# STATE OF MISSOURI PUBLIC SERVICE COMMISSION JEFFERSON CITY May 23, 2000

CASE NO: TC-2000-767

Office of the Public Counsel P.O. Box 7800 Jefferson City, MO 65102

GTE Midwest Incorporated
1000 GTE Drive
Wentzville, MO 63385
Mark Rundel
Stone County Emergency Services
PO Box 206
Galena, MO 65656

General Counsel
Missouri Public Service Commission
P.O. Box 360
Jefferson City, MO 65102

Southwestern Bell Telephone Company One Bell Center St. Louis, MO 63101

Enclosed find certified copy of a NOTICE in the above-numbered case(s).

Dale Hardy Roberts

Aincerely, HARD Roberts

Secretary/Chief Regulatory Law Judge

## BEFORE THE PUBLIC SERVICE COMMISSION OF THE STATE OF MISSOURI

Stone County Emergency Services,	)
Complainant,	)
v.	) Case No. TC-2000-767
GTE Midwest Incorporated and Southwestern Bell Telephone Company,	) )
Respondents.	)

## NOTICE OF COMPLAINT

GTE Midwest Incorporated 1000 GTE Drive Wentzville, Missouri 63385

and

Southwestern Bell Telephone Company One Bell Center St. Louis, Missouri 63101

#### CERTIFIED MAIL

On May 18, 2000<sup>1</sup>, Stone County Emergency Services filed a complaint with the Missouri Public Service Commission against GTE Midwest Incorporated and Southwestern Bell Telephone Company. A copy of that Complaint is enclosed. As provided in 4 CSR 240-2.070, Respondents, GTE Midwest Incorporated and Southwestern Bell Telephone Company, shall have 30 days from the date of this notice to file an answer or to file notice that the complaint has been satisfied.

In the alternative, GTE Midwest Incorporated or Southwestern Bell Telephone Company, may file a written request that the complaint be referred to a neutral third-party mediator for **voluntary mediation** of the complaint. Upon receipt of a request for mediation, the 30-day time period shall be tolled while the Commission determines whether or

<sup>&</sup>lt;sup>1</sup> This complaint was originally sent to the Customer Services unit of the Public Service Commission where it was received on May 11. A delay resulted as the complaint was transferred to the Secretary of the Commission for filing.

not the other parties are also willing to submit to voluntary mediation. If the other parties agree to mediation, the time period within which an answer is due will be suspended while the mediation process proceeds. Additional information regarding the mediation process is enclosed.

If the other parties decline the opportunity to seek mediation, GTE Midwest Incorporated and Southwestern Bell Telephone Company will be notified in writing that the tolling has ceased and will also be notified of the date by which an answer or notice of satisfaction must be filed. That period will usually be the remainder of the original 30-day period.

All pleadings (the answer, the notice of satisfaction of complaint, or request for mediation) shall be mailed to:

Secretary of the Public Service Commission P.O. Box 360 Jefferson City, Missouri 65102-0360

A copy of all pleadings shall be served upon Stone County Emergency Services through its attorney, Mark Rundel, P.O. Box 206, Galena, Missouri 65656. A copy of this notice has been mailed to the Complainant.

BY THE COMMISSION

Hole HARD Roberts

Dale Hardy Roberts

Secretary/Chief Regulatory Law Judge

(SEAL)

Dated at Jefferson City, Missouri, on this 23rd day of May, 2000.

Copy to: Mark Rundel

Attorney for Stone County Emergency Services

Woodruff, Regulatory Law Judge

FILED<sup>3</sup>

MAY 1 8 2000

## BEFORE THE PUBLIC SERVICE COMMISSION OF THE STATE OF MISSOURI

Missouri Public Service Commission

STONE COUNTY EMERGENCY SERVICES

Complainant,

DECEIVE

٧.

Case Number:

MAY 1 1 2000

GTE Mid-West and SouthWestern Bell

CUSTOMER SERVICES
PUBLIC SERVICE COMMISSION

Respondents.

COMPLAINT

TC-2000-767

Complainant resides at 105 StoneBridge Parkway, Reeds Spring, Missouri 65737 and is a duly constituted political subdivision located in Stone County, Missouri.

- 1. Respondent, GTE Mid-West of Wentzville, Missouri, herein after GTE and SouthWestern Bell, herein after SWB are public utilities under the jurisdiction of the Public Service Commission of the State of Missouri.
- 2. As the basis of this complaint, complainant states the following facts:
- a) GTE and SWB fail to provide 911 service to certain customers of GTE in Stone County, Missouri.
- b) GTE and SWB have erroneously collected taxes for 911 services for other counties from citizens who reside in Stone County.
- c) GTE and SWB fail to deliver enhanced 911 service to the Stone County 911 Center (PSAP), specifically ALI (automatic location identification) is not provided as required by contract.
- d) GTE and SWB refuse to correct their respective data bases even though corrective information as to addresses and other information has been provided by Complainant and said companies insist that all costs associated with said corrective action be paid by Complainant.
- 3. The complainant has taken the following steps to present this complaint to the Respondent:
- a) Three years of documentation, formal letters, hand written notes, telephone calls, minutes taken during formal meetings, e-mail, fax communications, notes from meetings and public statements made by telephone company personnel.

WHEREFORE, Complainant now requests the following relief.

That GTE and SWB be required to immediately correct data information and provide the contracted services for enhanced 911 services, that the companies be directed to cease assessing taxes to the residents of Stone County for 911 service centers located in other counties, that 911 service be provided to all customers located within Stone County, that all these services be provided.

MAY 17 2000

Adjudication Division
Public Service Commission

immediately in the interest of public safety and for such other relief as the Commission deems to be just and proper.

DATE /

Mark Rungel Mo. Bar #30464

Attorney for

Stone County Emergency Services

P.O. Box 206

Galena, MO 65656

(417) 357-6180



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SHEILA LUMPE Chair

HAROLD CRUMPTON

**CONNIE MURRAY** 

ROBERT G. SCHEMENAUER

M. DIANNE DRAINER Vice Chair

## Missouri Public Serbice Commission

POST OFFICE BOX 360 JEFFERSON CITY, MISSOURI 65102 573-751-3234 573-751-1847 (Fax Number) http://www.ecodev.state.mo.us/psc/ BRIAN D. KINKADE Executive Director

GORDON L. PERSINGER Director, Research and Public Affairs

> WESS A. HENDERSON Director, Utility Operations

ROBERT SCHALLENBERG Director, Utility Services

DONNA M. KOLILIS Director, Administration

DALE HARDY ROBERTS Secretary/Chief Regulatory Law Judge

> DANA K. JOYCE General Counsel

### Information Sheet Regarding Mediation of Commission Formal Complaint Cases

Mediation is process whereby the parties themselves work to resolve their dispute with the aid of a neutral third-party mediator. This process is sometimes referred to as "facilitated negotiation." The mediator's role is advisory and although the mediator may offer suggestions, the mediator has no authority to impose a solution nor will the mediator determine who "wins." Instead, the mediator simply works with both parties to facilitate communications and to attempt to enable the parties to reach an agreement which is mutually agreeable to both the complainant and the respondent.

The mediation process is explicitly a problem-solving one in which neither the parties nor the mediator are bound by the usual constraints such as the rules of evidence or the other formal procedures required in hearings before the Missouri Public Service Commission. Although many private mediators charge as much as \$250 per hour, the University of Missouri-Columbia School of Law has agreed to provide this service to parties who have formal complaints pending before the Public Service Commission at no charge. Not only is the service provided free of charge, but mediation is also less expensive than the formal complaint process because the assistance of an attorney is not necessary for mediation. In fact, the parties are encouraged not to bring an attorney to the mediation meeting.

The formal complaint process before the Commission invariably results in a determination by which there is a "winner" and a "loser" although the value of winning may well be offset by the cost of attorneys fees and the delays of protracted litigation. Mediation is not only a much quicker process but it also offers the unique opportunity for informal, direct communication between the two parties to the complaint and mediation is far more likely to result in a settlement which, because it was mutually agreed to, pleases both parties. This is traditionally referred to as "win-win" agreement.

The traditional mediator's role is to (1) help the participants understand the mediation process, (2) facilitate their ability to speak directly to each other, (3) maintain order, (4) clarify misunderstandings, (5) assist in identifying issues, (6) diffuse unrealistic expectations, (7) assist in translating one participant's perspective or proposal into a form that is more understandable and acceptable to the other participant, (8) assist the participants with the actual negotiation process, (9) occasionally a mediator may propose a possible solution, and (10) on rare occasions a mediator may encourage a participant to accept a particular solution. The mediator will not possess any specialized knowledge of the utility industry or of utility law.

In order for the Commission to refer a complaint case to mediation, the parties must both agree to mediate their conflict in good faith. The party filing the complaint must agree to appear and to make a good faith effort to mediate and the utility company against which the complaint has been filed must send a representative who has full authority to settle the complaint case. The essence of mediation stems from the fact that the participants are both genuinely interested in resolving the complaint.

Because mediation thrives in an atmosphere of free and open discussion, all settlement offers and other information which is revealed during mediation is shielded against subsequent disclosure in front of the Missouri Public Service Commission and is considered to be privileged information. The only information which must be disclosed to the Public Service Commission is (a) whether the case has been settled and (b) whether, irrespective of the outcome, the mediation effort was considered to be a worthwhile endeavor. The Commission will not ask what took place during the mediation.

If the dispute is settled at the mediation, the Commission will require a signed release from the complainant in order for the Commission to dismiss the formal complaint case.

If the dispute is not resolved through the mediation process, neither party will be prejudiced for having taken part in the mediation and, at that point, the formal complaint case will simply resume its normal course.

Date: January 25, 1999

Dale Hardy Roberts

Secretary of the Commission

Hole Hard Roberts

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## STATE OF MISSOURI OFFICE OF THE PUBLIC SERVICE COMMISSION

I have compared the preceding copy with the original on file in this office and I do hereby certify the same to be a true copy therefrom and the whole thereof.

WITNESS my hand and seal of the Public Service Commission, at Jefferson City, Missouri, this 23<sup>rd</sup> day of May 2000.

Dale Hardy Roberts

Secretary/Chief Regulatory Law Judge

Hole Hold Roberts