MEMORANDUM

TO:

Dale Hardy Roberts, Secretary

DATE:

August 16, 1999

RE:

Authorization to File Proposed Rules With the Office of Secretary of

State

CASE NOS:

AX-2000-108 through AX-2000-131

AGENDA DATE:

August 17, 1999

The undersigned Commissioners hereby authorize the Secretary of the Missouri Public Service Commission to file Proposed Rules with the Office of Secretary of State, as listed on the attached sheet.

Sheila Lumpe, Chair

Harold Crumpton, Commissioner

Connie Murray, Commissioner

Robert Schemenauer, Commissioner

M. Dianne Drainer, Vice Chair

Proposed Rules:

Rule: 4 CSR 240-2.010 - Definitions

4 CSR 240-2.015 - Waiver of Rules

4 CSR 240-2.040 - Practice Before the Commission

4 CSR 240-2.050 – Computation of Effective Dates

4 CSR 240-2.060 - Applications

4 CSR 240-2.065 - Tariff Filings Which Create Cases

4 CSR 240-2.070 - Complaints

4 CSR 240-2.075 - Intervention

4 CSR 240-2.080 - Pleadings, Filing, and Service

4 CSR 240-2.085 - Protective Orders

4 CSR 240-2.090 - Discovery and Prehearings

4 CSR 240-2.100 - Subpoenas

4 CSR 240-2.110 - Hearings

4 CSR 240-2.115 - Nonunanimous Stipulations and Agreements

4 CSR 240-2.116 - Dismissal

4 CSR 240-2.120 – Presiding Officers

4 CSR 240-2.125 - Procedures for Alternative Dispute Resolution

4 CSR 240-2.130 - Evidence

4 CSR 240-2.140 - Briefs and Oral Argument

4 CSR 240-2.150 - Decisions of the Commission

4 CSR 240-2.160 - Rehearings or Reconsideration

4 CSR 240-2.180 - Rulemaking

4 CSR 240-2,200 - Small Company Rate Increase Procedure



REBECCA MCDOWELL COOK Secretary of State Administrative Rules Division RULE TRANSMITTAL AUG 24 1999 SECRETARY OF STATE ADMINISTRATIVE RULES DIVISION

Nam	ne of Person to call with qu	estions about t	his rule:							
Con		Phone	573-751-4255	FAX	573-751-1847					
	Entry Lonnell Boyce	Phone	573-751-3350	FAX	573-751-1847					
Inter	ragency Mailing Address	Truman Bldg., 3	01 W. High St., Room	530, Jefferson	City, MO					
	Statutory Provision for Rulemaking									
Authority § 386.410			vide Most Current	RSMo Year	1998					
Date	Date Filed With the Joint Committee on Administrative Rules Exempt per Sections 536.024 and									
	Date Filed With the Joint Committee on Administrative Rules Exempt per Sections 536.024 and 536.037, RSMo Supp. 1998, and Executive Order No. 97-97 (June 27, 1997)									
	757, 165110 Supp. 1550, and LA	SOMETO CITAL TO.	51 57 (June 27, 1557)							
CHECK, IF INCLUDED:		FO	FORMS, List by Mo-Form Number, # of Pages							
Cover Letter			•		<i>,</i>					
V	Affidavit			, <u></u> .						
	Cost Statements		 	. <u> </u>						
	Public Entity Fiscal Note		OTHER Takings Analysis							
	Private Entity Fiscal Note		Small Business Impact Analysis							
	RULEMAKING ACTION TO BE TAKEN									
RUI	LEMAKING ACTION TO	BE TAKEN		Emergency Rulemaking, Must Specify Effective Date						
RUI	Emergency Rulemaki	ng, Must Speci	fy Effective Date							
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RULE TRANSMITTAL (PAGE 2)

E.	ORDER OF	RULEMAKING: Rule Number	(Not Applicable)
	1 a .	Effective Date for the Order Statutory 30 days	or later specific date
	1b.	Does the Order of Rulemaking of YES	ontain changes to the rule text? NO

- 1c. If the answer is YES, please complete section F. If the answer is NO, Stop here.
- F. Please provide a complete list of the changes in the rule text for the order or rulemaking, indicating the specific section, subsection, subparagraph, part, etc., where each change is found.

(Start text here. If text continues to a third page, insert a continuous section break and, in section 3, delete the footer language that appears at the bottom of this page.)

NOTE: ALL changes MUST be specified here in order for those changes to be made in the rule as published in the Missouri Register and the Code of State Regulations.



Commissioners

SHEILA LUMPE Chair

HAROLD CRUMPTON

CONNIE MURRAY

ROBERT G. SCHEMENAUER

M. DIANNE DRAINER Vice Chair

Missouri Public Service Commission

POST OFFICE BOX 360 JEFFERSON CITY, MISSOURI 65102 573-751-3234

573-751-1847 (Fax Number) http://www.ecodev.state.mo.us/psc/

August 24, 1999

GORDON L. PERSINGER Acting Executive Director Director, Research and Public Affairs

> WESS A. HENDERSON Director, Utility Operations

ROBERT SCHALLENBERG Director, Utility Services

DONNA M. KOLILIS Director, Administration

DALE HARDY ROBERTS ecretary/Chief Regulatory Law Judge



RECEIVED

AUG 24 1999 SECRETARY OF STATE ADMINISTRATIVE RULES DIVISION

Honorable Rebecca McDowell Cook Secretary of State 600 West Main Street Jefferson City, Missouri 65101

ATTENTION: Administrative Rules Division

I do hereby certify that the attached are accurate and complete copies of the Proposed Rules lawfully submitted by the Missouri Public Service Commission for filing this 24th day of August, 1999, and that a takings analysis and small business impact analysis have occurred.

Rule: 4 CSR 240-2.010 – Definitions

4 CSR 240-2.015 - Waiver of Rules

4 CSR 240-2.040 – Practice Before the Commission

4 CSR 240-2.050 - Computation of Effective Dates

4 CSR 240-2.060 - Applications

4 CSR 240-2.065 - Tariff Filings Which Create Cases

4 CSR 240-2.070 - Complaints

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4 CSR 240-2.090 - Discovery and Prehearings

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4 CSR 240-2.160 - Rehearings or Reconsideration

4 CSR 240-2.180 - Rulemaking

4 CSR 240-2.200 - Small Company Rate Increase Procedure

Honorable Rebecca McDowell Cook Page two August 24,1999

Statutory authority: 386.410, RSMo Supp. 1998

Missouri Public Service Commission Case Nos.: AX-2000-108 through AX-2000-131

If there are any questions, please contact: (These rules are assigned to several regulatory law judges.

Please refer to the transmittal form for the name, phone number and fax number of the regulatory law judge assigned

to a particular rule.)

BY THE COMMISSION

Hole Hold Roberts

Dale Hardy Roberts

Secretary/Chief Regulatory Law Judge

DHR:BH:jp

Enclosures: Packets for 23 Proposed Rules

+ Proposed Rules in Word 97 format on 3-1/2" diskette

AFFIDAVIT

RECEIVED

AUG 24 1999
SECRETARY OF STATE
TO THE STATE STAT

STATE OF MISSOURI COUNTY OF COLE

I, Joseph L. Driskill, Director of the Department of Economic Development, first being duly sworn on my oath state that it is my opinion that the cost of Proposed Rule 4 CSR 240-2.060 Applications is less than five hundred dollars in the aggregate to this agency, any other agency of state government or any political subdivision thereof.

Joseph L. Driskill

Department of Economic Development

Subscribed and sworn to before me this 250 day of <u>August</u>
1999. I am commissioned as a notary public within the County of <u>Lobe</u>.
State of Missouri, and my commission expires on <u>April 16, 2003</u>.

LAURA L HOSEINS
NOTARY PUBLIC STATE OF MESOURI
COLE COUNTY
MY COMMISSION EXP. APR. 26,2003

Title 4—DEPARTMENT OF ECONOMIC DEVELOPMENT

Division 240—Public Service Commission Chapter 2—Practice and Procedure

RECEIVED

AUG 24 1999 SECRETARY OF STATE ADMINISTRATIVE RULES DIVISION

PROPOSED RULE

4 CSR 240-2.060 Applications



PURPOSE: Applications to the commission requesting relief under statutory or other authority must meet the requirements set forth in this rule.

- (1) All applications shall comply with the requirements of these rules and shall include the following information:
- (A) The legal name of each applicant, a statement of the nature of each applicant, whether a Missouri corporation, foreign corporation, partnership, proprietorship, or other business organization, the street and mailing address of the principal office or place of business of each applicant and each applicant's electronic mail address, fax number and telephone number, if any;
- (B) If any applicant is a Missouri corporation, a Certificate of Good Standing from the secretary of state;
- (C) If any applicant is a foreign corporation, a certificate from the secretary of state that it is authorized to do business in Missouri;
 - (D) If any applicant is a partnership, a copy of the partnership agreement;
- (E) If any applicant does business under a fictitious name, a copy of the registration of the fictitious name with the secretary of state;
- (F) If any applicant is a political subdivision, a specific reference to and a copy of the statutory provision or other authority under which it operates;
- (G) If any applicant has submitted the applicable information as set forth in subsections (1)(B)--(F) of this rule in a previous application, the same may be incorporated by reference to the case number in which the information was furnished, so long as such applicable information is current and correct;
 - (H) A brief statement of the character of business performed by each applicant;
- (I) Name, title, address and telephone number of the person to whom correspondence, communications and orders and decision of the commission are to be sent, if other than to the applicant's legal counsel;
 - (J) If any applicant is an association, a list of all of its members;
- (K) A statement indicating whether the applicant has any pending or final judgments or decisions against it from any state or federal agency or court which involve customer service or rates;
 - (L) A verified statement that no annual report or assessment fees are overdue; and
- (M) All applications shall be subscribed and verified by affidavit under oath by one (1) of the following methods: if an individual, by that individual; if a partnership, by an authorized member of the partnership; if a corporation, by an authorized officer of the corporation; if a municipality or political subdivision, by an authorized officer of the municipality or political subdivision; or by the attorney for the applicant if the application includes or is accompanied by a verified statement that the attorney is so authorized; and

- (2) If any of the items required under this rule are unavailable at the time the application is filed, they shall be furnished prior to the granting of the authority sought.
- (3) Competitive telecommunications companies are exempt from subsections (7)(A)--(D), (8)(A)--(E), and (11)(C)--(G) of this rule; however, they must file a pleading indicating which company will be holding the certificate of service authority and providing service to Missouri customers, and the tariff under which service will be provided.
- (4) In addition to the requirements of subsection (1), applications for a certificate of convenience and necessity by a gas, electric, water, sewer or heating company shall include the following information:
 - (A) If the application is for a service area:

_1

- 1. A statement as to the same or similar utility service, regulated and nonregulated, available in the area requested;
- 2. If there are ten (10) or more residents or landowners, the name and address of no fewer than ten (10) persons residing in the proposed service area or of no fewer than ten (10) landowners in the event there are no residences in the area, or, if there are fewer than ten (10) residents or landowners, the name and address of all residents and landowners;
 - 3. The legal description of the area to be certificated;
- 4. A plat drawn to a scale of one-half inch (½") to the mile on maps comparable to county highway maps issued by the Missouri Department of Transportation or a plat drawn to a scale of two thousand feet (2,000') to the inch; and
- 5. A feasibility study containing plans and specifications for the utility system and estimated cost of the construction of the utility system during the first three (3) years of construction; plans for financing; proposed rates and charges and an estimate of the number of customers, revenues and expenses during the first three (3) years of operations.
- (B) If the application is for electrical transmission lines, gas transmission lines or electrical production facilities:
- 1. A description of the route of construction and a list of all electric and telephone lines of regulated and nonregulated utilities, railroad tracks or any underground facility, as defined in section 319.015, RSMo, which the proposed construction will cross;
- 2. The plans and specifications for the complete construction project and estimated cost of the construction project or a statement of the reasons the information is currently unavailable and a date when it will be furnished; and
 - 3. Plans for financing.
- (C) When no evidence of approval of the affected governmental bodies is necessary, a statement to that effect.
- (D) When approval of the affected governmental bodies is required, evidence must be provided as follows:
- 1. When consent or franchise by a city or county is required, approval shall be shown by a certified copy of the document granting the consent or franchise, or an affidavit of the applicant that consent has been acquired; and
 - 2. A certified copy of the required approval of other governmental agencies.
- (E) The facts showing that the granting of the application is required by the public convenience and necessity.

- (5) In addition to the requirements of subsection (1), applications for a certificate of interexchange service authority to provide customer-owned coin telephone (COCT) service shall be filed on the form provided by the commission.
- (A) Applications for COCT service shall include a description of the general area in which service is to be offered.
- (B) Providers of COCT service shall be exempt from the provisions of sections 392.390(1) and (3), RSMo, but shall remain subject to the provisions of section 386.370, RSMo.
- (6) In addition to the requirements of subsection (1), applications for a certificate of service authority to provide telecommunications services, whether interexchange, local exchange or basic local exchange, shall include:
- (A) A request to be classified as a competitive telecommunications company, if applicable, and a description of the types of service the applicant intends to provide;
- (B) If the application is for basic local exchange service authority, the applicant shall indicate the exchange(s) in which service is to be offered; and
- (C) A proposed tariff with an effective date which is not fewer than forty-five (45) days after the tariff's issue date.
- (7) In addition to the requirements of subsection (1), applications for authority to sell, assign, lease or transfer assets shall include:
- (A) A brief description of the property involved in the transaction, including any franchises, permits, operating rights or certificates of convenience and necessity;
 - (B) A copy of the contract or agreement of sale;
- (C) The verification of proper authority by the person signing the application or a certified copy of resolution of the board of directors of each applicant authorizing the proposed action;
 - (D) The reasons the proposed sale of the assets is not detrimental to the public interest;
- (E) If the purchaser is subject to the jurisdiction of the commission, a balance sheet and income statement with adjustments showing the results of the acquisitions of the property; and
- (F) For gas, electrical, telecommunications, water and sewer companies, a statement of the impact, if any, the sale, assignment, lease or transfer of assets will have on the tax revenues of the political subdivisions in which any structures, facilities or equipment of the companies involved in that sale are located.
- (8) In addition to the requirements of subsection (1), applications for authority to merge or consolidate shall include:
- (A) A copy of the proposed plan and agreement of corporate merger and consolidation, including organizational charts depicting the relationship of the merging entities before and after the transaction;
- (B) A certified copy of the resolution of the board of directors of each applicant authorizing the proposed merger and consolidation;
- (C) The balance sheets and income statements of each applicant and a balance sheet and income statement of the surviving corporation;
 - (D) The reasons the proposed merger is not detrimental to the public interest;
- (E) An estimate of the impact of the merger on the company's Missouri jurisdictional operations and a list of all documents generated relative to the analysis of the merger and acquisition in question; and

- (F) For gas, electrical, water, sewer and telecommunications companies, a statement of the impact, if any, the merger or consolidation will have on the tax revenues of the political subdivision in which any structures, facilities or equipment of the companies involved are located.
- (9) If the purchaser under either section (7) or (8) is not subject to the jurisdiction of the commission, but will be subject to the commission's jurisdiction after the sale, the purchaser must comply with these rules.
- (10) In addition to the requirements of subsection (1), applications for gas storage companies for authority to acquire property through eminent domain proceedings shall include:
 - (A) The legal description of the areas to be acquired;
 - (B) A map showing the areas to be acquired;
- (C) Names and addresses of all persons who may have any legal or equitable title of record in the property to be acquired; and
 - (D) The reasons it is necessary to acquire the property and why it is in the public interest.
- (11) In addition to the requirements of subsection (1), applications for authority to issue stock, bonds, notes and other evidences of indebtedness shall contain the following:
 - (A) A brief description of the securities which applicant desires to issue;
- (B) A statement of the purpose for which the securities are to be issued and the use of the proceeds;
 - (C) Copies of executed instruments defining the terms of the proposed securities:
- 1. If these instruments have been previously filed with the commission, a reference to the case number in which the instruments were furnished;
- 2. If these instruments have not been executed at the time of filing, a statement of the general terms and conditions to be contained in the instruments which are proposed to be executed; and
- 3. If none of these instruments is either executed or to be executed, a statement of how the securities are to be sold;
- (D) A certified copy of resolutions of the directors of applicant authorizing the issuance of the securities;
- (E) A balance sheet and income statement with adjustments showing the effects of the issuance of the proposed securities upon:
 - 1. Bonded and other indebtedness; and
 - 2. Stock authorized and outstanding;
- (F) A statement of what portion of the issue is subject to the fee schedule in section 386.300, RSMo;
- (G) A five (5)-year capitalization expenditure schedule as required by section 392.310 or 393.200, RSMo.
- (12) In addition to the requirements of subsections (1), applications for authority to acquire the stock of a public utility shall include:
- (A) A statement of the offer to purchase stock of the public utility or a copy of any agreement entered with shareholders to purchase stock;
- (B) A certified copy of the resolution of the directors of applicant authorizing the acquisition of the stock; and

- (C) Reasons why the proposed acquisition of the stock of the public utility is not detrimental to the public interest.
- (13) In addition to the requirements of subsection (1), applications for commission approval of territorial agreements shall include:
- (A) A copy of the territorial agreement and a specific designation of the boundary, including legal description;
- (B) An illustrative tariff which reflects any changes in a regulated utility's operations or certification;
 - (C) An explanation as to why the territorial agreement is in the public interest;
 - (D) A list of all persons whose utility service would be changed by the agreement; and
 - (E) A check for fees required by these rules.
- (14) In addition to the requirements of subsection (1), applications for variances or waivers from commission rules and tariff provisions, as well as those statutory provisions which may be waived, shall contain information as follows:
 - (A) Specific indication of the statute, rule or tariff from which the variance or waiver is sought;
- (B) The reasons for the proposed variance or waiver and a complete justification setting out the good cause for granting the variance or waiver; and
 - (C) The name of any public utility affected by the variance or waiver.
- (15) In addition to the requirements of subsection (1), applications for commission authority for a change of electrical suppliers shall include:
- (A) A description of the structure where the change of supplier is sought, and the street address of the structure;
 - (B) The name and address of the electrical supplier currently providing service to the structure;
 - (C) The name and address of the electrical supplier to which the applicant wishes to change;
 - (D) The applicant's reasons for seeking a change of supplier;
- (E) If the applicant's reasons involve service problems, a description of the problems and dates of occurrence, if known;
- (F) If the applicant's reasons involve service problems, a description of the contacts which applicant has had with the current supplier regarding the problems, if any, and what efforts the current supplier has made to solve the problems, if any;
 - (G) The reasons a change of electrical suppliers is in the public interest;
- (H) If the current electrical supplier and the requested electrical supplier agree to the requested change, a verified statement for each supplier with the application, indicating agreement; and
- (I) If the applicant is an electrical supplier, a list of the names and addresses of all customers whose electrical supplier is proposed to be changed.
- (16) A name change may be accomplished by filing the items below with a cover letter requesting a change of name. Notwithstanding any other provision of these rules, the items required herein may be filed by a non-attorney. Applications for approval of a change of name shall include:
 - (A) A statement, clearly setting out both the old name and the new name;
 - (B) Evidence of registration of the name change with the Missouri secretary of state; and

- (C) Either an adoption notice and revised tariff title sheet with an effective date which is not fewer than thirty (30) days after the filing date of the application, or revised tariff sheets with an effective date which is not fewer than thirty (30) days after the filing date of the application.
- (17) In addition to the requirements of subsection (1), applications for a certificate of service authority to provide shared tenant services (STS) shall be filed on the form provided by the commission.
 - (A) STS applications shall include:
 - 1. A description of all telecommunications services to be offered at the certificated location;
- 2. A description of any non-telecommunications services to be offered at the certificated location;
 - 3. A copy of the contract or contracts to be used with tenants at the certificated location;
 - 4. A copy of the contract or contracts to be signed with the local exchange company (LEC);
 - 5. A description of the type of STS technology to be used at the certificated location;
- 6. A description of the form of interconnection to be used to provide toll services to tenants at the certificated location;
- 7. A copy of the notice used to inform tenants that local exchange access line service may not be immediately available if STS is terminated at the certificated location;
 - 8. A statement of the rates to be charged tenants at the certificated location;
- 9. A statement of the total number of tenants and corresponding stations to be served at the certificated location.
- (B) Applicant shall submit annual reports filed on the form provided by the commission. Each such report shall include a list of all premises at which applicant provides STS, and a list of all STS-related complaints received from tenants, including a summary of the nature of each such complaint, and a list of case numbers for any formal complaints filed with the commission.

AUTHORITY: section 386.410, RSMo Supp. 1998.* Original rule filed Dec. 19, 1975, effective Dec. 29, 1975. Amended: Filed Nov. 7, 1984, effective June 15, 1985. Amended: Filed Sept. 6, 1985, effective Dec. 15, 1985. Amended: Filed Feb. 3, 1987, effective May 1, 1987. Amended: Filed May 11, 1988, effective Aug. 11, 1988. Amended: Filed Feb. 5, 1993, effective Oct. 10, 1993. Rescinded and readopted: Filed March 10, 1995, effective Nov. 30, 1995. Rescinded and readopted: Filed August 24, 1999.

* Original authority 1939, amended 1947, 1977, 1996.

State ex rel. Kansas City Transit, Inc. v. Public Service Commission, 406 SW2d 5 (Mo Banc 1966). Commission is an administrative body of powers limited to those expressly granted by statute or necessary or proper to effectuate statutory purpose. Commission's authority to regulate does not include right to dictate manner in which company conducts its business.

PUBLIC ENTITY COST: This proposed rule will not cost state agencies or political subdivisions more than \$500 in the aggregate.

PRIVATE ENTITY COST: This proposed rule will not cost private entities more than \$500 in the aggregate.

NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to this proposed rule with the Missouri Public Service Commission, Dale Hardy Roberts, Secretary, P.O. Box 360, Jefferson City, MO 65102. To be considered, comments shall be filed on or before Nov. 1, 1999. Comments should refer to Case No. AX-2000-112 and be filed with an original and fourteen (14) copies. No public hearing is scheduled.



Commissioners

SHEILA LUMPE Chair

HAROLD CRUMPTON

CONNIE MURRAY

ROBERT G. SCHEMENAUER

M. DIANNE DRAINER Vice Chair

Missouri Public Service Commission

POST OFFICE BOX 360 JEFFERSON CITY, MISSOURI 65102 573-751-3234 573-751-1847 (Fax Number) http://www.ecodev.state.mo.us/psc/ GORDON L. PERSINGER
Acting Executive Director
Director, Research and Public Affairs

WESS A. HENDERSON Director, Utility Operations

ROBERT SCHALLENBERG Director, Utility Services

DONNA M. KOLILIS Director, Administration

DALE HARDY ROBERTS Secretary/Chief Regulatory Law Judge

> DANA K. JOYCE General Counsel

August 18, 1999

Mr. Dale Hardy Roberts Secretary/Chief Regulatory Law Judge Missouri Public Service Commission Post Office Box 360 Jefferson City, Missouri 65102

RE: Proposed Rescission of Rule 4 CSR 240-2.060 and Adoption of Proposed New Rule 4 CSR

240-2.060

Dear Mr. Roberts:

Executive Order 93-13 requires state agencies to undertake a "takings analysis" of each proposed rule or regulation in light of the United States Supreme Court decision in Lucas v. South Carolina Coastal Council, 112 S. Ct. 2886 (1992). Pursuant to that order, I have undertaken a "takings analysis" of the above-referenced proposed rulemaking. In Lucas, the Court held that state regulation depriving an owner of real property of all economically beneficial use of that property constitutes a "taking" under the Fifth and Fourteenth Amendments of the U.S. Constitution, for which the property owner must be compensated. The Court also held that when state regulations compel a property owner to suffer a permanent physical invasion of his/her property, such an invasion is compensable.

The proposed new rule sets forth requirements which must be met by applications to the Commission requesting relief under statutory or other authority.

Replacing the existing rule with the proposed new rule does not implicate the takings clause of the U.S. Constitution, because the rule does not involve the taking of real property.

Mr. Dale Hardy Roberts Page two August 18, 1999

Please let me know if you have any questions on this issue.

Very truly yours,

Bill Hopkins

Senior Regulatory Law Judge

BH:jp



Commissioners

SHEILA LUMPE Chair

HAROLD CRUMPTON

CONNIE MURRAY

ROBERT G. SCHEMENAUER

M. DIANNE DRAINER Vice Chair

Missouri Public Service Commission

POST OFFICE BOX 360 JEFFERSON CITY, MISSOURI 65102 573-751-3234 573-751-1847 (Fax Number) http://www.ecodev.state.mo.us/psc/ GORDON L. PERSINGER Acting Executive Director Director, Research and Public Affairs

> WESS A. HENDERSON Director, Utility Operations

ROBERT SCHALLENBERG Director, Utility Services

DONNA M. KOLILIS Director, Administration

DALE HARDY ROBERTS Secretary/Chief Regulatory Law Judge

> DANA K. JOYCE General Counsel

August 18, 1999

Mr. Dale Hardy Roberts Secretary/Chief Regulatory Law Judge Missouri Public Service Commission Post Office Box 360 Jefferson City, Missouri 65102

4 CSR 240-2.060

RE:

Dear Mr. Roberts:

Executive Order 96-18 requires state agencies to determine whether a proposed rulemaking will have direct economic impact on small businesses of five hundred dollars or more in the aggregate. A small business is defined in the Executive Order as an independently owned and operated business entity that employs fifty or fewer full-time employees.

Proposed Rescission of Existing Rule 4 CSR 240-2.060 and Adoption of Proposed New Rule

Replacing the existing rule with the proposed new rule will not have a direct economic impact on small businesses of five hundred dollars or more in the aggregate.

Please let me know if you have any questions on this issue.

Very truly yours,

Bill Hopkins Senior Regulatory Law Judge

BH:jp