May 10, 2016

Missouri Public Service Commission

Re: 2015 Annual Renewable Energy Standard Compliance Report: Non-proprietary Information

This version of the Annual Compliance Report is a fully completed version. Portions of this report contain confidential information which has been removed.

## **EMPIRE DISTRICT ELECTRIC COMPANY**

### 2015

# ANNUAL RENEWABLE ENERGY STANDARD COMPLIANCE REPORT

Prepared in Compliance with 4 CSR 240-20.100

April 15, 2016

Revised May 10, 2016



### **Contents**

| INTRODUCTION  |
|---|
| SECTION (8) (A) 1 A, B: TOTAL MISSOURI RETAIL ELECTRIC SALES AND REVENUE                    |
| SECTION (8) (A) 1 C: TOTAL RETAIL ELECTRIC SALES SUPPLIED BY  RENEWABLE ENERGY RESOURCES    |
| SECTION (8) (A) 1 D: CURRENT NUMBER AND VALUE OF RECS CREATED  BY EDE OWNED FACILITY        |
| SECTION (8) (A) 1 E, F: SOURCE AND NUMBER OF RECS ACQUIRED, SOLD, TRANSFERRED, RETIRED      |
| SECTION (8) (A) 1 G: IDENTIFICATION OF RECS CARRIED FORWARD                                 |
| SECTION (8) (A) 1 H: GAINS AND LOSSES FROM SALE OR PURCHASE                                 |
| SECTION (8) (A) 1 I: ACQUISITION OF RENEWABLE ENERGY10                                      |
| SECTION (8) (A) 1 J: ACQUISITION OF ELECTICAL ENERGY AND/OR RECS FROM CUSTOMER GENERATORS11 |
| SECTION (8) (A) 1 K: CUSTOMERS RECEIVING SOLAR REBATE11                                     |
| SECTION (8) (A) 1 L: CUSTOMERS DENIED SOLAR REBATE12  |
| SECTION (8) (A) 1 M: FUNDS EXPENDED BY EDE FOR SOLAR REBATES 12                             |
| SECTION (8) (A) 1 N: ANNUAL COMPLIANCE WITH RES COMPLIANCE PLAN 12                          |
| SECTION (8) (A) 1 O: NON-COMPLIANCE WITH RES COMPLIANCE PLAN                                |
| SECTION (8) (A) 1 P: CALCULATION OF ACTUAL CALENDAR YEAR RETAIL  RATE IMPACT13              |

ATTACHMENT 1: RECS ACQUIRED

ATTACHMENT 2: RECS RETIRED

ATTACHMENT 3: RECS CARRIED FORWARD

ATTACHMENT 4: REC REPORT

ATTACHMENT 5: WIND GENERATION ALLOCATION FOR MO RETAIL CUSTOMERS

ATTACHMENT 6: PURCHASE OF SOLAR SRECS

ATTACHMENT 7: ELK RIVER, CLOUD COUNTY MERIDIAN WAY, STANTON SOLAR FARM

**ATTESTATIONS** 

ATTACHMENT 8: SOLAR CUSTOMER NET GENERATION

ATTACHMENT 9: AFFIDAVIT OF COMPLIANCE WITH THE 2015 COMPLIANCE PLAN

ATTACHMENT 10: RETAIL RATE IMPACT CALCULATION

# 2015 ANNUAL RENEWABLE ENERGY STANDARD COMPLIANCE REPORT

#### INTRODUCTION

Pursuant to the Missouri Public Service Commission's (Commission) renewable energy standards rule, 4 CSR 240-20.100(8), The Empire District Electric Company (EDE or Empire), a Kansas corporation, is filing the Annual Renewable Energy Standard (RES) Compliance Report. The rule became effective in September 2010, and pursuant to the rule EDE must file the 2015 RES Compliance Report on or before April 15, 2016.

EDE began to develop its wind renewable energy portfolio on December 10, 2004, when it entered into a 20-year contract with Elk River Windfarm, LLC (owned by Avangrid Renewables) to purchase all of the energy generated at the 150-megawatt Elk River Windfarm located in Butler County, Kansas.

On June 19, 2007, EDE enhanced its renewable energy portfolio when it entered into a 20-year purchased power agreement with Cloud County Windfarm, LLC. EDP Renewables North America LLC is an indirect parent company of Cloud County Windfarm, LLC. Pursuant to the terms of the agreement, EDE purchases all of the output from the 105-megawatt Phase 1 Meridian Way Windfarm located in Cloud County, Kansas.

In addition, the Ozark Beach Hydroelectric Project, owned by EDE has produced renewable hydropower for many years. The RES rules allow for the banking of Renewable Energy Credits (REC) for up to a three year time period. This has allowed the use of eligible Ozark Beach RECs generated in 2014 and 2015 in meeting the 2015 RES requirements.

Effective May 16, 2015, Empire began offering rebates for Missouri customers for qualifying solar installations in accordance with the Missouri RES and Empire's Solar Rebate Rider approved by the Commission.

The following sections provide information required to indicate compliance with the rule:

# SECTION (8) (A) 1 A, B: TOTAL MISSOURI RETAIL ELECTRIC SALES AND REVENUE

The following table represents the number of megawatt-hours (MWh) of electricity delivered in 2015 by EDE to its Missouri retail customers, as reflected in the monthly billing statements. In addition, a column is provided capturing the revenue from total retail electric sales to Missouri customers:

Table 1: Missouri 2015 Total Retail Sales

| *Missouri Retail Sales | Year | MWh       | Revenue       |
|------------------------|------|-----------|---------------|
|                        |      |           |               |
|                        | 2015 | 4,059,623 | \$450,474,700 |

<sup>\*</sup>Data Source: Customers Kilowatt Hours Revenue Report

## SECTION (8) (A) 1 C: TOTAL RETAIL ELECTRIC SALES SUPPLIED BY RENEWABLE ENERGY RESOURCES

In compliance with Section 393.1030, RSMo, EDE retired sufficient RECs to provide the equivalent of 5% of its retail electric sales for 2015. With 4,059,623 MWh total retail electric sales for 2015, 89,873 RECs were retired from EDE's Ozark Beach Hydroelectric Project (Ozark Beach), a certified renewable energy facility. Ozark Beach consists of 4 generators with individual nameplate ratings of 4MW each. The facility received Missouri Department of Natural Resources Certification of Renewable Energy Generation Facility status on September 11, 2011. Using the provision included in 4 CSR 240-20.100 (3) (G), the additional credit allowance of 0.25 per REC was claimed because the renewable energy resource is physically located in the state of Missouri.

In addition, 86,581 2015 RECs were retired from our Elk River Windfarm, received through our ongoing purchased power agreement. Elk River also received Missouri Department of Natural Resources Certification of Renewable Energy Generation Facility status in 2011.

Finally, a combination of 810 Solar RECs (SRECs) from customer—generated sources and a spot purchase of SRECS were used to meet the 2% solar compliance requirement. EDE received certification for the aggregate customer solar renewable energy generation facilities from the Department of Economic Development in 2016. Certification was also received for the Stanton Solar Farm, the source of the wholesale SRECs.

All RECs retired to meet the 5% compliance requirement are shown in the table below.

Table 2: REC Retirement for Compliance with 2015 Missouri RES

| Missouri     | Non-Solar RES | ¹Non-Solar      | Solar RES         | <sup>2</sup> Solar RECs | Total RECs |
|--------------|---------------|-----------------|-------------------|-------------------------|------------|
| Retail Sales | Requirement   | RECs Retired    | Requirement       | Retired                 | Retired    |
| 4,059,623    | 198,922       |                 | 4,060             |                         |            |
|              | Ozark Beach   | 89,873 (1.25 Mo | EDE Solar         | 810 (1.25 Mo            |            |
|              |               | Source)         | Aggregate 1, 2, 3 | Source)                 |            |
|              | Elk River     | 86,581          | Wholesale         | 3,048                   |            |
|              |               |                 | Purchase          |                         |            |
| Total        |               | 198,922         |                   | 4,060                   | 202,982    |
| Total        |               | 198,922         |                   | 4,060                   | 202,98     |

<sup>&</sup>lt;sup>1</sup>Vintage 2014 and 2015 RECs from Ozark Beach (Missouri source) and Vintage 2015 RECs from Elk River were retired for compliance with the Missouri RES.

# SECTION (8) (A) 1 D: CURRENT NUMBER AND VALUE OF RECS CREATED BY EDE OWNED FACILITY.

In 2015, EDE-owned Ozark Beach generated 41,996 MWh. Using the provision of the Missouri RES allowing for additional credit of 0.25, the resultant RECs created for 2015 energy totaled 52,495 RECs (41,996 x 1.25). The RECs generated from the EDE-owned source are utilized first to meet compliance as additional costs are not incurred to the rate payer in order to acquire RECs. The RECs generated from Ozark Beach have little value as they typically cannot

<sup>&</sup>lt;sup>2</sup> 2015 Vintage SRECs were retired from EDE Solar Aggregates (Missouri source), and 2015 vintage SRECs were retired from the wholesale purchase.

be used to meet Renewable Portfolio Standards (RPS) in other states. EDE plans to bank the RECs remaining after 2015 compliance for future RES compliance.

# SECTION (8) (A) 1 E, F: SOURCE AND NUMBER OF RECS ACQUIRED, SOLD, TRANSFERRED, RETIRED

EDE receives renewable energy from five sources: ownership of Ozark Beach; two purchased power agreements (one with the Elk River Windfarm and the other with the Meridian Way Windfarm); customer-generated solar, and solar purchased from the open market. (See ATTACHMENT 1)

Pursuant to the terms of the two 20-year windfarm agreements, EDE will purchase all of the output from the 150-megawatt Elk River Windfarm, and 105-megawatts from the Meridian Way Windfarm. EDE anticipates annual generation of approximately 550,000 MWhs from Elk River and approximately 330,000 MWhs from Meridian Way.

During 2015, 88,263 vintage 2014 and 2015 RECs from hydroelectric generation at Ozark Beach were retired to fulfill the non-solar Missouri compliance obligation. In addition, 86,581 vintage 2015 RECs from generation at the Elk River Windfarm were retired for the non-solar RES obligation for 2015. In March of 2016, 1,610 additional 2015 RECs from hydroelectric generation at Ozark Beach were retired.

To meet the solar obligation, SRECs vintage 2015 purchased from a third party broker (3Degrees Group, Inc.) and 810 SRECs generated from EDE customers in 2015 were obtained. In total, 4,060 SRECs were retired in March of 2016 to fulfill the solar Missouri compliance obligation. Any remaining SRECs will be banked for future use. RECs from Ozark Beach and SRECs from customer installed net metered systems are entitled to the 1.25 factor as they represent Missouri based generation.

EDE utilizes the North American Renewable Registry (NARR) as recommended by Staff and approved by the Commission for tracking all RECs. In total, 198,922 RECs and 4,060 SRECs were retired to fulfill the 2015 compliance obligation. (See ATTACHMENT 2)

#### SECTION (8) (A) 1 G: IDENTIFICATION OF RECS CARRIED FORWARD

As indicated earlier, RECs generated by Ozark Beach, Elk River Windfarm, EDE Solar Aggregates 1, 2, and 3 and purchased SRECs are registered with the NARR. ATTACHMENT 3 included with this report indicates by source and serial number RECs registered with the NARR that have been carried forward for future use. For 2015 compliance, 2014 and 2015 Ozark Beach vintage RECs totaling 89,873 were retired for compliance using the 3-year banking provision. 10,140 Ozark Beach RECs from 2015 were carried forward into 2016. For the 2015 compliance year 86,581 Elk River RECs vintage 2015 were retired for compliance. Carried forward are additional 2015 Elk River RECs totaling . In 2015, EDE sold the majority of the 2014 and 2015 RECs associated with Elk River that were not used for compliance with the Missouri RES. A portion of the Elk River RECs were retired for compliance with the Kansas RES. Solar SRECS totaling were carried forward from 2015 for use in future compliance.

In addition to the RECs registered with the NARR EDE receives additional RECs from the Meridian Way Windfarm. These RECs are not being used for Missouri compliance; therefore they are not included in this reporting section. EDE does not anticipate utilizing RECs from the Meridian Way Windfarm for compliance throughout the entirety of the RES compliance period specified in Section 393.1030, RSMo.

## SECTION (8) (A) 1 H: GAINS AND LOSSES FROM SALE OR PURCHASE

EDE utilized wind RECs from the Elk River Windfarm purchased power agreement in order to comply with the Missouri non-solar RES. SRECs were purchased in order to comply with the solar portion of the Missouri RES.

ATTACHMENTS 4 and 5 include a REC report indicating sales of RECs, and the amount of wind generation allocated for Missouri retail customers by each wind farm. Any gains from sale or purchase were returned to the customers through the fuel adjustment.

SRECs were acquired from a wholesale provider and registered in the NARR. EDE purchased SRECs to meet the RES requirement. (See ATTACHMENT 6)

#### **SECTION (8) (A) 1 I: ACQUISITION OF RENEWABLE ENERGY**

Non-Solar renewable energy is purchased for EDE from the following non-utility owned facilities:

Elk River

Wind (Resource)

Avangrid Renewables (Owner)

14980 SE 190<sup>th</sup> Rd.

Latham, KS 67072

Meridian Way I

Wind (Resource)

EDP Renewables North America LLC (Owner)

1409 Iron Road

Concordia, KS 66901

Solar renewable energy was purchased for EDE from the following wholesale provider and non-utility owned facility:

3Degrees Group, Inc. (Wholesale)

407 Sansome

San Francisco, CA 94111

Stanton Solar Farm (Generator)

Regenesis Solar Power, LLC

2295 Commerce Point Drive

Suite 100 Lakeland, FL 33801-6963

All energy was derived from eligible renewable energy technology (wind, hydro and solar). ATTACHMENT 7 includes information to certify that the renewable energy attributes of the energy from Elk River Windfarm, Meridian Way Windfarm, and the Stanton Solar Farm have not been used to meet the requirements of any other local or state mandate.

## SECTION (8) (A) 1 J: ACQUISITION OF ELECTICAL ENERGY AND/OR RECS FROM CUSTOMER GENERATORS

ATTACHMENT 8 shows the system operational dates and estimated amounts of SRECs generated from customer generators using the Commission–approved estimation method, PVWatts. The 2015 SRECS were acquired by EDE as a condition of receiving the solar rebate instituted in May and extending through December of 2015.

#### SECTION (8) (A) 1 K: CUSTOMERS RECEIVING SOLAR REBATE

The total number of customers that applied and received a solar rebate by December 31, 2015 in accordance with section (4) of the Rule:

Table 4: Customers Receiving Solar Rebate

|                                  | EDE |
|----------------------------------|-----|
| RECEIVED A SOLAR REBATE PRIOR TO | 234 |
| 12/31/2015                       |     |

### SECTION (8) (A) 1 L: CUSTOMERS DENIED SOLAR REBATE

There were no customers that were denied a solar rebate

# SECTION (8) (A) 1 M: FUNDS EXPENDED BY EDE FOR SOLAR REBATES

Table 5: Funds Expended by EDE for Solar Rebates

|                             | EDE         |  |
|-----------------------------|-------------|--|
| SOLAR REBATES PAID PRIOR TO | \$3,098,478 |  |
| 12/31/2015                  |             |  |

# SECTION (8) (A) 1 N: ANNUAL COMPLIANCE WITH RES COMPLIANCE PLAN

See Affidavit of Compliance with 2015 Compliance Plan, ATTACHMENT 9.

# SECTION (8) (A) 1 O: NON-COMPLIANCE WITH RES COMPLIANCE PLAN

EDE has made every effort to comply and believes it has achieved compliance the Missouri RES Compliance Plan for the 2015 compliance period.

# SECTION (8) (A) 1 P: CALCULATION OF ACTUAL CALENDAR YEAR RETAIL RATE IMPACT

See ATTACHMENT 10. The calculations in ATTACHMENT 10 are based on total company not Missouri jurisdictional.

**EMPIRE** 

2015 RES REPORT

ATTACHMENT 1

**RECS ACQUIRED** 

☐ HIGHLY CONFIDENTIAL

MON-PROPRIETARY

An Oregon Limited Liability Company

#### INVOICE

Invoice Date: Due Date:

February 3, 2014 February 23, 2014

The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173

Invoice #: #0LRZ2 Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance

December-14

Prepay Surplus/Deficiency

Delivered Generation:

Current Month Prepayment Plus: Prepay Surplus or Deficiency Prepay

Total

Grand Total Paid

Phone: 503-796-7116

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

JP Morgan Chase Chicago, IL ABA No. ACH ABA No. For Account of Acolus Wind Power Account No.

253 - 165500

Iberdrola Contact: christopher.evidente@iberdrolaren.com

Total Amount Due To Elk River Windfarm, LLC:

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date shown above, please contact Chris Evidente

> SCHED 2-23-15 DL

An Oregon Limited Liability Company

#### **INVOICE**

Due Date:

March 2, 2015 March 23, 2015

The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173

Invoice #: #0LUL4 Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance

February-15

Delivered Generation:

Prepay Surplus/Deficiency

Prepay

Current Month Prepayment Plus: Prepay Surplus or Deficiency

Total

Grand Total Paid

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

JP Morgan Chase Chicago, IL ABA No.

ACH ABA No.

Account No.

For Account of Aeolus Wind Power II LLC.

Iberdrola Contact: christopher.evidente@iberdrolaren.com Phone: 503-796-7116

253 - 165500

Total Amount Due To Elk River Windfarm, LLC:

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date shown above, please contact Chris Evidente

> SCHED 3-23-15 DL

An Oregon Limited Liability Company

**REVISED INVOICE** April 6, 2015 April 27, 2015 Invoice Date: The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplín, MO 64802 Invoice #: #0LXC8 Phone: (417) 625-6194 Customer #: 900166 Fax: (417) 625-5173 Description Pre-payment and monthly billing for energy and renewable energy credits. Prior Month Prepayment Balance Delivered Generation: March-15 Prepay Surplus/Deficiency Current Month Prepayment Plus: Prepay Surplus or Deficiency Total Grand Total Paid Net Terms: Due 20 days after receipt of invoice by wire transfer to: JP Morgan Chase Chicago, IL ABA No. 253 - 165500 ACH ABA No. For Account of Aeolus Wind Power II LLC. Account No. Iberdrola Contact: christopher.evidente@iberdrolaren.com Phone: 503-796-7116 Total Amount Due To Elk River Windfarm, LLC:

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date shown above, please contact Chris Evidente

An Oregon Limited Liability Company

#### REVISED INVOICE

Invoice Date: Due Date: May 4, 2015 May 25, 2015

The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173 Invoice #: #0M061 Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance

March-15

Prepay Surplus/Deficiency

Prepay

Delivered Generation:

Current Month Prepayment Plus: Prepay Surplus or Deficiency

Total

~

•

Grand Total Paid

253 - 165500

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

JP Morgan Chase

Chicago, IL

ABA No.
ACH ABA No.

For Account of Aeolus Wind Power II LLC.

Account No.

Account 140.

Iberdrola Contact: christopher.evidente@iberdrolaren.com

Phone: 503-796-7116

Total Amount Due To Elk River Windfarm, LLC:

5

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date shown above, please contact Chris Evidente

SCHED 5-26-15 DL

An Oregon Limited Liability Company

#### **REVISED INVOICE**

Invoice Date: Due Date:

June 2, 2015 June 22, 2015

The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173

Invoice #: #0M351 Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance

March-15

Prepay Surplus/Deficiency

Delivered Generation:

Current Month Prepayment Plus: Prepay Surplus or Deficiency

Prepay

Total

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

JP Morgan Chase Chicago, IL

Grand Total Paid

ABA No. ACH ABA No.

For Account of Aeolus Wind Power II LLC.

253 - 165500

Account No.

Iberdrola Contact: christopher.evidente@iberdrolaren.com

Phone: 503-796-7116

Total Amount Due To Elk River Windfarm, LLC:

sched 6-22-15 dl

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date shown above, please contact Chris Evidente

An Oregon Limited Liability Company

#### INVOICE

Invoice Date: Due Date:

July 7, 2015 July 27, 2015

The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173

Invoice #: #0M5SZ Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance

June-15

Prepay Surplus/Deficiency

Delivered Generation:

Current Month Prepayment

Plus: Prepay Surplus or Deficiency

Total

Grand Total Paid

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

JP Morgan Chase Chicago, IL ABA No. ACH ABA No. For Account of Acolus Wind Power II LLC. Account No.

253-165500

Iberdrola Contact: christopher.evidente@iberdrolaren.com

Phone: 503-796-7116

Total Amount Due To Elk River Windfarm, LLC:

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date shown above, please contact Chris Evidente

1.24.15

An Oregon Limited Liability Company

#### **INVOICE**

Invoice Date: Due Date:

August 4, 2015 August 24, 2015

The Empire District Electric Co. Attn: Kristy Tacken 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173

Invoice #: #0M88C Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance

July-15

Prepay Surplus/Deficiency

Delivered Generation:

Current Month Prepayment

Plus; Prepay Surplus or Deficiency

Prepay

Total

Grand Total Paid

253 - 165500

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

JP Morgan Chase Chicago, IL ABA No. ACH ABA No. For Account of Acolus Wind Power II LLC.

Account No.

Iberdrolu Contact: christopher.evidente@iberdrolaren.com Phone: 503-796-7116

Total Amount Due To Elk River Windfarm, LLC:

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date shown above, please contact Chris Evidente

Que & M. 15 8-24-15

An Oregon Limited Liability Company

#### **INVOICE**

Invoice Date: Due Date:

September 2, 2015 September 22, 2015

The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173

Invoice #: #0MB13 Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance

August-15 Prepay Surplus/Deficiency

Delivered Generation:

Current Month Prepayment

Ртерау

Plus: Prepay Surplus or Deficiency

Total

Grand Total Paid

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

253 - 165500

JP Morgan Chase Chicago, IL ABA No. ACH ABA No. For Account of Aeolus Wind Power II LLC. Account No.

Iberdrola Contact: christopher.evidente@iberdrolaren.com

Phone: 503-796-7116

Total Amount Due To Elk River Windfarm, LLC:

\$

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date shown above, please contact Chris Evidente

4.22.15 Off

RECEIVED

#### Elk River Windfarm, LLC

An Oregon Limited Liability Company

OCT 122015

#### INVOICE

Invoice Date: Dae Date: October 5, 2015 October 26, 2015 ACCOUNTING

The Empire District Electric Co. Attn: Kristy Tackett 602 Jophin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173 Invoice #: #24986 Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits:

Prior Month Prepayment Balance September-15 Prepay Surplus/Deficiency

Delivered Gesemben:

Carrent Month Propayment Plus: Propay Survius or Deficiency

Prepay

Total

Grand Total Paid

Phone: 503-796-7116

\$

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

JP Morgan Chase Chicago, IL ABA No. ACH ABA No. For Account of Acolus Wind Power II LUC.

Iberdrola Contacit ehrlstopher evidente@iberdrolaren.com

953-145500

Total Amount Due To Elk River Windfarm, LLC:

\$

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date thrown above, please contact Chris Evidente

10-26-13

An Oregon Limited Liability Company

#### INVOICE

Invoice Date: Due Date:

November 4, 2015 November 24, 2015

The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173

Invoice #: #26228 Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance

September-15

Delivered Generation:

Prepay Surplus/Deficiency

Ртерау

Current Month Prepayment Plus: Prepay Surplus or Deficiency

Total

Grand Total Paid

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

253 - 165500

JP Morgan Chase ACH ABA No.
For Account of Acolus Wind Power II LLC.

Account No.

Iberdrola Contact: christopher.evidente@iberdrolaren.com

Phone: 503-796-7116

Total Amount Due To Elk River Windfarm, LLC:

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date shown above, please contact Chris Evidente

> SCHED 11-24-15 DL

An Oregon Limited Liability Company

#### INVOICE

Invoice Date: Duc Date:

December 7, 2015 December 28, 2015

The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173

Invoice #: #26228 Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance

November-15 Prepay Surplus/Deficiency

Delivered Generation:

Current Month Prepayment

Prepay

Plus: Prepay Surplus or Deficiency

Total

Grand Total Paid

\$

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

253 - 165500

JP Morgan Chase Chicago, IL ABA No. ACH ABA No. For Account of Acolus Wind Power II LLC. Account No.

Iberdrola Contact: christopher.evidente@iberdrolaren.com

Phone: 503-796-7116

Total Amount Due To Elk River Windfarm, LLC:

Finance charges will be applied to accounts that are not paid in full by the stated due date, If you do not agree with the due date shown above, please contact Chris Evidente

ma hun 12.23-18 IN

An Oregon Limited Liability Company

JAN 12 2016

#### **INVOICE**

ACCOUNTING

Invoice Date: Due Date:

January 6, 2016 January 26, 2016

The Empire District Electric Co. Attn: Kristy Tackett 602 Joplin Street PO Box 127 Joplin, MO 64802

Phone: (417) 625-6194 Fax: (417) 625-5173

Invoice #: #28535 Customer #: 900166

Description

Pre-payment and monthly billing for energy and renewable energy credits.

Prior Month Prepayment Balance

November-15

Prepay Surplus/Deficiency

Current Month Prepayment Plus: Prepay Surplus or Deficiency Prepay

Total

Grand Total Paid

253-145500

Net Terms: Due 20 days after receipt of invoice by wire transfer to:

JP Morgan Chase

Chicago, IL ABA No.

ACH ABA No.

For Account of Aeolus Wind Power II LLC.

Account No.

Iberdrola Contact: christopher.evidente@iberdrolaren.com

Phone: 503-796-7116

Total Amount Due To Elk River Windfarm, LLC:

Finance charges will be applied to accounts that are not paid in full by the stated due date. If you do not agree with the due date shown above, please contact Chris Evidente

1-26-16 1-26-16

#### **INVOICE**

### **Cloud County Wind Farm LLC**

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

#### The Empire District Electric Company

602 S Joplin St Joplin, MO 64802

Attn: Accounts Payable Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

INVOICE NO. CCE 0115 **TERMS** Net 10 **INVOICE DATE** 2/10/2015 **DUE DATE** 2/20/2015

253 - 555430

|                  | Energy (MWh) | Rate (\$/MWh) | Amount Due |
|------------------|--------------|---------------|------------|
| Energy Output    | -            |               | \$         |
| Lost Production  |              |               |            |
| Availability LDs |              |               |            |
| Availability LDS | Total        | Amount Due    | \$         |

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price = Wh. Second Half Contract Price = MWh.

Please remit payment to:

JP Morgan Chase Routing No: Account No: Account Name: Cloud County

**Ref: CCE Power Production** 

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568

SCHED 2-20-15 DL



<u>.</u>

**INVOICE** 

## **Cloud County Wind Farm LLC**

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

RECEIVED



The Empire District Electric Company

602 S Joplin St

Joplin, MO 64802

Attn: Accounts Payable

Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

253-555430

| INVOICE NO.  |
|--------------|
| CCE 0215     |
| TERMS        |
| Net 10       |
| INVOICE DATE |
| 3/10/2015    |
| DUE DATE     |
| 3/20/2015    |

| February-2015    |              |                   |            |  |
|------------------|--------------|-------------------|------------|--|
|                  | Energy (MWh) | Rate (\$/MWh)     | Amount Due |  |
| Energy Output    |              |                   | \$         |  |
| Lost Production  |              |                   |            |  |
| Availability LDs |              |                   |            |  |
|                  | Total        | <b>Amount Due</b> | \$         |  |

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price YMWh.

Please remit payment to:

JP Morgan Chase

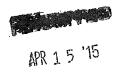
Routing No:

Account No: 1

**Account Name: Cloud County** 

**Ref: CCE Power Production** 

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568





**INVOICE** 

## **Cloud County Wind Farm LLC**

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

The Empire District Electric Company

602 S Joplin St Joplin, MO 64802

Attn: Accounts Payable Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

INVOICE NO. CCE 0315 TERMS Net 10 **INVOICE DATE** 4/8/2015 **DUE DATE** 

4/20/2015

|                  | Energy (MWh) | Rate (\$/MWh) | Amount Due |
|------------------|--------------|---------------|------------|
| Energy Output    |              |               | \$         |
| Lost Production  |              |               |            |
| Availability LDs |              |               |            |

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price = MWh. Second Half Contract Price = MWh.

Please remit payment to:

JP Morgan Chase

Routing No.

Account No:

**Account Name: Cloud County Ref: CCE Power Production** 

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568

253 - 555430



MAY 1 3 '15

**INVOICE** 



### **Cloud County Wind Farm LLC**

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

The Empire District Electric Company

602 S Joplin St

Joplin, MO 64802

Attn: Accounts Payable

Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

INVOICE NO. CCE 0415 **TERMS** Net 10 **INVOICE DATE** 5/8/2015 **DUE DATE** 5/18/2015

253-555430

| Apri         | 11-2015       |            |
|--------------|---------------|------------|
| Energy (MWh) | Rate (\$/MWh) | Amount Due |
|              | •             | \$         |
|              |               | <br>       |
|              |               |            |
|              | Energy (MWh)  | T I        |

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price = MWh. Second Half Contract Price = YMWh.

Please remit payment to:

JP Morgan Chase

Routing No:

Account No:

Account Name: Cloud County **Ref: CCE Power Production** 

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568

fron for 5.18.15 M

JUN 1 1 '15

INVOICE

## **Cloud County Wind Farm LLC**

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

The Empire District Electric Company

602 S Joplin St

Joplin, MO 64802

Attn: Accounts Payable

Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

| INVOICE NO.  |   |
|--------------|---|
| CCE 0515     |   |
| TERMS        | _ |
| Net 10       |   |
| INVOICE DATE | _ |
| 6/9/2015     | - |
|              |   |

**DUE DATE** 6/19/2015

| May-2015         |              |               |            |  |
|------------------|--------------|---------------|------------|--|
|                  | Energy (MWh) | Rate (\$/MWh) | Amount Due |  |
| Energy Output    |              |               | \$         |  |
| Lost Production  |              |               |            |  |
| Availability LDs |              |               |            |  |
|                  | Total        | Amount Due    | \$         |  |

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price = MWh. Second Half Contract Price = YMWh.

Please remit payment to:

JP Morgan Chase

Routing No:

Account No: 🖷

**Account Name: Cloud County** 

**Ref: CCE Power Production** 

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568

253 - 555430

16-19-15 ON



**INVOICE** 

## **Cloud County Wind Farm LLC**

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

The Empire District Electric Company

602 S Joplin St Joplin, MO 64802

Attn: Accounts Payable Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

INVOICE NO. CCE 0615 **TERMS** Net 10 **INVOICE DATE** 7/6/2015 **DUE DATE** 

7/16/2015

253-555430

| June-2015        |              |               |            |  |  |
|------------------|--------------|---------------|------------|--|--|
|                  | Energy (MWh) | Rate (\$/MWh) | Amount Due |  |  |
| Energy Output    |              |               | \$         |  |  |
| Lost Production  |              |               |            |  |  |
| Availability LDs |              |               |            |  |  |

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price = MWh. Second Half Contract Price = /MWh.

Please remit payment to:

JP Morgan Chase

Account No:

Routing No:

**Account Name: Cloud County Ref: CCE Power Production** 

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568

AUG 1.1 '15

ACCOUNTING

**INVOICE** 

## **Cloud County Wind Farm LLC**

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

The Empire District Electric Company

602 S Joplin St

Joplin, MO 64802

Attn: Accounts Payable Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

INVOICE NO. CCE 0715 **TERMS** Net 10

**INVOICE DATE** 8/10/2015

**DUE DATE** 8/20/2015

J53-555430

| July-2015        |              |               |            |  |  |
|------------------|--------------|---------------|------------|--|--|
|                  | Energy (MWh) | Rate (\$/MWh) | Amount Due |  |  |
| Energy Output    |              | 8             | \$         |  |  |
| Lost Production  |              |               |            |  |  |
| Availability LDs |              |               |            |  |  |

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price = MWh. Second Half Contract Price = YMWh.

Please remit payment to:

JP Morgan Chase

Routing No: Account No:

**Account Name: Cloud County** 

**Ref: CCE Power Production** 

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568

fac. \$ 4 Th 8.20.15 0 W

#### **INVOICE**

## **Cloud County Wind Farm LLC**

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

#### The Empire District Electric Company

602 S Joplin St Joplin, MO 64802 Attn: Accounts Payable Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

| INVOICE NO.  |
|--------------|
| CCE 0815     |
| TERMS        |
| Net 10       |
| INVOICE DATE |
| 9/9/2015     |
| DUE DATE     |
| 9/21/2015    |

| m refugig        | Province of the last control of the |               |            |
|------------------|---|---------------|------------|
|                  | Energy (MWh)  | Rate (\$/MWh) | Amount Due |
| Energy Output    |   | 1             | \$         |
| Lost Production  |   |               |            |
| Availability LDs |   |               |            |

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price = MWh. Second Half Contract Price = MWh.

Please remit payment to:

JP Morgan Chase

Routing No:

Account No: Cloud County

Ref: CCE Power Production

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568

253-555430

pecter 921.19 OK RECEWED

OCT 122015

INVOICE

# ACCOUNTING Cloud County Wind Farm LLC

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

The Empire District Electric Company

602 S Joplin St

Joplin, MO 64802

Attn: Accounts Payable

Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

INVOICE NO. CCE 0915

**TERMS** 

Net 10

INVOICE DATE

10/8/2015

**DUE DATE** 

10/19/2015

253-555430

| September-2015   |              |               |            |  |  |
|------------------|--------------|---------------|------------|--|--|
| ·                | Energy (MWh) | Rate (\$/MWh) | Amount Due |  |  |
| Energy Output    |              |               | \$         |  |  |
| Lost Production  |              |               |            |  |  |
| Availability LDs |              |               |            |  |  |

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price = MWh. Second Half Contract Price =

YMWh.

Please remit payment to:

JP Morgan Chase

Routing No:

Account No: 1

Account Name: Cloud County **Ref: CCE Power Production** 

For questions regarding this invoice, please call: Daniel Saldonha (713) 356-2568

No 1975

### RECEIVED

NOV 1 0 2015

**INVOICE** 

## ACCOUNTING Cloud County Wind Farm LLC

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

### The Empire District Electric Company

602 S Joplin St Joplin, MO 64802

Attn: Accounts Payable Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

| INVOICE NO.  |
|--------------|
| CCE 1015     |
| TERMS        |
| Net 10       |
| INVOICE DATE |
| 11/9/2015    |
| DUE DATE     |
| 11/19/2015   |
|              |

253 555430

|                  | Octob        | er-2015       |     | .: . |              |
|------------------|--------------|---------------|-----|------|--------------|
|                  | Energy (MWh) | Rate (\$/MWh) |     | Am   | ount Due     |
| Energy Output    |              |               | \$  | 1    |              |
| Lost Production  |              |               |     | -    |              |
| Availability LDs |              |               |     |      |              |
|                  | πotal        | Amount Due    | 100 |      | Cittanana da |

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price = MWWh. Second Half Contract Price = MWh.

Please remit payment to:

JP Morgan Chase

Routing No: 1

Account No. 3

Account Name: Cloud County

**Ref: CCE Power Production** 

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568

Na hot 11-19-15 DK

DEC 152015

### ACCOUNTING

**INVOICE** 

## **Cloud County Wind Farm LLC**

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

The Empire District Electric Company

602 S Joplin St

Joplin, MO 64802

Attn: Accounts Payable

Fax: (417) 625-5173

Delivered Via Email: ktackett@empiredistrict.com

INVOICE NO.
CCE 1115
TERMS
Net 10
INVOICE DATE
12/8/2015
DUE DATE
12/18/2015

253-555430

|                  | Noven        | ber-2015      |            |
|------------------|--------------|---------------|------------|
|                  | Energy (MWh) | Rate (\$/MWh) | Amount Due |
| Energy Output    |              |               | \$         |
| Lost Production  |              |               |            |
| Availability LDs |              |               |            |

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Form LLC and The Empire District Electric Company dated June 19, 2007.

Facility Completion Date was declared 12/23/2008. First Half Contract Price = MWh. Second Half Contract Price = MWh.

Please remit payment to:

JP Morgan Chase

Routing No:

Account No: 7

Account Name: Cloud County Ref: CCE Power Production

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568

12.18.19 12.18.19 RECEIVED

**INVOICE** 

JAN 12 2016

ACCOUNTING

### **Cloud County Wind Farm LLC**

808 Travis St Ste 700 Houston, TX 77002

PH: (713) 265-0350 FAX: (713) 265-0365

The Empire District Electric Company

602 S Joplin St

Joplin, MO 64802

Attn: Accounts Payable

Fax: (417) 625-5173
Delivered Via Email: ktackett@empiredistrict.com

INVOICE NO.
CCE 1215
TERMS
Net 10
INVOICE DATE
1/8/2016

DUE DATE 1/18/2016

253 - 555430

|                  | Decem        | ber-2015      | •          |
|------------------|--------------|---------------|------------|
|                  | Energy (MWh) | Rate (\$/MWh) | Amount Due |
| Energy Output    |              | 1             | \$         |
| Lost Production  |              |               |            |
| Availability LDs |              |               |            |

This invoice submitted per the terms and conditions of the Renewable Resource Power Purchase Agreement between Cloud County Wind Farm LLC and The Empire District Electric Company dated June 19, 2007.

YMWh.

Facility Completion Date was declared 12/23/2008. First Half Contract Price = MWh. Second Half Contract Price =

Please remit payment to:

JP Morgan Chase

Routing No:

Account No: Account Name: Cloud County

Ref: CCE Power Production

For questions regarding this invoice, please call: Daniel Saldanha (713) 356-2568

PACE 6116 1-19-16 OP **EMPIRE** 

2015 RES REPORT

ATTACHMENT 2

**RECS RETIRED** 

☐ HIGHLY CONFIDENTIAL

NON-PROPRIETARY

State/Provincial/Voluntary Compliance Report

4/7/2016

|                                      |  | lo.  | 10   | Lin.   | - m   | Co.  | 100   | LO.   | Lo.  | in .   | ın   | T to   | 150  | in                                 |
|--------------------------------------|--|--|--|--|---|--|---|---|--|--|--|--|--|------------------------------------|
|                                      | Retirement<br>Date                               | 12/28/2015   | 12/28/2015   | 12/28/2015   | 12/28/2015  | 03/28/2016   | 01/30/2015  | 12/28/2015  | 12/28/2015   | 12/28/2015   | 12/28/2015   | 12/28/2015   | 12/28/2015   | 12/28/2015                         |
| _                                    | Certified  | N<br>N   | NO<br>No   | Š  | 2   | No<br>No   | No  | 8   | o<br>Z   | 8  | 9  | 02   | Š.   | o<br>N                             |
| =86,58                               | US<br>EPA<br>GPP<br>Eligible                     | 2  | 2  | 2  | 8   | o<br>N   | ON.   | 9   | o<br>O   | 8  | ٤  | 8  | 8  | 8                                  |
| 1180                                 | Green-e<br>Cilmate<br>RE<br>Protocol<br>Eligibio | 8  | o <sub>N</sub>   | o <sub>N</sub>   | - P   | 9  | NO<br>NO  | o Z   | o Z  | o Z  | o <sub>N</sub>   | No.  | 8  | o <sub>N</sub>                     |
| 2                                    | Green-e<br>Energy<br>Eligibie                    | 8  | N <sub>O</sub>   | 9N   | 8   | 2  | No<br>No  | 92  | 92   | o Z  | o.<br>Z  | No<br>No   | o N  | 8                                  |
| 3                                    | ME<br>Class                                      | 2  | 8  | 2  | S   | S.   | No  | o<br>Z  | 8  | o<br>N   | 2  | 8  | 8  | 8                                  |
| 0                                    | ME<br>Class                                      | N <sub>O</sub>   | N <sub>O</sub>   | 92   | 8   | S.   | No<br>No  | 2   | o N  | S.   | g  | 2  | S.   | 2                                  |
| 80                                   | <u>я</u>   | 8  | 8  | 8  | o <sub>N</sub>  | S.   | 2   | S.  | Š.   | g  | ž  | S.   | 2  | 2                                  |
| 11                                   | 71   | 8  | 2  | Š  | 8   | o Z  | 8   | 8   | 2  | 8  | N<br>N   | 2  | 2  | 2                                  |
| SOLARAGG                             | ž  | 2  | ٤  | S.   | - S   | ક  | 8   | Š   | 2  | 2  | 8  | 2  | ٤  | 2                                  |
| RP                                   | &  | Yes  | Yes  | Yes  | Yes   | Yes  | Yes   | Yes   | Yes  | Yes  | Yes  | Yes  | Yes  | Yes                                |
| 5                                    | N<br>O   | 2  | 2  | ٤  | g   | 2<br>Z   | 2   | 2   | 2  | 2  | g<br>Z   | S.   | 2  | 2                                  |
| ŝ                                    | МО   | Yes  | Yes  | Yes  | Yes   | Yes  | Yes   | Yes   | Yes  | ξes  | Yes  | Yes  | Yes  | ξ.<br>Kes                          |
| හ                                    | Duration -<br>End Date                           | 1/31/2015  | 2/28/2015  | 5/31/2015  | 9/30/2015   | 11/30/2015   | 1/31/2014   | 2/28/2014   | 3/31/2014  | 4/30/2014  | 9/30/2014  | 10/31/2014   | 12/31/2014   | 3/31/2015                          |
| 3,04                                 | Duration -<br>Start Date                         | 1/1/2015   | 2/1/2015   | 5/1/2015   | 9/1/2015  | 11/1/2015  | 1/1/2014  | 2/1/2014  | 3/1/2014   | 4/1/2014   | 9/1/2014   | 10/1/2014  | 12/1/2014  | 3/1/2015                           |
| SGD =                                | Compliance<br>Equivalency                        | 6,347.50   | 4,238.75   | 5,995.00   | 825.00  | 2,012.50   | 3,380,00  | 5,192.50  | 8,125.00   | 8,246.25   | 5,826.25   | 4,700.00   | 7,478.75   | 8,597.50                           |
| PURCHASED                            | Quantity   | 5,078  | 3,391  | 4,796  | 999   | 1,610  | 4.  | 4,154   | 6,500  | 6,597  | 4,661  | 3,760  | 5,983  | 6,878                              |
| الع                                  | Certificate<br>Serial<br>Numbers                 | NAR-<br>REC-99-<br>MC-01-<br>2015-<br>27118-1<br>to 5078 | NAR-<br>REC-99-<br>MO-02-<br>2015-<br>33794-1<br>to 3391 | NAR-<br>REC-99-<br>MO-05-<br>2015-<br>37611-1<br>to 4796 | NAR-<br>REC-99-<br>MO-09-<br>2015-<br>51493-1<br>to 660 | NAR-<br>REC-99-<br>MO-11-<br>2015-<br>57975-1<br>to 1610 | NAR-<br>REC-99-<br>MO-01-<br>2014-<br>9364-1<br>to 2704 | NAR-<br>REC-99-<br>MO-02-<br>2014-<br>9549-1<br>to 4154 | NAR-<br>REC-99-<br>RO-03-<br>2014-<br>10176-1<br>to 6500 | NAR-<br>REC-99-<br>MO-04-<br>2014-<br>10307-1<br>to 6597 | NAR-<br>REC-99-<br>MO-09-<br>2014-<br>19254-1<br>to 4661 | NAR-<br>REC-99-<br>MO-10-<br>2014-<br>20616-1<br>to 3760 | NAR-<br>REC-99-<br>MO-12-<br>2014-<br>26855-1<br>to 5983 | NAR-<br>REC-99-<br>MO-03-<br>2015- |
| SOLA                                 | Certificate<br>Vintage                           | 01/2015  | 02/2015  | 05/2015  | 09/2015   | 11/2015  | 01/2014   | 02/2014   | 03/2014  | 04/2014  | 09/2014  | 10/2014  | 12/2014  | 03/2015                            |
| m                                    | Fuel/Project<br>Type                             | Hydroelectric<br>Water                                   | Hydroelectric<br>Water                                   | Hydroelectric<br>Water                                   | Hydroelectric<br>Water                                  | Hydroelectric<br>Water                                   | Hydroelectric   | Hydroelectric<br>Water                                  | Hydroelectric<br>Water                                   | Hydroelectric<br>Water                                   | Hydroelectric<br>Water                                   | Hydroelectric<br>Water                                   | Hydroelectric<br>Water                                   | Hydroelectric<br>Water             |
| 9,87                                 | Asset  | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro              | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro              | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro              | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro             | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro              | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro             | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro             | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro              | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro              | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro              | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro              | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro              | Ozark<br>Beach -<br>Ozark          |
| 8 10                                 | NARID  | GEN99  | GEN99  | GEN99  | GEN99   | GEN99  | GEN99   | GEN99   | GEN99  | GENS9  | GEN99  | GEN99  | GEN99  | GEN99                              |
| HYDRO                                | Additional<br>Detalis                            |  |  |  | 1   | 1  | -   | 1   |  | 1  | *  | •  | 1  | 1                                  |
|                                      | Reason   |  |  |  |   | r  |   |   |  |  |  | •  |  | *                                  |
| line 597                             | Compliance<br>Period                             | 2015   | 2015   | 2015   | 2015  | 2015   | 2014.   | 2015  | 2015   | 2015   | 2015   | 2015   | 2015   | 2015                               |
| isp,                                 | State  | Ø.   | Q<br>E   | Š.   | Q.  | §.   | ΩQ  | ę   | §.   | 운  | Š  | õ  | Ŏ<br>O   | δ                                  |
| myModule/include/print.asp, line 597 | Subaccount ID                                    | 270  | 270  | 270  | 270   | 270  | 270   | 270   | 270  | 270  | 270  | 270  | 270  | 270                                |
| 홝                                    |  |  |  |  |   |  |   |   |  |  |  |  |  | <del></del>                        |
| dule/inc                             | SubAccount                                       | DefaultRET   | DefaultRET   | DefaultRET   | DefaultRET  | DefaultRET   | DefaultRET  | DefaultRET  | DefaultRET   | DefaultRET   | DefaultRET   | DefaultRET   | DefaultRET   | DefaultRET                         |
| /myMo                                | Account  | Empire<br>District<br>Electric<br>Company                | Empire<br>District<br>Electric<br>Company                | Empire<br>District<br>Electric<br>Company                | Empire<br>District<br>Electric<br>Company               | Empire<br>District<br>Electric<br>Company                | Empire<br>District<br>Electric<br>Company               | Empire<br>District<br>Electric<br>Company               | Empire<br>District<br>Electric<br>Company                | Empire<br>District<br>Electric<br>Company                | Empire<br>District<br>Electric<br>Company                | Empire<br>District<br>Electric<br>Company                | Empire<br>District<br>Electric<br>Company                | Empire<br>District<br>Electric     |

Page 3 of 4

|  |                    |  |  |  |  |  |  |  |  |  |  | .,   |  |                    |
|--|--------------------|--|--|--|--|--|--|--|--|--|--|--|--|--------------------|
| Refirement<br>Date                               |                    | 12/28/2015   | 12/28/2015   | 12/28/2015   | 12/28/2015   | 12/28/2015   | 12/28/2015   | 12/28/2015   | 12/28/2015   | 12/28/2015   | 04/06/2016   | 04/05/2016   | 04/06/2016   | 04/07/2016         |
| LIHI   |                    | 9  | 0 2  | o <sub>N</sub>   | o<br>Z   | o <sub>N</sub>   | S.   | 9  | No.  | N<br>N   | <u>8</u>   | 8  | S<br>S   | N<br>O             |
| US<br>EPA<br>GPP<br>Eligibio                     |                    | 8  | No<br>O  | S  | . 8  | 8  | No   | O.N.   | o <sub>N</sub>   |  | 8  | 8  | S.   | 2                  |
| Green-e<br>Climate<br>RE<br>Protocol<br>Eligible |                    | o <sub>N</sub>   | o <sub>N</sub>   | ON<br>N  | 8  | o.<br>O.   | 0 2  | o <sub>N</sub>   | o <sub>N</sub>   | S<br>S   | No   | 8  | 2  | Š.                 |
| Green-e<br>Energy<br>Eligible                    |                    | o <sub>N</sub>   | o <sub>N</sub>   | 2  | 2  | 02   | 92   | 9  | oN<br>O  | No<br>O  | Yes  | Yes  | Yes  | o Z                |
| ME<br>Class                                      |                    | g<br>N   | 8  | 2  | 8  | o <sub>Z</sub>   | 2  | 2  | . No   | g.   | o<br>N   | 8  | 2  | 2                  |
| ME<br>Class                                      |                    | 2  | 2  | e<br>Z   | 8  | 2  | S<br>O<br>N  | 8  | g  | ğ  | ĝ  | 2  | 2  | 2                  |
| 똢  |                    | Š  | 8  | 2  | 8  | S<br>S   | SN<br>SN   | 운  | ટ્ટ  | 운  | N.   | 2  | 2  | 2                  |
| 긛  | ļ                  | 8  | 8  | 2  | 2  | 2  | 8  | 2  | . 2  | 8  | Yes  | Yes  | No Yes   | oN<br>N            |
| ķ  | <del> </del>       | 2  | N<br>S   | Yes  | Yes No   | Yes  | Yes  | Yes  | Yes  | Yes  | 8<br>8   | N No   | ž  | Yes                |
| NG KS  | ╀                  | No Yes   | No Yes   | 92   | No<br>No   | o <sub>N</sub>   | ν.<br>92   | 2  | 2  | 2  | .8   | 92   | 2  | 2                  |
| 9  |                    | Yes  | Yes  | Yes  | Yes  | Yes.   | Yes  | Yes  | Yes  | Yes  | Yes  | %<br>%   | Yes  | Yes                |
| Duration -<br>End Date                           |                    | 4/30/2015  | 6/30/2015  | 10/31/2015   | 1/31/2014  | 5/31/2014  | 6/30/2014  | 7/31/2014  | 8/31/2014  | 11/30/2014   | 2/28/2015  | 3/31/2015  | 4/30/2015  | 12/31/2015         |
| Duration<br>Start Dale                           |                    | 4/1/2015   | 6/1/2015   | 10/1/2015  | 1/1/2014   | 5/1/2014   | 6/1/2014   | 7/1/2014   | 8/1/2014   | 11/1/2014  | 2/1/2015   | 3/1/2015   | 4/1/2015   | 6/1/2015           |
| Compilance<br>Equivalency                        |                    | 6,297.50   | 1,893.75   | 3,612.50   | 7,058.75   | 3,233.75   | 5,528.75   | 5,256.25   | 6,450.00   | 5,415,00   | 629.00   | 845.00   | 837,00   | 660.00             |
| Quantity   |                    | 5,038  | 1,515  | 2,890  | 5,647  | 2,587  | 4,423  | 4,205  | 5,168  | 4,332  | 629  | 845  | 837  | 528                |
| Certificate<br>Sortal<br>Numbers                 | 34801-1<br>to 6878 | NAR-<br>REC-99-<br>MO-04-<br>2015-<br>35328-1<br>to 5038 | NAR-<br>REC-99-<br>MO-06-<br>2015-<br>44533-1<br>to 1515 | NAR-<br>REC-99-<br>MO-10-<br>2015-<br>51615-1<br>to 2890 | NAR-<br>REC-99-<br>MO-01-<br>2014-<br>9364-<br>2705 to<br>8351 | NAR-<br>REC-99-<br>MO-05-<br>2014-<br>13579-1<br>to 2587 | NAR-<br>REC-99-<br>MO-06-<br>2014-<br>13998-1<br>to 4423 | NAR-<br>REC-99-<br>MO-07-<br>2014-<br>14211-1<br>to 4205 | NAR-<br>REC-99-<br>MO-08-<br>Z014-<br>15871-1<br>to 5168 | NAR-<br>REC-99-<br>MO-11-<br>2014-<br>21138-1<br>to 4332 | NAR-<br>REC-<br>366-FL-<br>02-2015-<br>45632-1<br>to 629 | NAR-<br>REC-<br>366-FL-<br>03-2015-<br>45639-1<br>to 845 | NAR-<br>REC-<br>366-FL-<br>04-2015-<br>45646-1<br>to 837 | NAR-<br>AGG-       |
| Cortificate<br>Vintage                           |                    | 04/2015  | 06/2015  | 10/2015  | 01/2014  | 05/2014  | 06/2014  | 07/2014  | 08/2014  | 11/2014  | 02/2015  | 03/2015  | 04/2015  | 12/2015            |
| Fual/Project<br>Typo                             |                    | Hydroelectric<br>Water                                   | Hydroelectric<br>Water                                   | Hydroelectric<br>Water                                   | Hydroelectric<br>Water   | Hydroelectric<br>Water                                   | Hydroelectric<br>: Water                                 | . Hydroelectric<br>Water                                 | Hydroelectric<br>Water                                   | Hydroelectric<br>Water                                   | Solar  | Solar  | Solar  | Solar              |
| Asset  | Beach<br>Hydro     | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro              | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro              | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro              | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro                    | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro              | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro              | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro              | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro              | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro              | Stanton<br>Solar<br>Farm -<br>RP-<br>Orlando,            | Stanton<br>Solar<br>Farm -<br>RP-<br>Orlando,            | Stanton<br>Solar<br>Farm -<br>RP-<br>Orlando,<br>LLC     |                    |
| NAR ID   |                    | GEN99  | GEN99  | GEN99  | GEN 99   | GEN99  | GEN99  | . GEN99  | . GEN99  | GEN99  | GEN366   | . GEN366   | GEN366   | , AGG2321          |
| Additional<br>Detalis                            |                    |  | 1  |  | •  |  | 1  | 1  |  | •  | 1  | J  |  | 1                  |
| Reason   |                    |  | ı  | ı  | :  |  | - '  |  |  |  |  |  |  |                    |
| Compliance<br>Period                             |                    | 2015   | 2015   | 2015   | 2015   | 2015   | 2015   | 2015   | 2015   | 2015   | 2015   | 2015   | 2015   | 2015               |
| State  |                    | OW<br>O  | Ω  | Θ  | Θ  | δ  | Ď.   | Ď.   | Σ<br>O   | Θ  | δ  | Ω  | Š  | Ω<br>Q             |
| Subaccount<br>ID                                 |                    | 270  | 270  | 270  | 270  | 270  | 270  | 270  | 270  | 270  | 270  | 270  | 270  | 270                |
| SubAccount                                       |                    | DefaultRET   | DefaultRET   | DefaultRET   | DefaultRET   | DefaultRET   | DefaultRET   | DefaultRET   | DefaultRET   | DefaultRET   | DefaultRET   | DefaultRET   | DefaultRET   | DefaultRET         |
| Account<br>Holder                                |                    | Empire<br>District<br>Electric<br>Company                | Empire<br>District<br>Electric<br>Company                | Empire<br>District<br>Electric<br>Company                | Empire<br>District<br>Electric<br>Company                      | Empire<br>District<br>Electric<br>Company                | Empire<br>District<br>Electric<br>Company                | Empire<br>District<br>Electric<br>Company                | Empire<br>District<br>Electric<br>Company                | Emplre<br>District<br>Electric<br>Company                | Empire<br>District<br>Electric<br>Company                | Empire<br>District<br>Electric<br>Company                | Empire<br>District<br>Electric<br>Company                | Emplre<br>District |

State/Provincial/Voluntary Compliance Report

4/7/2016

| Refirement<br>Date                   |   | 04/06/2016  | 04/06/2016  | 04/06/2016   | 04/07/2016  | 04/07/2016   | 12/28/2015  | 12/28/2015  |
|--------------------------------------|---|---|---|--|---|--|---|---|
| LIHI                                 |   | Q.  | Q.  | 2  | 2   | S S  | S.  | 8   |
| US<br>EPA<br>GPP<br>Eligible         |   | §.  | 2   | 8  | ę   | 2  | 2   | 8   |
| Green-e<br>Climate<br>RE<br>Protocol |   | 8   | No<br>ON  | No   | No  | No   | ON.   | - P   |
| Green-e<br>Energy<br>Eligible        |   | Yes   | Yes   | Yes  | 8   | No<br>No   | Yes   | Yes   |
| Class                                |   | o Z   | 2   | 2  | 8   | 2  | R   | 2   |
| ME<br>Class                          |   | 2   | S.  | §.   | 8   | 8  | 8   | 8   |
| 똢                                    |   | 2   | e e   | o <sub>N</sub>   | Š   | S. S   | No<br>O   | 8   |
| 岀                                    | <u> </u>                                      | Yes   | Yes   | × × × ×  | So.   | 8  | 8   | 2   |
| ₹                                    | -   | £   | 2   | 2  |   | 2  | N N   | 2   |
| <br>&                                | <u> </u>                                      | <u>8</u>  | 2   | 2  | Yes   | Yes  | Yes   | Yes   |
| N<br>N                               |   | Yes No  | Yes No  | Yes No   | Yes   | Yes No   | Yes No  | Yes   |
| OM.                                  | <b>-</b>                                      | <del> </del>  | <del> </del>  | <del></del>  |   |  | <del></del>   |   |
| Duration -<br>End Date               |   | 5/31/2015   | 5/31/2015   | 1/31/2015  | 12/31/2015  | 12/31/2015   | 2/28/2015   | 1/31/2015   |
| Duration -<br>Start Date             |   | 5/1/2015  | 5/1/2015  | 1/1/2015   | 5/1/2015  | 9/1/2015   | 2/1/2015  | 1/1/2015  |
| Compliance<br>Equivalency            |   | 48.00   | 75.00   | 614.00   | 265.00  | 87.50  | 40,269.00   | 46,312,00   |
| Quantity                             |   | 84  | 75  | 614  | 212   | 02   | 40,269  | 46,312  |
| Cortificate<br>Serial<br>Numbers     | 2321-<br>MO-12-<br>2015-<br>62778-1<br>to 528 | NAR-<br>REC-<br>366-FL-<br>05-2015-<br>76 to<br>123 | NAR-<br>REC-<br>365-FL-<br>05-2015-<br>45653-1<br>to 75 | NAR-<br>REC-<br>366-FL-<br>01-2015-<br>45625-1<br>to 614 | NAR-<br>AGG-<br>2322-<br>MO-12-<br>2015-<br>62779-1<br>to 212 | NAR-<br>AGG-<br>2323-<br>MO-12-<br>2015-<br>62780-1<br>to 70 | NAR-<br>REC-97-<br>KS-02-<br>2015-<br>33804-1<br>to 40269 | NAR-<br>REC-97-<br>KS-01-<br>2015-<br>27117-1<br>to 46312 |
| Certificate<br>Vintage               |   | 05/2015   | 05/2015   | 01/2015  | 12/2015   | 12/2015  | 02/2015   | 01/2015   |
| FueliProject<br>Type                 |   | Solar   | Solar   | raio2  | Solar   | Solar  | Wind  | Wind  |
| Asset                                | EDE Solar<br>Aggregate<br>#1                  | Stanton<br>Solar<br>Farm -<br>RP-<br>Orlando,       | Stanton<br>Solar<br>Farm -<br>RP-<br>Orfando,           | Stanton<br>Solar<br>Farm -<br>RP -<br>Orlando,           | EDE Solar<br>Aggregate<br>#2                                  | EDE Solar<br>Aggregate<br>#3                                 | Elk River<br>Wind -<br>Elk River<br>Wind                  | Elk River<br>Wind -<br>Elk River<br>Wind                  |
| NAR ID                               |   | GEN366  | GEN366  | GEN366   | AGG2322   | AGG2323  | GEN97   | GEN97   |
| Additional<br>Details                |   | ¥   | ,   |  | ,   | ı  |   | 1   |
| Reason                               |   | ť   | 1   |  |   | ,  | •   | 1   |
| Compliance<br>Period                 |   | 2015  | 2015  | 2015   | 2015  | 2015   | 2015  | 2015  |
| State                                |   | δ   | δ   | δ  | δ   | Θ  | δ<br>S  | δ<br>S  |
| Subaccount<br>ID                     |   | 270   | 270   | 270  | 270   | 270  | 270   | 270   |
| SubAccount                           | ***************************************       | DefaultRET  | DefaultRET  | DefaultRET   | DefaultRET  | DefaultRET   | DefaultRET  | DefaultRET  |
|                                      | Company                                       | Empire<br>District<br>Electric<br>Company           | Empire<br>District<br>Electric<br>Company               | Empire<br>District<br>Electric<br>Company                | Empire<br>District<br>Electric<br>Company                     | Empire<br>District<br>Electric<br>Company                    | Empire<br>District<br>Electric<br>Company                 | Empire<br>District<br>Electric<br>Company                 |

### **CERTIFICATES IN SUBACCOUNT**

Print Date: 31 of March 2016 20:54:55 GMT

| Sub-<br>Account    | Sub-<br>Account<br>ID | Retirement<br>Type | State | Compliance<br>Period | Reason              | Avoided<br>Carbon | NAR IO | Asset  | FuelProject<br>Type | Certificate<br>Viotage | Certificate<br>Serial<br>Humbers                                  | Quantity | Transferor | MO<br>Compliance<br>Equivalency | МО  | NG | ĸs  | YH | IL. | PR | ME<br>Class<br>I | E Class | Green-e<br>Energy | Green-e<br>Climate<br>RE<br>Protocol<br>Eligible | US<br>EPA<br>OPP<br>EEgible | LIHI<br>Certifizd | Ayekled<br>Carbon | Green-e<br>CEmain<br>Protocol<br>(Metric<br>Tons<br>COZe) |
|--------------------|-----------------------|--------------------|-------|----------------------|---------------------|-------------------|--------|--|---------------------|------------------------|---|----------|------------|---------------------------------|-----|----|-----|----|-----|----|------------------|---------|-------------------|--|-----------------------------|-------------------|-------------------|---|
| 2015<br>Compliance | 585                   | RPS                | KS    | 2015                 | Purchased<br>Energy |                   | GEN97  | ESk<br>River<br>Wind<br>- ESk<br>River<br>Wind | Wind                | 03/2015                | NAR-<br>REC-97-<br>KS-03-<br>2015-<br>34800-1<br>to 12090         | 12,090   | -          | 12090                           | Yes | No | Yes | No | но  | Ко | Но               | No      | Yes               | Ho   | No                          | Νο                | -                 | -   |
| 2015<br>Compliance | 585                   | R₽S                | ks    | 2015                 | Purchased<br>Energy | -                 | GEN97  | Elk<br>River<br>Wind<br>+ Elk<br>River<br>Wind | Wind                | 02/2015                | NAR-<br>REC-97-<br>KS-02-<br>2015-<br>33804-<br>40270 to<br>46115 | 5,846    | -          | 5846                            | Yes | Но | Yes | No | но  | No | No               | Ко      | Yes               | No   | Ro                          | но                |                   | -   |

3/31/2016

**EMPIRE** 

2015 RES REPORT

### ATTACHMENT 3

### RECS CARRIED FORWARD

☐ HIGHLY CONFIDENTIAL

M NON-PROPRIETARY

### **CERTIFICATES IN SUBACCOUNT**

Print Date: 7 of April 2016 14:56:45 GMT

| Sub-<br>Account | Sub-<br>Account<br>ID | NAR ID  | Asset  | Fuel/Project<br>Type   | Certificate<br>Vintage | Certificate<br>Serial<br>Numbers                                  | Quantity | Transferor                 | MO<br>Compliance<br>Equivalency | МО  | NC | кs  | NY | IL. | PR | ME<br>Class | ME<br>Class<br>II | Green-e<br>Energy<br>Eligible | Green-e<br>Climate<br>RE<br>Protocol<br>Eligible | US<br>EPA<br>GPP<br>Eligible | LIHI<br>Certified | Avoided<br>Carbon | Green-e<br>Climate<br>Protocol<br>(Metric<br>Tons<br>CO2e) |
|-----------------|-----------------------|---------|--|------------------------|------------------------|---|----------|----------------------------|---------------------------------|-----|----|-----|----|-----|----|-------------|-------------------|-------------------------------|--|------------------------------|-------------------|-------------------|--|
| DefaultACT      | 269                   | AGG2323 | EDE Solar<br>Aggregate<br>#3                         | Solar                  | 12/2015                | NAR-<br>AGG-<br>2323-<br>MO-12-<br>2015-<br>62780-<br>71 to 71    | 1        | -                          | 1.25                            | Yes | No | Yes | No | No  | No | No          | No                | No                            | No   | No                           | No                | •                 | -  |
| DefaultACT      | 269                   | GEN97   | Elk River<br>Wind -<br>Elk River<br>Wind             | Wind                   | 01/2016                | NAR-<br>REC-97-<br>KS-01-<br>2016-<br>62525-1<br>to 41279         | 41,279   | -                          | 41279                           | Yes | No | Yes | No | No  | No | No          | No                | Yes                           | No   | No                           | No                | -                 | •  |
| DefaultACT      | 269                   | GEN97   | Elk River<br>Wind -<br>Elk River<br>Wind             | Wind                   | 03/2015                | NAR-<br>REC-97-<br>KS-03-<br>2015-<br>34800-<br>12091 to<br>39111 | 27,021   | -                          | 27021                           | Yes | No | Yes | No | No  | No | No          | No                | Yes                           | No   | No                           | No                | -                 | -  |
| DefaultACT      | 269                   | GEN99   | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro          | Hydroelectric<br>Water | 12/2015                | NAR-<br>REC-99-<br>MO-12-<br>2015-<br>59837-1<br>to 6834          | 6,834    | -                          | 8542.5                          | Yes | No | Yes | No | No  | No | No          | No                | No                            | No   | No                           | No                | -                 | •  |
| DefaultACT      | 269                   | GEN99   | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro          | Hydroelectric<br>Water | 11/2015                | NAR-<br>REC-99-<br>MO-11-<br>2015-<br>57975-<br>1611 to<br>4916   | 3,306    | -                          | 4132.5                          | Yes | No | Yes | No | No  | No | No          | No                | No                            | No   | No                           | No                | -                 | -  |
| DefaultACT      | 269                   | GEN99   | Ozark<br>Beach -<br>Ozark<br>Beach<br>Hydro          | Hydroelectric<br>Water | 01/2016                | NAR-<br>REC-99-<br>MO-01-<br>2016-<br>62526-1<br>to 75            | 75       | -                          | 93.75                           | Yes | No | Yes | No | No  | No | No          | No                | No                            | No   | No                           | No                | -                 | •  |
| DefaultACT      | 269                   | GEN366  | Stanton<br>Solar<br>Farm -<br>RP-<br>Orlando,<br>LLC | Solar                  | 05/2015                | NAR-<br>REC-<br>366-FL-<br>05-2015-<br>45653-<br>124 to<br>1075   | 952      | 3Degrees<br>Group,<br>Inc. | 952                             | Yes | No | No  | No | Yes | No | No          | No                | Yes                           | No   | No                           | No                | -                 | -  |

**EMPIRE** 

2015 RES REPORT

ATTACHMENT 4

REC REPORT

☐ HIGHLY CONFIDENTIAL

■ NON-PROPRIETARY

377,809 546,434 Total 2015 27,021 23,672 27,021 254,068 July 2015 69 69 69 June 2015 50,133 Elk River 2015 69 69 27,021 27,021 5,846 . . Quantity
Price (\$/REC) \$
Gross Revenue \$
Commission \$'s \$
Net Revenue (\$) Quantity
Price (\$/REC) \$
Gross Revenue \$
Commission \$'s \$
Net Revenue (\$) \$ Quantity
Price (\$/REC) \$
Gross Revenue \$
Commission \$'s \$
Net Revenue (\$) Quantity
Price (\$/REC) \$
Gross Revenue \$
Commission \$'s \$
Net Revenue (\$) Quantity
Price (\$/REC) \$
Gross Revenue \$
Commission \$'s \$
Net Revenue (\$) \$ Total Gross Revenue Total Expense (Commission) Total Net Revenue Expected REC's

"Actual REC's
MO Compliance for 2015
Kis Compliance for 2015
REC's Sold
Counterparty HIGHLY CONFIDENTIAL

Renewable Energy Certificate Position Report Empire District Electric Company

\*\*Actual REC's is Expected REC's until month expires

Renewable Energy Certificate Position Report Empire District Electric Company

Meridian Way 2015

| HIGHLY CONFIDENTIAL  |         | *************************************** |  |                    | 1                  | Meridian Way 2015 | 5        |          |               |           |         |          |          |               |          |
|--|---------|---|--|--------------------|--------------------|-------------------|----------|----------|---------------|-----------|---------|----------|----------|---------------|----------|
|  | vaniar. | Fahrisov                                | March  | Anti               |                    | -                 | 1.4      |          |               |           |         |          |          |               |          |
|  | 2015    | 2015                                    | 2015   | 2045               |                    | 2000              | Anno     | August   | 1             | September | October | November | December |               | Total    |
| The state of the s | 217,0   | 200                                     | 0102   | 20102              | 2012               | 77                | 22       | 2015     |               | 2015      | 2015    | 2015     | 2015     |               | 2015     |
| Expecien And   | 751,157 | 26,8/0                                  | 33,090   | 32,196             | 26,340             | 28,703            | 3 16,958 | 25       | ,508          | 25,331    | 28,797  | 31,071   | 30.170   |               | 329.186  |
| Actual Actua   |         |   |  |                    |                    |                   |          |          |               |           |         |          |          |               | 315,146  |
| REC's Sold   |         |   |  |                    |                    |                   |          |          |               |           |         |          |          |               |          |
| Counterparty   |         |   |  |                    |                    |                   |          |          |               |           |         |          |          |               |          |
|  |         |   | - Control of the Cont |                    |                    |                   |          |          |               |           |         |          |          |               |          |
| Quantity   | 0       | 81.906                                  | C  | 0                  |                    |                   | •        | -        | -             | •         | •       | •        | •        |               |          |
| Price (\$/REC)   \$  |         |   |  | ٠                  |                    | ٠,                | _        | <i>y</i> |               |           | 5       | >        | 5        | •             | 81,906   |
| Gross Revenue \$   | 1       |   |  |                    |                    | • 65              | · ·      | » v      | ) i           | 9 4       | •       | ,        | ,        | A (           |          |
| Commission \$'s  |         |   | •  |                    | . 00               | . 45              | • 69     | · 63     | ÷ + +         | , ,       |         | •        |          | 9 0           |          |
| Net Revenue (\$)   |         | 3                                       |  |                    |                    |                   | 69       | 8        | 69            | 1         |         |          |          | 90            |          |
|  | •       |   |  |                    |                    |                   |          |          |               |           |         |          |          | •             |          |
| Canality Canality  | •       |   | •  | -                  |                    | 0                 | 0        | 0        | 0             | 0         | 0       | 0        | 0        |               | 152.842  |
| Price (\$/REC)   \$  |         |   |  | 69                 | ·<br>s>            | 69                | 49       | 69       | 69            | ,         | •       | ,        | ,        | ¥             | at a far |
| Gross Revenue S  | ·       |   | ,  |                    | ·<br>G             | ·                 | 69       | 69       | 69            | ,         |         |          | 1        | • •           |          |
| Commission S's   |         | 49                                      | 69   |                    | •                  | 69                | 69       | 65       | . 65          | ,         |         |          |          | <b>&gt;</b> 4 |          |
| Net Revenue (\$)   |         | \$                                      |  |                    | 69                 | ,                 | 5        | 69       | 69            | ,         |         |          |          | 90            |          |
|  |         |   |  |                    |                    |                   |          |          |               |           |         |          | •        | •             |          |
|  |         |   |  |                    |                    |                   | •        |          |               |           | c       | 246 523  | c        |               | 240      |
| Price (\$/REC)   |         |   | ·  | 69                 | <u>.</u>           | · s               | ,<br>S   | ·        | <i>4</i> 7    |           | •       | Total T  |          | ·             | 700,017  |
| Gross Revenue \$   | ·       | 1                                       | ,  | ·                  |                    |                   | . 49     | • •      | · 65          |           | •       |          |          | 9 6           | 1        |
| Commission \$'s  |         | · ·                                     |  | ,<br>e9            | 49                 | 69                | . 69     | • •      | · vs          |           |         |          |          | 9 6           |          |
| Net Revenue (\$)   | *       |   | ς,   | 64                 | 1<br>69            | 69                | 5        | 4        | ,             | 9 67      |         |          |          | 7 0           |          |
|  |         |   |  |                    |                    |                   |          |          |               |           |         |          |          | ,             |          |
| Quantity   | 0       | 0                                       |  | 0                  |                    | 0                 | •        | -        | c             | 1         |         |          |          |               | 77.00    |
| Price (\$/REC) \$  |         |   | ,  | ·<br>•>            | 69                 | 69                | 69       | +9       | 67            |           |         |          |          |               | 20,014   |
| Gross Revenue \$   | •       |   | ,  | ,<br><del>69</del> | ·                  |                   | . 69     | • 64     | · 05          |           |         | •        |          | 9 6           | •        |
| Commission \$'s  |         | 1                                       |  |                    | г<br><del>69</del> | 69                |          | · LA     | 6/5           |           |         | •        | · ·      | » <i>u</i>    | •        |
| Net Revenue (S)  | · ·     | ۰,                                      |  |                    | 69                 | 65                | s        | S        | S             | ,         | ,       |          |          | , ,           |          |
| distance   | •       | •                                       | •  |                    |                    |                   |          |          |               |           |         |          |          |               |          |
| (outling)  |         |   | ,  |                    |                    | 0                 | -        | -        | 0             | o         | 0       | 0        | 0        |               | 0        |
|  |         |   |  | 69                 | 69                 | •                 | ,<br>so  | s        | 69            | ,         | ,       |          |          | 4             | •        |
| Gross Kevende  | ,       | ,                                       |  | 69.6               | 69                 | ,                 | •        | 69       | 69            | 1         |         | ,        | ,        | - 69          | ,        |
| -  |         | •                                       |  |                    |                    | S                 | s        | 63       | <del>69</del> |           | •       | ,        |          | 69            | •        |
| Net Revenue (\$)   | ,       |   |  |                    |                    | •                 | 69       | us.      | 69            | ,         | ,       | S        |          | S             | -        |
| Cumulative Remaining RECs  | ٥       | 0                                       | ,  | 0                  |                    | 0                 | 0        | 0        | ٥             | 0         | o       | 0        |          |               | 6        |
|  |         |   |  |                    |                    |                   |          |          |               |           |         |          |          |               |          |
| Total Gross Revenue  |         | S                                       |  |                    |                    | 69                | 67       | s        | s ·           | \$        |         | 3        |          | 5             |          |
| Total Expense (Confirmission)  |         | \$                                      |  |                    | - \$               | \$                | *        | \$       | \$            |           |         | 9        |          | s             |          |
| ו סומו ואבו עבאבנותב   | ,       |   |  |                    |                    | •                 | \$       | s        | s<br>·        |           | •       | S        |          | v             |          |
|  |         |   |  |                    |                    |                   |          |          |               |           |         |          |          |               |          |

\*\*Actual REC's is Expected REC's until month expires

**EMPIRE** 

2015 RES REPORT

# ATTACHMENT 5 WIND GENERATION ALLOCATION

☐ HIGHLY CONFIDENTIAL

☑ NON-PROPRIETARY



### **ATTACHMENT 5**

### WIND GENERATION ALLOCATION FOR MO RETAIL CUSTOMERS 2015

| Facility                 | Generation (MWh) | Percentage* | Energy Supplied to<br>Missouri Retail<br>Customers (MWh) |
|--------------------------|------------------|-------------|--|
| Elk River Windfarm       | 509,347          | 83.5807%    | 425,715.79   |
| Meridian Way<br>Windfarm | 315,146          | 83.5807%    | 263,401.23   |

<sup>\*</sup> Allocation based on 12-month average CP Demand Factor

**EMPIRE** 

2015 RES REPORT

### ATTACHMENT 6

### PURCHASE OF SOLAR SRECS

☐ HIGHLY CONFIDENTIAL

NON-PROPRIETARY

#### Confirmation Letter #2

This Confirmation Letter describes a transaction between Buyer and Seller for the sale, purchase and Delivery of Renewable Energy Certificates ("RECs") pursuant to and in accordance with the terms of the Renewable Energy Certificate Purchase and Sale Agreement between the Parties dated February 16, 2015 (the "Agreement") and constitutes part of and is subject to the terms and provisions of the Agreement. Provided, that, to the extent there is a conflict between a provision of the Agreement and this Confirmation Letter, the terms of this Confirmation Letter shall control for the purposes of this transaction.

Initially capitalized terms used and not otherwise defined herein are defined in the Agreement.

**Basic Commercial Terms:** 

| Basic Commercial Terms:              |  |
|--------------------------------------|--|
| Trade Date:                          | March 31, 2016                                       |
| Transaction Reference:               | 7453   |
| Seller:                              | 3Degrees Group, Inc.                                 |
| Buyer:                               | Empire District Electric Company                     |
| Facility:                            | Seller's Choice                                      |
| Eligible Renewable Resource Type:    | Solar  |
| Geography:                           | Seller's Choice                                      |
| Period Type [Generation, Reporting]: | Generation   |
| Time Period:                         | January 01, 2015 - June 30, 2015                     |
| Product Quantity (REC):              |  |
| Contract Price (\$/REC):             |  |
| Product Specific Terms:              |  |
| Applicable Standard(s)               | Eligible under Green-e Energy National Standard v2.7 |

| Applicable Standard(s):              | Eligible under Green-e Energy National Standard v2.7 |
|--------------------------------------|--|
| Environmental Attributes retained by | None   |
| Seller, if any:                      |  |
| Media Rights Conferred [yes, no]     | No   |

**Delivery Terms:** 

| Delivery Date:                         | Within 3 Business Days of Confirmation Letter #2 execution |
|--|--|
| Delivery Obligation [Firm, Unit        | Firm   |
| Contingent, Project Contingent]:       |  |
| Applicable Tracking System:            | NARR   |
| Attestation Form:                      | Yes  |
| Buyer Delivery Contact [Name, Email]:  | Rebecca Heffren, rheffren@empiredistrict.com               |
| Seller Delivery Contact [Name, Email]: | Trade Operations, settlements@3degreesinc.com              |

The Parties agree to the transaction set forth herein and each Party represents that the person signing this Confirmation Letter on its behalf is authorized to execute on behalf of the Party for whom they sign.

| 3Degrees Group, Inc. /           | Empire District Electric Company         |
|----------------------------------|--|
| Signature/ Ankin                 | Signature                                |
| Name DARREN KAROPCZYC            | Name Tim Wilson                          |
| Title Director, Trade Operations | Title Piserder of Energy Supply Services |
| Date 3Degrees Group, Inc.        | Date 4-1-11                              |
| 4/1/16                           |  |

**EMPIRE** 

2015 RES REPORT

ATTACHMENT 7

**ATTESTATIONS** 

☐ HIGHLY CONFIDENTIAL

M NON-PROPRIETARY



### **GREEN-E ENERGY RENEWABLE GENERATOR** REGISTRATION FORM AND ATTESTATION

### I. Facility information

Name of Generation Facility ("Facility"): Elk River Company or Person that Owns Facility ("Seller"): Elk River Windfarm, LLC Address of Facility: 15936 SE 190th, Latham, KS 67072 North American Electricity Reliability Corporation (NERC) region in which Facility is located: NYISO Facility ID Number: 256303 ⊠ EIA or ☐ QF? (check one) Nameplate Capacity (MW): 150 Date of Capacity Upgrade or Repowering:<sup>3</sup> / / Date Facility was First Operational: 12/15/2005 Contact Person: Adam Cherry Title: Origination Analyst Telephone: 503-423-2086 Email Address: adam.cherry@iberdrolaren.com

### II. Renewable electricity or RECs<sup>4</sup> supplied to Purchaser indicated below, by fuel type

On the table below, list the renewable megawatt-hours (MWh) sold or transferred to Purchaser, broken down by quarter of generation in separate rows:

| Fuel Type <sup>5</sup> | # MWh RECs /<br>Renewable Elec. Sold | Period of Generation (quarter/yy or mm/yy) |
|------------------------|--------------------------------------|--|
| Wind                   | 7                                    | Q1 2015                                    |
| Wind                   |                                      | Q2 2015                                    |
| Wind                   |                                      | Q3 2015                                    |
| Wind                   |                                      | Q4 2015                                    |

<sup>&</sup>lt;sup>1</sup> If you are unsure of which region Facility is in, see <a href="http://www.nerc.com/regional/">http://www.nerc.com/regional/</a>

<sup>&</sup>lt;sup>2</sup> Enter Energy Information Administration (EIA) identification number for the generating facility; if no EIA number, enter the utility-assigned Qualifying Facility (QF) identification number.

<sup>&</sup>lt;sup>3</sup> If applicable. Repowered facilities must meet Green-e Energy's criteria for repowering, available at http://www.Green-e Energy.org/docs/Repowering Defin and Instructions.doc

<sup>&</sup>lt;sup>4</sup> Renewable Energy Certificates, which represent the renewable attributes of 1 MWh of renewable electricity generation.

If using biomass fuels, list out the specific type (i.e. landfill gas, wood waste, etc.) and fill in section IV below.

### III. Declaration

I, Ryan Ohira, Manager, Risk Control, declare that the  $\square$  renewable electricity (electricity bundled with renewable attributes) /  $\boxtimes$  renewable attributes only<sup>6</sup> (check one) generated by Facility during the Period of Generation were sold exclusively from Seller to Empire District Electric Company ("Purchaser").

I further declare that:

- 1) all the renewable attributes (including CO<sub>2</sub> benefits), including any emissions offsets, reductions or claims, represented by the renewable electricity generation listed above were transferred to Purchaser;
- 2) to the best of my knowledge, the renewable attributes were not sold, marketed or otherwise claimed by a third party other than Purchaser;
- 3) Seller sold the renewable attributes only once;
- 4) the renewable attributes or the electricity that was generated with the attributes was not used to meet any federal, state or local renewable energy requirement, renewable energy procurement, renewable portfolio standard, or other renewable energy mandate by Seller, nor to the best of my knowledge, by any other entity other than Purchaser;<sup>7</sup>
- 5) the renewable electricity sold or electricity associated with the attributes sold was not used on-site for powering electric generation equipment (parasitic load);
- 6) if Purchaser is receiving electricity bundled with renewable attributes from Seller, the renewable electricity was delivered into the NERC region in which Facility is located;
- 7) if Facility is located in Canada, it is EcoLogo certified and was throughout the Period of Generation; and
- 8) the electricity that was generated with the attributes was not separately sold, separately marketed or otherwise separately represented as renewable energy by Seller, or, to the best of my knowledge, any other entity other than Purchaser.

Please indicate the following:

|      | •  |
|------|--|
| is F | acility owner reporting its direct greenhouse gas emissions in a legally binding cap and trade program for the       |
| time | e period of generation listed on this form?  |
|      | Yes; <sup>8</sup> list the cap and trade program:<br>No  |
| lf S | eller is providing only RECs to Purchaser and selling the associated electricity to a utility or load-serving entity |
| olea | ase write the name of the utility or load-serving entity here: Empire District Electric Company                      |
|      | Check box if sale is part of a Qualifying Facility (QF) contract   |
|      |  |
|      |  |

<sup>&</sup>lt;sup>6</sup> If selling renewable attributes to Purchaser without electricity, please fill in the name of the load serving entity buying the undifferentiated electricity, if applicable, at the bottom of this Declaration section.

<sup>&</sup>lt;sup>7</sup> Renewable attributes used by Purchaser for any of the purposes listed in 4) are ineligible for Green-e Energy certification.

<sup>&</sup>lt;sup>8</sup> In this case the renewable energy or RECs reported on this form may be ineligible for Green-e Energy certification. For more information, contact Green-e Energy Staff at 415-561-2100.

### IV. Additional statement required for and applicable to biomass facilities only

- 1) I attest that no more than five percent (5%) fossil fuels and other fuels that are not Green-e Energy eligible. measured on a BTU basis, were used, including as a start-up, pilot or supplemental fuel, to produce the electricity and/or RECs in the above Green-e Energy eligible biomass generation plant or biomass boiler;
- 2) I attest that this facility was in substantial compliance with its operating permit regarding emissions during the Period of Generation reported above;
- 3) I attest that if this facility is subject to New Source Review (NSR), it was compliant with all standards pertaining to NSR during the period of generation reported above; and
- 4) I attest that Seller owned the renewable and environmental attributes of the biomass fuels I have listed in the table below at the time of the fuel's use for electricity generation.

| Biomass Fuel Type | Facility that Produced Fuel or Origin/Source of Fuel |
|-------------------|--|
|                   |  |
|                   |  |

### V. Signature

As an authorized agent of Seller, I attest that the above statements are true and correct.

This Attestation form is used by the Center for Resource Solutions to verify the accuracy of claims made by Participant renewable energy providers. The information on this form is held strictly confidential and will not be shared with any other party except in aggregate form.





## GREEN-E ENERGY RENEWABLE GENERATOR REGISTRATION FORM AND ATTESTATION

| I. F | acil | itv | inform | ation |
|------|------|-----|--------|-------|
|------|------|-----|--------|-------|

Name of Generation Facility ("Facility"): Meridian Way Wind Farm

Company or Person that Owns Facility ("Seller"): Cloud County Wind Farm, LLC

Address of Facility: 1409 Iron Road. Suite A Concordia, KS 66901

| North American Elecţricity Reliability Corporation     | n (NERC) region in which Facility is located: <sup>1</sup> <u>SPP</u> |
|--|---|
| Facility ID Number:² <u>56784</u> ⊠ EIA or □ QF? (c    | heck one) Nameplate Capacity (MW): <u>105</u>                         |
| Date Facility was First Operational: <u>12/05/2008</u> | Date of Capacity Upgrade or Repowering:3//                            |
| Contact Person: <u>Daniel Saldanha</u>                 | Title: Senior Settlements Associate                                   |
| Telephone: 713-356-2568                                | Email Address: daniel.saldanha@edpr.com                               |

### II. Renewable electricity or RECs<sup>4</sup> supplied to Purchaser indicated below, by fuel type

On the table below, list the renewable megawatt-hours (MWh) sold or transferred to Purchaser, broken down by quarter of generation in separate rows:

Y

<sup>&</sup>lt;sup>1</sup> If you are unsure of which region Facility is in, see <a href="http://www.nerc.com/regional/">http://www.nerc.com/regional/</a>

<sup>&</sup>lt;sup>2</sup> Enter Energy Information Administration (EIA) identification number for the generating facility; if no EIA number, enter the utility-assigned Qualifying Facility (QF) identification number.

<sup>&</sup>lt;sup>3</sup> If applicable. Repowered facilities must meet Green-e Energy's criteria for repowering, available at <a href="http://www.Green-e Energy.org/docs/Repowering Defin and Instructions.doc">http://www.Green-e Energy.org/docs/Repowering Defin and Instructions.doc</a>

<sup>&</sup>lt;sup>4</sup> Renewable Energy Certificates, which represent the renewable attributes of 1 MWh of renewable electricity generation.

| Fuel Type⁵ | # MWh RECs /<br>Renewable Elec. Sold | Period of Generation (quarter/yy or mm/yy) |
|------------|--------------------------------------|--|
| Wind       |                                      | 01/15                                      |
| Wind       |                                      | 02/15                                      |
| Wind       |                                      | 03/15                                      |
|            |                                      |  |

### III. Declaration

I, Brian Hayes, Executive Vice President, Asset Operations, declare that the  $\boxtimes$  renewable electricity (electricity bundled with renewable attributes) /  $\square$  renewable attributes only<sup>6</sup> (check one) generated by Facility during the Period of Generation were sold exclusively from Seller to The Empire District Electric Company ("Purchaser").

I further declare that:

- 1) all the renewable attributes (including CO<sub>2</sub> benefits), including any emissions offsets, reductions or claims, represented by the renewable electricity generation listed above were transferred to Purchaser;
- 2) to the best of my knowledge, the renewable attributes were not sold, marketed or otherwise claimed by a third party other than Purchaser;
- 3) Seller sold the renewable attributes only once;
- 4) the renewable attributes or the electricity that was generated with the attributes was not used to meet any federal, state or local renewable energy requirement, renewable energy procurement, renewable portfolio standard, or other renewable energy mandate by Seller, nor to the best of my knowledge, by any other entity other than Purchaser;<sup>7</sup>
- 5) the renewable electricity sold or electricity associated with the attributes sold was not used on-site for powering electric generation equipment (parasitic load);
- 6) if Purchaser is receiving electricity bundled with renewable attributes from Seller, the renewable electricity was delivered into the NERC region in which Facility is located;
- 7) if Facility is located in Canada, it is EcoLogo certified and was throughout the Period of Generation; and
- 8) the electricity that was generated with the attributes was not separately sold, separately marketed or otherwise separately represented as renewable energy by Seller, or, to the best of my knowledge, any other entity other than Purchaser.

May 2008 Green-e Energy Renewable Generator Registration Form and Attestation Copyright © 2008 Center for Resource Solutions. All rights reserved.

Page 2 of 3

<sup>&</sup>lt;sup>5</sup> If using biomass fuels, list out the specific type (i.e. landfill gas, wood waste, etc.) and fill in section IV below. <sup>6</sup> If selling renewable attributes to Purchaser without electricity, please fill in the name of the load serving entity buying the undifferentiated electricity, if applicable, at the bottom of this Declaration section.

<sup>&</sup>lt;sup>7</sup> Renewable attributes used by Purchaser for any of the purposes listed in 4) are ineligible for Green-e Energy certification.

| Please indicate the following:   |
|--|
| Is Facility owner reporting its direct greenhouse gas emissions in a legally binding cap and trade program for the   |
| time period of generation listed on this form?   |
| Yes; <sup>8</sup> list the cap and trade program:  |
| No  If Seller is providing only RECs to Purchaser and selling the associated electricity to a utility or load-serving entity.  |
|  |
| please write the name of the utility or load-serving entity here:  |
| Check box if sale is part of a Qualifying Facility (QF) contract   |
| IV. Additional statement required for and applicable to biomass facilities only  |
| 1) I attest that no more than five percent (5%) fossil fuels and other fuels that are not Green-e Energy eligible,   |
| measured on a BTU basis, were used, including as a start-up, pilot or supplemental fuel, to produce the electric   |
| and/or RECs in the above Green-e Energy eligible biomass generation plant or biomass boiler;   |
| 2) I attest that this facility was in substantial compliance with its operating permit regarding emissions during the  |
| Period of Generation reported above;   |
| 3) I attest that if this facility is subject to New Source Review (NSR), it was compliant with all standards pertaining  |
| NSR during the period of generation reported above; and  |
| 4) I attest that Seller owned the renewable and environmental attributes of the biomass fuels I have listed in the   |
| table below at the time of the fuel's use for electricity generation.  |
| Biomass Fuel Type Facility that Produced Fuel or Origin/Source of Fuel   |
|  |
|  |
|  |
|  |
| V. Signature   |
| v. Signature   |
| As an authorized agent of Seller, I attest that the above statements are true and correct.   |
| $Z^{*}$ . $I$  |
| Signature $\frac{\sqrt{6/6/7075^{-}}}{\text{Date}}$  |
| organization of the state of th |

Houston, TX
Place of Execution

This Attestation form is used by the Center for Resource Solutions to verify the accuracy of claims made by Participant renewable energy providers. The information on this form is held strictly confidential and will not be shared with any other party except in aggregate form.

May 2008 Green-e Energy Renewable Generator Registration Form and Attestation Copyright © 2008 Center for Resource Solutions. All rights reserved.

Page 3 of 3

to

<sup>&</sup>lt;sup>8</sup> In this case the renewable energy or RECs reported on this form may be ineligible for Green-e Energy certification. For more information, contact Green-e Energy Staff at 415-561-2100.



# GREEN-E ENERGY RENEWABLE GENERATOR REGISTRATION FORM AND ATTESTATION

| <u>I. Facili</u> | tv | inforn | nation |
|------------------|----|--------|--------|
|                  |    |        |        |

Name of Generation Facility ("Facility"): Meridian Way Wind Farm

Company or Person that Owns Facility ("Seller"): Cloud County Wind Farm, LLC

Address of Facility: 1409 Iron Road. Suite A Concordia, KS 66901

| North American Electricity Reliability Corporation               | n (NERC) region in which Facility is located:1 <u>SPP</u> |     |
|--|---|-----|
| Facility ID Number: <sup>2</sup> <u>56784</u> ⊠ EIA or □ QF? (cl | heck one) Nameplate Capacity (MW): <u>105</u>             |     |
| Date Facility was First Operational: 12/05/2008                  | Date of Capacity Upgrade or Repowering 3//                | ··· |
| Contact Person: <u>Daniel Saldanha</u>                           | Title: Senior Settlements Associate                       |     |
| Telephone: 713-356-2568  | Email Address: daniel.saldanha@edpr.com                   |     |

### II. Renewable electricity or RECs<sup>4</sup> supplied to Purchaser indicated below, by fuel type

On the table below, list the renewable megawatt-hours (MWh) sold or transferred to Purchaser, broken down by quarter of generation in separate rows:



<sup>&</sup>lt;sup>1</sup> If you are unsure of which region Facility is in, see <a href="http://www.nerc.com/regional/">http://www.nerc.com/regional/</a>

<sup>&</sup>lt;sup>2</sup> Enter Energy Information Administration (EIA) identification number for the generating facility; if no EIA number, enter the utility-assigned Qualifying Facility (QF) identification number.

<sup>&</sup>lt;sup>3</sup> If applicable. Repowered facilities must meet Green-e Energy's criteria for repowering, available at http://www.Green-e Energy.org/docs/Repowering Defin and Instructions.doc

<sup>&</sup>lt;sup>4</sup> Renewable Energy Certificates, which represent the renewable attributes of 1 MWh of renewable electricity generation.

| Fuel Type⁵ | # MWh RECs /<br>Renewable Elec. Sold | Period of Generation (quarter/yy or mm/yy) |
|------------|--------------------------------------|--|
| Wind       |                                      | 04/15                                      |
| Wind       |                                      | 05/15                                      |
| Wind       |                                      | 06/15                                      |
|            |                                      |  |

### III. Declaration

I, Brian Hayes, Executive Vice President, Asset Operations, declare that the  $\boxtimes$  renewable electricity (electricity bundled with renewable attributes) /  $\square$  renewable attributes only<sup>6</sup> (check one) generated by Facility during the Period of Generation were sold exclusively from Seller to The Empire District Electric Company ("Purchaser").

I further declare that:

- 1) all the renewable attributes (including CO<sub>2</sub> benefits), including any emissions offsets, reductions or claims, represented by the renewable electricity generation listed above were transferred to Purchaser;
- 2) to the best of my knowledge, the renewable attributes were not sold, marketed or otherwise claimed by a third party other than Purchaser;
- 3) Seller sold the renewable attributes only once;
- 4) the renewable attributes or the electricity that was generated with the attributes was not used to meet any federal, state or local renewable energy requirement, renewable energy procurement, renewable portfolio standard, or other renewable energy mandate by Seller, nor to the best of my knowledge, by any other entity other than Purchaser;<sup>7</sup>
- 5) the renewable electricity sold or electricity associated with the attributes sold was not used on-site for powering electric generation equipment (parasitic load);
- 6) if Purchaser is receiving electricity bundled with renewable attributes from Seller, the renewable electricity was delivered into the NERC region in which Facility is located;
- 7) if Facility is located in Canada, it is EcoLogo certified and was throughout the Period of Generation; and
- 8) the electricity that was generated with the attributes was not separately sold, separately marketed or otherwise separately represented as renewable energy by Seller, or, to the best of my knowledge, any other entity other than Purchaser.

<sup>&</sup>lt;sup>7</sup> Renewable attributes used by Purchaser for any of the purposes listed in 4) are ineligible for Green-e Energy certification.



NE

<sup>&</sup>lt;sup>5</sup> If using biomass fuels, list out the specific type (i.e. landfill gas, wood waste, etc.) and fill in section IV below.

<sup>&</sup>lt;sup>6</sup> If selling renewable attributes to Purchaser without electricity, please fill in the name of the load serving entity buying the undifferentiated electricity, if applicable, at the bottom of this Declaration section.

| Please indicate the following: |  |
|--------------------------------|--|
|--------------------------------|--|

| ls F   | Facility owner reporting its direct greenhouse gas emissions in a legally binding cap and trade program for the       |
|--|---|
| time   | e period of generation listed on this form?   |
|  | Yes; <sup>8</sup> list the cap and trade program:<br>No   |
| lf S   | eller is providing only RECs to Purchaser and selling the associated electricity to a utility or load-serving entity, |
| plea   | ase write the name of the utility or load-serving entity here:  |
|  | Check box if sale is part of a Qualifying Facility (QF) contract  |
| IV. <i>i</i>                                 | Additional statement required for and applicable to biomass facilities only   |
| 1) I   | attest that no more than five percent (5%) fossil fuels and other fuels that are not Green-e Energy eligible,         |
| nea  | asured on a BTU basis, were used, including as a start-up, pilot or supplemental fuel, to produce the electricity     |
| and  | /or RECs in the above Green-e Energy eligible biomass generation plant or biomass boiler;                             |
| 2) [   | attest that this facility was in substantial compliance with its operating permit regarding emissions during the      |
| <sup>o</sup> eri                             | iod of Generation reported above;   |
| 3) İ   | attest that if this facility is subject to New Source Review (NSR), it was compliant with all standards pertaining to |
| NSF  | R during the period of generation reported above; and   |
| 1) [   | attest that Seller owned the renewable and environmental attributes of the biomass fuels I have listed in the         |
| abl  | e below at the time of the fuel's use for electricity generation.   |
| I  | Biomass Fuel Type Facility that Produced Fuel or Origin/Source of Fuel  |
|  |   |
| -  |   |
|  |   |
|  |   |
| , .  | Pignoturo   |
| <u>,                                    </u> | <u>Signature</u>  |
|  |   |

### V

As an authorized agent of Seller, I attest that the above statements are true and correct.

Signature

May 2008

Houston, TX Place of Execution

This Attestation form is used by the Center for Resource Solutions to verify the accuracy of claims made by Participant renewable energy providers. The information on this form is held strictly confidential and will not be shared with any other party except in aggregate form.

Green-e Energy Renewable Generator Registration Form and Attestation Copyright © 2008 Center for Resource Solutions. All rights reserved.

Page 3 of 3

<sup>&</sup>lt;sup>8</sup> In this case the renewable energy or RECs reported on this form may be ineligible for Green-e Energy certification. For more information, contact Green-e Energy Staff at 415-561-2100.



### **GREEN-E ENERGY RENEWABLE GENERATOR** REGISTRATION FORM AND ATTESTATION

| I. F | acil | itv | info | rmati | on |
|------|------|-----|------|-------|----|
|      |      |     |      |       |    |

Name of Generation Facility ("Facility"): Meridian Way Wind Farm

Company or Person that Owns Facility ("Seller"): Cloud County Wind Farm, LLC

Address of Facility: 1409 Iron Road. Suite A Concordia, KS 66901

| North American Electricity Reliability Corporation    | n (NERC) region in which Facility is located: <sup>1</sup> <u>SPP</u> |   |
|---|---|---|
| Facility ID Number:² <u>56784</u> ⊠ EIA or  ☐ QF? (cl | heck one) Nameplate Capacity (MW): <u>105</u>                         |   |
| Date Facility was First Operational: 12/05/2008       | Date of Capacity Upgrade or Repowering:3//                            | _ |
| Contact Person: <u>Daniel Saldanha</u>                | Title: Senior Settlements Associate                                   |   |
| Геlephone: <u>713-356-2568</u>                        | Email Address: daniel.saldanha@edpr.com                               |   |

### II. Renewable electricity or RECs4 supplied to Purchaser indicated below, by fuel type

On the table below, list the renewable megawatt-hours (MWh) sold or transferred to Purchaser, broken down by quarter of generation in separate rows:



<sup>&</sup>lt;sup>1</sup> If you are unsure of which region Facility is in, see <a href="http://www.nerc.com/regional/">http://www.nerc.com/regional/</a>

<sup>&</sup>lt;sup>2</sup> Enter Energy Information Administration (EIA) identification number for the generating facility; if no EIA number, enter the utility-assigned Qualifying Facility (QF) identification number.

<sup>&</sup>lt;sup>3</sup> If applicable. Repowered facilities must meet Green-e Energy's criteria for repowering, available at http://www.Green-e Energy.org/docs/Repowering Defin and Instructions.doc

<sup>&</sup>lt;sup>4</sup> Renewable Energy Certificates, which represent the renewable attributes of 1 MWh of renewable electricity generation.

| Period of Generation (quarter/yy or mm/yy) |  |  |
|--|--|--|
| 5  |  |  |
| 5  |  |  |
| 5  |  |  |
|  |  |  |
|  |  |  |

### III. Declaration

I, Brian Hayes, Executive Vice President, Asset Operations, declare that the  $\boxtimes$  renewable electricity (electricity bundled with renewable attributes) /  $\square$  renewable attributes only<sup>6</sup> (check one) generated by Facility during the Period of Generation were sold exclusively from Seller to The Empire District Electric Company ("Purchaser").

I further declare that:

- 1) all the renewable attributes (including CO<sub>2</sub> benefits), including any emissions offsets, reductions or claims, represented by the renewable electricity generation listed above were transferred to Purchaser;
- 2) to the best of my knowledge, the renewable attributes were not sold, marketed or otherwise claimed by a third party other than Purchaser;
- 3) Seller sold the renewable attributes only once;
- 4) the renewable attributes or the electricity that was generated with the attributes was not used to meet any federal, state or local renewable energy requirement, renewable energy procurement, renewable portfolio standard, or other renewable energy mandate by Seller, nor to the best of my knowledge, by any other entity other than Purchaser;<sup>7</sup>
- 5) the renewable electricity sold or electricity associated with the attributes sold was not used on-site for powering electric generation equipment (parasitic load);
- 6) if Purchaser is receiving electricity bundled with renewable attributes from Seller, the renewable electricity was delivered into the NERC region in which Facility is located;
- 7) if Facility is located in Canada, it is EcoLogo certified and was throughout the Period of Generation; and
- 8) the electricity that was generated with the attributes was not separately sold, separately marketed or otherwise separately represented as renewable energy by Seller, or, to the best of my knowledge, any other entity other than Purchaser.



<sup>&</sup>lt;sup>5</sup> If using biomass fuels, list out the specific type (i.e. landfill gas, wood waste, etc.) and fill in section IV below.

<sup>&</sup>lt;sup>6</sup> If selling renewable attributes to Purchaser without electricity, please fill in the name of the load serving entity buying the undifferentiated electricity, if applicable, at the bottom of this Declaration section.

<sup>&</sup>lt;sup>7</sup> Renewable attributes used by Purchaser for any of the purposes listed in 4) are ineligible for Green-e Energy certification.

| Ple       | ase indicate the following:  |
|-----------|--|
| ls Faci   | ility owner reporting its direct greenhouse gas emissions in a legally binding cap and trade program for the       |
| time pe   | eriod of generation listed on this form?   |
| ⊠ No      |  |
| If Selle  | er is providing only RECs to Purchaser and selling the associated electricity to a utility or load-serving entity, |
| please    | write the name of the utility or load-serving entity here:   |
| ☐ Ch      | neck box if sale is part of a Qualifying Facility (QF) contract  |
|           |  |
| IV. Add   | ditional statement required for and applicable to biomass facilities only  |
| 1) I atte | est that no more than five percent (5%) fossil fuels and other fuels that are not Green-e Energy eligible,         |
| measu     | red on a BTU basis, were used, including as a start-up, pilot or supplemental fuel, to produce the electricity     |
| and/or    | RECs in the above Green-e Energy eligible biomass generation plant or biomass boiler;                              |
| 2) I atte | est that this facility was in substantial compliance with its operating permit regarding emissions during the      |
| Period    | of Generation reported above;  |
| 3) I atte | est that if this facility is subject to New Source Review (NSR), it was compliant with all standards pertaining to |
| NSR di    | uring the period of generation reported above; and   |
| 4) I atte | est that Seller owned the renewable and environmental attributes of the biomass fuels I have listed in the         |
| -         | elow at the time of the fuel's use for electricity generation.   |
|           | Biomass Fuel Type Facility that Produced Fuel or Origin/Source of Fuel   |
|           |  |
|           |  |
| -         |  |
| L         |  |
|           |  |

### V. Signature

As an authorized agent of Seller, I attest that the above statements are true and correct.

May 2008

Houston, TX

Place of Execution

This Attestation form is used by the Center for Resource Solutions to verify the accuracy of claims made by Participant renewable energy providers. The information on this form is held strictly confidential and will not be shared with any other party except in aggregate form.

Green-e Energy Renewable Generator Registration Form and Attestation Copyright © 2008 Center for Resource Solutions. All rights reserved.

Page 3 of 3

<sup>8</sup> In this case the renewable energy or RECs reported on this form may be ineligible for Green-e Energy certification. For more information, contact Green-e Energy Staff at 415-561-2100.



## GREEN-E ENERGY RENEWABLE GENERATOR REGISTRATION FORM AND ATTESTATION

| l. | Fac | ilitv | inform | ation |
|----|-----|-------|--------|-------|
|    |     |       |        |       |

Name of Generation Facility ("Facility"): Meridian Way Wind Farm

Company or Person that Owns Facility ("Seller"): Cloud County Wind Farm, LLC

Address of Facility: 1409 Iron Road. Suite A Concordia, KS 66901

| North American Electricity Reliability Corporation     | n (NERC) region in which Facility is located: 1 SPP |
|--|---|
| Facility ID Number:² <u>56784</u> ⊠ EIA or □ QF? (c    | heck one) Nameplate Capacity (MW): 105              |
| Date Facility was First Operational: <u>12/05/2008</u> | Date of Capacity Upgrade or Repowering:3//          |
| Contact Person: <u>Daniel Saldanha</u>                 | Title: Senior Settlements Associate                 |
| Telephone: <u>713-356-2568</u>                         | Email Address: daniel.saldanha@edpr.com             |

### II. Renewable electricity or RECs<sup>4</sup> supplied to Purchaser indicated below, by fuel type

On the table below, list the renewable megawatt-hours (MWh) sold or transferred to Purchaser, broken down by quarter of generation in separate rows:

NP

If you are unsure of which region Facility is in, see http://www.nerc.com/regional/

<sup>&</sup>lt;sup>2</sup> Enter Energy Information Administration (EIA) identification number for the generating facility; if no EIA number, enter the utility-assigned Qualifying Facility (QF) identification number.

<sup>&</sup>lt;sup>3</sup> If applicable. Repowered facilities must meet Green-e Energy's criteria for repowering, available at <a href="http://www.Green-e Energy.org/docs/Repowering Defin and Instructions.doc">http://www.Green-e Energy.org/docs/Repowering Defin and Instructions.doc</a>

<sup>&</sup>lt;sup>4</sup> Renewable Energy Certificates, which represent the renewable attributes of 1 MWh of renewable electricity generation.

| Fuel Type⁵ | # MWh RECs /<br>Renewable Elec. Sold | Period of Generation (quarter/yy or mm/yy) |  |  |
|------------|--------------------------------------|--|--|--|
| Wind       |                                      | 10/15                                      |  |  |
| Wind       |                                      | 11/15                                      |  |  |
| Wind       |                                      | 12/15                                      |  |  |
| TANIG.     |                                      | 12.19                                      |  |  |

### III. Declaration

I, Brian Hayes, Executive Vice President, Asset Operations, declare that the  $\boxtimes$  renewable electricity (electricity bundled with renewable attributes) /  $\square$  renewable attributes only<sup>6</sup> (check one) generated by Facility during the Period of Generation were sold exclusively from Seller to The Empire District Electric Company ("Purchaser").

I further declare that:

- 1) all the renewable attributes (including CO<sub>2</sub> benefits), including any emissions offsets, reductions or claims, represented by the renewable electricity generation listed above were transferred to Purchaser;
- 2) to the best of my knowledge, the renewable attributes were not sold, marketed or otherwise claimed by a third party other than Purchaser;
- 3) Seller sold the renewable attributes only once;
- 4) the renewable attributes or the electricity that was generated with the attributes was not used to meet any federal, state or local renewable energy requirement, renewable energy procurement, renewable portfolio standard, or other renewable energy mandate by Seller, nor to the best of my knowledge, by any other entity other than Purchaser;<sup>7</sup>
- 5) the renewable electricity sold or electricity associated with the attributes sold was not used on-site for powering electric generation equipment (parasitic load);
- 6) if Purchaser is receiving electricity bundled with renewable attributes from Seller, the renewable electricity was delivered into the NERC region in which Facility is located;
- 7) if Facility is located in Canada, it is EcoLogo certified and was throughout the Period of Generation; and
- 8) the electricity that was generated with the attributes was not separately sold, separately marketed or otherwise separately represented as renewable energy by Seller, or, to the best of my knowledge, any other entity other than Purchaser.



<sup>&</sup>lt;sup>5</sup> If using biomass fuels, list out the specific type (i.e. landfill gas, wood waste, etc.) and fill in section IV below.

<sup>&</sup>lt;sup>6</sup> If selling renewable attributes to Purchaser without electricity, please fill in the name of the load serving entity buying the undifferentiated electricity, if applicable, at the bottom of this Declaration section.

<sup>&</sup>lt;sup>7</sup> Renewable attributes used by Purchaser for any of the purposes listed in 4) are ineligible for Green-e Energy certification.

| Please indicate the following:   |
|--|
| Is Facility owner reporting its direct greenhouse gas emissions in a legally binding cap and trade program for the         |
| time period of generation listed on this form?   |
| ☐ Yes; <sup>8</sup> list the cap and trade program:  |
| If Seller is providing only RECs to Purchaser and selling the associated electricity to a utility or load-serving entity,  |
| please write the name of the utility or load-serving entity here:  |
| Check box if sale is part of a Qualifying Facility (QF) contract   |
| IV. Additional statement required for and applicable to biomass facilities only  |
| 1) I attest that no more than five percent (5%) fossil fuels and other fuels that are not Green-e Energy eligible,         |
| measured on a BTU basis, were used, including as a start-up, pilot or supplemental fuel, to produce the electricity        |
| and/or RECs in the above Green-e Energy eligible biomass generation plant or biomass boiler;                               |
| 2) I attest that this facility was in substantial compliance with its operating permit regarding emissions during the      |
| Period of Generation reported above;   |
| 3) I attest that if this facility is subject to New Source Review (NSR), it was compliant with all standards pertaining to |
| NSR during the period of generation reported above; and  |
| 4) I attest that Seller owned the renewable and environmental attributes of the biomass fuels I have listed in the         |
| table below at the time of the fuel's use for electricity generation.  |
| Biomass Fuel Type Facility that Produced Fuel or Origin/Source of Fuel   |
|  |
|  |
|  |
|  |
| <u>V. Signature</u>  |
|  |
| As an authorized agent of Seller, I attest that the above statements are true and correct.                                 |
| Signature Date   |
| Houston, TX Place of Execution   |

This Attestation form is used by the Center for Resource Solutions to verify the accuracy of claims made by Participant renewable energy providers. The information on this form is held strictly confidential and will not be shared with any other party except in aggregate form.

May 2008 Green-e Energy Renewable Generator Registration Form and Attestation Copyright © 2008 Center for Resource Solutions. All rights reserved.

Page 3 of 3



<sup>&</sup>lt;sup>8</sup> In this case the renewable energy or RECs reported on this form may be ineligible for Green-e Energy certification. For more information, contact Green-e Energy Staff at 415-561-2100.



**AUDIT YEAR: NA** 

# GREEN-E ENERGY RENEWABLE ATTESTATION FROM WHOLESALE PROVIDER OF ELECTRICITY OR RECS

### I. Wholesale Provider Information

Name of Wholesale Provider: 3Degrees Group Inc.

Address of Provider: 407 Sansome Street, 4th Floor, San Francisco, CA 94111

Contact Person: Alison Lee Title: Settlements Manager

Telephone: (415) 659-8866 Fax: (415) 680-1561 Email Address: alee@3degreesinc.com

### II. Declaration

I, Steve McDougal, CEO, declare that the (indicate with "x")<sup>1</sup> \_\_\_ electricity bundled with renewable attributes / x renewable attributes only<sup>2</sup> listed below were sold exclusively from: <u>3Degrees Group Inc.</u> ("Provider") to: **Empire District Electric Company** ("Purchaser").

I further declare that:

- 1) all the renewable attributes (including CO<sub>2</sub> benefits), including any emissions offsets, reductions or claims, represented by the renewable electricity generation listed below were transferred to Purchaser;
- 2) to the best of my knowledge, the renewable attributes were not sold, marketed or otherwise claimed by a third party;
- 3) Provider sold the renewable attributes only once;
- 4) the renewable attributes or the electricity that was generated with the attributes was not used to meet any federal, state or local renewable energy requirement, renewable energy procurement, renewable portfolio standard, or other renewable energy mandate by Provider, nor, to the best of my knowledge, by any other entity;
- 5) the electrical energy that was generated with the attributes was not separately sold, separately marketed or otherwise separately represented as renewable energy by Provider, or, to the best of my knowledge, by any other entity; and
- 6) the facilities that generated all of the renewable electricity / renewable attributes (as indicated above) sold to Purchaser are listed below by fuel type.

<sup>&</sup>lt;sup>1</sup> Use separate forms to report electricity and REC sales.

<sup>&</sup>lt;sup>2</sup> If Provider purchased electricity bundled with renewable attributes and has stripped off those attributes to sell in this transaction, and is selling the undifferentiated electricity to a utility or load-serving entity, see section III also.

## List the renewable MWhs sold or transferred to Purchaser identified below by quarter of generation as a separate line item.

| Provider<br>Reference<br>Number<br>(Optional) | Generator<br>Name                                   | Generator ID<br>Number (EIA<br>or QF) | Nameplate<br>Capacity<br>(MW) | Fuel Type<br>(if biomass,<br>be specific;<br>i.e. Landfill<br>Gas) | # MWhs<br>RECs /<br>Elec. Sold | First Date of<br>Generator<br>Operation<br>(mm/yy) <sup>3</sup> | Period of<br>Generation<br>(quarter#/yy<br>or mm/yy) | Selling as<br>Green-e<br>Energy<br>Certified<br>Wholesale? <sup>4</sup><br>(Yes/No) |
|---|---|---------------------------------------|-------------------------------|--|--------------------------------|---|--|---|
| 53438   | Stanton Solar<br>Farm - RP-<br>Orlando, LLC<br>(FL) | NA                                    | 5.91                          | Solar  |                                | 2011-11-01  | 2015-01-01 -<br>2015-01-31                           | No  |
| 53439   | Stanton Solar<br>Farm - RP-<br>Orlando, LLC<br>(FL) | NA                                    | 5.91                          | Solar  | -                              | 2011-11-01  | 2015-02-01 -<br>2015-02-28                           | No  |
| 53440   | Stanton Solar<br>Farm - RP-<br>Orlando, LLC<br>(FL) | NA                                    | 5.91                          | Solar  | •                              | 2011-11-01  | 2015-03-01 -<br>2015-03-31                           | No  |
| 53441   | Stanton Solar<br>Farm - RP-<br>Orlando, LLC<br>(FL) | NA                                    | 5.91                          | Solar  | •                              | 2011-11-01  | 2015-04-01 -<br>2015-04-30                           | No  |
| 53442   | Stanton Solar<br>Farm - RP-<br>Orlando, LLC<br>(FL) | NA                                    | 5.91                          | Solar  |                                | 2011-11-01  | 2015-05-01 -<br>2015-05-31                           | No  |
| 53457   | Stanton Solar<br>Farm - RP-<br>Orlando, LLC<br>(FL) | NA                                    | 5.91                          | Solar  |                                | 2011-11-01  | 2015-05-01 -<br>2015-05-31                           | No  |
| •   | 11 54   |                                       |                               |  |                                | Total #<br>RECs / Elec.<br>Sold                                 |  |   |

### III. Additional Statement required of Provider selling electricity to Purchaser

I declare that the electricity listed above was delivered into the NERC region(s) or ISO(s) in which the Generator(s) listed above are located.

## IV. Additional Statement required if Provider is selling only RECs to Purchaser and selling the associated electricity to a utility or load-serving entity

| Please write the name of the utility or load-serving entity here:                            |
|--|
| As an authorized agent of Provider, I attest that the above statements are true and correct. |

<sup>3</sup> For facilities that have added new renewable capacity, please indicate the amount and operational date of the new capacity and the existing capacity.

NR

<sup>&</sup>lt;sup>4</sup> Provider may only indicate 'Yes' if Provider has a contract with Green-e Energy to sell RECs/renewable energy wholesale as Green-e Energy certified, the MWh listed in each row of this table are sold to Purchaser as Green-e Energy certified, and the MWh listed will undergo Green-e Energy Annual Verification.

| Steve McDougal    | 04/04/0040                |
|-------------------|---------------------------|
| Signature         | <u>04/01/2016</u><br>Date |
| San Francisco, CA |                           |

Place of Execution

This Attestation form is used by the Center for Resource Solutions to verify the accuracy of claims made by Participant renewable energy providers. The information on this form is held strictly confidential and will not be shared with any other party except in aggregate form.

**EMPIRE** 

2015 RES REPORT

# ATTACHMENT 8

SOLAR CUSTOMER NET GENERATION

☐ HIGHLY CONFIDENTIAL

NON-PROPRIETARY

|       |            |           |            |       |                  |                          |                | TOTAL                    |          |
|-------|------------|-----------|------------|-------|------------------|--------------------------|----------------|--------------------------|----------|
| Entry | Customer # | Agg Group | Rate       | Type* | Operational Date | Rebate End Date          | Power (kW)     | <b>SRECS 2015</b>        | ZIP CODE |
| 99    |            | 1         | RG         | PV    | 6/30/2015        | 6/30/2025                | 16.47          | 11640.9754               |          |
| 71    |            | 1         | RG         | PV    | 6/19/2015        | 6/19/2025                | 10.71          | 8157.63743               |          |
| 100   |            | 1         | RG         | PV    | 6/30/2015        | 6/30/2025                | 9.76           | 6892.512                 |          |
| 122   |            | 1         | RG         | PV    | 8/27/2015        | 8/27/2025                | 4.88           | 2019.45419               |          |
| 65    |            | 1         | СВ         | PV    | 6/12/2015        | 6/12/2025                | 26.78          | 21243.7647               |          |
| 97    |            | 1         | RG         | PV    | 6/30/2015        | 6/30/2025                | 27.29          | 19290.4495               |          |
| 58    |            | 1         | RG         | PV    | 6/4/2015         | 6/4/2025                 | 6.50           | 5399.81                  |          |
| 63    |            | 1         | RG         | PV    | 6/11/2015        | 6/11/2025                | 10.75          | 8570.0075                |          |
| 124   |            | 1         | RG         | PV    | 9/3/2015         | 9/3/2025                 | 5.46           | 2077.9668                |          |
| 117   |            | 1         | RG         | PV    | 8/21/2015        | 8/21/2025                | 6.63           | 2941.77377               |          |
| 96    |            | 1         | RG         | PV    | 6/30/2015        | 6/30/2025                | 16.32          | 11570.7848               |          |
| 73    |            | 1         | RG         | PV    | 6/22/2015        | 6/22/2025                | 3.65           | 2723.96014               |          |
| 80    |            | 1         | RG         | PV    | 6/25/2015        | 6/25/2025                | 9.76           | 7134.05573               |          |
| 68    |            | 1         | RG         | PV    | 6/17/2015        | 6/17/2025                | 7.50           | 5763.525                 |          |
| 62    |            | 1         | RG         | PV    | 6/11/2015        | 6/11/2025                | 11.50          | 9177.09583               |          |
|       |            |           |            | PV    |                  |                          |                |                          | •        |
| 281   |            | 1<br>1    | TEB<br>TEB | PV    | 12/23/2015       | 12/23/2025<br>12/22/2025 | 25.25<br>25.25 | 510.111871<br>573.875855 |          |
| 279   |            |           |            |       | 12/22/2015       |                          |                |                          |          |
| 84    |            | 1         | RG         | PV    | 6/26/2015        | 6/26/2025                | 5.50           | 4004.84792               |          |
| 106   |            | 1         | RG         | PV    | 7/24/2015        | 7/24/2025                | 15.50          | 9067.6                   |          |
| 74    |            | 1         | RG         | PV    | 6/22/2015        | 6/22/2025                | 10.00          | 7473.14167               |          |
| 105   |            | . 1       | RG         | PV    | 7/20/2015        | 7/20/2025                | 10.20          | 6148.28306               |          |
| 115   |            | 1         | RG         | PV    | 8/20/2015        | 8/20/2025                | 8.67           | 3870.32529               |          |
| 118   |            | 1         | LP         | PV    | 8/25/2015        | 8/25/2025                | 25.50          | 10806.4887               |          |
| 66    |            | 1         | RG         | PV    | 6/15/2015        | 6/15/2025                | 14.79          | 11507.3595               |          |
| 72    |            | 1         | RG         | PV    | 6/19/2015        | 6/19/2025                | 9.69           | 7353.6441                |          |
| 214   |            | 1         | TEB        | PV    | 11/23/2015       | 11/23/2025               | 25.22          | 2477.1084                |          |
| 78    |            | 1         | CB         | PV    | 6/24/2015        | 6/24/2025                | 6.63           | 4879.26839               |          |
| 230   |            | 1         | TEB        | PV    | 11/30/2015       | 11/30/2025               | 49.98          | 3943.422                 |          |
| 114   |            | 1         | RG         | PV    | 8/20/2015        | 8/20/2025                | 13.01          | 5835.17569               |          |
| 83    |            | 1         | RG         | PV    | 6/26/2015        | 6/26/2025                | 7.28           | 5300.96233               |          |
| 98    |            | 1         | RG         | PV    | 6/30/2015        | 6/30/2025                | 11.44          | 8088.06093               |          |
| 282   |            | 1         | TEB        | PV    | 12/23/2015       | 12/23/2025               | 25.25          | 510.111871               |          |
| 111   |            | 1         | RG         | PV    | 8/10/2015        | 8/10/2025                | 14.28          | 7118.48787               |          |
| 103   |            | 1         | RG         | PV    | 7/14/2015        | 7/14/2025                | 6.10           | 3870.98129               |          |
| 92    |            | 1         | RG         | PV    | 6/29/2015        | 6/29/2025                | 12.50          | 8898.60156               |          |
| 116   |            | 1         | RG         | PV    | 8/21/2015        | 8/21/2025                | 9.69           | 4299.51552               |          |
| 160   |            | 1         | RG         | PV    | 10/20/2015       | 10/20/2025               | 6.50           | 1311.34355               |          |
| 85    |            | 1         | RG         | PV    | 6/26/2015        | 6/26/2025                | 8.16           | 5941.738                 |          |
| 77    |            | 1         | RG         | PV    | 6/23/2015        | 6/23/2025                | 7.40           | 5477.68294               |          |
| 113   |            | 1         | RG         | PV    | 8/20/2015        | 8/20/2025                | 15.30          | 6864.91258               |          |
| 283   |            | 1         | TEB        | PV    | 12/23/2015       | 12/23/2025               | 25.25          | 510.111871               |          |
| 90    |            | 1         | RG         | PV    | 6/26/2015        | 6/26/2025                | 12.00          | 8737.85                  |          |
| 89    |            | 1         | RG         | PV    | 6/26/2015        | 6/26/2025                | 13.20          | 9611.635                 |          |
| 149   |            | 1         | GP         | PV    | 10/5/2015        | 10/5/2025                | 37.23          | 9503.37784               |          |
| 64    |            | 1         | RG         | PV    | 6/12/2015        | 6/12/2025                | 9.76           | 7761.29027               |          |
| 112   |            | 1         | RG         | PV    | 8/11/2015        | 8/11/2025                | 9.36           | 4619.28077               |          |
| 86    |            | 1         | RG         | PV    | 6/26/2015        | 6/26/2025                | 7.54           | 5490.28242               |          |
| 69    |            | 1         | RG         | PV    | 6/18/2015        | 6/18/2025                | 9.50           | 7262.54417               |          |
| 137   |            | 1         | RG         | PV    | 9/22/2015        | 9/22/2025                | 17.09          | 5223.2262                |          |
| 61    |            | 1         | RG         | PV    | 6/9/2015         | 6/9/2025                 | 4.73           | 3813.02578               |          |
| 67    |            | 1         | RG         | PV    | 6/16/2015        | 6/16/2025                | 6.00           | 4639.56                  |          |
| 82    |            | 1         | RG         | PV    | 6/26/2015        | 6/26/2025                | 10.92          | 7951.4435                |          |
| 102   |            | 1         | RG         | PV    | 7/9/2015         | 7/9/2025                 | 16.58          | 10891.5149               |          |
| 60    |            | 1         | RG         | PV    | 6/9/2015         | 6/9/2025                 | 8.00           | 6460.70667               |          |
| 57    |            | 1         | RG         | PV    | 6/2/2015         | 6/2/2025                 | 10.50          | 8831.7425                |          |
| 95    |            | 1         | RG         | PV    | 6/30/2015        | 6/30/2025                | 18.30          | 12974.5933               |          |
| 119   |            | 1         | SH         | PV    | 8/25/2015        | 8/25/2025                | 26.78          | 11263.4652               |          |
| 101   |            | 1         | RG         | PV    | 7/6/2015         | 7/6/2025                 | 33.80          | 22789.7045               |          |
| 76    |            | 1         | RG         | PV    | 6/23/2015        | 6/23/2025                | 8.00           | 5940.19333               |          |
| 75    |            | 1         | RG         | PV    | 6/22/2015        | 6/22/2025                | 16.25          | 12114.7743               |          |
| 91    |            | 1         | RG         | PV    | 6/26/2015        | 6/26/2025                | 14.64          | 10628.0361               |          |
| J-1   |            | _         | .,.        |       | -,,              | -, -0, -0-0              |                |                          |          |

| 70         | 1      | RG       | PV       | 6/18/2015                | 6/18/2025                | 12.75         | 9738.19234             |
|------------|--------|----------|----------|--------------------------|--------------------------|---------------|------------------------|
| 87         | 1      | RG       | PV       | 6/26/2015                | 6/26/2025                | 10.71         | 7798.53113             |
| 107        | 1      | RG       | PV       | 7/30/2015                | 7/30/2025                | 6.24          | 3464.81032             |
| 81         | 1      | RG       | PV       | 6/25/2015                | 6/25/2025                | 7.80          | 5699.84025             |
| 93         | 1      | GP       | PV       | 6/29/2015                | 6/29/2025                | 25.00         | 17774.75               |
| 94         | 1      | TEB      | PV       | 6/29/2015                | 6/29/2025                | 25.00         | 17769.7604             |
| 59         | 1      | RG       | PV       | 6/5/2015                 | 6/5/2025                 | 3.76          | 3116.07807             |
| 104        | 1      | RG       | PV       | 7/16/2015                | 7/16/2025                | 4.68          | 2923.46013             |
| 79         | 1      | RG       | PV       | 6/24/2015                | 6/24/2025                | 7.28          | 5350.3632              |
| 88         | 1      | RG       | PV       | 6/26/2015                | 6/26/2025                | 10.71         | 7798.53113             |
| 138        | 1      | TEB      | PV       | 9/22/2015                | 9/22/2025                | 25.22         | 7710.2584              |
| 400        |        |          | 51.7     | 44 10 10045              | 44 /0 /0005              | 4454          | 4000 2004              |
| 188        | 2      | RG       | PV       | 11/9/2015                | 11/9/2025                | 14.54         | 1989.2601              |
| 266        | 2      | RG       | PV       | 12/18/2015               | 12/18/2025               | 9.69          | 318.175839             |
| 175        | 2      | RG       | PV       | 10/29/2015               | 10/29/2025               | 5.10<br>16.50 | 865.140968             |
| 165        | 2<br>2 | RG<br>RG | PV<br>PV | 10/22/2015<br>11/18/2015 | 10/22/2025<br>11/18/2025 | 10.20         | 3211.05968<br>1142.604 |
| 200<br>215 | 2      | RG       | PV       | 11/24/2015               | 11/24/2025               | 9.18          | 876.3228               |
| 231        | 2      | CB       | PV       | 12/1/2015                | 12/1/2025                | 25.76         | 1951.56435             |
| 233        | 2      | RG       | PV       | 12/1/2015                | 12/2/2025                | 14.28         | 1045.98697             |
| 202        | 2      | RG       | PV       | 11/18/2015               | 11/18/2025               | 14.79         | 1656.7758              |
| 202        | 2      | RG       | PV       | 11/20/2015               | 11/20/2025               | 6.38          | 678.9375               |
| 56         | 2      | RG       | PV       | 5/27/2015                | 5/27/2025                | 50.00         | 42585                  |
| 178        | 2      | RG       | PV       | 11/3/2015                | 11/3/2025                | 10.40         | 1595.568               |
| 128        | 2      | RG       | PV       | 9/9/2015                 | 9/9/2025                 | 17.08         | 6096,5352              |
| 166        | 2      | RG       | PV       | 10/22/2015               | 10/22/2025               | 11.22         | 2183.52058             |
| 152        | 2      | RG       | PV       | 10/12/2015               | 10/12/2025               | 10.71         | 2466.37481             |
| 121        | 2      | RG       | PV       | 8/26/2015                | 8/26/2025                | 9.95          | 4164.99808             |
| 108        | 2      | RG       | PV       | 7/30/2015                | 7/30/2025                | 12.24         | 6796.35871             |
| 223        | 2      | RG       | PV       | 11/25/2015               | 11/25/2025               | 16.07         | 1489.2255              |
| 181        | 2      | RG       | PV       | 11/6/2015                | 11/6/2025                | 4.59          | 666.1926               |
| 170        | 2      | RG       | PV       | 10/26/2015               | 10/26/2025               | 13.01         | 2345.30492             |
| 167        | 2      | RG       | PV       | 10/23/2015               | 10/23/2025               | 6.50          | 1241.77258             |
| 134        | 2      | RG       | PV       | 9/18/2015                | 9/18/2025                | 12.24         | 3934.9152              |
| 177        | 2      | RG       | PV       | 10/30/2015               | 10/30/2025               | 8.16          | 1355.11277             |
| 275        | 2      | RG       | PV       | 12/22/2015               | 12/22/2025               | 11.99         | 272.446113             |
| 162        | 2      | RG       | PV       | 10/21/2015               | 10/21/2025               | 6.89          | 1364.45153             |
| 183        | 2      | RG       | PV       | 11/6/2015                | 11/6/2025                | 20.15         | 2923.8453              |
| 240        | 2      | RG       | PV       | 12/7/2015                | 12/7/2025                | 15.40         | 933.538065             |
| 130        | 2      | RG       | PV       | 9/14/2015                | 9/14/2025                | 15.30         | 5134.6545              |
| 189        | 2      | RG       | PV       | 11/10/2015               | 11/10/2025               | 8.67          | 1162.647               |
| 216        | 2      | RG       | PV       | 11/24/2015               | 11/24/2025               | 6.12          | 584.2152               |
| 176        | 2      | RG       | PV       | 10/29/2015               | 10/29/2025               | 5.87          | 994.912113             |
| 191        | 2      | RG       | PV       | 11/10/2015               | 11/10/2025               | 10.71         | 1436.211               |
| 173        | 2      | RG       | PV       | 10/27/2015               | 10/27/2025               | 10.46         | 1834.15269             |
| 220        | 2      | RG       | PV       | 11/25/2015               | 11/25/2025               | 10.46         | 969.1785               |
| 219        | 2      | RG       | PV       | 11/25/2015               | 11/25/2025               | 10.20         | 945.54                 |
| 194        | 2      | RG       | PV       | 11/12/2015               | 11/12/2025               | 9.95          | 1278.7281              |
| 218        | 2      | RG       | PV       | 11/25/2015               | 11/25/2025               | 5.10          | 472.77                 |
| 131        | 2      | RG       | PV       | 9/15/2015                | 9/15/2025                | 2.16          | 719.928                |
| 187        | 2      | RG       | PV       | 11/6/2015                | 11/6/2025                | 11.99         | 1739.5029              |
| 169        | 2      | RG       | PV       | 10/23/2015               | 10/23/2025               | 14.54         | 2776.79453             |
| 143        | 2      | RG       | PV       | 9/30/2015                | 9/30/2025                | 13.77         | 3775.734               |
| 142        | 2      | RG       | PV       | 9/30/2015                | 9/30/2025                | 11.48         | 3146.445               |
| 127        | 2      | RG       | PV       | 9/9/2015                 | 9/9/2025                 | 5.36          | 1911.4137              |
| 269        | 2      | RG       | PV       | 12/18/2015               | 12/18/2025               | 11.48         | 376.787177             |
| 109        | 2      | RG       | PV       | 8/3/2015                 | 8/3/2025                 | 7.65          | 4080.18919             |
| 146        | 2      | RG       | PV       | 10/1/2015                | 10/1/2025                | 9.36          | 2522.82194             |
| 196        | 2      | RG       | PV       | 11/13/2015               | 11/13/2025               | 11.22         | 1411.7004              |
| 129        | 2      | RG       | PV       | 9/9/2015                 | 9/9/2025                 | 7.14          | 2548.5516              |
| 150        | 2      | RG       | PV       | 10/7/2015                | 10/7/2025                | 10.71         | 2657.42739             |
| 140        | 2      | RG       | PV       | 9/25/2015                | 9/25/2025                | 4.50          | 1322.55                |
| 159        | 2      | RG       | PV       | 10/19/2015               | 10/19/2025               | 16.07         | 3298.35179             |
|            |        |          |          |                          |                          |               |                        |

526.8998

| 164 | 2 | RG   | PV | 10/22/2015 | 10/22/2025 | 6.76  | 1315.56142 |
|-----|---|------|----|------------|------------|-------|------------|
| 204 | 2 | RG   | PV | 11/18/2015 | 11/18/2025 | 14.79 | 1656.7758  |
| 133 | 2 | RG   | PV | 9/18/2015  | 9/18/2025  | 12.24 | 3934.9152  |
| 199 | 2 | ŖG   | PV | 11/16/2015 | 11/16/2025 | 14.28 | 1678.4712  |
| 179 | 2 | RG   | PV | 11/4/2015  | 11/4/2025  | 6.60  | 994.356    |
| 126 | 2 | RG   | PV | 9/4/2015   | 9/4/2025   | 7.50  | 2824.8     |
| 136 | 2 | RG   | PV | 9/21/2015  | 9/21/2025  | 16.07 | 4974.6879  |
| 139 | 2 | RG   | ₽V | 9/25/2015  | 9/25/2025  | 6.12  | 1798.668   |
| 135 | 2 | RG   | PV | 9/18/2015  | 9/18/2025  | 11.48 | 3688.983   |
| 248 | 2 | RG   | PV | 12/11/2015 | 12/11/2025 | 8.42  | 425.093226 |
| 145 | 2 | RG   | PV | 9/30/2015  | 9/30/2025  | 14.30 | 3921.06    |
| 232 | 2 | RG   | PV | 12/2/2015  | 12/2/2025  | 5.10  | 373.566774 |
| 192 | 2 | RG   | PV | 11/12/2015 | 11/12/2025 | 8.42  | 1082.0007  |
| 208 | 2 | RG   | PV | 11/19/2015 | 11/19/2025 | 7.40  | 807.9777   |
| 123 | 2 | RG   | PV | 8/31/2015  | 8/31/2025  | 8.00  | 3151.2     |
| 193 | 2 | RG   | PV | 11/12/2015 | 11/12/2025 | 9.69  | 1245.9402  |
| 144 | 2 | RG   | PV | 9/30/2015  | 9/30/2025  | 8.06  | 2210.052   |
| 132 | 2 | RG   | PV | 9/16/2015  | 9/16/2025  | 8.16  | 2687.5776  |
| 195 | 2 | RG   | PV | 11/13/2015 | 11/13/2025 | 5.60  | 704.592    |
| 163 | 2 | RG   | PV | 10/21/2015 | 10/21/2025 | 12.75 | 2526.7621  |
| 201 | 2 | RG   | PV | 11/18/2015 | 11/18/2025 | 5.10  | 571.302    |
| 151 | 2 | RG   | PV | 10/7/2015  | 10/7/2025  | 12.24 | 3037.05987 |
| 246 | 2 | RG   | PV | 12/10/2015 | 12/10/2025 | 30.60 | 1623.08323 |
| 228 | 1 | RG   | PV | 11/30/2015 | 11/30/2025 | 14.03 | 1106.5725  |
| 244 | 2 | RG   | PV | 12/9/2015  | 12/9/2025  | 15.30 | 850.18645  |
| 182 | 2 | RG   | PV | 11/6/2015  | 11/6/2025  | 14.04 | 2037.7656  |
| 253 | 2 | RG   | PV | 12/15/2015 | 12/15/2025 | 26.27 | 1061.4449  |
| 120 | 2 | RG   | PV | 8/26/2015  | 8/26/2025  | 13.52 | 5660.1256  |
| 207 | 2 | RG   | PV | 11/19/2015 | 11/19/2025 | 5.36  | 585.0873   |
| 180 | 2 | RG   | PV | 11/5/2015  | 11/5/2025  | 7.65  | 1118.67863 |
| 264 | 2 | RG   | PV | 12/18/2015 | 12/18/2025 | 9.18  | 301.429742 |
| 247 | 2 | RG   | PV | 12/11/2015 | 12/11/2025 | 25.25 | 1275.27968 |
| 125 | 2 | CB   | PV | 9/3/2015   | 9/3/2025   | 16.83 | 6405.1614  |
| 168 | 2 | RG   | PV | 10/23/2015 | 10/23/2025 | 9.92  | 1895.136   |
|     |   |      |    |            |            |       | 212783.55  |
| 225 | 3 | RG   | PV | 11/30/2015 | 11/30/2025 | 7.91  | 623.7045   |
| 172 | 3 | RG   | PV | 10/27/2015 | 10/27/2025 | 18.36 | 3245.51497 |
| 278 | 3 | RG   | PV | 12/22/2015 | 12/22/2025 | 18.20 | 413.727097 |
| 197 | 3 | RG   | PV | 11/13/2015 | 11/13/2025 | 11.22 | 1411.7004  |
| 206 | 3 | RG   | PV | 11/18/2015 | 11/18/2025 | 16.58 | 1856.7315  |
| 267 | 3 | RG   | PV | 12/18/2015 | 12/18/2025 | 10.20 | 334.921935 |
| 265 | 3 | RG   | PV | 12/18/2015 | 12/18/2025 | 8.67  | 284.683645 |
| 186 | 3 | RG   | PV | 11/6/2015  | 11/6/2025  | 4.58  | 664.45092  |
| 273 | 3 | RG   | PV | 12/22/2015 | 12/22/2025 | 5.10  | 115.934516 |
| 184 | 3 | RG   | PV | 11/6/2015  | 11/6/2025  | 4.48  | 650.2272   |
| 213 | 3 | RG   | PV | 11/23/2015 | 11/23/2025 | 11.50 | 1129.53    |
| 224 | 3 | RG   | PV | 11/25/2015 | 11/25/2025 | 19.38 | 1796.526   |
| 198 | 3 | RG   | PV | 11/16/2015 | 11/16/2025 | 4.48  | 526.5792   |
| 284 | 3 | RG . | PV | 12/23/2015 | 12/23/2025 | 27.54 | 556.485677 |
| 217 | 3 | RG   | PV | 11/25/2015 | 11/25/2025 | 5.10  | 472.77     |
| 241 | 3 | RG   | PV | 12/8/2015  | 12/8/2025  | 9.18  | 533.298774 |
| 226 | 3 | RG   | PV | 11/30/2015 | 11/30/2025 | 10.97 | 865.1385   |
| 270 | 3 | RG   | PV | 12/18/2015 | 12/18/2025 | 12.50 | 410.279371 |
| 212 | 3 | RG   | PV | 11/23/2015 | 11/23/2025 | 5.10  | 500.922    |
| 190 | 3 | RG   | PV | 11/10/2015 | 11/10/2025 | 6.00  | 804.6      |
| 276 | 3 | RG   | PV | 12/22/2015 | 12/22/2025 | 11.70 | 265.967419 |
| 243 | 3 | RG   | PV | 12/9/2015  | 12/9/2025  | 15.30 | 850.186452 |
| 158 | 3 | RG   | PV | 10/13/2015 | 10/13/2025 | 7.50  | 1700.39516 |
| 259 | 3 | RG   | PV | 12/17/2015 | 12/17/2025 | 10.20 | 360.685161 |
| 274 | 3 | RG   | PV | 12/22/2015 | 12/22/2025 | 10.20 | 231.869032 |
| 254 | 3 | RG   | PV | 12/16/2015 | 12/16/2025 | 8.00  | 303.096774 |
| 141 | 3 | RG   | PV | 9/29/2015  | 9/29/2025  | 7.80  | 2169.492   |
| 239 | 3 | RG   | PV | 12/7/2015  | 12/7/2025  | 9.44  | 571.943613 |
|     |   |      |    |            |            |       |            |

212.7835

| 245              | 3         | RG | PV | 12/10/2015 | 12/10/2025 | 17.08 | 905.956258 |
|------------------|-----------|----|----|------------|------------|-------|------------|
| 227              | 3         | RG | PV | 11/30/2015 | 11/30/2025 | 11.99 | 945.6165   |
| 234              | 3         | RG | PV | 12/3/2015  | 12/3/2025  | 6.50  | 459.696774 |
| 185              | 3         | RG | PV | 11/6/2015  | 11/6/2025  | 4.48  | 650.2272   |
| 268              | 3         | RG | PV | 12/18/2015 | 12/18/2025 | 11.22 | 368.414129 |
| 237              | 3         | RG | PV | 12/4/2015  | 12/4/2025  | 7.28  | 496.472516 |
| 262              | 3         | RG | PV | 12/18/2015 | 12/18/2025 | 4.08  | 133.968774 |
| 287              | 3         | CB | PV | 12/29/2015 | 12/29/2025 | 54.90 | 277.333548 |
| 257              | 3         | RG | PV | 12/17/2015 | 12/17/2025 | 5.61  | 198.376839 |
| 286              | 3         | RG | PV | 12/29/2015 | 12/29/2025 | 17.67 | 89.262     |
| 271              | 3         | RG | PV | 12/21/2015 | 12/21/2025 | 13.26 | 334.921935 |
| 211              | 3         | RG | PV | 11/20/2015 | 11/20/2025 | 13.52 | 1439.3475  |
| 255              | 3         | RG | PV | 12/17/2015 | 12/17/2025 | 31.62 | 1118.124   |
| 229              | 3         | RG | PV | 11/30/2015 | 11/30/2025 | 16.80 | 1325.52    |
| 251              | 3         | RG | PV | 12/14/2015 | 12/14/2025 | 6.12  | 262.784903 |
| 261              | 3         | СВ | PV | 12/17/2015 | 12/17/2025 | 12.00 | 424.335484 |
| 238              | 3         | RG | PV | 12/7/2015  | 12/7/2025  | 7.80  | 472.830968 |
| 249              | 3         | СВ | PV | 12/11/2015 | 12/11/2025 | 18.30 | 924.445161 |
| 258              | 3         | RG | PV | 12/17/2015 | 12/17/2025 | 10.20 | 360.685161 |
| 171              | 3         | RG | PV | 10/27/2015 | 10/27/2025 | 11.22 | 1983.37026 |
| 235              | 3         | RG | PV | 12/3/2015  | 12/3/2025  | 8.32  | 588.411871 |
| 203              | 3         | RG | PV | 11/18/2015 | 11/18/2025 | 13.44 | 1505.5488  |
| 148              | 3         | RG | PV | 10/2/2015  | 10/2/2025  | 9.18  | 2441.55426 |
| 210              | 3         | RG | PV | 11/20/2015 | 11/20/2025 | 12.74 | 1356.81    |
| 174              | 3         | RG | PV | 10/28/2015 | 10/28/2025 | 9.69  | 1678.33926 |
| 252              | 3         | CB | PV | 12/15/2015 | 12/15/2025 | 7.84  | 316.837161 |
| 280              | 3         | RG | PV | 12/23/2015 | 12/23/2025 | 3.92  | 79.2092903 |
| 263              | 3         | RG | PV | 12/18/2015 | 12/18/2025 | 5.10  | 167.460968 |
| 221              | 3         | RG | PV | 11/25/2015 | 11/25/2025 | 11.20 | 1038.24    |
| 242              | 3         | RG | PV | 12/9/2015  | 12/9/2025  | 8.93  | 495.942097 |
| 272              | 3         | RG | PV | 12/22/2015 | 12/22/2025 | 8.00  | 181.858065 |
| 277              | 3         | RG | PV | 12/22/2015 | 12/22/2025 | 14.00 | 318.251613 |
| 161              | 3         | RG | PV | 10/20/2015 | 10/20/2025 | 6.12  | 1234.68039 |
| 250              | 3         | RG | PV | 12/11/2015 | 12/11/2025 | 24.64 | 1244.71742 |
| 147              | 3         | RG | PV | 10/1/2015  | 10/1/2025  | 16.00 | 4312.51613 |
| 236              | 3         | RG | PV | 12/3/2015  | 12/3/2025  | 8.93  | 631.199032 |
| 222              | 3         | RG | PV | 11/25/2015 | 11/25/2025 | 15.05 | 1394.6715  |
| 260              | 3         | RG | PV | 12/17/2015 | 12/17/2025 | 9.98  | 352.728871 |
| 285              | 3         | RG | PV | 12/29/2015 | 12/29/2025 | 4.56  | 23.0353548 |
| 153              | 3         | RG | PV | 10/12/2015 | 10/12/2025 | 13.92 | 3205.59639 |
| 154              | 3         | RG | PV | 10/12/2015 | 10/12/2025 | 10.26 | 2362.74561 |
| 155              | 3         | СВ | PV | 10/12/2015 | 10/12/2025 | 8.12  | 1869.93123 |
| 156              | 3         | RG | PV | 10/12/2015 | 10/12/2025 | 20.88 | 4808.39458 |
| 157              | 3         | CB | PV | 10/12/2015 | 10/12/2025 | 4.64  | 1068.53213 |
| 205              | 3         | RG | PV | 11/18/2015 | 11/18/2025 | 16.07 | 1799.6013  |
| TOTAL GROUP 3 20 | D15 SRECS |    |    |            |            |       |            |
|                  |           |    |    |            |            |       |            |

TOTAL 2015 SRECS 810.9832

71.29987

| THE EMPIRE DISTRICT EL  | ECTRIC COMPAN | Υ    | ATTACHIV            | ENT 8 SUPP | LEIVIEN I          |    |
|-------------------------|---------------|------|---------------------|------------|--------------------|----|
| P.S.C. Mo. No.          | 5             | Sec. | 4                   |            | Original Sheet No. | 23 |
| Canceling P.S.C. Mo. No |               | Sec. |                     |            | Sheet No.          |    |
|                         | S             |      | BATE RIDER<br>ER SR |            |                    |    |

ATTACHMENT O CHOOLEMENT

#### **PURPOSE:**

The purpose of this Rider SR is to implement the solar rebate established through §393.1030 RSMo. and to establish the terms, conditions and procedures, consistent with applicable law and MoPSC orders and rules, which the Company will rely on in accepting rebate applications, authorizing rebate payments to eligible participants for a qualifying solar electric system ("System"), and the handling of solar renewable energy credits ("SRECs") associated with the new or expanded System.

#### **AVAILABILITY:**

Subject to the Retail Rate Impact limitations set forth in 4 CSR 240-20.100(5) and §393.1030 RSMo., all Missouri retail electric customers of the Company who install, own, operate and maintain a solar electric generation system in parallel with the Company's service in accordance with the following limitations and conditions are eligible for the solar rebate:

- 1. The customer must have a completed and approved Net Metering Application and Agreement on file with the Company in accordance with the Company's Net Metering Rider, Rider NM.
- 2. The customer must be an active account on the Company's system and in good payment standing.
- 3. The System must be permanently installed on the customer's premise.
- 4. The customer must declare the installed System will remain in place on the account holder's premise for the duration of its useful life which shall be deemed to be a minimum of ten (10) years.
- 5. The solar modules and inverters shall be new equipment and include a manufacturer's warranty of ten (10) years.
- No retail electric account will be eligible for a solar rebate for more than twenty-five kilowatts (25 kW) of new or expanded new capacity irrespective of the number of meters/service points associated with the account holder.
- 7. The System shall meet all requirements of 4 CSR 240-20.065 and the Company's Net Metering Rider, Rider NM.
- 8. The System must be situated in a location where a minimum of eighty-five percent (85%) of the solar resource is available to the System.
- 9. The customer must execute an affidavit for Company's use in complying with §393.1030 RSMo. The affidavit can be obtained from Company's website www.empiredistrict.com.
- 10. The system or expansion of an existing system must become operational after December 31, 2009, and must become operational on or before June 30, 2020.

| <br>DATE EFFECTIVE | May 16, 2015<br>June 4, 2015 |
|--------------------|------------------------------|
|                    |                              |

|                          |               |      | BATE RIDER<br>ER SR |                        |     |
|--------------------------|---------------|------|---------------------|------------------------|-----|
| For ALL TERRIT           | ORY           |      |                     |                        |     |
| Canceling P.S.C. Mo. No. | ·             | Sec. |                     | <br>Sheet No.          |     |
| P.S.C. Mo. No.           | 5             | Sec. | 4                   | <br>Original Sheet No. | 23b |
| THE EMPIRE DISTRICT      | ELECTRIC COMP | PANY |                     |                        |     |

#### SOLAR REBATE APPLICATION:

For the customer's convenience, the Solar Rebate Application is located in two (2) places: Section H. and Section I. of an "INTERCONNECTION APPLICATION/AGREEMENT FOR NET METERING SYSTEMS WITH CAPACITY OF ONE HUNDRED KILOWATTS (100 kW) OR LESS", an integral part of the Company's Net Metering Rider, Rider NM; and Section A. and Section B. of the "MISSOURI SOLAR ELECTRIC REBATE APPLICATION", an integral part of the Company's Solar Rebate Rider, Rider SR – both of which can be obtained from the Company's website www.empiredistrict.com.

#### SYSTEM:

Qualifying solar electric system.

#### REBATE RATE SCHEDULE:

Subject to the Availability provisions of this Rider SR, complete and accurate Solar Rebate Applications received by the Company or postmarked on or before December 31st of any year, and for which the System becomes operational on or before June 30th of the following year, will be eligible for a solar rebate according to the following schedule:

| Application Received         | Operation Status                  |                      |
|------------------------------|-----------------------------------|----------------------|
| on or before December        | Achieved on or before             |                      |
| 31 <sup>st</sup> of the Year | June 30 <sup>th</sup> of the Year | Rebate Rate per Watt |
| 2015                         | 2014                              | \$2.00               |
| 2015                         | 2015                              | \$1.50               |
| 2015                         | 2016                              | \$1.00               |
| 2016-2018                    | 2017-2019                         | \$0.50               |
| 2019                         | 2020                              | \$0.25               |

If a customer has satisfied all of the System Completion Requirements by June 30th of an indicated year, but the Company is not able to complete all of the Company's steps needed to establish an Operational Date on or before June 30th, the Rebate Rate will be determined as though the Operational Date was June 30th. If it is subsequently determined that the customer or the System did not satisfy all Completion Requirements required of the customer on or before June 30th of the indicated year, the Rebate Rate will be determined based on the Operational Date.

### **RESERVATION QUEUE:**

The Company will establish a Reservation Queue for solar rebate payments based on the System Qualification Dates. A customer, and their developer (if applicable), whose Net Metering Application and Solar Rebate Application are conditionally approved pending field commissioning safety test will be notified in writing, by letter or email, that either:

| <br>DATE EFFECTIVE | May 16, 2015<br>June 4, 2015 |
|--------------------|------------------------------|
|                    |                              |

| P.S.C. Mo. No5                            | Sec4                           | <br>Original Sheet No. | 23d |
|---|--------------------------------|------------------------|-----|
| Canceling P.S.C. Mo. No  ForALL TERRITORY | Sec                            | <br>Sheet No.          |     |
|   | SOLAR REBATE RIDER<br>RIDER SR |                        |     |

If a customer has satisfied all of the Completion Requirements but the Company is not able to complete all of the Company's steps needed to establish an Operational Date by the expiration of the Rebate Commitment, the Rebate Rate will be determined as though the Operational Date was achieved prior to the expiration. If it is subsequently determined that the customer or the System did not satisfy all Completion Requirements required of the customer on or before the expiration date, then the Rebate Commitment will expire and no payment will be made.

#### **REBATE PAYMENT:**

The amount of the rebate will be the combined direct current (DC) rating of the solar module(s) in watts as indicated by the applicant by clearly identifying the specific model number on the manufacturer's specification sheet(s) for the new System or the current expansion of an existing System multiplied by the rebate rate as determined by the Rebate Rate Schedule Provisions of this Rider SR.

A rebate payment will not be issued until:

THE EMPIRE DISTRICT ELECTRIC COMPANY

- A complete and accurate Net Metering Application has been executed by the customer and the Company, and
- 2. A complete and accurate Solar Rebate Application has been accepted by the Company and a Rebate Commitment made by the Company, and
- 3. Customer has satisfied all Completion Requirements, and
- 4. The System is operational.

A current Empire Net Metering customer does not need to complete a new Net Metering Application in order to take advantage of this Rider SR.

Rebate payments will be made within the time frames set forth by applicable law and MoPSC orders and rules.

NOTE: Confirmation by the Company that the System was installed and operational does not constitute any warranty or guaranty of fitness for a particular use. The Company expressly disclaims all warranties and conditions of merchantability and fitness for a particular purpose in connection with the customer's solar electric system. The customer is solely responsible for determining the appropriateness of using a qualifying solar electric system, including but not limited to the risk of system operational errors, damage to or loss of property, and unavailability or interruption of System operations. The Company will not be liable for any direct damages or for any special, incidental, or indirect damages or for any economic consequential damages. The Company will not be liable for any damages claimed based on a third party claim.

| DATE I | EFFECTIVE | May 16, 2015<br>June 4, 2015 |
|--------|-----------|------------------------------|
|        |           |                              |

| P.S.C. Mo. No.   | 5  | Sec   | 4   | Original Sheet No.                               |     |
|--|--|---|---|--|-----|
|  |  |   |   |  |     |
| Canceling P.S.C. Mo. No  | ***************************************  | Sec   |   | _ Sheet No.                                      |     |
| For ALL TERRITOR   | RY   |   |   |  | 1   |
|  |  | SOLAR REBAT   |   |  |     |
|  |  |   |   |  |     |
| MISS   | OURI SOLA  | R ELECTRIC  | REBATE APPLIC                                     | CATION   |     |
| A. Solar Rebate (For So  | olar Installation  | ns only)  |   |  |     |
| Solar Module Manufacturer:   |  |   | Inverter Rating:                                  |  | κW  |
| Solar Module Model No:   |  |   | Number of Modules/Par                             | nels:  |     |
| Module Rating:   |  | _DC Watts   | System rating (sum of s                           | olar panels:                                     | κW  |
| Module Warranty:y  | ears (circle on sp   | ec. sheet)  | Inverter Warranty:                                | _years (circle on spec. shee                     | et) |
| Location of modules:   | Roof   | Ground  |   |  |     |
| Installation type:   | Fixed  | Ballast   |   |  |     |
| System Installation Date:  |  |   | main .  |  |     |
| Customer E-mail Address:_  |  |   | _   |  |     |
| Customer Contact Phone No  | umber <u>:</u>   |   |   |  |     |
| Solar electric system m application.   | ust be perman  | ently installed   | on the applicant's pr                             | emises for a valid                               |     |
| <ul><li>Copies of det</li><li>Copies of pro</li><li>Photo(s) of co</li></ul> | tail receipts/invo<br>tail spec. sheets<br>oof of warranty s<br>ompleted systel<br>axpayer Informa | pices with purch<br>s on each comp<br>sheet (minimum<br>m | ase date circled<br>onent<br>of 10 year warranty) | a valid application): or Taxpayer Identification |     |
|  |  |   |   |  |     |
|  |  |   |   |  |     |
|  |  |   |   |  |     |
|  |  |   |   |  |     |
|  |  |   |   |  |     |
|  |  |   |   |  |     |
| MUST BE MAILED TO E  | MPIRE VIA U.S  | S. POSTAL SE  | RVICE, FEDEX OR UF                                | <b>PS</b>  |     |
|  |  |   |   | Mov. 16, 2015                                    | _   |
| DATE OF ISSUEMa  | y 5, 2015  |   | DATE EFFECTIVE                                    | May 16, 2015<br>June 4, 2015                     |     |

FILED
Missouri Public
Service Commission
ET-2015-0285; YE-2015-0322

| THE EMPIRE DISTRICT   | ELECTRIC COMP | PANY                          |                     |                                  |   |              |
|---|---------------|-------------------------------|---------------------|----------------------------------|---|--------------|
| P.S.C. Mo. No.  | 5             | Sec.                          | 4                   |                                  | Original Sheet No.                                  | 23h          |
|   |               |                               |                     |                                  |   |              |
| Canceling P.S.C. Mo. No.  | ·             | Sec.                          |                     |                                  | Sheet No.   |              |
| For ALL TERRIT  | ORY           |                               |                     |                                  |   |              |
|   |               |                               | BATE RIDER<br>ER SR |                                  |   |              |
| The undersigned warra<br>correct to the best of<br>Electric Rebate progra | my knowledge; | nd represents<br>and the inst | that the infornal   | mation provide<br>s all Missouri | d in this form is true and<br>Net Metering and Sola | d<br>r       |
| Print Name of Applican  | it            |                               | Print Insta         | aller's Name                     |   | _            |
| Applicant's Signature   |               |                               | Installer's         | Signature                        |   | <del>-</del> |
| If Applicant is a Busine<br>Person Signing on beh                         |               | thority of                    |                     |                                  |   |              |
| Date  |               |                               | Date                |                                  |   | -            |
|   |               |                               |                     |                                  |   |              |
|   |               |                               |                     |                                  |   |              |
|   |               |                               |                     |                                  |   |              |

MUST BE MAILED TO EMPIRE VIA U.S. POSTAL SERVICE, FEDEX OR UPS

May 16, 2015 June 4, 2015 DATE OF ISSUE May 5, 2015
ISSUED BY Kelly S. Walters, Vice President, Joplin, MO DATE EFFECTIVE \_

| THE EMPIRE DISTRICT ELECTR     | ATTACHMENT & SUPPLEMENT |   |  |                    |    |
|--------------------------------|-------------------------|---|--|--------------------|----|
| P.S.C. Mo. No5                 | Sec.                    | 4 |  | Original Sheet No. | 23 |
| Canceling P.S.C. Mo. No.       | Sec.                    |   |  | Sheet No.          |    |
| For ALL TERRITORY              |                         |   |  |                    |    |
| SOLAR REBATE RIDER<br>RIDER SR |                         |   |  |                    |    |

ATTACLIMENT O CLIDDLEMENT

#### PURPOSE:

The purpose of this Rider SR is to implement the solar rebate established through §393.1030 RSMo. and to establish the terms, conditions and procedures, consistent with applicable law and MoPSC orders and rules, which the Company will rely on in accepting rebate applications, authorizing rebate payments to eligible participants for a qualifying solar electric system ("System"), and the handling of solar renewable energy credits ("SRECs") associated with the new or expanded System.

#### **AVAILABILITY:**

Subject to the Retail Rate Impact limitations set forth in 4 CSR 240-20.100(5) and §393.1030 RSMo., all Missouri retail electric customers of the Company who install, own, operate and maintain a solar electric generation system in parallel with the Company's service in accordance with the following limitations and conditions are eligible for the solar rebate:

- The customer must have a completed and approved Net Metering Application and Agreement on file with the Company in accordance with the Company's Net Metering Rider, Rider NM.
- The customer must be an active account on the Company's system and in good payment 2. standing.
- The System must be permanently installed on the customer's premise. 3.
- The customer must declare the installed System will remain in place on the account holder's premise for the duration of its useful life which shall be deemed to be a minimum of ten (10) years.
- The solar modules and inverters shall be new equipment and include a manufacturer's warranty of ten (10) years.
- 6. No retail electric account will be eligible for a solar rebate for more than twenty-five kilowatts (25 kW) of new or expanded new capacity irrespective of the number of meters/service points associated with the account holder.
- The System shall meet all requirements of 4 CSR 240-20.065 and the Company's Net 7. Metering Rider, Rider NM.
- The System must be situated in a location where a minimum of eighty-five percent (85%) of the solar resource is available to the System.
- The customer must execute an affidavit for Company's use in complying with §393.1030 RSMo. The affidavit can be obtained from Company's website www.empiredistrict.com.
- 10. The system or expansion of an existing system must become operational after December 31, 2009, and must become operational on or before June 30, 2020.

May 16, 2015 June 4, 2015 DATE EFFECTIVE

| P.S.C. Mo. No5                            | Sec4 |  | Original Sheet No. | 23a |  |
|---|------|--|--------------------|-----|--|
| Canceling P.S.C. Mo. No  ForALL TERRITORY | Sec  |  | Sheet No.          |     |  |
| SOLAR REBATE RIDER RIDER SR               |      |  |                    |     |  |

#### **DEFINITIONS:**

#### APPLICATION REQUIREMENTS:

THE EMPIRE DISTRICT ELECTRIC COMPANY

All Net Metering Application and Solar Rebate Application information necessary to receive an approval from the Company. These applications are available on the Company's website www.empiredistrict.com and must be provided to the Company including, but not limited to, accurate account number, name and service address matching customer billing information, all of the Net Metering Application, all fields of Solar Rebate Application except the "System Installation Date," customer and developer signatures, System plans, specifications, warranties and wiring diagram.

#### COMPLETION REQUIREMENTS:

All System installation and final documentation requirements as defined on the Company's website www.empiredistrict.com provided to the Company including, but not limited to, the System installation date, all required signatures, approval of the local inspection authority having jurisdiction (if applicable), copies of detailed receipts and invoices, System photo(s), taxpayer information form (if applicable), and affidavit.

#### NET METERING APPLICATION:

Section A. through Section D. of an "INTERCONNECTION APPLICATION / AGREEMENT FOR NET METERING SYSTEMS WITH CAPACITY OF ONE HUNDRED KILOWATTS (100 kW) OR LESS", an integral part of the Company's Net Metering Rider, Rider NM, which can be obtained from the Company's website www.empiredistrict.com.

#### OPERATIONAL DATE:

The date that the Company installs a meter or meters capable of determining net energy consumption and permits parallel operation of the System with the Company's electrical distribution system in accordance with the Company's "Net Metering Rider, Rider NM" tariff.

#### **QUALIFICATION DATE:**

The date that determines a customer's relative position in the Reservation Queue.

#### REBATE COMMITMENT:

The Company's written communication to customer, by letter or email, confirming that solar rebate funding is available for a Solar Rebate Application submitted by customer.

#### **RESERVATION QUEUE:**

The list of all complete Net Metering Applications that have been received by the Company which have not expired and have not been paid a Solar Rebate.

May 16, 2015 June 4, 2015 DATE OF ISSUE May 5, 2015 DATE EFFECTIVE ISSUED BY Kelly S. Walters, Vice President, Joplin, MO

| P.S.C. Mo. No5          | Sec. <u>4</u>                 |   | Original Sheet No. | 23b |
|-------------------------|-------------------------------|---|--------------------|-----|
| Canceling P.S.C. Mo. No | Sec                           |   | Sheet No.          |     |
|                         | SOLAR REBATE RIDE<br>RIDER SR | R |                    |     |

#### SOLAR REBATE APPLICATION:

THE EMPIRE DISTRICT ELECTRIC COMPANY

For the customer's convenience, the Solar Rebate Application is located in two (2) places: Section H. and Section I. of an "INTERCONNECTION APPLICATION/AGREEMENT FOR NET METERING SYSTEMS WITH CAPACITY OF ONE HUNDRED KILOWATTS (100 kW) OR LESS", an integral part of the Company's Net Metering Rider, Rider NM; and Section A. and Section B. of the "MISSOURI SOLAR ELECTRIC REBATE APPLICATION", an integral part of the Company's Solar Rebate Rider, Rider SR - both of which can be obtained from the Company's website www.empiredistrict.com.

#### SYSTEM:

Qualifying solar electric system.

#### REBATE RATE SCHEDULE:

Subject to the Availability provisions of this Rider SR, complete and accurate Solar Rebate Applications received by the Company or postmarked on or before December 31st of any year, and for which the System becomes operational on or before June 30th of the following year, will be eligible for a solar rebate according to the following schedule:

| Application Received         | Operation Status                  |                      |
|------------------------------|-----------------------------------|----------------------|
| on or before December        | Achieved on or before             |                      |
| 31 <sup>st</sup> of the Year | June 30 <sup>th</sup> of the Year | Rebate Rate per Watt |
| 2015                         | 2014                              | \$2.00               |
| 2015                         | 2015                              | \$1.50               |
| 2015                         | 2016                              | \$1.00               |
| 2016-2018                    | 2017-2019                         | \$0.50               |
| 2019                         | 2020                              | \$0.25               |

If a customer has satisfied all of the System Completion Requirements by June 30th of an indicated year, but the Company is not able to complete all of the Company's steps needed to establish an Operational Date on or before June 30th, the Rebate Rate will be determined as though the Operational Date was June 30th. If it is subsequently determined that the customer or the System did not satisfy all Completion Requirements required of the customer on or before June 30th of the indicated year, the Rebate Rate will be determined based on the Operational Date.

#### RESERVATION QUEUE:

The Company will establish a Reservation Queue for solar rebate payments based on the System Qualification Dates. A customer, and their developer (if applicable), whose Net Metering Application and Solar Rebate Application are conditionally approved pending field commissioning safety test will be notified in writing, by letter or email, that either:

May 16, 2015 June 4, 201 DATE OF ISSUE May 5, 2015 DATE EFFECTIVE ISSUED BY Kelly S. Walters, Vice President, Joplin, MO

| P.S.C. Mo. No.                           | 5 | Sec.       | 4 | territoria de la compansión de la compan | Original Sheet No. | 23c |
|--|---|------------|---|--|--------------------|-----|
| Canceling P.S.C. Mo. No ForALL TERRITORY |   | Sec.       |   |  | Sheet No.          |     |
|  |   | SOLAR REBA |   |  |                    |     |

- 1. Solar rebate funds have been committed for their System, subject to the Qualification Date not changing and the commitment not expiring; or
- 2. Solar rebate funds cannot be guaranteed for their System. The Company will use the following notice in this event:

"Empire cannot guarantee solar rebate funds for your System. Empire has filed its sixty-day notice of reaching its annual retail rate impact limit pursuant to Section 393.1030, RSMo. You may still receive a solar rebate if: a) the Public Service Commission determines that Empire has not yet met its annual retail rate impact limit; b) additional rebates become available due to other qualified solar systems dropping out of the reservation queue; or c) additional rebates become available at the start of the next calendar year."

At least monthly, the Company will notify in writing, by letter or email, those customers and their developers that did not receive a Rebate Commitment but for which a Rebate Commitment is now being made as a result of other Systems that have dropped out of the Reservation Queue. Details concerning the Reservation Queue are posted on the Company website www.empiredistrict.com. Applications will be processed within the time frames set forth by applicable law and MoPSC orders and rules.

#### QUALIFICATION DATE AND REBATE COMMITMENT:

THE EMPIRE DISTRICT ELECTRIC COMPANY

The Qualification Date will be the postmarked date of the Net Metering Application and/or the Solar Rebate Application received by the Company that satisfy the Application Requirements and are subsequently approved by the Company.

The Company will only make a Rebate Commitment to a customer that has a Qualification Date and the customer, and their developer, will be notified in writing, by letter or email, of any deficiencies in the Application Requirements that will prevent a Rebate Commitment by the Company.

The Company's Rebate Commitment to a customer will expire if:

- The System has not attained an Operational Date within six (6) months of the Rebate Commitment date and the Company has not granted a six (6) month extension of the Rebate Commitment based upon the customer's submission of a report of substantial progress requesting the extension which includes proof of purchase of the major System components, demonstration of partial System construction and building permit (if required), or
- The System has not attained an Operational Date within twelve (12) months of the Rebate Commitment date, or
- The System is not constructed in accordance with the design submitted by the customer and approved by the Company, thereby causing the Net Metering Application to become invalid

| ATE OF ISSUE | May 5, 2015 | DATE EFFECTIVE | May 16, 2015<br>June 4, 2015 |
|--------------|-------------|----------------|------------------------------|
|              |             |                |                              |

| THE EMPIRE DISTRICT            | ELECTRIC COMP | ANY  |    |  |                    |   |
|--------------------------------|---------------|------|----|--|--------------------|---|
| P.S.C. Mo. No.                 | 5             | Sec. | 4  |  | Original Sheet No. | 23d                                     |
|                                |               |      |    |  | _                  |   |
| Canceling P.S.C. Mo. No        | •             | Sec. |    |  | Sheet No.          | *************************************** |
| For ALL TERRIT                 | ORY           |      |    |  |                    |   |
| SOLAR REBATE RIDER<br>RIDER SR |               |      |    |  |                    |   |
|                                |               | וטוח | LI |  |                    | 11                                      |

If a customer has satisfied all of the Completion Requirements but the Company is not able to complete all of the Company's steps needed to establish an Operational Date by the expiration of the Rebate Commitment, the Rebate Rate will be determined as though the Operational Date was achieved prior to the expiration. If it is subsequently determined that the customer or the System did not satisfy all Completion Requirements required of the customer on or before the expiration date, then the Rebate Commitment will expire and no payment will be made.

#### **REBATE PAYMENT:**

The amount of the rebate will be the combined direct current (DC) rating of the solar module(s) in watts as indicated by the applicant by clearly identifying the specific model number on the manufacturer's specification sheet(s) for the new System or the current expansion of an existing System multiplied by the rebate rate as determined by the Rebate Rate Schedule Provisions of this Rider SR.

A rebate payment will not be issued until:

- 1. A complete and accurate Net Metering Application has been executed by the customer and the Company, and
- 2. A complete and accurate Solar Rebate Application has been accepted by the Company and a Rebate Commitment made by the Company, and
- 3. Customer has satisfied all Completion Requirements, and
- 4. The System is operational.

A current Empire Net Metering customer does not need to complete a new Net Metering Application in order to take advantage of this Rider SR.

Rebate payments will be made within the time frames set forth by applicable law and MoPSC orders and rules.

NOTE: Confirmation by the Company that the System was installed and operational does not constitute any warranty or guaranty of fitness for a particular use. The Company expressly disclaims all warranties and conditions of merchantability and fitness for a particular purpose in connection with the customer's solar electric system. The customer is solely responsible for determining the appropriateness of using a qualifying solar electric system, including but not limited to the risk of system operational errors, damage to or loss of property, and unavailability or interruption of System operations. The Company will not be liable for any direct damages or for any special, incidental, or indirect damages or for any economic consequential damages. The Company will not be liable for any damages claimed based on a third party claim.

May 16, 2015

| THE EMPIRE DISTRI           | CT ELECTRIC COMP | ANY  |   |  |                    |     |
|-----------------------------|------------------|------|---|--|--------------------|-----|
| P.S.C. Mo. No.              | 5                | Sec. | 4 |  | Original Sheet No. | 23e |
|                             |                  |      |   |  |                    |     |
| Canceling P.S.C. Mo.        | No               | Sec. |   |  | Sheet No.          |     |
| For ALL TERF                | RITORY           |      |   |  |                    |     |
| SOLAR REBATE RIDER RIDER SR |                  |      |   |  |                    |     |

## SOLAR RENEWABLE ENERGY CREDITS (SREC'S):

Customer shall transfer to the Company all right, title and interest in and to the solar renewable energy credits ("SRECs") associated with the new or expanded System that qualified customer for the solar rebate for a period of ten (10) years from the date the Customer receives its solar

SRECs produced by the System for which a rebate is received cannot be sold or promised for sale to any other party by customer or used by customer for any environmental or "green" program for a period of ten (10) years from the date the Customer receives its solar rebate.

The number of SRECs produced annually will be determined by the Company using PVWatts software developed by the U.S. Department of Energy (DOE) with the result rounded to the tenths digit.

May 16, 2015 June 4, 2015

| THE EMPIRE DISTRIC  | FELECTRIC COMP  | PANY   |  |                   |  |
|---|---|--|--|-------------------|--|
| P.S.C. Mo. No.  | 5   | Sec.   | 4                                      |                   | Original Sheet No.                       |
| Canceling P.S.C. Mo. N                                    |   | Sec.   |  |                   | Sheet No.                                |
|   |   | SOLAR REBA<br>RIDER  |  |                   |  |
| MI  A. Solar Rebate (Fo                                   | SSOURI SOLA   |  | C REBATI                               | E APPLICAT        | <u>TION</u>                              |
| Solar Module Manufactu                                    |   |  | Inverter Ra                            | ting:             | kW                                       |
| Solar Module Model No:                                    |   |  | Number of                              | Modules/Panels:   |  |
| Module Rating:  |   | DC Watts   | System rati                            | ng (sum of solar  | panels:kW                                |
| Module Warranty:  | years (circle on s  | spec. sheet)   | Inverter Wa                            | rranty:ye         | ars (circle on spec. sheet)              |
| Location of modules:                                      | Roof  | Groun  | d                                      |                   |  |
| Installation type:  | Fixed   | Ballas   | t                                      |                   |  |
| System Installation Date                                  |   |  | ************                           |                   |  |
| Customer E-mail Addres                                    | ss:   |  |  |                   |  |
| Customer Contact Phon                                     | e Number <u>:</u>   |  |  |                   |  |
| Solar electric systen application.                        | າ must be perma   | nently installed   | d on the app                           | licant's premi    | ses for a valid                          |
| - Copies of<br>- Copies of<br>- Photo(s) of<br>- Complete | detail receipts/inv<br>detail spec. shee<br>proof of warranty<br>of completed syste | voices with purc<br>ts on each com<br>sheet (minimur<br>em | hase date ci<br>ponent<br>n of 10 year | cled<br>warranty) | lid application): axpayer Identification |

MUST BE MAILED TO EMPIRE VIA U.S. POSTAL SERVICE, FEDEX OR UPS

May 16, 2015 June 4, 2015 DATE OF ISSUE May 5, 2015
ISSUED BY Kelly S. Walters, Vice President, Joplin, MO DATE EFFECTIVE \_\_\_

| THE EMPIRE DISTRICT ELECTRIC   | COMPANY             |            |  |                    |     |  |
|--|---------------------|------------|--|--------------------|-----|--|
| THE EMPIRE DISTRICT ELECTRIC   | COMPANY             |            |  |                    |     |  |
| P.S.C. Mo. No <u>5</u>   | _ Sec.              | 4          |  | Original Sheet No. | 23g |  |
|  |                     |            |  |                    |     |  |
| Canceling P.S.C. Mo. No.   | _ Sec.              |            |  | Sheet No.          |     |  |
| For ALL TERRITORY  | <del></del>         |            |  |                    |     |  |
|  | SOLAR RE            | BATE RIDER |  |                    |     |  |
|  | RID                 | ER SR      |  |                    |     |  |
| B. Solar Rebate Declaration (Fo  | or Solar Installati | ons only)  |  |                    |     |  |
| I understand that the complete terms and conditions of the solar rebate program are included in the Company's Rider SR – Solar Rebate tariff.  |                     |            |  |                    |     |  |
| I understand that this program has limited budget, and that application will be accepted on a first-come, first-served basis, while funds are available. It is possible that I may be notified that I have been placed on a waiting list for the next year's rebate program if funds run out for the current year. This program may be modified or discontinued at any time without notice from the Company. |                     |            |  |                    |     |  |
| I understand that the solar electric system must be permanently installed and remain in place on premises for the duration of its useful life – a minimum often (10) years, and the system shall be situated in a location where a minimum of eighty-five percent (85%) of the solar resource is available to the system.  |                     |            |  |                    |     |  |

I understand the equipment must be new when installed, commercially available, and carry a minimum ten (10) year warranty.

I understand a rebate may be available from the Company on expanded or new solar electric systems that become operational after 12/31/2009. The applicable rebate rate and additional details and requirements can be found in the Company's Rider SR - Solar Rebate.

I understand the DC wattage rating provided by the original manufacturer and as noted in Section H will be used to determine the rebate amount.

I understand if my rebate is six hundred dollars (\$600) or more I will receive a Form 1099. (Please consult your tax advisor with any questions.)

I understand that as a condition of receiving a solar rebate, I am transferring to Company all right, title and interest in and to the solar renewable energy credits (SRECs) associated with the new or expanded System that qualified for the solar rebate and that the SRECs cannot be sold or promised for sale to any other party or used by customer for any environmental or "green" program for a period of ten (10) years from the date the I receive the solar rebate.

(NOTE: Confirmation by the Company that the System was installed and operational does not constitute any warranty or guaranty of fitness for a particular use. The Empire District Electric Company expressly disclaims any and all warranties or conditions of merchantability and fitness for a particular purpose in connection with the customer's solar electric system. The customer is solely responsible for determining the appropriateness of using a qualifying solar electric system, including but not limited to the risk of system operational errors, damage to or loss of property. and unavailability or interruption of System operations. The Empire District Electric Company will not be liable for any direct damages or for any special, incidental, or indirect damages or for any economic consequential damages. The Empire District Electric Company will not be liable for any damages claimed based on a third party claim.)

MUST BE MAILED TO EMPIRE VIA U.S. POSTAL SERVICE. FEDEX OR UPS

| DATE OF ISSUE        | May 5, 2015                        | DATE EFFECTIVE | May 16, 2015<br>June 4, 2015 |        |
|----------------------|------------------------------------|----------------|------------------------------|--------|
| ISSUED BY Kelly S. W | alters, Vice President, Joplin, MO |                |                              | FII FD |

| THE EMPIRE DISTRICT ELECTRIC COMPANY   |   |  |  |  |  |  |
|--|---|--|--|--|--|--|
| P.S.C. Mo. No5 Sec.  | 4 Original Sheet No23h  |  |  |  |  |  |
| Canceling P.S.C. Mo. No Sec.   | Sheet No.   |  |  |  |  |  |
| For ALL TERRITORY  |   |  |  |  |  |  |
| SOLAR REBATE RIDER<br>RIDER SR   |   |  |  |  |  |  |
| The undersigned warrants, certifies, and represent correct to the best of my knowledge; and the inselectric Rebate program requirements. | s that the information provided in this form is true and stallation meets all Missouri Net Metering and Solar |  |  |  |  |  |
| Print Name of Applicant  | Print Installer's Name  |  |  |  |  |  |
| Applicant's Signature  | Installer's Signature   |  |  |  |  |  |
| If Applicant is a Business, Print Title/Authority of Person Signing on behalf of Applicant   |   |  |  |  |  |  |
| Date   | Date  |  |  |  |  |  |
|  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
| MUST BE MAILED TO EMPIRE VIA U.S. POSTAL SERVICE, FEDEX OR UPS   |   |  |  |  |  |  |
| MOO! DE MAILLE TO LIM INC VIA 0.0. POOTAL  |   |  |  |  |  |  |
| DATE OF ISSUE May 5, 2015 ISSUED BY Kelly S. Walters, Vice President, Joplin, MO   | DATE EFFECTIVE May 16, 2015 June 4, 2015 EILED  |  |  |  |  |  |

FILED Missouri Public Service Commission ET-2015-0285; YE-2015-0322 **EMPIRE** 

2015 RES REPORT

# ATTACHMENT 9

## AFFIDAVIT OF COMPLIANCE

☐ HIGHLY CONFIDENTIAL

NON-PROPRIETARY

# ATTACHMENT 9: AFFIDAVIT OF COMPLIANCE WITH 2015 COMPLIANCE PLAN

| STATE OF MISSOURI | )    |
|-------------------|------|
|                   | ) ss |
| COUNTY OF JASPER  | )    |

On April 15, 2015, The Empire District Electric Company filed a Compliance Plan outlining our plan to comply with the Renewable Energy Standard for the years of 2015-2017.

In accordance with that document, EDE stated that the company would utilize the non-solar generation from contracts with Elk River Windfarm, Cloud County Windfarm, and generation from the Ozark Beach Hydroelectric facility owned by EDE. EDE retired 198,922 vintage 2014 and 2015 RECs from the Ozark Beach facility and the Elk River Windfarm order to meet the 2015 non-solar compliance requirements.

EDE stated the solar requirement would be met through customer-generated and/or purchased SRECs. EDE retired 4,060 SRECs from these sources

The 2016 RES Compliance Plan states that EDE will continue to utilize non-solar RECs generated by the Ozark Beach facility and the Elk River or Cloud County Windfarm if needed. EDE will utilize solar SRECs from customer-generated or purchase SRECs as needed for compliance.

The total costs for meeting the 2015 annual Missouri RES are as follows:

| Resource                          | Cost        | Resource                          | Cost        |
|-----------------------------------|-------------|-----------------------------------|-------------|
|                                   |             | Solar Purchased/Retired for 2015  | \$7,027     |
| Ozark Beach Generation            | -0-         |                                   |             |
| NAR Administration                | \$46,206    | Solar Customer Generation Rebates | \$3,098,478 |
| Elk River PPA, Compliance Portion | \$2,681,414 |                                   |             |

On the \_\_\_\_\_\_ day of April, 2016, before me appeared Blake A. Mertens, to me personally known, who, being by me first duly sworn, states that he is Vice President -Energy Supply of The Empire District Electric Company and acknowledges that he has read the above and foregoing document and believes that the statements therein are true and correct to the best of his information, knowledge and belief.

SHERRI J. BLALOCK Notary Public - Notary Seal State of Missouri, Newton County Commission # 14969626 My Commission Expires Nov 16, 2018

Blake A. Mertens

**EMPIRE** 

2015 RES REPORT

# ATTACHMENT 10 RETAIL RATE IMPACT CALCULATION

☐ HIGHLY CONFIDENTIAL

NON-PROPRIETARY

#### 2016 RES Filing

Empire requested that ABB perform modeling for their Electric Utility Renewable Energy Standard (RES) Requirements. The modeling included calculating the retail rate impact per section 5 of Missouri 4 CSR 240-20.100 (the "Rule").

# **Assumptions:**

Rule 5 (B) 1 states that the non-renewable generation and purchase power portfolio shall be determined by adding, to the utility's existing generation and purchased power resource portfolio excluding all renewable resources, additional non-renewable resources sufficient to meet the utility's needs on a least-cost basis for the next ten (10) years. To achieve this portfolio, ABB removed the following renewables from the 2016 IRP resources; 1) Elk River and Meridian Way Wind – 36 MWs of Accredited Capacity and Ozark Beach Hydro – 16 MWs of Accredited Capacity. In addition, the impact of the residential and commercial solar additions that were removed from the load forecast by Itron were added back. To account for the loss of capacity, the 2029 Combined Cycle was brought on in 2026 in order to maintain a minimum capacity margin of 12%.

Rule 5 (B) 2 states that the RES-compliant portfolio shall be determined by adding to the utility's existing generation and purchased power resource portfolio an amount of least cost renewable resources sufficient to achieve the portfolio requirements set forth in section (2) of this rule and an amount of least-cost non-renewable resources, the combination of which is sufficient to meet the utility's needs for the next ten (10) years. ABB is using the preferred plan (Plan 5) from Empire's latest IRP filing. Plan 5 included renewable resources that meet the RES requirements set forth in section (2). As required by rule 5 (C), rebates made during each calendar year were also included in the cost of generation from renewable energy resouces.

Rule 5 (B) 4 states that the assumptions regarding projected renewable energy resource additions will utilize the most recent electric utility resource planning analysis. Weighting of the greenhouse gas emissions, gas prices and market prices were applied to the 2016 IRP assumptions. Table 1 includes the expected value of costs associated with greenhouse gas emissions used in this analysis. Weighted market prices were developed in ABB's 2015 Spring Reference Case database with modifications that included: 1) Weighted Henry Hub gas prices shown in Figure 1 and a weighted carbon tax. Figure 2 includes market prices for the SPP-KSMO market area with the impact of a carbon tax begining in 2022.

Figure 1 HH Gas Prices



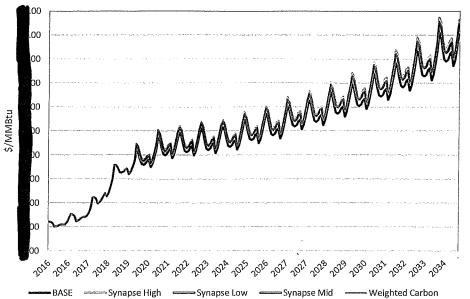
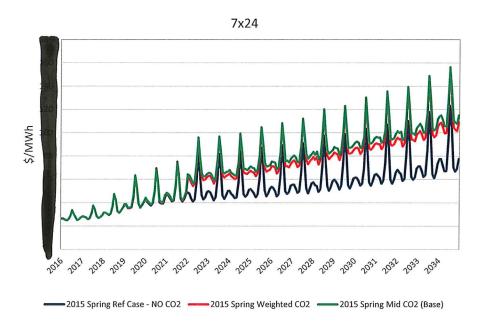


Table 1 Expected Value Carbon Tax

|      | 10%           | 25%         | 50%                   | 15%          |          |
|------|---------------|-------------|-----------------------|--------------|----------|
| Year | No Carbon Cap | Synapse Low | Mid (based on EPA BB) | Synapse High | Expected |
|      |               |             | Avg of Low & High     |              | Value    |
| 2022 | -             |             |                       | -            |          |
| 2023 | -             |             |                       |              |          |
| 2024 | -             |             |                       |              |          |
| 2025 | -             |             |                       |              |          |
| 2026 | -             |             |                       |              |          |
| 2027 | -             |             |                       |              |          |
| 2028 | -             |             |                       |              |          |
| 2029 | -             |             |                       | •            |          |
| 2030 | _             |             |                       |              |          |
| 2031 | -             |             |                       |              |          |
| 2032 | -             |             |                       |              |          |
| 2033 | -             |             |                       |              |          |
| 2034 | -             |             |                       |              |          |

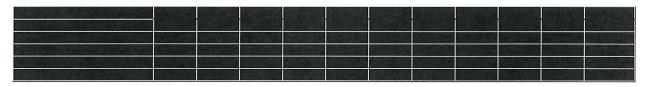
Figure 2 SPP-KSMO 7 x 24 Weighted Market Prices (Nominal \$/MWh)



# Results:

The following table shows the 1% calculation for the 10 year average over the succeeding 10-year period required by Rule 5 (B). The following values are based on total company not Missouri jurisdictional.

Table 2 Annual Revenue Requirements



The following tables summarize the Retail Rate Impact calculation required by Rule 5 (G).

Table 3 2016-2025 RRI Calculation Period

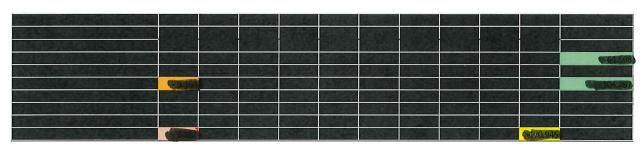


Table 4 2017-2026 RRI Calculation Period

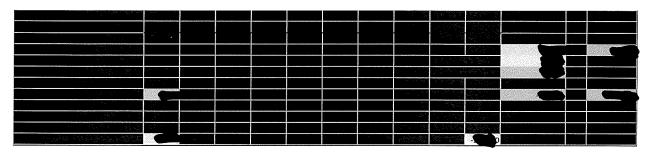


Table 5 2018-2027RRI Calculation Period

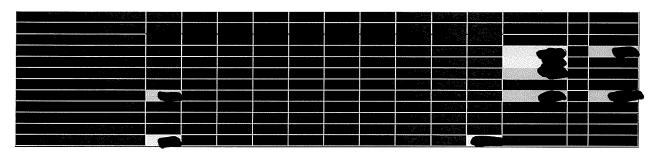


Table 6 2019-2028 RRI Calculation Period

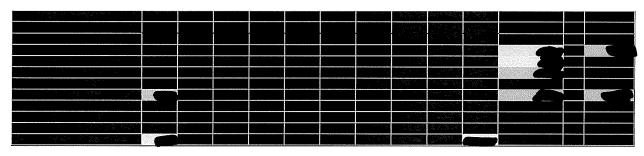


Table 7 2020-2029 RRI Calculation Period

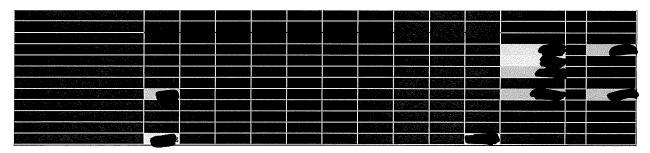


Table 8 2021-2030 RRI Calculation Period

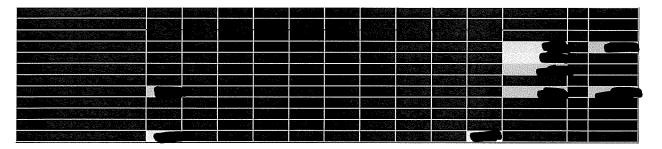


Table 9 2022-2031 RRI Calculation Period

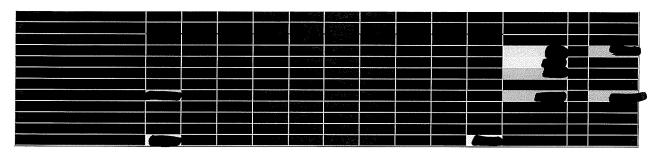


Table 10 2023-2032 RRI Calculation Period

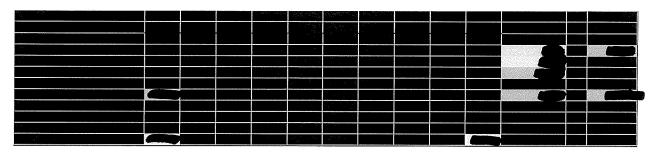


Table 11 2024-2033 RRI Calculation Period

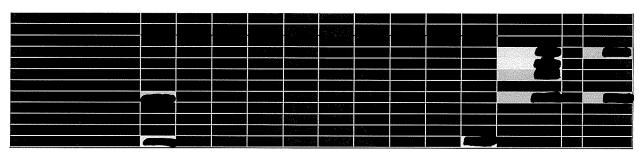


Table 12 2025-2034 RRI Calculation Period

