

# Appendix 1: Project Priority Lists and Financial Tables

## Estimated Sources and Uses of Funds

During FFY2021, the Clean Water State Revolving Fund (CWSRF) program expects to have approximately \$535 million available for loans and additional subsidization during this fiscal year. The estimate includes carryover monies from previous years, repayments, interest earnings on investments of CWSRF resources, federal capitalization grants, and state match.

Funds are allocated to projects that are on a Fundable List as approved by the Clean Water Commission. The amount of funds made available through this IUP may be revised at any time due to changing economic conditions.

The Department intends to use an amount from the FFY2020 federal capitalization grant equal to 1/5 of one percent of the current valuation of the fund for program administration.

The estimated sources and anticipated uses of funds can be found in the following table. The amounts reflected are as of December 31, 2019.

**Clean Water State Revolving Fund  
Estimated Sources and Uses of Funds  
FFY 2021 Intended Use Plan**

<b>Estimated Sources as of December 31, 2019</b>		
Anticipated Capitalization Grants for Clean Water State Revolving Fund	\$	80,375,720
Cash Balance *	\$	386,866,829
Anticipated Loan Repayments and Investment Earnings Net of Bond Payments (1/1/20 - 9/30/22)	\$	268,314,964
State Match - FFY 2021 Capitalization Grant **	\$	2,518,538
<b>Total Estimated Sources</b>		<b>\$ 738,076,051</b>
<b>Estimated Uses</b>		
Undisbursed Amounts Committed to Existing Projects	\$	106,831,119
Anticipated Program Administrative Expenses from Capitalization Grants	\$	5,000,213
A2010 Match Bond Debt Service Payments due through 9/30/22	\$	2,208,912
Anticipated Direct Loans Closing between 1/1/20 and 9/30/20	\$	82,270,365
Anticipated Grants Awarded between 1/1/20 and 9/30/20	\$	6,613,811
Anticipated Additional Subsidization Available for FFY 2021 CWSRF IUP Projects	\$	20,891,575
Anticipated Loan Funds Available for FFY 2021 CWSRF IUP Projects	\$	514,260,056
<b>Total Estimated Uses</b>		<b>\$ 738,076,051</b>

\* On October 18, 2018, the Environmental Improvement and Energy Resources Authority issued bond series 2018A in tax exempt revenue bonds, of which \$21,590,000 was for the Clean Water State Revolving Fund. Bond proceeds support approximately three years of state match requirements to the FFY2018, 2019 and 2020 capitalization grants. The bond proceeds were deposited into the Water & Wastewater Loan Revolving Fund for disbursement, and will provide all but \$2,518,538 of state match for the FFY2020 capitalization grant (utilized in this FFY2021 Intended Use Plan).

\*\* A bond sale or a transfer of loan administration fees is expected to provide the remaining \$2,518,538 in state match needed.

<b>Loan and Grant Commitments 1/1/20 through 9/30/20</b>	<b>Loan</b>	<b>Grant</b>	<b>Total</b>
Northeast PSD - Funded 1/30/20	\$ 5,000,000	\$ -	\$ 5,000,000
Weston - Funded 1/31/20	\$ 3,618,000	\$ -	\$ 3,618,000
MPIA - Amended 3/9/20	\$ -	\$ 200,000	\$ 200,000
Troy - Funded 4/15/20	\$ 18,887,000	\$ -	\$ 18,887,000
Moberly - Funded 4/30/20	\$ -	\$ 954,208	\$ 954,208
Van Buren - Funded 4/30/20	\$ -	\$ 981,050	\$ 981,050
Linn - Funded 4/30/20	\$ -	\$ 607,570	\$ 607,570
Winfield - Funded 4/30/20	\$ -	\$ 62,500	\$ 62,500
East Prairie - Funded 4/30/20	\$ -	\$ 62,500	\$ 62,500
Holts Summit - Funded 4/30/20	\$ -	\$ 1,017,918	\$ 1,017,918
Potosi - Funded 4/30/20	\$ -	\$ 363,700	\$ 363,700
Rolla - Funded 5/28/20	\$ 27,240,000	\$ -	\$ 27,240,000
Lathrop - Funded 5/27/20	\$ 3,161,000	\$ -	\$ 3,161,000
Gravois Arm Sewer District - Funded 6/30/20	\$ 1,751,000	\$ 1,751,000	\$ 3,502,000
MSD Deer Creek Pump Station	\$ 22,000,000	\$ -	\$ 22,000,000
Meadville	\$ 613,365	\$ 613,365	\$ 1,226,730
<b>Total Commitments 1/1/20 through 9/30/20</b>	<b>\$ 82,270,365</b>	<b>\$ 6,613,811</b>	<b>\$ 88,884,176</b>

## **Project Priority Lists**

A comprehensive list of FFY2021 applicants is followed by the Project Priority Lists.

Per 10 CSR 20-4.040, applications are valid for a 2-year plan cycle. Applicants that have not received their funding at the end of the 2-year plan cycle may reapply to the program, but a project's position on a fundable, contingency, or planning list may change with each subsequent application. The Department will de-obligate funding from projects that are not making adequate progress within the allotted 2-year plan cycle and reallocate funds to other projects.

Projects carried over from the 2020 IUP remain eligible for FFY2021 and retain the points they received under the criteria in effect at the time they initially applied. The Department carried unfunded projects that filed an original application by November 15, 2018, into the FFY2020 IUP unless the Missouri Clean Water Commission bypassed or removed the project, or the proposed loan recipient has requested to be removed. Carryover status is indicated in the table. Carryover projects in the FFY2021 IUP must reapply by March 1, 2021, in order to compete for funding in the FFY2022 IUP.

For more information on the CWSRF Program, contact the Department's Financial Assistance Center at 573-751-1192 or [fac@dnr.mo.gov](mailto:fac@dnr.mo.gov).

## List of Fiscal Year 2021 Applicants

	Applicant	Priority Points	Service Area Pop.	Financial Assistance Request
	Aurora	125	7,508	\$ 4,016,000
C	Boone County Commission (Bolli Road Coll System)	110	37	\$ 319,900
C	Boone County Commission (Phenora North Coll System)	90	102	\$ 372,099
C	Boone County RSD (Highfield Acres)	90	200	\$ 414,294
	Boone County RSD (Richardson Acres/Brown Station)	125	259	\$ 1,593,908
	Center	95	508	\$ 1,622,966
	Centralia	105	4,027	\$ 5,320,540
	Clarksburg	80	338	\$ 731,560
	Clarksville	65	452	\$ 442,520
C	Deer Run Reorganized Common Sewer District	105	385	\$ 1,808,100
	Eagleville	85	316	\$ 817,100
C	East Lynne	95	303	\$ 1,315,310
	Greenfield	95	1,385	\$ 704,350
C	Huntsville	95	1,525	\$ 4,626,125
	Iberia	80	736	\$ 4,762,520
C	Jackson	125	14,869	\$ 8,620,000
C	Jasper	80	931	\$ 750,000
C	Jefferson County Public Sewer District	95	170	\$ 3,751,075
	Kansas City	135	631,000	\$ 160,000,000
	Lancaster	110	728	\$ 2,272,325
	Leeton	95	568	\$ 1,847,560
C	Lockwood	80	1,114	\$ 2,139,310
	Mayview	80	212	\$ 2,628,000
C	Miller	90	725	\$ 2,108,525
C	Missouri Agriculture & Small Business Development	N/A	N/A	\$ 500,000
	Moberly (Regional Lift Station)	125	13,974	\$ 3,010,405
	Moberly (Sparks Avenue)	95	13,974	\$ 703,420
C	MSD - Lower Meramec River System Improvements (Phase 1)	185	32,000	\$ 63,108,000
	MSD - Lower Meramec River System Improvements (Phase 2)	185	32,000	\$ 119,468,000
	MSD Public I/I Reduction Program - Phase 6	210	1,300,000	\$ 41,200,000
C	Peculiar	75	4,608	\$ 8,691,880
	Perryville	120	8,440	\$ 27,000,000
	Queen City	75	598	\$ 1,556,200
C	Rocky Mount Sewer District	115	450	\$ 4,296,400
C	Skidmore	110	276	\$ 1,178,457
C	Springfield	160	173,130	\$ 18,375,000
	St. James	60	5,056	\$ 2,395,000
	Urbana	100	417	\$ 1,879,478
	Windsor	120	3,087	\$ 5,000,000
<b>Total Projects</b>				<b>\$ 511,346,327</b>

C = Carried over from the last Intended Use Plan

## Allocation of Available Loan Funds

The inclusion of a project on the fundable list is not a guarantee of funding. Other factors, such as timely progress, compliance with program requirements, and funding availability, may impact project funding.

### Allocation of Available Funds

Funding Category	Percent of Fundable List Allocation	Loan	Additional Subsidization	Total
Small and Non-Metropolitan Areas and Districts (1)	40%	\$ 200,898,118	\$ 13,162,534	\$ 214,060,652
Large Metropolitan Areas and Districts (2)	30%	\$ 160,545,490	\$ -	\$ 160,545,490
Combined Sewer Overflow (CSO)	15%	\$ 80,272,744	\$ -	\$ 80,272,744
Department Initiatives	15%	\$ 72,543,704	\$ 7,729,041	\$ 80,272,745
<b>Total Allocation of Available Funds</b>		<b>\$ 514,260,056</b>	<b>\$ 20,891,575</b>	<b>\$ 535,151,631</b>

(1) Service area population of less than 75,000.

(2) Service area population of 75,000 or more.

### Allocation of Additional Subsidization

Grant Type	Available Amount	Explanation of Additional Subsidization
Affordability Grants (AG)	\$ 11,862,534	Allocated to fundable projects on Project Priority Lists
Water Quality Incentive Grants (WQIG)	\$ 3,500,000	Allocated to fundable projects on Project Priority Lists
Regionalization Incentive Grants (RIG)	\$ 2,729,041	Reserved for FFY2021 projects selected via competitive solicitation and added to Project Priority Lists by amendment
Technical Assistance Grant (TAG)	\$ 1,500,000	Reserved for a FFY2021 award via competitive solicitation
Engineering Report Grants (ERG)	\$ 1,300,000	Reserved for FFY2021 awards made on a first come, first served basis
<b>Total</b>	<b>\$ 20,891,575</b>	

### Financial Summary of the Fundable Projects

	Loans				
	Small and Non-Metropolitan Areas & Districts	Large Metropolitan Areas & Districts	Combined Sewer Overflow	Department Initiatives	Total
Allocation of Available Loan Funds	\$ 200,898,118	\$ 160,545,490	\$ 80,272,744	\$ 72,543,704	\$ 514,260,056
<b>Total Loans on Fundable List (1)</b>	<b>\$ 49,736,814</b>	<b>\$ 341,151,000</b>	<b>\$ -</b>	<b>\$ 500,000</b>	<b>\$ 391,387,814</b>
Transfers	\$ (28,289,062)	\$ 28,289,062	\$ -	\$ -	\$ -
	\$ -	\$ 80,272,744	\$ (80,272,744)	\$ -	\$ -
	\$ -	\$ 72,043,704	\$ -	\$ (72,043,704)	\$ -
<b>Funding Assistance Planned (2)</b>	<b>\$ 172,609,056</b>	<b>\$ 341,151,000</b>	<b>\$ -</b>	<b>\$ 500,000</b>	<b>\$ 514,260,056</b>
<b>Balance Available after Projects Funded (3)</b>	<b>\$ 122,872,242</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 122,872,242</b>

	Additional Subsidization				
	Small and Non-Metropolitan Areas & Districts AG/ERG	Large Metropolitan Areas & Districts	Combined Sewer Overflow	Department Initiatives WQIG/RIG/TAG	Total
Allocation of Available Additional Subsidization Funds	\$ 13,162,534	\$ -	\$ -	\$ 7,729,041	\$ 20,891,575
<b>Total Additional Subsidization on Fundable List (1)</b>	<b>\$ 11,862,534</b>			<b>\$ 3,500,000</b>	<b>\$ 15,362,534</b>
Transfers	\$ -		\$ -	\$ -	\$ -
<b>Funding Assistance Planned (2)</b>	<b>\$ 13,162,534</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 7,729,041</b>	<b>\$ 20,891,575</b>
<b>Balance Available after Additional Subsidization Funded (3)</b>	<b>\$ 1,300,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 4,229,041</b>	<b>\$ 5,529,041</b>

(1) From the Project Lists on the subsequent pages.

(2) Funding Assistance Planned = Allocation + Transfers.

(3) Balances may be shifted to other categories to fund projects that are ready to proceed.

**CWSRF Fiscal Year 2021 Project Priority Lists**

Carryover	Priority Points	Applicant	Project #	Description/ Needs Category	Service Area Population	IUP Amount Requested	Loan Amount	Additional Subsidization Amount	NPDES #	Est. Financing Schedule FY - Quarter
<i>Note: An explanation of the abbreviations and codes appears at the end of the project lists.</i>										
<b>FUNDABLE LIST</b>										
<b>(Debt instrument secured and facility plan submitted; fundable if funds become available)</b>										
<b>TOTAL AVAILABLE FUNDS</b>							<b>\$ 514,260,056</b>	<b>\$ 20,891,575</b>		
<b>Small and Non-Metropolitan Areas and Districts (Service area population of fewer than 75,000 people)</b>							<b>\$ 172,609,056</b>	<b>\$ 13,162,534</b>		
C	115	Rocky Mount Sewer District - AG	C295838-01	Det, Coll; IVA, IVB	450	\$ 4,296,400	\$ 2,296,400	\$ 2,000,000	MO-1336719	21-4
C	110	Boone County Commission (Bollinger Road Coll System)	C295299-03	Coll; IVA	37	\$ 319,900	\$ 319,900	\$ -	N/A	21-2
C	110	Skidmore - AG	C295841-01	TP Impr, TP Rhab, I/I; I, II, IIIA	276	\$ 1,178,457	\$ 589,229	\$ 589,228	MO-0022969	22-1
C	95	East Lynne - AG	C295695-01	TP Impr; I, II	303	\$ 1,315,310	\$ 657,655	\$ 657,655	MO-0099961	21-4
C	95	Jefferson County Public Sewer District**	C295844-01	Coll; IVA	170	\$ 3,751,075	\$ 343,312	\$ -	MO-0131024	21-2
C	90	Boone County Commission (Phenora North Coll System)	C295299-04	Coll; IVA	102	\$ 372,099	\$ 372,099	\$ -	MO-0137294	21-2
C	90	Boone County RSD (Highfield Acres)	C295375-29	Coll; IVA	200	\$ 414,294	\$ 414,294	\$ -	MO-0053376	21-2
C	90	Miller - AG	C295726-02	TP Impr, Coll, I/I; I, II, IIIA, IIIB	725	\$ 2,108,525	\$ 1,054,263	\$ 1,054,262	MO-0041149	21-1
C	80	Jasper - AG	C295843-01	TP Impr; II	931	\$ 750,000	\$ 375,000	\$ 375,000	MO-0044202	21-3
C	80	Lockwood- AG	C295842-01	TP Impr, Coll, I/I; I, II, IIIA, IIIB	1114	\$ 2,139,310	\$ 1,069,655	\$ 1,069,655	MO-0030473	21-4
	125	Boone County RSD (Richardson Acres/Brown Station) - WQIG 7	C295375-30	Coll; IVA	259	\$ 1,593,908	\$ 1,093,908	\$ 500,000	Multiple	21-3
	125	Moberly (Regional Lift Station)	C295648-03	Coll, PS, FM; IIIB, IVA	13,974	\$ 3,010,405	\$ 3,010,405	\$ -	Multiple	21-3
	120	Perryville - WQIG 2	C295832-01	TP Impr, PS; I,II	8,440	\$ 27,000,000	\$ 26,000,000	\$ 1,000,000	MO-0051144	21-3
	120	Windsor - AG	C295512-01	TP Impr, I/I; I, II, IIIA, IIIB	3,087	\$ 5,000,000	\$ 3,000,000	\$ 2,000,000	MO-0047325 MO-0047317	21-4
	105	Centralia - WQIG 2	C295860-01	Impr, Exp, TP; II, IVA	4,027	\$ 5,320,540	\$ 4,320,540	\$ 1,000,000	MO-0028789	21-4
	100	Urbana - AG	C295834-01	TP Impr, I/I; I, II, IIIA	417	\$ 1,879,478	\$ 939,739	\$ 939,739	MO-0095176	21-4
	95	Greenfield - AG	C295831-01	Coll, Impr, FM; I	1,385	\$ 704,350	\$ 352,175	\$ 352,175	MO-0055603 MO-0055590	21-2

Carryover	Priority Points	Applicant	Project #	Description/ Needs Category	Service Area Population	IUP Amount Requested	Loan Amount	Additional Subsidization Amount	NPDES #	Est. Financing Schedule FY - Quarter
	95	Leeton - AG	C295850-01	TP Impr, Coll Rehab; I, II, IIIB	568	\$ 1,847,560	\$ 923,780	\$ 923,780	MO-0116076	21-4
	95	Moberly (Sparks Avenue)	C295648-02	Coll, PS, FM; IVA	13,974	\$ 703,420	\$ 703,420	\$ -	MO-0117960	21-3
	80	Clarksburg - AG	C295863-01	TP, Coll; II, IIIB	338	\$ 731,560	\$ 365,780	\$ 365,780	MO-0109797	22-2
	80	Mayview - AG	C295849-01	TP Impr, I/I; I, II, IIIA	212	\$ 2,628,000	\$ 1,314,000	\$ 1,314,000	MO-0055131	22-3
	65	Clarksville - AG	C295861-01	TP Impr; II	452	\$ 442,520	\$ 221,260	\$ 221,260	MO-0039632	22-2
<b>Small and Non-Metropolitan Areas and Districts Total</b>						<b>\$ 67,507,111</b>	<b>\$ 49,736,814</b>	<b>\$ 14,362,534</b>		
<b>Less Water Quality Incentive Grants (shown in Department Initiatives)</b>								<b>\$ 2,500,000</b>		
<b>Balance</b>							<b>\$ 122,872,242</b>	<b>\$ 1,300,000</b>		
<b>Large Metropolitan Areas and Districts (Service area population of 75,000 or more people)</b>							<b>\$ 341,151,000</b>	<b>\$ -</b>		
C	185	MSD Lower Meramec River System Improvements (Tunnel) Phase 1	C295072-01	Coll; IVA, V	32,000	\$ 63,108,000	\$ 63,108,000	\$ -	Multiple	21-1
	185	MSD Lower Meramec River System Improvements (Tunnel) Phase 2	C295072-02	Coll; IVA, V	32,000	\$ 119,468,000	\$ 119,468,000	\$ -	Multiple	22-2
C	160	Springfield	C295859-01	I/I; IIIA	173,130	\$ 18,375,000	\$ 18,375,000	\$ -	MO-0049522 MO-0103039	21-2
	210	MSD Public I/I Reduction Program - Phase 6 - WQIG 6	C295023-41	I/I; IIIA	1,300,000	\$ 41,200,000	\$ 40,200,000	\$ 1,000,000	Multiple	21-1
	135	Kansas City	C295840-01	TP Impr; I	631,000	\$ 160,000,000	\$ 100,000,000	\$ -	MO-0024911	21-3
<b>Large Metropolitan Areas and Districts Total</b>						<b>\$ 402,151,000</b>	<b>\$ 341,151,000</b>	<b>\$ 1,000,000</b>		
<b>Less Water Quality Incentive Grants (shown in Department Initiatives)</b>							<b>\$ -</b>	<b>\$ 1,000,000</b>		
<b>Balance</b>							<b>\$ -</b>	<b>\$ -</b>		
<b>Combined Sewer Overflow</b>							<b>\$ -</b>	<b>\$ -</b>		
<b>Combined Sewer Overflow Total</b>						<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>		
<b>Balance</b>							<b>\$ -</b>	<b>\$ -</b>		

Carryover	Priority Points	Applicant	Project #	Description/ Needs Category	Service Area Population	IUP Amount Requested	Loan Amount	Additional Subsidization Amount	NPDES #	Est. Financing Schedule FY - Quarter
<b>Department Initiatives</b>							\$ 500,000	\$ 7,729,041		
C	N/A	Missouri Agriculture & Small Business Development	C295212-10	NPS; VIIB	N/A	\$ 500,000	\$ 500,000	\$ -	N/A	21-4
<b>Department Initiatives Total</b>						\$ 500,000	\$ 500,000	\$ -		
<b>Less Water Quality Incentive Grants (shown in tables above)</b>							\$ -	\$ 3,500,000		
<b>Balance</b>							\$ -	\$ 4,229,041		
<b>Total Fundable List Projects</b>						\$ 470,158,111	\$ 391,387,814	\$ 15,362,534		
<b>Balance of Fundable List</b>							\$ 122,872,242	\$ 5,529,041		
<b>FUNDABLE CONTINGENCY LIST</b> (Debt instrument secured and facility plan submitted; fundable if funds become available)										
<b>Total Fundable Contingency Projects</b>						\$ -	\$ -	\$ -		
<b>CONTINGENCY LIST</b> (Either debt instrument or facility plan has not been submitted)										
	135	Kansas City	C295840-01	TP Impr; I	631,000	Balance of Amount Requested from Large Metropolitan Areas Fundable List. Contingent on additional bond authorization	\$ 60,000,000	\$ -	MO-0024911	21-3
<b>Total Contingency Projects</b>						\$ -	\$ 60,000,000	\$ -		
<b>PLANNING LIST</b> <i>Note: Information will be added to the shaded columns when the project moves to the fundable or contingency list.</i> (Debt instrument and facility plan not yet secured/submitted)										
	125	Aurora	C295867-01	TP Impr; II	7,508		\$ 4,016,000		MO-0036757	

Carryover	Priority Points	Applicant	Project #	Description/ Needs Category	Service Area Population	IUP Amount Requested	Loan Amount	Additional Subsidization Amount	NPDES #	Est. Financing Schedule FY - Quarter
C	125	Jackson	C295839-01	TP Impr, Coll, I/I; I, IIIA, IIIB, IVA, IVB	14,869	\$ 8,620,000			MO-0022853	
	110	Lancaster	C295804-01	TP Impr, I/I; II, IIIA	728	\$ 2,272,325			MO-0039691	
C	105	Deer Run Reorganized Common Sewer District	C295815-01	Coll, I/I; IIIA, IIIB, IVA, IVB	385	\$ 1,808,100			N/A	
	95	Center	C295866-01	TP, I/I; I, II, IIIA, IIIB	508	\$ 1,622,966			MO-0107719	
C	95	Huntsville	C295848-01	TP, Coll, I/I; II, IVA, IVB, IIIA	1,525	\$ 4,626,125			Multiple	
	85	Eagleville	C295868-01	TP Impr, I/I; I, II, IIIA	316	\$ 817,100			MO-0113930	
	80	Iberia	C295864-01	TP, I/I, Impr; I, II, IIIA	736	\$ 4,762,520			MO-0101273	
C	75	Peculiar	C295824-01	Coll, I/I; IIIA, IIIB	4,608	\$ 8,691,880			MO-0089443	
	75	Queen City	C295865-01	TP Impr; I	598	\$ 1,556,200			MO-0093785	
	60	St. James	C295869-01	TP Impr; II	5,056	\$ 2,395,000			MO-0093564	
<b>Total Planning List Projects</b>						<b>\$ 41,188,216</b>	<b>\$ -</b>	<b>\$ -</b>		

REGIONALIZATION INCENTIVE GRANT (RIG)											
<b>FUNDABLE LIST</b> (Design/Construction - Facility Plan and Signed Service Agreements meeting minimum requirements submitted)							\$ -	\$ -			
<b>Total RIG Fundable List Projects</b>							\$ -	\$ -	\$ -		
<b>Balance of RIG Fundable List</b>							\$ -	\$ -	\$ -		

Carryover	Priority Points	Applicant	Project #	Description/ Needs Category	Service Area Population	IUP Amount Requested	Loan Amount	Additional Subsidization Amount	NPDES #	Est. Financing Schedule FY - Quarter
<b>CONTINGENCY LIST</b> (Signed Service Agreements meeting minimum requirements not submitted)										
<b>Total RIG Contingency Projects</b>						\$ -	\$ -	\$ -		

AG	Affordability Grant	C	Carried over from the last Intended Use Plan	I	Secondary Treatment
WQIG	Water Quality Incentive Grants 1 = flood mitigation 2 = permit limits 3 = streambank stabilization 4 = green infrastructure 5 = rate assistance 6 = inflow and infiltration rehabilitation 7 = sewer extension to eliminate permitted facility 8 = sewer extension to eliminate onsite facilities	Coll	Collection	II	Advanced Treatment
		CSO	Combined Sewer Overflow	IIIA	I/I correction
		Det	Detention	IIIB	Sewer replacement or rehabilitation
		Exp	Expansion	IVA	New Collection
		FM	Force Main	IVB	New Interceptors
		Impr	Improvements	V	CSO
		Int	Interceptor	VIIB	NPS: Animal
		I/I	Inflow/Infiltration	VIID	NPS: Urban
RIG	Regionalization Grant	NPDES	National Pollution Discharge Elimination System		
TAG	Technical Assistance Grant	NPS	Non Point Source		
ERG	Engineering Report Grant	PS	Pump Station		
		Rehab	Rehabilitation		
		TP	Treatment Plant		

\* Applicant has a maximum bonding capacity that the loan amount cannot exceed.

\*\* Ineligible project costs deducted off total project costs.

Notes:

Final eligible costs will be determined based on submittals as the project progresses toward loan closing.

Financing schedule shown is for planning purposes only. Final scheduling will be determined as documents are submitted and approvals obtained.

Carryover projects from the fiscal year 2021 list must reapply to be considered for the fiscal year 2022 list.

Priority points for RIG projects include two numbers separated by a hyphen. The first number is the RIG category, and the second number is the priority point score calculated through the RIG procedure available at

<https://dnr.mo.gov/env/wpp/srf/documents/2019-10-31-RegionalizationGrantGuidance.pdf>

## **Distribution of Loan Administration Fees**

The Department follows EPA's October 20, 2005 guidance on the use of administration fees charged by the state to recipients of CWSRF program assistance. Fees charged by the program are not included as principal in loans. The administration fee may be considered program income, depending upon the source of the loan and the timing of the fee receipt. As shown in the following table, the administration fees collected are considered as:

- program income earned during the capitalization grant period;
- program income earned after the capitalization grant period; or
- non-program income.

During the grant period is defined as the time between the effective date of the grant award and the ending date of the award reflected in the final grant financial report.

Program income earned during the grant period may only be used for eligible CWSRF activities, as defined in the Clean Water Act, and program administration. Program income earned after the grant period, as well as non-program income, may be used for a broad range of water-quality related purposes. The State of Missouri has obtained approval from the EPA to use program income earned after the grant period for water-quality related purposes.

<b>Source And Distribution Of Funds</b>			
<b>Loan Administration Fees<sup>1</sup></b>			
<b>As of Dec. 31, 2019</b>			
<b>Income</b>	<b>Program Income Earned During Grant Period</b>	<b>Program Income Earned After Grant Period</b>	<b>Non-Program Income</b>
Beginning Balance as of 07/01/19	\$100,993	\$16,839,856	\$17,345,149
FY 20 Income (thru 12/31/19)	\$136,390	\$1,206,198	\$1,471,783
FY 20 Interest Earnings (thru 12/31/19)	\$1,747	\$151,131	\$163,121
Subtotal	\$239,130	\$18,197,185	\$18,980,053
<b>Expenditures Thru 12/31/19</b>			
FY 20 Personnel Services	(\$7,299)	(\$955,877)	(\$97,281)
FY 20 Fringe	(\$3,837)	(\$533,950)	(\$52,492)
FY 20 Expenses	(\$43,900)	(\$1,023)	(\$21,719)
FY 20 PSD Expenditures	\$0	(\$750,172)	(\$853,455)
FY 20 DNR Transfers <sup>2</sup>	(\$6,451)	(\$179,539)	(\$20,656)
FY 20 ITSD Transfers <sup>2 &amp; 3</sup>	(\$5,606)	(\$156,015)	(\$17,949)
FY 20 HB 13 Transfers <sup>2</sup>	(\$76)	(\$2,115)	(\$243)
Subtotal	(\$67,169)	(\$2,578,691)	(\$1,063,795)
Income Less Expenditures	\$171,961	\$15,618,494	\$17,916,258
<b>Projected Income</b>			
FY 20 Income (01/01/20 - 06/30/20)	\$209,493	\$1,138,375	\$1,907,666
FY 20 Interest Income (01/01/20 - 06/30/20)	\$0	\$122,797	\$135,208
FY 21 Income (07/01/20 - 06/30/21)	\$435,699	\$2,111,525	\$3,189,729
FY 21 Interest Income (07/01/20 - 06/30/21)	\$1,299	\$184,603	\$313,005
Subtotal	\$646,491	\$3,557,300	\$5,545,608
<b>Projected Expenditures</b>			
FY 20 Personnel Services	(\$70,120)	(\$955,876)	(\$123,752)
FY 20 Fringe	(\$40,052)	(\$549,823)	(\$72,812)
FY 20 Expense & Equipment	(\$167,433)	(\$1,927)	(\$496,281)
FY 20 DNR Transfers <sup>2</sup>	(\$33,222)	(\$178,085)	(\$82,432)
FY 20 ITSD Transfers <sup>2 &amp; 3</sup>	(\$20,578)	(\$80,009)	(\$50,087)
FY 20 HB 13 Transfers <sup>2</sup>	(\$666)	(\$4,576)	(\$1,686)
FY 20 PSD Expenditures	\$0	(\$295,211)	(\$2,998,307)
FY 21 Personal Service, Fringe, Expenses & Indirect	(\$418,691)	(\$4,358,048)	(\$554,432)
FY 21 State Water Plan	\$0	\$0	\$0
FY21 State Match Expenditure	\$0	(\$2,000,000)	(\$517,338)
FY 21 ITSD Costs <sup>3</sup>	\$0	\$0	(\$500,000)
FY 21 Board Training & Operator Certification*	\$0	(\$80,000)	
FY 21 Abatement of Water Quality Emergencies*	\$0	\$0	\$0
FY 21 Water Quality & Watershed Initiatives*	\$0	\$0	\$0
FY 21 Rural Sewer Grants*	\$0	(\$713,815)	(\$1,095,941)
FY 21 Fixed Station Ambient Network Contract	\$0	(\$625,926)	(\$484,919)
FY 21 Water Quality Studies*	\$0	(\$40,000)	(\$156,000)
FY 21 Small Community Engineering Assistance Program*	\$0	\$0	\$0
Subtotal	(\$750,762)	(\$9,883,296)	(\$7,133,987)
Total Actual and Projected	\$67,690	\$9,292,498	\$16,327,879

<sup>1</sup> The distribution of loan administration fees to various Department activities is subject to change throughout the Fiscal Year. Actual fund uses will be reported in the Fiscal Year 2021 Clean Water State Revolving Fund Annual Report. FY 2021 projected expenditures may include amounts carried over from prior fiscal years. FY 2021 projected expenditures do not automatically carry over from one year to the next except for those indicated with an \*.

<sup>2</sup> Similar to the inclusion of Indirect Costs in federal grants, this represents the SRF Admin Fees proportionate share of departmental administrative costs.

- DNR transfers reflect the cost of departmental staff and related expenses.
- ITSD transfers reflect the information technology related costs for those staff.
- HB 13 transfers reflect the cost of the related office space.

<sup>3</sup> ITSD is the state's Information Technology Services Division.