## BEFORE THE PUBLIC SERVICE COMMISSION OF THE STATE OF MISSOURI

In the Matter of the Waiver of	)
Certain Rules and Statutes as to	) File No.
Birch Telecom of Missouri, Inc. d/b/a	)
Birch Communications and	)
Ionex Communications, Inc. d/b/a Birch	)
Communications	)

## NOTIFICATION OF ELECTION OF WAIVERS ON BEHALF OF BIRCH TELECOM OF MISSOURI, INC. AND IONEX COMMUNICATIONS, INC.

Birch Telecom of Missouri, Inc. dba Birch Communications ("Birch") and Ionex Communications, Inc. dba Birch Communications ("Ionex") (Birch and Ionex collectively, the "Applicants") hereby notify the Commission of their election to waive and be exempt from the application and enforcement of certain commission rules and statutes, pursuant to Sections 392.245.5(8), 392.420 and 392.461, RSMo, as amended by HB 338.

### **Background**

1. Birch is a Delaware corporation with headquarters located at 3060 Peachtree Road NW, Suite 1065, Atlanta, Georgia 30305. Birch is authorized by the Commission to provide local exchange and interexchange services. It is authorized to do business in Missouri and its fictitious name is duly registered with the Missouri Secretary of State. It is an "alternative local exchange telecommunications company" classified as "competitive" which is duly authorized to provide "basic local exchange telecommunications service" within the State of Missouri, as each of those terms is defined in Section 386.020, RSMo. Birch is a wholly owned

Docket Nos. TA-97-371 and TA-97-372.

See Exhibit 1.

See Exhibit 2.

subsidiary of Birch Communications Inc. ("BCI"), a Georgia corporation with headquarters located at 3060 Peachtree Road NW, Suite 1065, Atlanta, Georgia 30305. BCI and its subsidiaries provide telecommunications services to both business and residential customers in 38 states.

- 2. Ionex is a Kansas corporation with headquarters located at 3060 Peachtree Road NW, Suite 1065, Atlanta, Georgia 30305. Ionex is authorized by the Commission to provide local exchange and interexchange services. It is authorized to do business in Missouri and its fictitious name is duly registered with the Missouri Secretary of State. It is an "interexchange telecommunications company" classified as "competitive" hat is duly authorized to provide "interexchange telecommunications service" within the State of Missouri, as each of those terms is defined in Section 386.020, RSMo. Ionex is also a wholly owned subsidiary of BCI.
- 3. Neither Birch nor Ionex have any pending action or final unsatisfied judgments or decisions against them from any state or federal agency or court that involve Missouri retail customer service or rates, which action, judgment or decision has occurred within three (3) years of the date of this Notification.
- 4. Neither Birch nor Ionex have any overdue annual reports or regulatory assessment fees in Missouri.
- 5. For purposes of this Notification only, Birch and Ionex may be contacted at the electronic mail address, facsimile and telephone numbers of its attorneys, as set out under the signature block of this Notification. All correspondence, pleadings, orders, decisions, and communications regarding this Notification should be sent to:

<sup>&</sup>lt;sup>4</sup> Docket No. TA-93-332.

See Exhibit 3.

See Exhibit 4.

Leland B. Curtis Carl J. Lumley Curtis, Heinz, Garrett & O'Keefe, PC 130 S. Bemiston, Suite 200 Clayton, Missouri 63105

Tel: (314) 725-8788 Fax: (314) 725-8789

E-mail: <u>lcurtis@lawfirmemail.com</u> clumley@lawfirmemail.com

### **Election of Waivers**

6. In accordance with sections 392.245.5(8), 392.420 and 392.461, as amended by HB 338, Birch and Ionex hereby exercise their statutory right to elect to waive the application and enforcement of the statutes and rules listed in Paragraph 4 of the Motion of the Staff of the Missouri Public Service Commission filed October 18, 2011 in File No. TE-2012-0073, to the extent not already waived for these entities.<sup>7</sup>

**WHEREFORE**, Birch and Ionex hereby notify the Commission of its election made pursuant to this Notification of Election, commencing on the date of this filing.

See Exhibit 5.

Respectfully submitted,

Dated: August 17, 2012

Birch Telecom of Missouri, Inc. d/b/a Birch Communications and Ionex Communications, Inc. d/b/a Birch Communications

By: \_\_/s/ Leland B. Curtis Leland B. Curtis, #20550 Carl J. Lumley, #32869 Curtis, Heinz, Garrett & O'Keefe, PC 130 S. Bemiston, Suite 200 Clayton, Missouri 63105 Tel: (314) 725-8788

Fax: (314) 725-8789 E-mail: <u>lcurtis@lawfirmemail.com</u> <u>clumley@lawfirmemail.com</u>

Attorneys for Birch Telecom of Missouri d/b/a Birch Communications and Ionex Communications d/b/a Birch Communications COUNTY OF JACKSON )
SS:
STATE OF MISSOURI )

### **VERIFICATION**

I, Christopher J. Bunce, being duly sworn upon my oath, state that I am over twenty-one, am sound of mind, and am authorized to act on behalf of Birch Telecom of Missouri, Inc. dba Birch Communications and Ionex Communications, Inc. dba Birch Communications regarding the document to which this Verification is appended. I have read the document and verify that the facts contained in it are true and correct according to the best of my knowledge, information and belief.

Sworn and subscribed to before me this f(att) day of f(att), 2012

Notary Public-Notary Seal STATE OF MISSOURI
Platte County
My Commission Expires June 9, 2

My Commission Expires June 9, 2014 Commission # 10887286

Juity Brown

## **Certificate of Service**

I hereby certify that copies of the foregoing were mailed, hand-delivered, transmitted by facsimile or electronically mailed to the following the 17th day of August, 2012.

/s/ Leland B. Curtis

General Counsel
Kevin Thompson
Missouri Public Service Commission
P.O. Box 360
Jefferson City, MO 65102
gencounsel@psc.mo.gov
kevin.thompson@psc.no.gov

Office of Public Counsel P.O. Box 7800 Jefferson City, MO 65102 opcservice@ded.mo.gov

## Exhibit 1

# STATE OF MISSOURI



## Robin Carnahan Secretary of State

# CORPORATION DIVISION CERTIFICATE OF GOOD STANDING

I, ROBIN CARNAHAN, Secretary of the State of Missouri, do hereby certify that the records in my office and in my care and custody reveal that

### BIRCH TELECOM OF MISSOURI, INC.

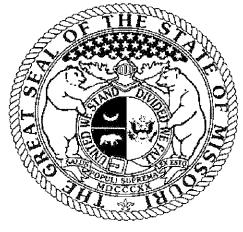
using in Missouri the name

## BIRCH TELECOM OF MISSOURI, INC. F00437977

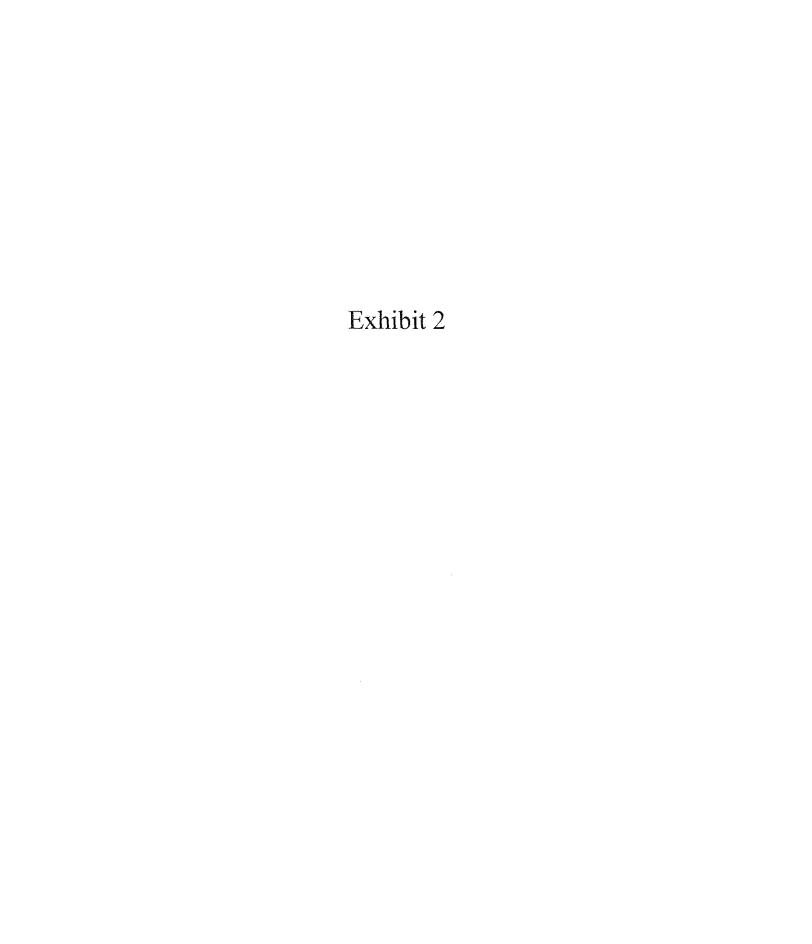
a DELAWARE entity was created under the laws of this State on the 28th day of February, 1997, and is in good standing, having fully complied with all requirements of this office.

IN TESTIMONY WHEREOF, I have set my hand and imprinted the GREAT SEAL of the State of Missouri, on this, the 17th day of August, 2012

Secretary of State



Certification Number: 14874599-1 Reference: Verify this certificate online at https://www.sos.mo.gov/businessentity/soskb/verify.asp





### X00882100

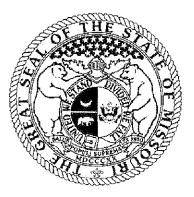
#### CERTIFICATE OF CORPORATE RECORDS

#### BIRCH COMMUNICATIONS

I, ROBIN CARNAHAN, Secretary of the State of the State of Missouri and Keeper of the Great Seal thereof, do hereby certify that the annexed pages contain a full, true and complete copy of the original documents on file and of record in this office for which certification has been requested.

IN TESTIMONY WHEREOF, I have set my hand and imprinted the GREAT SEAL of the State of Missouri, on this, the 17th day of August, 2012

Secretary of State



Certification Number: 14874600-1 Reference: Verify this certificate online at https://www.sos.mo.gov/businessentity/soskb/verify.asp



## State of Missouri

Robin Carnahan, Secretary of State

Corporations Division P.O. Box 778 / 600 W. Main Street, Rm 322 Jefferson City, MO 65102

## Registration of Fictitious Name

(Submit with filing fee of \$7) (Must be typed or printed)

File Number:

X00882100

Date Filed: 03/13/2008

Expiration Date: 03/13/2013

Robin Carnahan

Secretary of State

This information is for the use of the publicep another person or business entity fro filing date. (Chapter 417, RSMo)	lic and gives no prote m adopting and using	ection to the name being reg g the same name. The fiction	gistered. There is no pro tious name registration e	vision in this Chapter to expires 5 years from the
The undersigned is doing busing	ess under the follow	ving name, and at the follo	owing address:	
Business name to be registered:	Birch Communications			
Business Address:	2300 Main Street Suite 600			
(P.O. Box may only be used in addition to	•			
City, State and Zip Code:	Kansas City, MO 64108			
The parties having an interest in the busine percentage owned. If all parties are jointly Name of Owners, Individual or Business Entity Street Birch Telecom of Missouri, Inc. 2300 M	and severally hable,	ge they own are (If a busine , percentage of ownership r City and State Kansas City, MO	ess entity is owner, indicated not be listed.):  Zip Code 64108	ate business name and If listed, Percentage of ownership must equal 100%
In Affirmation thereof, the facts stated a (The undersigned understands that false statements m	ade in this filing are subje	ct to the penalties provided under		
Mado		Vincent M. Oddo, Preside	ent/CEO	3/4/08
Authofized Signature		Printed Name		Date
Authorized Signature		Printed Name	D	Date
Authorized Signature		Printed Name		D

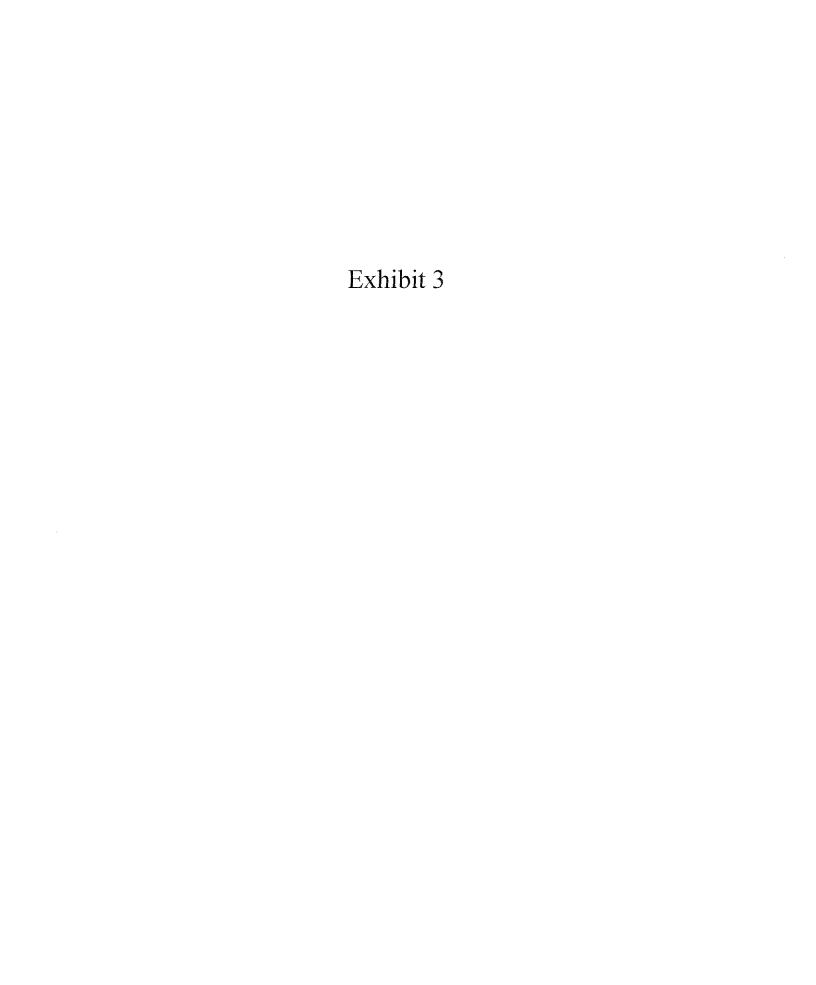
Name and address to return filed document:

Name: Phyllis Miller c/o Technologies Management, In Address: PO Drawer 200

City, State, and Zip Code: Winter Park, FL 32190

State of Missouri Fictitious Creation 1 Page(s) Date





# STATE OF MISSOURI



## Robin Carnahan Secretary of State

# CORPORATION DIVISION CERTIFICATE OF GOOD STANDING

I, ROBIN CARNAHAN, Secretary of the State of Missouri, do hereby certify that the records in my office and in my care and custody reveal that

### IONEX COMMUNICATIONS, INC.

using in Missouri the name

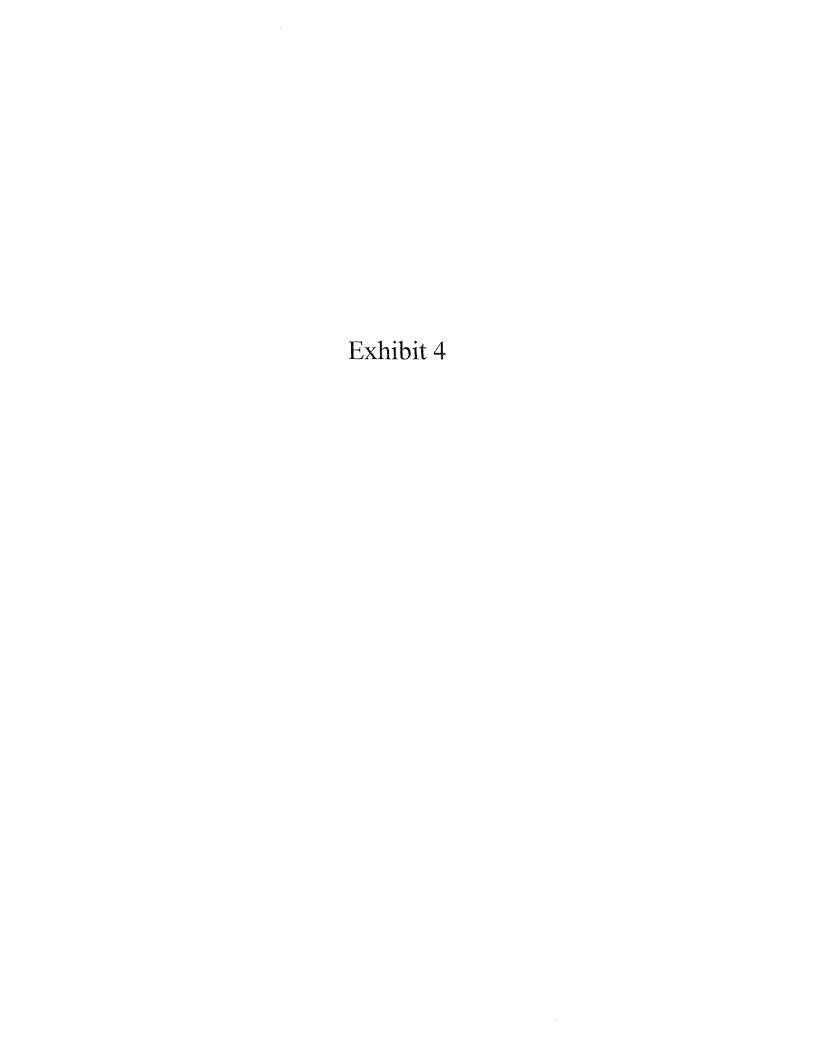
## IONEX COMMUNICATIONS, INC. F00374226

a KANSAS entity was created under the laws of this State on the 14th day of December, 1992, and is in good standing, having fully complied with all requirements of this office.

IN TESTIMONY WHEREOF, I have set my hand and imprinted the GREAT SEAL of the State of Missouri, on this, the 17th day of August, 2012

Secretary of State

Certification Number: 14874598-1 Reference: Verify this certificate online at https://www.sos.mo.gov/businessentity/soskb/verify.asp





#### X00882051

#### CERTIFICATE OF CORPORATE RECORDS

#### BIRCH COMMUNICATIONS

I, ROBIN CARNAHAN, Secretary of the State of the State of Missouri and Keeper of the Great Seal thereof, do hereby certify that the annexed pages contain a full, true and complete copy of the original documents on file and of record in this office for which certification has been requested.

IN TESTIMONY WHEREOF, I have set my hand and imprinted the GREAT SEAL of the State of Missouri, on this, the 17th day of August, 2012

Secretary of State



Certification Number: 14874601-1 Reference:

Verify this certificate online at https://www.sos.mo.gov/businessentity/soskb/verify.asp



## State of Missouri

Robin Carnahan, Secretary of State

Corporations Division P.O. Box 778 / 600 W. Main Street, Rm 322 Jefferson City, MO 65102

## Registration of Fictitious Name

(Submit with filing fee of \$7) (Must be typed or printed) File Number:

X00882051

Date Filed: 03/13/2008

Expiration Date: 03/13/2013

Robin Carnahan

Secretary of State

This information is for the use of th keep another person or business ent- filing date. (Chapter 417, RSMo)	e public and gives no protectity from adopting and using	etion to the name being reg the same name. The fiction	gistered. There is no pro- tious name registration ex	vision in this Chapter to xpires 5 years from the		
The undersigned is doing	business under the follow	ing name, and at the follo	owing address:			
Business name to be registe	Direk Communic	Birch Communications 2300 Main Street Suite 600				
Business Address:						
(P.O. Box may only be used in add	lition to a physical street address)					
City, State and Zip Code:	Kansas City, MO	Kansas City, MO 64108				
•	Street and Number 1300 Main St Suite 600	City and State Kansas City, MO	Zip Code 64108	If listed, Percentage of ownership must equal 100%		
In Affirmation thereof, the facts st The undersigned understands that false states	nents made in this filing are subject	OFFECT: It to the penalties provided under	Section 575.060 RSMo)			
1/2 888.	>	Vincent M. Oddo, Preside	ent/CEO	3/4/08		
Authorized Signature		Printed Name		Date		
Authorized Signature		Printed Name		Date		
Authorized Signature		Printed Name		Date		

Name and address to return filed document:

Name: Phyllis Miller c/o Technologies Management, In

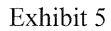
Address: PO Drawer 200

City, State, and Zip Code: Winter Park, FL 32190

State of Missouri Fictitious Creation 1 Page(s)

T0807701050

Corp. 56 (01/05)



## BEFORE THE PUBLIC SERVICE COMMISSION OF THE STATE OF MISSOURI

In the Matter of the Waiver of	)	
Certain Rules and Statutes to	)	File No. TE-2012-0073
Telecommunications Companies	)	

### **STAFF MOTION**

COMES Now the Staff of the Missouri Public Service Commission and for its Motion states:

- 1. On August 28, 2008, §392.245.5(8) and §392.420 RSMo were amended by H.B. 1779, allowing regulated telecommunications companies to apply for or elect waivers of certain provisions of statutes and regulations.
  - 2. This year, on August 28, H.B. 338 added §392.461, which provides as follows: A telecommunications company may, upon written notice to the commission, elect to be exempt from certain retail rules relating to:
    - (1) The provision of telecommunications service to retail customers and established by the commission which include provisions already mandated by the Federal Communications Commission, including but not limited to federal rules regarding customer proprietary network information, verification of orders for changing telecommunications service providers (slamming), submission or inclusion of charges on customer bills (cramming); or
    - (2) The installation, provisioning, or termination of retail service.
- 3. The Staff moves that the Commission require telecommunications companies who seek or elect waivers to file a Notice of Election of Waivers into this case file. The Staff notes that the assignment of discrete file numbers to notices of election has made the granted waivers difficult to track. In this Motion, the Staff also recommends that the Commission allow companies to elect a waiver of the requirement that they list waivers in their tariffs;

therefore the use of a single file will act as a simple repository of these Notices of Election, and will significantly enhance the ability to track which companies have which waivers in the future. Should companies file Notices of Election under other file numbers, the Staff requests that the other matter be subsumed under this file number.

4. The Staff believes the following waivers are consistent with §§392.245.5(8), 392.420and §392.461; the Staff recommends that the Commission grant them if they are requested or elected by any certificated telecommunications company:

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392.210.2 Accounting requirements (system of accounts)
392.240.1 Reasonableness of rates
392.270 Accounting requirements (valuation of property)
392.280 Accounting requirements (depreciation rates/accounts)
392.290 Issuance of stocks, bonds and other indebtedness
392.300 Transfer of property and ownership of stock
392.310 Approval of issuing stocks, bonds and other indebtedness
392.320 Certificate of Commission to be recorded-stock dividends
392.330 Accounting requirements (proceeds of sales of stock, bonds, notes, etc.)
392.340 Company reorganization
4 CSR 240-3.520 Applications to sell or transfer assets
4 CSR 240-3.525 Applications to merge or consolidate
4 CSR 240-3.530 Applications to issue stocks, obtain loans
4 CSR 240-3.535 Applications to acquire stock
4 CSR 240-3.545(8)(C) Listing of Waivers in Tariff
4 CSR 240-3.550 Telco Records and Reports (except (5)(B), (D) and (E))
4 CSR 240-3.555 Residential Customer Inquiries
4 CSR 240-3.560 Procedure for Ceasing Operations
4 CSR 240-10.020 Depreciation Records
4 CSR 240-30.020 Residential Telephone Underground Systems
4 CSR 240-30.040 Uniform System of Accounts
4 CSR 240-32.010 General Provisions
4 CSR 240-32.040 Metering, Inspections and Tests
4 CSR 240-32.050 Customer Services
4 CSR 240-32.060 Engineering and Maintenance
4 CSR 240-32.070 Quality of Service
4 CSR 240-32.080 Service objectives and surveillance levels
4 CSR 240-32.090 Connection of equipment and Inside Wiring
4 CSR 240-32.100 Provision of Basic Local and Interexchange Services
4 CSR 240-32.130-170 Prepaid Calling Cards (except 32.140 and 32.150(1))
4 CSR 240-32.180-190 Caller ID blocking requirements
4 CSR 240-33.010 Service and Billing Practice General Provisions
4 CSR 240-33.040 Billing and Payment standards
4 CSR 240-33.045 Clear identification and placement of charges on bills
4 CSR 240-33.050 Deposits
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- 4 CSR 240-33.060 Residential Customer Inquiries
- 4 CSR 240-33.070 Discontinuance of service
- 4 CSR 240-33.080 Disputes by Residential Customers
- 4 CSR 240-33.090 Settlement agreements with residential customers
- 4 CSR 240-33.130 Operator service requirements
- 4 CSR 240-33.140 Payphone requirements (except (2))
- 4 CSR 240-33.150 "Anti-slamming" requirements
- 4 CSR 240-33.160 Customer Proprietary Network Information
- 5. The Staff requests that the Commission require the Notices of Election to simply state either that "the Company elects the waivers set out in Paragraph 4 of the Staff's Motion" or that "the Company elects the waivers set out in Paragraph 4 of the Staff's Motion with the following exceptions:..." This will allow for considerable lessening of the administrative burden to the Staff of comparing the lists of waivers to ensure that they are correct.

WHEREFORE, the Staff moves that the Commission establish the procedures whereby telecommunications companies may seek or elect waivers by filing Notices of Election as set forth above.

Respectfully submitted,

Colleen M. Dale Senior Counsel Missouri Bar No. 31624 Attorney for the Staff of the

Missouri Public Service Commission

P. O. Box 360

Jefferson City, MO 65102 (573) 751-4255 (Telephone)

cully.dale@psc.mo.gov

## **CERTIFICATE OF SERVICE**

I hereby certify that copies of the foregoing have been mailed, hand-delivered, transmitted by facsimile or electronically mailed to all counsel of record this  $18^{th}$  day of October, 2011.