



Commissioners  
KELVIN L. SIMMONS  
Chair  
CONNIE MURRAY  
SHEILA LUMPE  
STEVE GAW

## Missouri Public Service Commission

POST OFFICE BOX 360  
JEFFERSON CITY, MISSOURI 65102  
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573-751-1847 (Fax Number)  
<http://www.psc.state.mo.us>

October 23, 2001

ROBERT J. QUINN, JR.  
Executive Director  
WESS A. HENDERSON  
Director, Utility Operations  
ROBERT SCHALLENBERG  
Director, Utility Services  
DONNA M. KOLILIS  
Director, Administration  
DALE HARDY ROBERTS  
Secretary/Chief Regulatory Law Judge  
DANA K. JOYCE  
General Counsel

Mr. Dale Hardy Roberts  
Secretary/Chief Regulatory Law Judge  
Missouri Public Service Commission  
P. O. Box 360  
Jefferson City, MO 65102

RE: Case No. 00-2002-202

Dear Mr. Roberts:

Enclosed for filing in the above-captioned case are an original and eight (8) conformed copies of a **MOTION TO ESTABLISH CASE**.

This filing has been mailed or hand-delivered this date to all counsel of record.

Thank you for your attention to this matter.

Sincerely yours,

Lera L. Shemwell  
Associate General Counsel  
(573) 751-7431  
(573) 751-9285 (Fax)

LS/lb  
Enclosure  
cc: Counsel of Record

**BEFORE THE PUBLIC SERVICE COMMISSION  
OF THE STATE OF MISSOURI**

**FILED<sup>3</sup>**  
**OCT 23 2001**

In the Matter of an Investigation into     )  
Public Utility Emergency Preparedness.     ) Case No. OO-2002- 202

Missouri Public  
Service Commission

**MOTION TO ESTABLISH CASE**

**COMES NOW** the Staff of the Missouri Public Service Commission ("the Staff") and for this Motion to Establish Case states as follows:

1. The Missouri Public Service Commission ("Commission") has authority to establish a case for the purpose of receiving information from public utilities under its jurisdiction pursuant to Section 393.140 RSMo (2000).

2. The Staff is recommending that the Commission open this case to receive information from Missouri utilities concerning their preparedness for disaster and emergency situations.

3. Staff intends to survey the utilities concerning their plans to deal with disaster and emergency situations including, but not limited to: employee training, emergency drills, coordination with and reporting to local, state and federal agencies; procedures for dealing with terrorist threats or with actual attacks on employees or facilities, including computer systems, and remote facilities, and hazardous materials protection and handling. (Please see Attachment A).

4. Staff will survey state utilities and will report its findings to the Commission periodically. If Staff finds that immediate actions are required, Staff will report to the Commission with suggestions that such action be taken without delay. Staff will file a formal report with the Commission concerning the progress of the investigation no later than December 31, 2001.

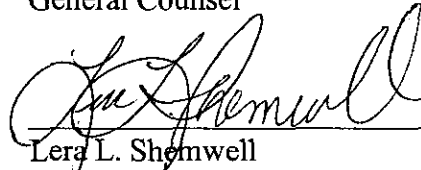
5. Staff has coordinated with the utilities concerning emergency response for many years and the utilities have reported emergencies to Staff. Opening an investigation will help assure the public,

the Commission and the Staff that the utilities are taking steps to plan for and respond to a variety of emergency situations.

**WHEREFORE**, the Staff respectfully requests that the Commission open an investigatory case for the purpose of receiving information concerning public utilities emergency planning procedures.

Respectfully submitted,

DANA K. JOYCE  
General Counsel



Lera L. Shemwell  
Associate General Counsel  
Missouri Bar No. 43792

Attorney for the Staff of the  
Missouri Public Service Commission  
P. O. Box 360  
Jefferson City, MO 65102  
573-751-7431  
573-751-9285 (Fax)  
[lshemwell@mail.state.mo.us](mailto:lshemwell@mail.state.mo.us) (e-mail)

#### **CERTIFICATE OF SERVICE**

I hereby certify that copies of the foregoing have been mailed or hand-delivered to The Office of the Public Counsel, P.O. Box 7800 Jefferson City, MO 65102, on this 23<sup>rd</sup> day of October 2001.



Lera L. Shemwell



Commissioners  
KELVIN L. SIMMONS  
Chair  
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DALE HARDY ROBERTS  
Secretary/Chief Regulatory Law Judge  
DANA K. JOYCE  
General Counsel

October 25, 2001

Dear Chief Executive Officer:

The Missouri Public Service Commission is opening Case No. OO-2002- to review utility emergency preparedness procedures.

Plans and procedures for emergencies is a challenge for the state and for Missouri's utilities. Attached is a questionnaire concerning your utility's emergency preparedness. Please complete the questionnaire and return it to Dawn Hake by mail, or e-mail [dhake01@mail.state.mo.us](mailto:dhake01@mail.state.mo.us) by December 1, 2001.

We appreciate your cooperation. If you have questions, please don't hesitate to contact me at 573-571-3684.

Sincerely,

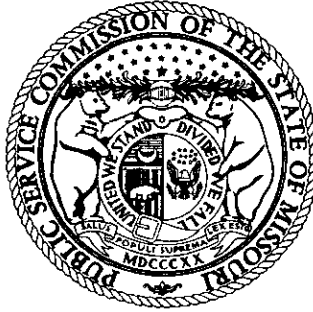
Wess Henderson, Director  
Utility Operations Division

dh

Attachment

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**Attachment A**



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## MISSOURI PUBLIC SERVICE COMMISSION

### SECURITY SURVEY

2001

1. Do you currently have an Emergency Plan in effect?
2. What is included in the plan? (Please list all topics.)
  - a. Does it include computer systems?
  - b. Does it include hazardous materials?
3. If you currently have an Emergency Plan, how often is it reviewed?
4. Are periodic emergency drills held that include all staff?
5. Does your Emergency Plan include procedures for terrorist attacks?
6. In an emergency, what state or federal agencies are you required to provide information?
7. What, if any, additional steps have you taken since the September 11, 2001 event to protect your staff and facilities?
8. What training do employees receive?
9. What is the name, address and phone number of your emergency contact person?
10. Do you anticipate any impact on rates as a result of increased security measures?
11. Have you addressed emergency plans with external suppliers, contractors and other business partners or vendors? If so, please explain.

Please return this questionnaire by November 14, 2001.

Send to:

Dawn Hake  
Missouri Public Service Commission  
P. O. Box 360  
Jefferson City, MO 65102

I (name), of lawful age, state upon my oath that I participated in the preparation of this survey to be filed in Case No. \_\_\_\_\_ and that the information contained herein is true and correct to my best knowledge and belief.

(Signature) \_\_\_\_\_  
Written Name of Emergency Coordinator  
Title  
Utility Company Name

Subscribed and sworn to before me this \_\_\_\_\_ Day of \_\_\_\_\_ 2001.

\_\_\_\_\_  
Notary Public

My Commission Expires: