### **LORAINE & ASSOCIATES**

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October 24, 2003

**FILED**<sup>3</sup>

Mr. Dale Hardy Roberts
Executive Secretary
Missouri Public Service Commission
P.O. Box 360
Jefferson City, MO 65102

Missouri Public Service Commission

OCT 2 9 2003

RE:

New proposed CCN for Lake Region Water & Sewer

Shawnee Bend #3 & #4 – Sewer

Case # SA20040183

Dear Mr. Roberts:

Enclosed please find the original and thirteen (13) copies of our Amended Application for CCN to be filed with the Commission. Please file-stamp a copy for our records and return to us in the enclosed self addressed stamped envelope.

Your attention to this matter is greatly appreciated.

Very truly yours,

LORAINE & ASSOCIATES

Thomas E. Loraine

TEL/ill

**Enclosures** 

FILED<sup>3</sup>
OCT 2.9 2003

#### BEFORE THE PUBLIC SERVICE COMMISSION OF THE STATE OF MISSOURI

In the Matter of the Application of	)	Service Commission
Lake Region Water and Sewer Company	)	on Mission
for a Certificate of Convenience and	) Case No. SA20040183	
Necessity authorizing it to enlarge	)	
and extend its service area and to	)	
construct, install, own, operate, control,	)	
manage, and maintain a sewer system	)	
for the public located in an unincorporated	)	
area in Camden and Miller Counties, Missouri	)	

#### **AMENDED APPLICATION**

COMES NOW, Lake Region Water and Sewer Co. (hereinafter "Region" or "Applicant") and pursuant to Paragraph 393.170, RSMo 2000 and 4 CSR 240-2.060(4)(A) and states to the Missouri Public Service Commission ("Commission") as follows:

- 1. Applicant is a Missouri corporation duly organized and existing under and by virtue of the laws of the State of Missouri with its principal office and place of business located at 1 Grand Point Blvd, Sunrise Beach, Missouri. Region currently provides sewer service to members of the public located in unincorporated areas of Camden and Miller counties of Missouri and, accordingly, is subject to the jurisdiction, control and regulation of the Commission as provided by law.
  - 2. Communications in regard to the Application should be addressed to:

Fritz Ritter, President Lake Region Water and Sewer Co. 1 Grand Point Blvd. Sunrise Beach, MO 65079 573-374-5850

Thomas Loraine MO #22206 Loraine & Associates 4075 Highway 54, Suite 300 Osage Beach, Mo 65065 573-348-8909

3. Applicant previously applied for and received a Certificate of Convenience and Necessity (CCN) from the Commission to provide service in its existing service territory in Commission Case No. WA-95-164.

Case No.WA-95-164, July 11, 1997 Order Approving Unanimous and Stipulation and Agreement, Granting Certificate of Convenience and Necessity, approving tariffs, and denying Application to Intervene. Also, noted is Commission's Case No. WO-99-469 Order recognizing change of Corporate name and filing of Adoption Notice.)

4. Applicant requests permission, approval and a Certificate of Public Convenience and Necessity to extend, install, own, acquire, construct, operate, control, manage and maintain a sewer system for the public in areas adjacent to its existing territory all located in the unincorporated areas in Camden and Miller Counties, Missouri, as set forth on the map marked as Appendix 1 and attached to this Application.

The area, adjacent to its existing territory and identified in **Appendix 1**, is characterized as **Shawnee Bend #3 & #4** and is described in **Appendix 3**. The extended and enlarged CCN added to the original CCN from Commission Case No. WA-95-164 are: Extend westward south of existing State Highway MM-42 to include Government Lot #17. This description includes all of "Villages" Subdivisions; Continuing northerly at the six hundred and sixty (660) Lake of Ozarks foot level then southerly along the Lake of the Ozarks shore line including all Shawnee Bend subdivisions #3; all Shawnee Subdivisions #4 and Lot #5 of Government Lot 7 on Map #08-03-05.2 to existing certified area described by metes and bounds in original CCN awarded in Case No. WA-95-164.

5.In accordance with 4 CSR 240-2.060(4)(A)(5), Applicant prepared one feasibility study in Case WA-95-164 which, among other things, described extensive sewer infrastructure and contained plans and estimated costs of the utility plant and equipment, proposed rates and charges, and an estimate of the number of customers, revenues and expenses. This enlargement and extension of original CCN enhances this original feasibility study submitted in Case WA-95-164 and the added feasibility study of Shawnee Bend #3 & #4 is identified as Appendix 4.

- 6. There are no municipalities located within the proposed area, and approval by Camden and Miller Counties is not required for the Applicant to provide the sewer service provided. The issuance of construction and operating permits from the Missouri Department of Natural Resources ("DNR") is subject to Commission certification inasmuch as the Commission's Certificate of Convenience and Necessity establishes the requirement of control and responsibility for the wastewater system under DNR regulations. Applicant expects that its sewer system will meet all requirements of the DNR.
- 7. There are no other public utilities or governmental bodies being operated or rendering service within the area proposed to be served.
- 8. The area requested to be certified is comprised of approximately one hundred fifty seven (157) lots that are to be developed into a residential Subdivision.

Sewer service to those homes, absent Commission approval of the CCN area enlargement and extension, would consist of septic tanks and drain fields. The nature of the problems of operating a multitude of septic tanks is the possible negative impact on the quality of the aquifer which provides a source of drinking water to all residents of the area. Therefore, public need exists for adequate sewer service within the area proposed to be served, and the public

convenience and necessity will be promoted by the granting of the authority herein requested.

- 9. A certificate from the Missouri Secretary of State evidencing the corporate good standing of Applicant is attached hereto as **Appendix 2.** See 4 CSR 240-2.060 (1)(B).
- 11. Applicant has no pending action or final unsatisfied judgments or decisions against it from any state or federal agency or court which involve customer service or rates in which action, judgment or decision has occurred within three (3) years of the date of this application.
  - 12. Applicant has no annual report or assessment fees which are currently overdue.

WHEREFORE, Applicant requests the Commission grant it permission, approval and a Certificate of Convenience and Necessity authorizing it to install, acquire, build, construct, own, operate, control, manage and maintain a sewer system for the public within the area referred to in Paragraph 4, authorizing Applicant to implement rates and charges for the provision sewer service consistent with those contained in the feasibility study originally presented in Commission Case WA-95-164, and for such other orders as the Commission deems proper under the circumstances.

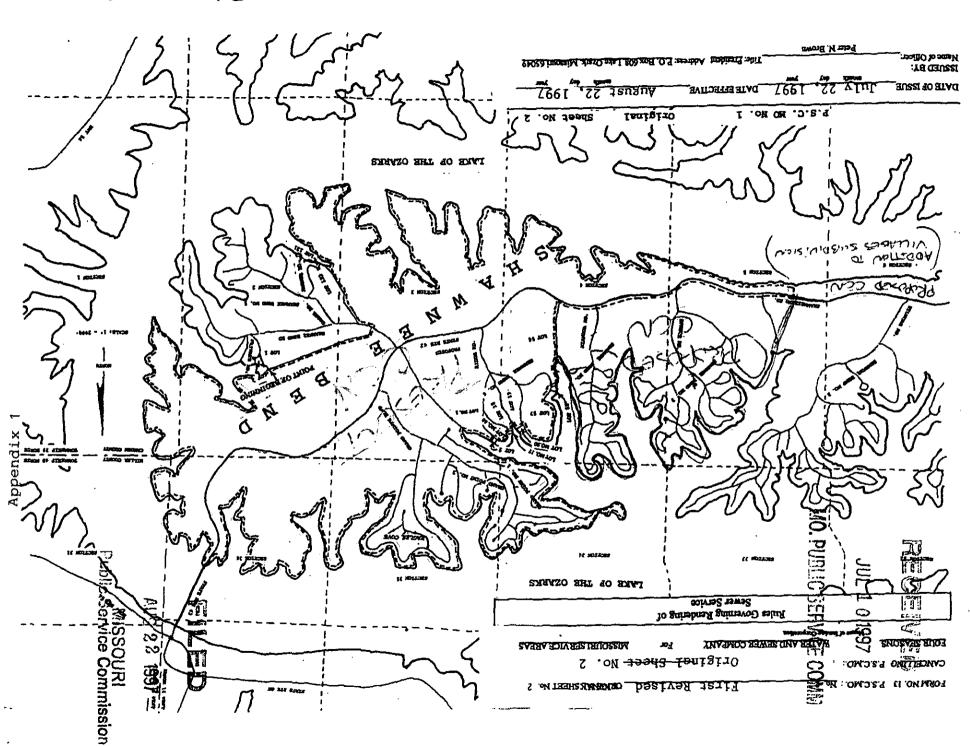
Respectfully submitted

Thomas Loraine Mo #22206

Loraine & Associates

Fritz Ritter President

Lake Region Water and Sewer Co.



Showice Bow #3





Matt Blunt Secretary of State

CORPORATION DIVISION

CERTIFICATE OF ABSTRACT

LAKE REGION WATER & SEWER CO. 00149504

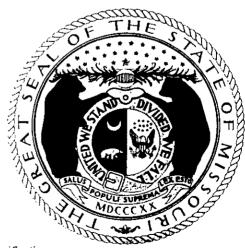
I, MATT BLUNT, Secretary of the State of Missouri, and Keeper of the Great Seal thereof, do hereby certify that the annexed abstract contains a true statement of the documents on file and of record in this office.

IN TESTIMONY WHEREOF, I have set my hand an imprinted the GREAT SEAL of the State of Missouri, on this, the 15th day of September, 2003

Matt Blunt

Secretary of State
Certification Number: 6086308-1 Page 1 of 1 Reference:

Verify this certificate online at http://www.sos.state.mo.us/businessentity/verification



09/15/2003

Secretary of State

.(573) 751-2300

# Abstract of Corporate Record

Charter Number: 00149504

State of Incorporation: MO - Missouri

Name of Corporation: LAKE REGION WATER & SEWER CO.

Former Name: FOUR SEASONS WATER & SEWER CO.

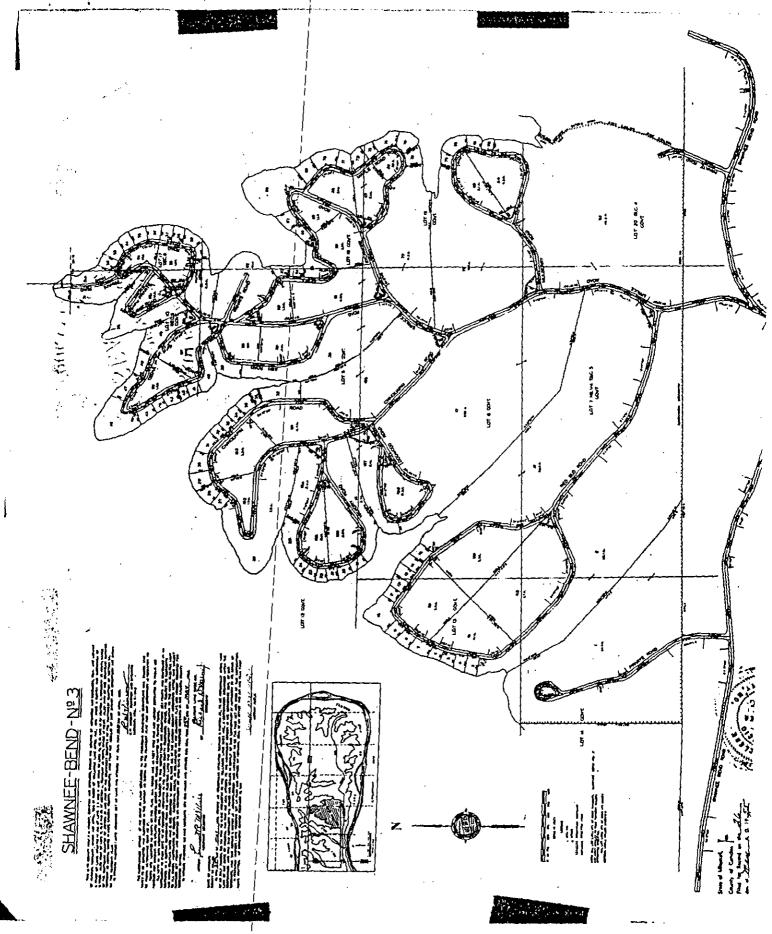
Date of Incorporation: 08/10/1971

Registered Agent: FRITZ RITTER

Registered Office: #1 GRAND POINT BLVD

SUNRISE BEACH, MO 65079

Status: Good Standing



CERTIFIED TO BE A TRUE AND CORRECT COPY OF THE ORIGINAL

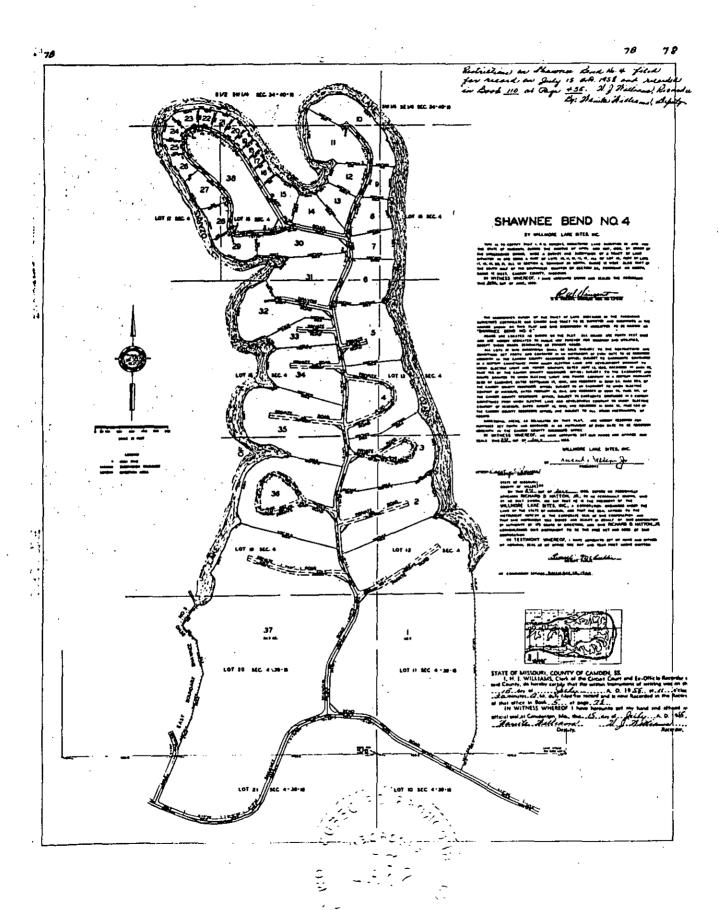
DATE 10-9-03

RECORDER OF DEEDS CAMDEN COUNTY, MO.

BY Donnie Shelling RECORDER

The Felly DEPUTY

Elkins-Swyers Co., Springfield, Mo. (y-3844



7

CERTIFIED TO BE A TRUE AND CORRECT COPY OF THE ORIGINAL

DATE 10-9-03

RECORDER OF DEEDS CAMDEN COUNTY, MO.

BY Omnie Snelling RECORDER

BY Kina Kelly DEPU

Elkins-Swyers Co., Springfield, Mo. - Y-88-4

# Appendix 4

## feasibility water Sawnee Bend #3 & #4

years	0	1	2
legal	-3000		
revenue	0	0	6503
total	-3000		6503