STATE OF MISSOURI PUBLIC SERVICE COMMISSION JEFFERSON CITY May 26, 1999

CASE NO: EC-99-553

Office of the Public Counsel

P.O. Box 7800 Jefferson City, MO 65102 General Counsel Missouri Public Service Commission P.O. Box 360 Jefferson City, MO 65102

Paul S. DeFord, Esq.

Lathrop & Gage, L.C. 2345 Grand Boulevard, Ste 2800 Kansas City, MO 64108

William G. Riggins Kansas City Power & Light Company P.O. Box 418679 Kansas City, MO 64141 James M. Fischer 101 West McCarty Street, Suite 215 Jefferson City, MO 65101

Enclosed find certified copy of NOTICE in the above-numbered case(s).

Sincerely, Hole Hredy Roberts

Dale Hardy Roberts Secretary/Chief Regulatory Law Judge

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Uncertified Copy:

BEFORE THE PUBLIC SERVICE COMMISSION

OF THE STATE OF MISSOURI

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GST Steel Company,

Complainant,

v.

Case No. EC-99-553

Kansas City Power & Light Company,

Respondent.

NOTICE OF COMPLAINT

General Counsel Kansas City Power & Light Co. 1201 Walnut Street Kansas City, Missouri 64106

CERTIFIED MAIL

On May 11, 1999, GST Steel Company (GST) filed a complaint with the Missouri Public Service Commission against Kansas City Power & Light Company (KCPL), Respondent herein, a copy of which is enclosed. Pursuant to 4 CSR 240-2.070, the Respondent shall have 30 days from the date of this notice to file an answer or to file notification that the nature of the complaint has been satisfied.

In the alternative, the Respondent may file a written request that the complaint be referred to a neutral third-party mediator for voluntary mediation of the complaint. Upon receipt of a request for mediation, the 30-day time period shall be tolled while the Commission ascertains whether or not the Complainant is also willing to submit to voluntary mediation. If the Complainant agrees to mediation, the time period within which an answer shall is due shall be suspended pending the resolution of the mediation process. Additional information regarding the mediation process is enclosed.

If the complainant declines the opportunity to seek mediation, the Respondent will be notified in writing that the tolling has ceased and will also be notified of the date by which an answer or notice of satisfaction must be filed. That period will usually be the remainder of the original 30-day period.

All pleadings (the answer, the notice of satisfaction of complaint or request for mediation) shall be mailed to:

Secretary of the Public Service Commission P.O. Box 360 Jefferson City, Missouri 65102-0360

A copy shall be served upon the Complainant at the Complainant's address as listed within the enclosed complaint. A copy of this notice has been provided to the Complainant.

The parties should use the style set out in this notice in future, rather than the style appearing on the complaint filed by Complainant herein.

BY_THE COMMISSION-

Ask Hredy Roberts

Dale Hardy Roberts Secretary/Chief Regulatory Law Judge

(SEAL)

Dated at Jefferson City, Missouri, on this 26th day of May, 1999.

Copy to: Paul S. DeFord, Esq. Lathrop & Gage, L.C. 2345 Grand Boulevard, Suite 2800 Kansas City, Missouri 64108

Thompson, Deputy Chief Regulatory Law Judge



GORDON L. PERSINGER Acting Executive Director Director, Research and Public Affairs

> WESS A. HENDERSON Director, Utility Operations

ROBERT SCHALLENBERG Director, Utility Services

DONNA M. KOLILIS Director, Administration

DALE HARDY ROBERTS Secretary/Chief Regulatory Law Judge DANA K. JOYCE General Counsel

Missouri Public Service Commission

POST OFFICE BOX 360 JEFFERSON CITY, MISSOURI 65102 573-751-3234 573-751-1847 (Fax Number)

http://www.ecodev.state.mo.us/psc/

Commissioners SHEILA LUMPE Chair

HAROLD CRUMPTON

CONNIE MURRAY

ROBERT G. SCHEMENAUER

M. DIANNE DRAINER Vice Chair

Information Sheet Regarding Mediation of Commission Formal Complaint Cases

Mediation is process whereby the parties themselves work to resolve their dispute with the aid of a neutral third-party mediator. This process is sometimes referred to as "facilitated negotiation." The mediator's role is advisory and although the mediator may offer suggestions, the mediator has no authority to impose a solution nor will the mediator determine who "wins." Instead, the mediator simply works with both parties to facilitate communications and to attempt to enable the parties to reach an agreement which is mutually agreeable to both the complainant and the respondent.

The mediation process is explicitly a problem-solving one in which neither the parties nor the mediator are bound by the usual constraints such as the rules of evidence or the other formal procedures required in hearings before the Missouri Public Service Commission. Although many private mediators charge as much as \$250 per hour, the University of Missouri-Columbia School of Law has agreed to provide this service to parties who have formal complaints pending before the Public Service Commission at no charge. Not only is the service provided free of charge, but mediation is also less expensive than the formal complaint process because the assistance of an attorney is not necessary for mediation. In fact, the parties are encouraged not to bring an attorney to the mediation meeting.

The formal complaint process before the Commission invariably results in a determination by which there is a "winner" and a "loser" although the value of winning may well be offset by the cost of attorneys fees and the delays of protracted litigation. Mediation is not only a much quicker process but it also offers the unique opportunity for informal, direct communication between the two parties to the complaint and mediation is far more likely to result in a settlement which, because it was mutually agreed to, pleases both parties. This is traditionally referred to as "win-win" agreement.

The traditional mediator's role is to (1) help the participants understand the mediation process, (2) facilitate their ability to speak directly to each other, (3) maintain order, (4) clarify misunderstandings, (5) assist in identifying issues, (6) diffuse unrealistic expectations, (7) assist in translating one participant's perspective or proposal into a form that is more understandable and acceptable to the other participant, (8) assist the participants with the actual negotiation process, (9) occasionally a mediator may propose a possible solution, and (10) on rare occasions a mediator may encourage a participant to accept a particular solution. The mediator will not possess any specialized knowledge of the utility industry or of utility law.

In order for the Commission to refer a complaint case to mediation, the parties must both agree to mediate their conflict in good faith. The party filing the complaint must agree to appear and to make a good faith effort to mediate and the utility company against which the complaint has been filed must send a representative who has full authority to settle the complaint case. The essence of mediation stems from the fact that the participants are both genuinely interested in resolving the complaint.

Because mediation thrives in an atmosphere of free and open discussion, all settlement offers and other information which is revealed during mediation is shielded against subsequent disclosure in front of the Missouri Public Service Commission and is considered to be privileged information. The only information which must be disclosed to the Public Service Commission is (a) whether the case has been settled and (b) whether, irrespective of the outcome, the mediation effort was considered to be a worthwhile endeavor. The Commission will not ask what took place during the mediation.

If the dispute is settled at the mediation, the Commission will require a signed release from the complainant in order for the Commission to dismiss the formal complaint case.

If the dispute is not resolved through the mediation process, neither party will be prejudiced for having taken part in the mediation and, at that point, the formal complaint case will simply resume its normal course.

Date: January 25, 1999

Dale Hardy Roberts Secretary of the Commission

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STATE OF MISSOURI OFFICE OF THE PUBLIC SERVICE COMMISSION

I have compared the preceding copy with the original on file in this office and

I do hereby certify the same to be a true copy therefrom and the whole thereof.

WITNESS my hand and seal of the Public Service Commission, at Jefferson City,

Missouri, this <u>26TH</u> day of <u>MAY</u>, 1999.

17. --

Ke Hredy Roberts

Dale Hardy Roberts Secretary/Chief Regulatory Law Judge