know if you have any questions, or if I can be of any further assistance.

Sincerely,

A handwritten signature in black ink, appearing to read 'Larry Krajci', written over a horizontal line.

Larry Krajci

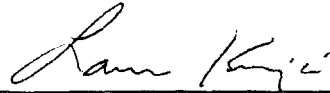
enclosures

**BEFORE THE PUBLIC SERVICE COMMISSION
OF THE STATE OF MISSOURI**

AFFIDAVIT OF LAWRENCE KRAJCI

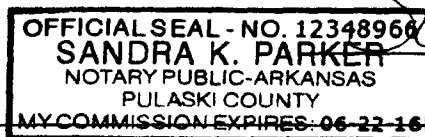
STATE OF ARKANSAS)
) ss
COUNTY OF PULASKI)

Lawrence Krajci, of lawful age, on his oath states: that (1) Alltel Communications, Inc. has two or more customers with telephone numbers that are rated local to the Lebanon, Missouri exchange, and who have addresses within the Lebanon, Missouri telephone exchange to the best of his knowledge and belief; (2) Alltel Communications, Inc. is provisioning service to at least two customers in the Lebanon, Missouri exchange in whole or in part over Alltel Communications, Inc.'s facilities.



Lawrence Krajci
Staff Manager State Affairs

Subscribed and sworn to before me this 23rd day of February, 2007




Notary Public

My commission expires _____

**BEFORE THE PUBLIC SERVICE COMMISSION
OF THE STATE OF MISSOURI**

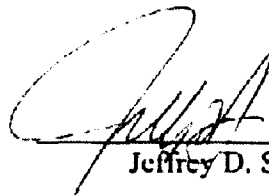
AFFIDAVIT OF JEFFREY D. SORENSEN

STATE OF Illinois)

) ss

COUNTY OF Cook)

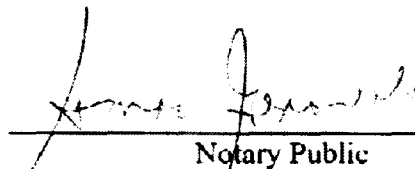
Jeffrey D. Sorensen, of lawful age, on his oath states: that (1) U.S. Cellular® does not have two or more business customers with telephone numbers that are rated local to the Lebanon, Missouri exchange who have addresses within the Lebanon, Missouri telephone exchange to the best of his knowledge and belief; (2) U.S. Cellular® is not provisioning service to at least two business customers in the Lebanon, Missouri exchange in whole or in part over U.S. Cellular's facilities; (3) the attached customer and line counts are true to the best of his knowledge and belief.



Jeffrey D. Sorensen

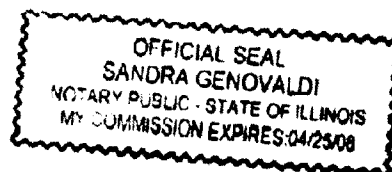
Regulatory Accounting Supervisor

Subscribed and sworn to before me this 23 day of February, 2007.



Notary Public

My commission expires 4/23/08



Schedule 4
Proprietary
In its
Entirety

Schedule 5
Proprietary
In its
Entirety

Schedule 6
Proprietary
In its
Entirety

Missouri Public Service Commission

Respond Data Request

Data Request No. 0003
Company Name MO PSC Staff-(All)
Case/Tracking No. TO-2007-0301
Date Requested 2/16/2007
Issue Telephone Specific - Other Telephone Issues
Requested From Dave Beier

Requested By Adam McKinnie
Brief Description telephone numbers rated as local - current

Description

As of February 8, 2007, is Fidelity Communications Services I providing service to the business lines described in Request 1 with telephone numbers rated as local to the Lebanon exchange?

Response yes

Objections NA

The attached information provided to **Missouri Public Service Commission** Staff in response to the above data information request is accurate and complete, and contains no material misrepresentations or omissions, based upon present facts of which the undersigned has knowledge, information or belief. The undersigned agrees to immediately inform the **Missouri Public Service Commission** if, during the pendency of Case No. **TO-2007-0301** before the Commission, any matters are discovered which would materially affect the accuracy or completeness of the attached information. If these data are voluminous, please (1) identify the relevant documents and their location (2) make arrangements with requestor to have documents available for inspection in the **MO PSC Staff-(All)** office, or other location mutually agreeable. Where identification of a document is requested, briefly describe the document (e.g. book, letter, memorandum, report) and state the following information as applicable for the particular document: name, title number, author, date of publication and publisher, addresses, date written, and the name and address of the person(s) having possession of the document. As used in this data request the term "document(s)" includes publication of any format, workpapers, letters, memoranda, notes, reports, analyses, computer analyses, test results, studies or data, recordings, transcriptions and printed, typed or written materials of every kind in your possession, custody or control or within your knowledge. The pronoun "you" or "your" refers to **MO PSC Staff-(All)** and its employees, contractors, agents or others employed by or acting in its behalf.

Security : Public

Rationale : NA

With Proprietary and Highly Confidential Data Requests a Protective Order must be on file.

SCHEDULE 7

Missouri Public Service Commission

Respond Data Request

Data Request No. 0004
Company Name MO PSC Staff-(All)
Case/Tracking No. TO-2007-0301
Date Requested 2/16/2007
Issue Telephone Specific - Other Telephone Issues
Requested From Dave Beier

Requested By Adam McKinnie
Brief Description telephone numbers rated as local - future

Description

As of February 8, 2007, does Fidelity Communications Service I have local numbers available for use by business customers in the Lebanon exchange?

Response yes

Objections NA

The attached information provided to **Missouri Public Service Commission** Staff in response to the above data information request is accurate and complete, and contains no material misrepresentations or omissions, based upon present facts of which the undersigned has knowledge, information or belief. The undersigned agrees to immediately inform the **Missouri Public Service Commission** if, during the pendency of Case No. **TO-2007-0301** before the Commission, any matters are discovered which would materially affect the accuracy or completeness of the attached information. If these data are voluminous, please (1) identify the relevant documents and their location (2) make arrangements with requestor to have documents available for inspection in the **MO PSC Staff-(All)** office, or other location mutually agreeable. Where identification of a document is requested, briefly describe the document (e.g. book, letter, memorandum, report) and state the following information as applicable for the particular document: name, title number, author, date of publication and publisher, addresses, date written, and the name and address of the person(s) having possession of the document. As used in this data request the term "document(s)" includes publication of any format, workpapers, letters, memoranda, notes, reports, analyses, computer analyses, test results, studies or data, recordings, transcriptions and printed, typed or written materials of every kind in your possession, custody or control or within your knowledge. The pronoun "you" or "your" refers to **MO PSC Staff-(All)** and its employees, contractors, agents or others employed by or acting in its behalf.

Security : Public

Rationale : NA

With Proprietary and Highly Confidential Data Requests a Protective Order must be on file.

Schedule 9-1
Proprietary
In its
Entirety

Schedule 9-2
Proprietary
In its
Entirety

SOS Home :: Business Services :: Business Entity Search**Search**

- **By Business Name**
- **By Charter Number**
- **By Registered Agent**
- **For New Corporations**
- **Verify**
- **Verify Certification**
- **Annual Report**
- **File Online**
- **File Fictitious Name**
- **Registration**
- **File Online**
- **File LLC Registration**
- **File Online**
- **Online Orders**
- **Register for Online**
- **Orders**
- **Order Good Standing**
- **Order Certified Documents**

Search Type: Starting With Search Criteria: mid-missouri
bank

Search Date: 2/21/2007 Search Time: 21:37

Click on the Business Entity Name or Charter Number to
view more information.

Business Entity Name	Charter Number	Type	Status	Entity Creation Date
MID- MISSOURI BANK	U00000325	Trust	Good Standing	5/15/2003
MID- MISSOURI BANK	K00000768	Bank	Acceptance Inactive	5/14/1891
Mid- Missouri Bankruptcy Center	X00635807	Fictitious Registration	Fictitious Active	1/27/2005

Records Returned 1 to 3

SCHEDULE 10

SOS Home :: Business Services :: Business Entity Search**Search**

- **By Business Name**
- **By Charter Number**
- **By Registered Agent**
- **For New Corporations**
- **Verify**
- **Verify Certification**
- **Annual Report**
- **File Online**
- **File Fictitious Name**
- **Registration**
- **File Online**
- **File LLC Registration**
- **File Online**
- **Online Orders**
- **Register for Online**
- **Orders**
- **Order Good Standing**
- **Order Certified Documents**

Search Type: Starting With Search Criteria: Mid-Missouri
Investment Center

Search Date: 2/21/2007 Search Time: 21:48

Click on the Business Entity Name or Charter Number to
view more information.

Business Entity Name	Charter Number	Type	Status	Entity Creation Date
MID-MISSOURI INVESTMENT CENTERS, LLC	LC0038999	Limited Liability Company	Active	5/17/2000

Records Returned 1 to 1

SCHEDULE 11