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10	TRANSCRIPT OF PROCEEDINGS
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12	On The Record Proceeding
12	November 15, 2012
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13	Jefferson City, Missouri
14	Volume 2
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18	(Starting time of proceeding: 10:04 a.m.)
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	PUBLIC SERVICE COMMISSION	
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	TRANSCRIPT OF PROCEEDINGS	
4	On The Record Proceeding	
	November 15, 2012	
5	Jefferson City, Missouri	
	Volume 2	
6		
7		
	The Staff Of the Missouri)	
8	Public Service Commission,)	
)	
9	Complainant,)	
)	
10	vs.) Case No. RC-2012-0421	
11)	
12	Cintex Wireless, LLC,)	
13)	
14	Respondent.)	
15		
16		
17	HAROLD STEARLEY, Presiding,	
18	DEPUTY CHIEF REGULATORY LAW JUDGE	
19		
20	KEVIN D. GUNN, Chairman	
21	TERRY M. JARRETT,	
22	ROBERT S. KENNEY, (via video)	
23	COMMISSIONERS	
24		
25		

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25	

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1	(Starting time of hearing: 10:04 a.m.)
2	PROCEEDINGS
3	JUDGE STEARLEY: All right. Good morning.
4	Today's Thursday, November 15th, 2012. Commission has set
5	this time for an On The Record Proceeding in File No.
6	RC-2012-0421, which is captioned as The Staff of Missouri
7	Public Service Commission versus Cintex Wireless, LLC.
8	My name is Harold Stearley. I'm the Regulatory
9	Law Judge presiding over this proceeding. We'll begin by
10	taking entries of appearance, starting with Cintex.
11	MR. JOHNSON: May it please the Commission, Mark
12	Johnson of the law firm SNR Denton appearing on behalf of
13	Cintex Wireless. My street address is 4520 Main Street,
14	Suite 1100, Kansas City, Missouri, 64113. Appearing also
15	on behalf of Cintex on the telephone today are the
16	Company's President Mr. Brandt Mensch and the Company's
17	General Counsel Mr. Robert Felgar.
18	JUDGE STEARLEY: All right. Thank you, Mr.
19	Johnson.
20	Would the Office of the Public Counsel be on the
21	phone?
22	MR. MENSCH: I am.
23	JUDGE STEARLEY: Is that you, Mr. Mills?
24	MR. MENSCH: This is Brandt Mensch. Yes, I'm a
25	Republican.

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1	JUDGE STEARLEY: All right. I was calling for an
2	entry by the Office of the Public Counsel.
3	(No response.)
4	JUDGE STEARLEY: Let the record reflect they have
5	not entered an appearance here.
6	Are you able to hear me all right on the
7	telephone?
8	MR. MENSCH: Yes.
9	UNIDENTIFIED PERSON: Very well.
10	UNIDENTIFIED PERSON: I can hear you okay.
11	JUDGE STEARLEY: All right. Very good. If we get
12	farther on beyond here, if you have trouble hearing any
13	questions, please let us know.
14	Entry for the Staff of Commission, please.
15	MS. DALE: Colleen M. Dale and Tanya Alm on behalf
16	of the Staff of the Missouri Public Service Commission,
17	P.O. Box 360, Jefferson City, Missouri, 65102.
18	JUDGE STEARLEY: All right. Thank you, Ms. Dale.
19	Initial matter on note that our schedule of
20	witnesses are Natelle Dietrich for Staff and Brandt Mensch
21	for Cintex. We are going to skip over any type of opening
22	statements at this time because the Chairman is on a tight
23	time line this morning. We're going to jump immediately
24	to questions.
25	Prior to doing that, though, I will have Ms.

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- 1 Dietrich and Mr. Mensch sworn in. If you will please
- 2 raise your right hand.
- 3 NATELLE DIETRICH and BRANDT MENSCH, witnesses, were duly
- 4 sworn by the Court Reporter.
- 5 THE COURT: Mr. Mentsch, were you able to hear
- 6 that?
- 7 MS. DIETRICH: Yes, I do.
- 8 MR. MENSCH: This is Mr. Mensch. I do.
- 9 JUDGE STEARLEY: All right. Thank you very much.
- 10 MS. DALE: Excuse me. Your Honor, before we begin
- 11 with the Commissioner questions, I had an opening
- 12 statement prepared, but I have printed copies of it if I
- 13 could distribute it to the two Commissioners that are on
- 14 the bench and to you.
- 15 JUDGE STEARLEY: Well, you can, but I'm going to
- 16 hold on until later. We can take those statements in the
- 17 record later. But we're just going to go ahead and jump
- 18 right now to questioning.
- 19 CHAIRMAN GUNN: I apologize. I have a meeting
- 20 over at the Capitol that I have to attend. If you want to
- 21 put opening statements in, I'm not trying to prevent that.
- 22 MS. DALE: The only thing I did for my opening was
- 23 to correlate the portions of the complaint with the
- 24 portions of the stipulation and agreement so that it might
- 25 be easier to find things for you.

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1	JUDGE STEARLEY: If you want to approach and hand
2	those out, that's fine, Ms. Dale.
3	(Whereupon, Ms. Dale complied.)
4	JUDGE STEARLEY: All right. Let's proceed. Mr.
5	Chairman.
6	CHAIRMAN GUNN: First of all, let me apologize for
7	my tight time frame, but I think I really have two types
8	of questions. The first are legal questions, actually,
9	about the settlement that maybe the lawyers can answer,
10	and then I have some more substantive questions that
11	really are going to be directed more towards Staff and
12	what their understanding is. But, obviously, sometimes
13	there are some there's some language that's slightly
14	inconsistent, and I just want to make sure that what is in
15	the agreement is the same, there is no distinction between
16	the words.
17	So, for example, in Paragraph 10, we use the word
18	"commits", and in the first part of the settlement
19	agreement, we use the word "commits", but then later on in
20	the agreement we start moving into, like, in Paragraph 14
21	we say "agrees". Syntax "agrees" instead of syntax
22	"commits". So, I just want to make it clear or I just
23	want to make sure that there is no difference in the
24	parties understanding about about that agreement and
25	commits are used interchangeably and synonymously in the

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- 1 agreement, that there is no lesser commitment or agreement
- 2 depending on whichever word is used.
- 3 MS. DALE: No. There's no difference. I think
- 4 the only reason why there is a change in language is
- 5 because the various drafts as submitted by Defendant
- 6 people. It just happened to use different words. And
- 7 we're treating them all with the same level of commitment.
- 8 MR. JOHNSON: And on behalf of Cintex, the
- 9 Commission can consider those terms synonymously.
- 10 CHAIRMAN GUNN: Perfect. Thank you.
- 11 The language in the paragraph under the agreements
- 12 which talks about what are triggering events, what
- 13 triggered notice requirements, and I'm just interested why
- 14 we came up with the number of \$250,000, and if we'll go
- 15 from the bottom, it's one, two, three, four, five, six
- 16 statements from the bottom.
- 17 JUDGE STEARLEY: We have Commissioner Kenney on
- 18 iPad video now, so it's very impressive, actually. Good
- 19 to see you.
- 20 CHAIRMAN GUNN: So, we have -- actually, I have
- 21 two questions: Where that 250,000 came from, and I assume
- 22 that \$250,000 level is in no means meant to modify that
- 23 civil lawsuit language in the line directly above that.
- 24 So, it's not civil lawsuits that have damages up to
- 25 \$250,000, it is any civil lawsuit, even if it's, say, done

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1	in adversity jurisdiction in the Federal court which is
2	less lesser than the \$250,000 damage or amounted
3	controversy.
4	But I just wanted to get the rationale where that
5	number came from.
6	MS. DALE: I don't know that we had a specific
7	number for non-frivolous, but we wanted to make sure we
8	got all governmental civil actions, all criminal actions,
9	and all all actions of any kind that were $$250,000$ and
10	above. So, reading that sentence in the disjunctive with
11	"the" or "is".
12	CHAIRMAN GUNN: Right. So, the number was for the
13	purposes of making it at least an unfrivolous
14	MS. DALE: Yes.
15	CHAIRMAN GUNN: Right. But it is not meant to
16	modify the other previous statements.
17	MS. DALE: Exactly, or the following which is the
18	criminal.
19	CHAIRMAN GUNN: Right.
20	MR. JOHNSON: Same answer on behalf of syntax.
21	COMMISSIONER GUNN: Okay. Great. I'm trying to
22	get rid of these yes and no questions at the beginning.
23	So, this is a this is a legal question and
24	but it kind of crosses the line. So, on the Paragraph 30,

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this says that Cintex and Staff agree that the company's

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	Page 17
1	ETC designation may not be revoked prior to our formal
2	hearing, the files and procedures, CSR 242.110, unless the
3	ETC designations. I have a couple of issues with the
4	statement.
5	First of all, I can't tell whether this is an
6	agreement or whether it's what both of you believe the
7	statement of the law is. The current state of law and,
8	if you do that, I don't know that I agree with you on
9	that.
10	But, secondly, I'm concerned about, if we approve
11	this, that this language could be construed as binding
12	other Commissions in making those determinations, which I
13	don't know that we can do or you can do. So, I'm checking
14	to see where whether that whether this really means
15	that Staff will not pursue a revocation action unless
16	there is a rule making, whether this is a statement of
17	what you believe the current state of the law is, um, and
18	whether you think this is binding on future Commissions.
19	So, that's really three questions in one.
20	MR. JOHNSON: Mr. Chairman, could I answer on
21	behalf of Cintex?
22	CHAIRMAN GUNN: Sure.
23	MR. JOHNSON: That is our understanding of the
24	law, is that the Commission does not at the moment have
25	the power to revoke prior to ETC designation, prior to a

Page 1	8
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- 1 formal hearing, but we're not taking the position that the
- 2 Commission cannot initiate a proceeding unless there's
- 3 some new rule promulgated.
- 4 In other words, our position is the Commission
- 5 today has the power to initiate revocation proceedings,
- 6 but final revocation cannot occur until after a formal
- 7 hearing has been concluded.
- 8 CHAIRMAN GUNN: And that was my question. You
- 9 said final revocation. So, are you saying that this
- 10 prohibits provisional revocation pending a formal hearing?
- 11 Like Wisconsin, for example.
- 12 MS. DALE: It's Staff's position that it is not.
- 13 In addition --
- 14 CHAIRMAN GUNN: That it does not?
- 15 MS. DALE: That it does not preclude professional
- 16 revocation.
- 17 CHAIRMAN GUNN: But that it precludes final
- 18 revocation without a formal hearing?
- MS. DALE: Well, and I notice that later in the
- 20 paragraph the actual words of an opportunity for a formal
- 21 hearing are used, and that really should have been -- I
- 22 mean, there's a discussion in the first sentence about an
- 23 opportunity.
- 24 And, finally, I would like to point out that near
- 25 -- let's see -- four, five lines from the bottom, there is

	Page 19
1	an automatic revocation if without a hearing, if
2	certain violations of the provisions of the stipulation
3	are found to exist.
4	CHAIRMAN GUNN: Which they would which is,
5	essentially, consented to by I mean, you've consented
6	to that.
7	MS. DALE: Right.
8	MR. JOHNSON: Yes.
9	CHAIRMAN GUNN: If certain things are violated,
10	you would consent to an automatic revocation. And I saw
11	that. That's not what I was concerned about. I was
12	concerned about whether we make a determination outside of
13	the agreement from the parties or without a specific
14	one of the specific allegations, whether it was the belief
15	that provisional revocation or if the Commission
16	determined that provisional revocation was appropriate
17	under any certain circumstances, not just the specific
18	allegations being violated that this this provision,
19	there was nothing in this provision that would prevent us
20	from doing that.
21	MS. DALE: No. This provision was meant only to
22	cover permanent revocation.
23	MR. JOHNSON: That's my understanding.
24	CHAIRMAN GUNN: Your understanding. Okay. So,
25	the parties agree to that. All right. We're making

Page 20 1 progress here. We're good. Now I'm going to come back to some of the more 2 3 substantive agreements. So, let's go -- yes. So -- and mostly, again, this is for Staff. When we have the one-4 5 business day rule for number -- Paragraph 10, prior to their use in Missouri, is it Staff's understanding that --6 7 or is it Staff's position that that's prior to them being 8 mailed or prior to any -- so, before they're even put into the marketing pipeline, if you will, that there's at least one business day before that happens. It's not one 10 business day before they hit the mailboxes, it's one 11 12 business day before any action is taken, to use those as 13 marketing tools? 14 MS. ALM: That's correct. They would provide whatever they're going to do to Staff one business day 15 before they start any other process so that we can review 16 17 it and make suggestions or discuss with them any issues, which would be the next sentence in that paragraph. 18 19 CHAIRMAN GUNN: All right. And Staff thinks that 20 that time frame is enough for them? 21 MS. DIETRICH: Yes, because we don't want to get 22 involved in their day-to-day business, so to speak, but we want to review the certain things we have issues with. 23 CHAIRMAN GUNN: I understand. 2.4 Then, we have two terms, best efforts, to properly 25

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1	identify both in that paragraph and Paragraph 11. Is it
2	and I'll this goes to Cintex, as well.
3	Is it your position that Cintex is required to
4	make modifications that Staff requests or if, ultimately,
5	you raise a concern and Cintex says, Well, you know, we
6	disagree with you, and you have a discussion and you sit
7	down and you decide to do that, that, ultimately, Cintex
8	has the ability to proceed even though those concerns are
9	not resolved because they're even though you've made
10	attempts to resolve it and they disagree, they could still
11	they could still move forward?
12	MS. ALM: I would say the latter. That we would
13	use we would explain our concerns, we would discuss it
14	with them, and if they went ahead and moved forward,
15	depending on the level of the concern, then we would go
16	the additional steps outlined in other parts of the
17	stipulation and agreement having further discussions with
18	them over the time period that's laid out in here and
19	potentially file a complaint, depending on what it is.
20	CHAIRMAN GUNN: If they ultimately move forward?
21	MS. DIETRICH: Yes.
22	CHAIRMAN GUNN: Is that Cintex's understanding as
23	well?
24	MR. JOHNSON: Yes.
25	CHAIRMAN GUNN: Let's move to Paragraph 13 where

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- 1 we talk about the 10 percent ownership issue.
- 2 So, why are we concerned or why did you decide to
- 3 go with ownership percentages rather than structures of
- 4 the company? For example, if I owned -- if I had 10
- 5 children, all right, and I -- and I decided that I was
- 6 going to own 9.95 percent of the company, and then all my
- 7 kids own -- each one of those kids owned 9 percent, or,
- 8 you know, 9 percent of the company, and then one other
- 9 person owned a certain percentage. So, essentially, you
- 10 wouldn't have any entity that would have over a 9.95
- 11 percent interest of the company. So, this provision would
- 12 not apply to any of those people.
- So, you could theoretically set up an
- 14 organizational structure and, yet, I would still have,
- 15 based on -- based on that, I might still be involved in
- 16 the day-to-day decision making process, I still may make a
- 17 determination about the direction of the company, I may
- 18 get all -- all the proxies from my children, so I can vote
- 19 those shares, but I don't -- according to this -- don't
- 20 hold more than a 10 percent ownership.
- 21 So, I'm just interested as to why we were
- 22 concentrating on the percentage of ownership rather than
- 23 controlling interest or a structure that determines who or
- 24 what controls the company. Because that's the real
- 25 concern, isn't it? I mean, that you have someone who we

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- 1 don't like or we think is a bad actor for whatever reason.
- 2 And when I say that, I'm not trying to imply that anybody
- 3 is, but you want to make sure that they don't direct the
- 4 day-to-day operations of the company rather than pure
- 5 stock ownership.
- 6 MS. DALE: The short answer is that this comes out
- 7 of the securities laws, and one of the -- the managers and
- 8 officers intend to be covered in our rules. Additionally,
- 9 you'll see -- or any affiliated company -- and the whole
- 10 idea was to catch those people who have a signatory
- 11 interest and make sure that we get the disclosures from
- 12 those people, as well as managers, officers, and other
- 13 affiliates.
- 14 CHAIRMAN GUNN: And I get -- and I understand
- 15 that. I'm not sure that this language does that, because
- 16 I could own 90 percent of 10 companies -- all right -- and
- 17 have each one of those companies own 9-point interest in a
- 18 company. So, therefore -- and I, as an individual, would
- 19 only own a certain number, so I can conceive of corporate
- 20 structures that would mean that no single affiliated
- 21 company or no single affiliated person falls under this
- 22 provision and, therefore, no disclosure requirement would
- 23 be necessary.
- 24 So, I think -- and my guess is is that Cintex
- 25 would agree that the purpose of this was similar to what

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- 1 Staff articulated.
- MR. JOHNSON: Well, that's where the 10 percent
- 3 came from. SCC materiality, as I understand it. I
- 4 believe Mr. Felgar was more directly involved in the
- 5 negotiation of that.
- And is that your understanding, Robert?
- 7 MR. FELGAR: If I recall, this particular
- 8 provision came out of an agreement with T-Mobile and was
- 9 kind cut and pasted. I'm not sure that a lot of thought
- 10 was given to the 10 percent. I understand the Staff, in
- 11 particular, had concern with Mr. Greene, and that issue
- 12 was addressed specifically in another paragraph.
- But, in terms of our company, from a practical
- 14 point of view, Mr. Greene owns a hundred percent of Cintex
- 15 Wireless, and that ownership is direct.
- 16 CHAIRMAN GUNN: Which is fine. I mean, but I --
- 17 but what happens before a settlement agreement and what
- 18 happens after a settlement agreement might be different
- 19 things. And, as we are -- and part of my issue is not
- 20 just Cintex Wireless. If -- I think -- I think as you
- 21 correctly pointed out, in some of these that are pointed
- 22 out is that this is the first or one that had bundled up
- 23 to be something that the Commission is taking a look at.
- 24 But what we do here does affect, how we're going to deal
- 25 with other potential customers.

Page 25 Even if we were to get this issue resolved with 1 2 Cintex to our satisfaction and feel perfectly comfortable 3 with that, moving forward there are -- there are because of the way that this is, that the ETC is run, there are 4 5 plenty of companies that are coming in that are trying to achieve ETC status, and we want to make absolutely sure 6 7 what we need to do. 8 So, I will just -- we don't need to deal with this 9 right now, and even if it comes out of the SCC rules, I 10 will tell you that I am uncomfortable with that particular 11 language. I am telling you that I am comfortable with the 12 concept of that, that people are trying to get to, and I 13 believe that the company acted in good faith in agreeing to the concept that the people are coming to. I will just 14 15 tell you that I think there are some loopholes in that language that we may think about clarifying or tightening 16 17 up that shouldn't really be a substantive change if what you're telling me is true in terms of conceptual 18 19 protections that the Company has agreed to, and that Staff 20 wants to implement. 21 MS. DALE: When you were talking, I was scurrying around to try to find the draft of the rule, because I can 22 see here that this does not include any officer, director, 23 24 all that. Those -- that should be in the new rule, and 25 may simply be an omission in this one. I know we talked

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1	about that, but you're right. It's not in there, and it
2	should be. Whether or not we should go back and put it in
3	this one, it definitely should be in the rule, and I
4	believe it is.
5	CHAIRMAN GUNN: And I will tell you but it's in
6	the new rule, right?
7	MS. DALE: Right.
8	CHAIRMAN GUNN: It's not in old rule, right. And
9	I will tell you, also, part of the reason why I ask that
10	is, if we go to the actual ownership provisions which are
11	on 28 where we agree that we have one person responsible
12	for the day-to-day Lifeline operation, I'm assuming that
13	is meant to help alleviate the concerns of the direct
14	ownership.
15	MS. DALE: Right.
16	CHAIRMAN GUNN: And the allegations that are
17	that are pending at the SCC, that provision is meant to
18	take care of that. But we don't talk about even though
19	we say that Mr. Mensch is going to be responsible, it
20	doesn't talk about day-to-day operations. You could,
21	theoretically, under that provision and without a
22	notification and protections of the other other
23	provisions, where this could be viewed as a COO type who,
24	Yes, I am responsible for day-to-day operations but I take
25	my direction from a Board of Directors and a CEO who

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1	doesn't have day-to-day operational control of the
2	company, but does, you know and, so, I think the
3	language again, I'm assuming that both parties would
4	agree that this paragraph was meant to deal with a similar
5	issue that was meant in the previous paragraph, but I'm
6	not sure that the language gets you there.
7	Because I can imagine structures in which
8	someone's job description is that they are responsible for
9	the day-to-day operations of the company, but it doesn't
10	say but doesn't say that no one else controls business
11	decisions or policy decisions or this could be
12	construed as an implementation responsibility rather than
13	a policy formation interest.
14	And I'm assuming that both parties did not intend
15	that.
16	MR. JOHNSON: No. And I can tell you on behalf of
17	Cintex that Mr. Mensch is going to not only be responsible
18	for the day-to-day operations in Missouri, but that he is
19	going to supervise those operations as well.
20	MS. DALE: And our understanding is that he will
21	do so with full autonomy as to I mean, what he says
22	goes in Missouri.
23	CHAIRMAN GUNN: And it would make me more
24	comfortable
25	MR. JOHNSON: Yes.

Page 28 CHAIRMAN GUNN: -- if that was explicitly laid out 1 2 and clarified in this, that he is responsible --3 autonomously responsible for day-to-day operations of the company. I think that there, you know -- and I'm -- and, 4 5 you know, we may -- if we incorporate this, we may require that in an order, but I want to make sure that, if we do 6 7 that, we're not, you know -- we may not be blowing this up unnecessarily if that's everyone's understanding of this, 8 9 and the concepts are agreed to. 10 MR. JOHNSON: Well, that's certainly our understanding. I would think, if you start putting in 11 12 adverbs like autonomously and the like, it may become 13 overly intrusive, but we --14 CHAIRMAN GUNN: All I'm asking for is assurance 15 that he's acting independently. And if there's --16 MR. JOHNSON: Yes. That's my understanding what 17 the role is going to be. CHAIRMAN GUNN: So, I don't -- I'm not wedded to 18 language, but I want it to be made, I don't think this --19 20 I will tell you I don't think this language makes it clear 21 that he will be operating independently. So, I'm expressing my concern how that's worked out. Either it 22 can be figured out on your side of the bench or it can be 23 figured out on our side of the bench. So, we'll leave it 24 25 at that.

	Page 29
1	All right. So, then I want to go to I want to
2	go to the provision in 14 that talks about whether this is
3	opposed on all ETC, that all of that stuff in 13 goes away
4	or is suspended if we don't have a rule with substantially
5	similar commitments.
6	The issue I have with that is that this is not
7	always in our control. For example, we may this
8	Commission may have concerns with individual companies,
9	but if, for example, the Joint Committee on Administrative
10	Rules decides that they don't want to have these types of
11	controls for all ETCs, it takes away the enforcement
12	ability or any controls that are put in 13. And I have a
13	concern that that contingency planning which has relies
14	on other people other than the Commission, in order to
15	make that through, doesn't give doesn't give me
16	sufficient comfort that these provisions which we think
17	are necessary are going to remain in effect. And it
18	doesn't, by the way, prevent any company from challenging
19	it at the JCAR level or at other levels.
20	In order to make themselves I mean, there's
21	nothing's in here that would that would prevent and
22	this is all theoretical, and I do not meant to ascribe
23	I will use hypothetical company A from going to court and
24	asking the court to stay the rules because of certain
25	issues for the specific purposes of running out the clock

Page 30 in order for these provisions not to be done. 2 Now, do I think that happens? That's going to 3 happen? I don't know. But what I'm concerned about is that we have an agreement that if we're going to approve 4 5 an agreement that there aren't ways that people can deem the system. 6 7 MS. DALE: I can explain how the Staff is handling 8 it right now. Whenever we recommend approval of an ETC designation, we insert in that a list of requirements and 10 conditions that the ETC must meet in order to get the designation. One of those criteria is this disclosure. 11 12 Now, that's not all ETCs, because we have the ones that were certificated or designated prior to all this 13 coming about. But, as we are going forward, we're not 14

- 15 waiting on the rule. We are putting those conditions in
- as conditions of granting the status in our 16
- 17 recommendations.
- 18 CHAIRMAN GUNN: All right. But the language here
- says it is not being imposed on all ETCs. 19
- 20 MS. DALE: Right.
- 21 CHAIRMAN GUNN: So, even under that scenario --
- 22 MS. DALE: Right.
- 23 CHAIRMAN GUNN: -- those grandfathered in triggers
- 24 this one year running of this agreement. And that, to me,
- whether anyone's going to challenge that or not, is 25

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1	language that is concerning.
2	MS. DIETRICH: And I would just add, for the
3	companies that were prior to specific requirements such as
4	this and that are operating under the old rule, we are
5	also periodically doing audits and sending DRs. And one
6	of the DR questions or several of the DR questions in
7	our latest iterations of trying to tighten up things that
8	we're asking include these types of provisions. Are there
9	any lawsuits pending, are there any issues with ownership,
10	and things like that. So, we are asking it through DRs,
11	also.
12	CHAIRMAN GUNN: That's not requiring them to
13	commit not to have them that's an information request?
14	MS. DIETRICH: Correct.
15	CHAIRMAN GUNN: Again, it's a concern, and you
16	guys can address it if you want or we can address it.
17	But I think it's it concerns me because it takes it out
18	of our we have a grandfather issue and we also have an
19	issue where other entities may decide to not allow some of
20	this stuff to move forward, either through a rule or
21	something else, and not and we're making independent
22	judgments about whether this particular these
23	particular provisions should be applied to this particular
24	company. And, by this contingency, without it being
25	tightened up, takes some of that and puts it in doubt for

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1	at least a short a short period of time. All right.
2	Let's move to paragraph 18. And I think this is
3	just a clarification. It says that work with Staff to
4	confirm that certain applications refer to the complaint
5	were properly verified. Is there a reason why that is not
6	"all" or why it's only "certain"? I am I mean, I'm
7	sure there's an explanation for that.
8	MS. DIETRICH: In the complaint, and when we went
9	through the applications, there were different categories,
10	and some of the complaints or, excuse me some of the
11	applications have been taken care of through other
12	categories. For instance, they've made commitments not to
13	seek reimbursement for the customer that was receiving
14	service from Lifeline service from another company, and
15	that type of thing. So, there's only a subset of the
16	applications that we reviewed that fall into the category
17	where we could not tell that they were properly verified.
18	CHAIRMAN GUNN: All right. And Cintex
19	agrees with that?
20	MR. JOHNSON: Yes. It doesn't make any sense to
21	go through every application that's already been properly
22	verified to reverify that it was properly verified.
23	CHAIRMAN GUNN: I thought that was the case, and I
24	just wanted to make sure. Thank you all for your
25	indulgence.

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1	I think you've heard what some of my concerns are.
2	Those are the questions that I have regarding the
3	particular items of this. I know some of the other folks
4	might have questions, but that's what I have right now,
5	and we'll see see how this plays out. But I appreciate
6	everybody being available, and I appreciate the answers to
7	the questions.
8	MS. DALE: I do have one question of you.
9	CHAIRMAN GUNN: Sure.
10	MS. DALE: Would you prefer that we get together
11	and do an addendum or would you prefer that it be in your
12	order?
13	CHAIRMAN GUNN: I would actually prefer that it
14	goes in an addendum, because then it's coming from the
15	parties, the parties agree to it, rather than rather
16	than us ordering it.
17	What I will tell you, if it's not done through
18	that way, we'll probably we'll probably have to address
19	it in the order. But I would rather us not have to, for
20	exactly the reason that was expressed that there might be
21	particular language that both parties agree is either
22	inflammatory or unnecessarily restrictive and may prevent
23	someone from doing their day-to-day business they should
24	be, and I would like those decisions to be made on
25	between the parties.

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1	But we're still reserving the right that if we
2	don't think it's sufficient, as we always do, that we can
3	modify the order. I think it makes sense that you guys
4	can address those concerns just like you did in the thing,
5	that we could have a discussion and everybody could say,
6	We agree that the language is correct, we agree on the
7	interpretation of the language. That, I think, makes it
8	makes it better for everybody.
9	MS. DALE: Okay. Thank you.
10	CHAIRMAN GUNN: Thanks. That's all the questions
11	I have, and I appreciate you taking the time to come in
12	and being here.
13	JUDGE STEARLEY: Mr. Chairman, I wanted to
14	clarify, if we go ahead and conclude with the other
15	Commissions this morning, we do not need to come back on
16	the record?
17	CHAIRMAN GUNN: We do not. We do not.
18	JUDGE STEARLEY: All right. Okay.
19	Commissioner Jarrett.
20	COMMISSIONER JARRETT: Good morning, everyone, and
21	I, too, share Chairman Gunn's appreciation for everybody
22	being here today. I wanted to note that I concur in all
23	of Chairman Gunn's concerns regarding the language in the
24	stipulation, and I would agree that it's best addressed by
25	the parties.

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1	Obviously, if we have to take take this as it
2	is and we decide that we need to place conditions on it,
3	either side could then disagree and we would have to go to
4	full hearing. So, I think it would be better if those
5	things are addressed by the parties, we can get the
6	stipulation and addendum submitted, we can consider it,
7	and if we can approve it without conditions, that would
8	be, obviously, I think best for everyone. So, I agree
9	with that.
10	I had a question, first of all, going back to the
11	stipulation agreement, kind of to expand on Chairman
12	Gunn's concerns on 18. I'm sorry, not 18. 28. And this
13	is the one again with Brandt Mensch. Obviously, we don't
14	wish Mr. Mensch any ill ill will or bad luck or
15	anything, but, obviously, he may not always be there. The
16	day after we would approve an agreement, he could get
17	fired, he could leave the company for better opportunity.
18	I'd like to see some language in there requiring
19	the company to notify us of any changes immediately, if
20	that happens, and that his successor, whoever that is, is
21	held to the same standard of running the operations of the
22	company and having that independent that we discussed
23	earlier with Chairman Gunn. I would think that the
24	parties would also agree that that's important.
25	MR. JOHNSON: On behalf of Cintex, yes, thank you.

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1	MS. DALE: Yes. It's very important.
2	COMMISSIONER JARRETT: Thank you.
3	I wanted to talk a little bit about the complaint,
4	and I want to say up front I understand these are
5	allegations and, if I ask questions, I understand they're
6	allegations and I'm not meaning to accuse or use any type
7	of language that infers anything other than I'm just
8	repeating maybe some of the allegations. But I especially
9	wanted to get Staff's take on some of the fixes that we
10	have incorporated into the stipulation. And this would be
11	for Ms. Dietrich probably.
12	MS. DIETRICH: The count?
13	COMMISSIONER JARRETT: The Count 1 in the
14	complaint, the allegation in marketing in areas of
15	Missouri without ETC designation. And the fix here is the
16	agreement to use these boundary maps based on ZIP Codes.
17	Can you tell me a little bit about how that works
18	and how that will prevent the problems that are laid out
19	in the allegation.
20	MS. DIETRICH: From our review, it appeared that
21	Cintex sent their mass mailing to the entire state, or
22	large portions of the entire state, but their application
23	and their ETC designation is only for certain Southwest
24	Bell exchanges. So, what they've committed to do is take
25	those exchanges that are specifically Southwestern Bell

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1	exchanges, AT&T, you know, and compare those to the ZIP
2	Code and modify their database, if you will, of who will
3	receive future marketing materials.
4	COMMISSIONER JARRETT: Okay. And Staff feels that
5	that is an adequate protection?
6	MS. DIETRICH: ZIP Codes and exchanges don't match
7	entirely, but it would be much closer than what it is
8	under the current methodology.
9	COMMISSIONER JARRETT: Okay.
10	And, then, in Count 2, it talks about again,
11	it's an allegation misleading marketing using the
12	preselected, You've been preselected language. And the
13	fix, then, is to, obviously, Staff getting the materials
14	in advance and addressing them with best efforts on both
15	sides. Does Staff feel that that is an adequate
16	protection?
17	MS. DIETRICH: That's only one piece of it.
18	There's also language in the stipulation that they will
19	not use terms such as "preselected", "preapproved". They
20	will not use language to imply that the Commission
21	endorses these service offerings. They will only use
22	their ETC-designated name, which would be Cintex, the
23	Cintex Wireless name, as opposed to, like, in this
24	provision under Paragraph 13 in the complaint where it
25	says that were sent, they included the name LinkUp America

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- 1 which was another company name that wasn't registered or
- 2 didn't receive ETC designation.
- 3 So, there's several different provisions within
- 4 the stipulation to address the, quote, misleading
- 5 marketing, unquote.
- 6 COMMISSIONER JARRETT: Okay. Now, I know there
- 7 were some -- some allegations regarding misstatements to
- 8 our Staff. And, again, allegations.
- 9 I guess I'll just ask you, Ms. Dietrich, what is
- 10 -- does Staff believe that this stipulation has adequate
- 11 protections to protect against possible misstatements in
- 12 the future?
- 13 MS. DIETRICH: I guess there's no guarantee that
- 14 within the stipulation language that there would be not --
- 15 there would not be misstatements, but there are provisions
- 16 that tighten it up so that we have certain items that we
- 17 can point to to say, This is a misstatement because you
- 18 agreed to this. If that makes sense.
- 19 COMMISSIONER JARRETT: Right. And, again, I
- 20 understand these are allegations. I'm not trying to imply
- 21 one way or the other whether the allegations are true.
- 22 I guess I would like to get, maybe, a general
- 23 sense from Cintex, and this can go -- be from the lawyer
- 24 or Mr. Mensch, or whoever. And I understand these are
- 25 allegations.

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1	But is Cintex committing to, I guess, use their
2	best efforts to comply with all our laws in Missouri and
3	follow not only the letter of the law but the spirit of
4	the law as far as, you know, being forthcoming to this
5	Commission and to the customers of Missouri?
6	MR. JOHNSON: Absolutely.
7	MS. DIETRICH: Commissioner Jarrett, if I could
8	add?
9	COMMISSIONER JARRETT: Yes.
10	MS. DIETRICH: Along the lines of the question
11	that you just asked and, also, you asked about the
12	misstatements Cully or Ms. Dale has just pointed out,
13	that previously with the Chairman there was discussion
14	about what would fall under automatic revocation, and
15	several of the items related to the complaint if they
16	would violate those even though we maybe wouldn't know at
17	the time that it was a misstatement, but when we
18	discovered it, those items fall under the automatic
19	revocation paragraphs.
20	COMMISSIONER JARRETT: Okay. Thank you. Yeah, I
21	you know, obviously, the allegations to me are very
22	serious, and if they were to be true, it would be very
23	troubling to me, especially the allegations of not only of
24	misleading the Staff but misleading Missouri customers. I
25	view my role as protecting Missouri customers very

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1	seriously. So, I appreciate Cintex's commitment today to
2	to be very vigilant in following our laws and
3	regulations here in Missouri.
4	And with the concerns I've already expressed about
5	to some of the language in this stipulation that were
6	enumerated by Chairman Gunn, I don't have any further
7	questions. Thank you.
8	JUDGE STEARLEY: Thank you, Commissioner Jarrett.
9	Commissioner Kenney, do you have any questions?
10	COMMISSIONER KENNEY: No, I don't have any
11	questions. I want to thank the parties for being here
12	today, and also just want to thank Commissioner Jarrett
13	and Chairman Gunn, because I think they covered virtually
14	all the concerns that I had. So, I don't have anything
15	additional to add.
16	I will ask a question. I think I understood that
17	the parties will incorporate into an addendum or revised
18	unanimous stipulation the concerns that we have
19	articulated today?
20	MS. DALE: Yes, definitely. We'll try to get it
21	to the Commission as quickly as possible, addressing each
22	of the items that were brought up.
23	COMMISSIONER KENNEY: Excellent. Well, I don't
24	think it would be frugal of me to ask any additional
25	questions. The two Commissioners are very fair, so, thank

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1	you very much for being here and thank you for
2	accommodating me by appearing by video.
3	JUDGE STEARLEY: Thank you, Commissioner Kenney.
4	I realize we rushed straight to questioning to
5	accommodate the Commissioners. If the parties would like
6	to offer statements to the Commission, although they won't
7	be opening at this point, you're certainly welcome to do
8	so.
9	Cintex, would you
10	MR. JOHNSON: Well, I didn't have anything
11	prepared, but what I would say is that we believe that
12	Cintex believes that the stipulation and agreement
13	responds to the allegations in the complaint, and we
14	commit to work with Staff to respond to the concerns that
15	have been raised this morning and prepare a document which
16	we believe will satisfy each member of the Commission.
17	JUDGE STEARLEY: All right. Thank you very much.
18	Ms. Dale, do you have any statement from Staff?
19	MS. DALE: No, I don't. You all have my remarks
20	that I hope were somewhat helpful, but
21	COMMISSIONER JARRETT: Do you want these
22	(indicating) in the record?
23	MS. DALE: It doesn't need to be. It's just meant
24	to be a guide of which things addressed which assertions.
25	So, I don't really have anything to add to that,

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1	but I thank you for the opportunity.
2	JUDGE STEARLEY: All right. I think that was not
3	only helpful, Ms. Dale, I would suggest that if an
4	addendum or revised stipulation's filed that you also file
5	a suggestions paragraph in support that would follow this
6	outline and would provide some additional explanation for
7	any new or revised provisions that might prevent the
8	Commissioners from having to come back for another on the
9	record proceeding to address additional questions to the
10	parties.
11	MR. JOHNSON: Your Honor, would you like Cintex to
12	also provide suggestions in support, or perhaps would you
13	look for some sort of joint
14	JUDGE STEARLEY: I think you could file those
15	jointly, and would assume, if you're agreeing, it could be
16	a joint filing.
17	MR. JOHNSON: What's your schedule? How soon
18	would you like to have something, other than as soon as
19	possible
20	JUDGE STEARLEY: I was going to ask you.
21	MR. JOHNSON: because we always need a
22	deadline.
23	JUDGE STEARLEY: I was going to ask you, what do
24	you think the appropriate deadline would be for this?
25	MS. DALE: What's the deadline on the transcript?

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1	JUDGE STEARLEY: I'm going to set the deadline on
2	the transcript for Monday, the 26th. I realize next week
3	we have a holiday coming into play here. Unless, if you
4	would like it expedited further than that, we can do so if
5	you want to work on this quicker. I mean, I could
6	expedite it to Monday, the 19th, but then you're only
7	going to have a couple days before the holiday.
8	MR. JOHNSON: We'll be talking about it even
9	before we get the transcript.
10	MS. DALE: Right.
11	MR. JOHNSON: There's no question about that.
12	MS. DALE: Why don't we make it a week after the
13	transcript. It may be earlier, but we would want to go
14	back and look at the transcript and make sure we hit every
15	single thing.
16	JUDGE STEARLEY: Okay. So, if I'm looking at the
17	calender correctly, might be looking at December 3rd for a
18	deadline for addendum and suggestions.
19	MR. JOHNSON: Would you prefer let me ask you
20	this. Would you prefer an addendum or would you prefer
21	and I'm not really sure I'm inviting this a revised or
22	amended stipulation?
23	JUDGE STEARLEY: I think a revised or amended
24	stipulation so it's all in one document might be
25	preferable.

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1	COMMISSIONER KENNEY: That would be my preference
2	to say, Judge.
3	COMMISSIONER JARRETT: Me, too, Judge.
4	MR. JOHNSON: Thank you.
5	JUDGE STEARLEY: So, is that an acceptable
6	schedule? We'll have transcripts filed on the 26th of
7	November, the revised or amended stipulation with
8	suggestions offering further explanation on December 3rd.
9	MS. DALE: Yes.
10	MR. JOHNSON: Yes. Thank you.
11	JUDGE STEARLEY: All right. Are there any
12	additional matters we need to take up then at this time?
13	(No response.)
14	JUDGE STEARLEY: Well, hearing none, we will
15	adjourn this on the record proceeding. I thank you all
16	for your participation.
17	(Adjournment.)
18	(Whereupon, the record ended at 10:52 a.m.)
19	* * * *
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1	CERTIFICATE
2	
3	STATE OF MISSOURI)
4) ss.
5	COUNTY OF COLE)
6	I, Pamela S. Gentry, Certified Court
7	Reporter with the firm of Midwest Litigation Services, do
8	hereby certify that I was personally present at the
9	proceedings had in the above-entitled cause at the time
10	and place set forth in the caption sheet thereof; that I
11	then and there took down in Stenotype the proceedings had;
12	and that the foregoing is a full, true and correct
13	transcript of such Stenotype notes so made at such time
14	and place.
15	Given at my office in the City of
16	Jefferson, County of Cole, State of Missouri.
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23	Pamela S. Gentry, CCR #426
24	
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