

**John R. Ashcroft**

Secretary of State  
Administrative Rules Division

**RULE TRANSMITTAL**

Administrative Rules Stamp

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APR 12 2018

SECRETARY OF STATE  
ADMINISTRATIVE RULES

Rule Number 4 CSR 240-31.015

**COPY**

Use a "SEPARATE" rule transmittal sheet for EACH individual rulemaking.

Name of person to call with questions about this rule:

Content Morris Woodruff Phone 573-751-2849 FAX 573-526-6010

Email address morris.woodruff@psc.mo.gov

Data Entry Chris Koenigsfeld Phone 573-751-4256 FAX 573-526-6010

Email address Christine.koenigsfeld@psc.mo.gov

Interagency mailing address Public Service Commission, 9<sup>th</sup> Fl., Gov. Ofc. Bldg., JC, MO

**TYPE OF RULEMAKING ACTION TO BE TAKEN**

☐ Emergency rulemaking, include effective date

☒ Proposed Rulemaking

☐ Withdrawal ☐ Rule Action Notice ☐ In Addition ☐ Rule Under Consideration

☐ Request for Non-Substantive Change

☐ Statement of Actual Cost Order of Rulemaking

Effective Date for the Order \_\_\_\_\_

☐ Statutory 30 days OR Specific date \_\_\_\_\_

Does the Order of Rulemaking contain changes to the rule text? ☐ NO

☐ YES—LIST THE SECTIONS WITH CHANGES, including any deleted rule text:

Small Business Regulatory  
Fairness Board (DED) Stamp

SMALL BUSINESS  
REGULATORY FAIRNESS BOARD

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JOINT COMMITTEE ON

APR 12 2018

ADMINISTRATIVE RULES



Commissioners  
DANIEL Y. HALL  
Chairman  
WILLIAM P. KENNEY  
SCOTT T. RUPP  
MAIDA J. COLEMAN  
RYAN A. SILVEY

## *Missouri Public Service Commission*

POST OFFICE BOX 360  
JEFFERSON CITY, MISSOURI 65102  
573-751-3234  
573-751-1847 (Fax Number)  
<http://psc.mo.gov>

SHELLEY BRUEGGEMANN  
General Counsel  
MORRIS WOODRUFF  
Secretary  
LOYD WILSON  
Director of Administration  
NATELLE DIETRICH  
Staff Director

April 12, 2018

John Ashcroft  
Secretary of State  
Administrative Rules Division  
600 West Main Street  
Jefferson City, Missouri 65101

Re: 4 CSR 240-31.015 ETC Requirements

Dear Secretary Ashcroft,

### CERTIFICATION OF ADMINISTRATIVE RULE

I do hereby certify that the attached is an accurate and complete copy of the proposed rule lawfully submitted by the Missouri Public Service Commission.

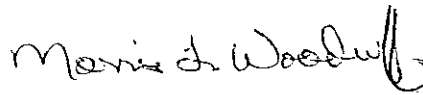
The Public Service Commission has determined and hereby certifies that this proposed rule will not have an economic impact on small businesses. The Public Service Commission further certifies that it has conducted an analysis of whether there has been a taking of real property pursuant to section 536.017, RSMo, that the proposed rule does not constitute a taking of real property under relevant state and federal law, and that the proposed rule conforms to the requirements of 1.310, RSMo, regarding user fees.

The Public Service Commission has determined and hereby also certifies that this proposed rule complies with the small business requirements of 1.310, RSMo, in that it does not have an adverse impact on small businesses consisting of fewer than fifty full or part-time employees or it is necessary to protect the life, health, or safety of the public, or that this rulemaking complies with 1.310, RSMo, by exempting any small business consisting of fewer than fifty full or part-time employees from its coverage, by implementing a federal mandate, or by implementing a federal program administered by the state or an act of the general assembly.

Statutory Authority: section 392.200.2, RSMo Supp. 2013, and sections 392.248 and 392.470.1, RSMo 2000.

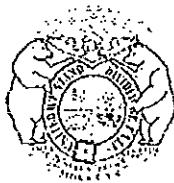
If there are any questions regarding the content of this proposed rule, please contact:

Morris Woodruff, Chief Regulatory Law Judge  
Missouri Public Service Commission  
200 Madison Street  
P.O. Box 360  
Jefferson City, MO 65102  
(573) 751-2849  
[Morris.Woodruff@psc.mo.gov](mailto:Morris.Woodruff@psc.mo.gov)

A handwritten signature in black ink, appearing to read "Morris L. Woodruff", with a stylized flourish at the end.

Morris L. Woodruff  
Chief Regulatory Law Judge

Enclosures



GOVERNOR OF MISSOURI  
JEFFERSON CITY  
65102

ERIC R. GREITENS  
GOVERNOR

P.O. Box 720  
(573) 751-3222

February 7, 2018

Daniel Hall  
Public Service Commission  
200 Madison Street  
P.O. Box 360  
Jefferson City, Missouri 65102

Dear Chairman Hall:

This office has received your rulemaking relating to organization, powers, and meetings of the board (rescission), 4 CSR 240-31.020; the MoUSFA (rescission), 4 CSR 240-31.030; eligibility for funding – high cost areas (rescission), 4 CSR 240-31.040; the MoUSF assessment (rescission), 4 CSR 240-31.060; disbursement of MoUSF funds (rescission), 4 CSR 240-31.090; review procedures for support payments (rescission), 4 CSR 240-31.100; review of board and MoUSFA activities (rescission), 4 CSR 240-31.110; lifeline program and disabled program (rescission), 4 CSR 240-31.120; eligible telecommunications carrier requirements (rescission), 4 CSR 240-31.130; definitions (proposed), 4 CSR 240-31.010; Missouri USF administration (proposed), 4 CSR 240-31.011; Missouri USF assessment (proposed), 4 CSR 240-31.012; Missouri USF high cost support (proposed), 4 CSR 240-31.013; lifeline and disabled programs (proposed), 4 CSR 240-31.014; ETC requirements (proposed), 4 CSR 240-31.015; and ETC application requirements (proposed), 4 CSR 240-31.016.

Executive Order 17-03 requires this office's approval before state agencies release proposed regulations for notice and comment, amend existing regulations, rescind regulations, or adopt new regulations. After our review, we approve the submission of these rule rescissions and proposed rules to JCAR and the Secretary of State.

Sincerely,

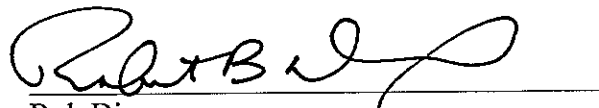
A handwritten signature in black ink, appearing to read "Justin D. Smith".

Justin D. Smith  
Deputy Counsel

**AFFIDAVIT  
PUBLIC COST**

STATE OF MISSOURI )  
                                  )  
COUNTY OF COLE     )

I, Rob Dixon, Director of the Department of Economic Development, first being duly sworn, on my oath, state that it is my opinion that the cost of proposed rule 4 CSR 240-31.015, is less than five hundred dollars in the aggregate to this agency, any other agency of state government or any political subdivision thereof.

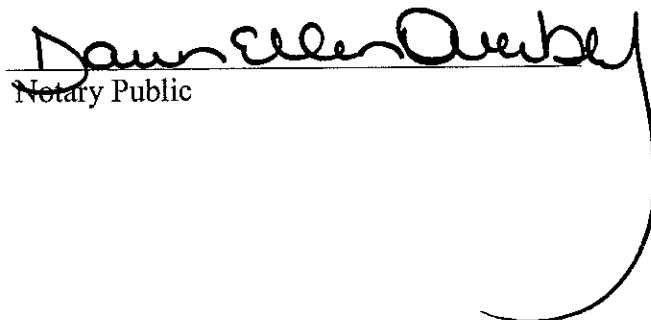


Rob Dixon  
Director  
Department of Economic Development

Subscribed and sworn to before me this 14<sup>th</sup> day of March, 2018 I am  
commissioned as a notary public within the County of Moniteau State of  
Missouri, and my commission expires on Dec. 13, 2019



DAWN ELLEN OVERBEY  
My Commission Expires  
December 13, 2019  
Moniteau County  
Commission #15456865

  
Notary Public

**Title 4—DEPARTMENT OF  
ECONOMIC DEVELOPMENT  
Division 240—Public Service Commission  
Chapter 31—Universal Service**

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**PROPOSED RULE**

**4 CSR 240-31.015 ETC Requirements.**

**COPY**

*PURPOSE: This proposed rule reflects Missouri-specific requirements applicable to ETCs.*

(1) Any ETC receiving ETC designation from the commission will—

- (A) Solely conduct business using the name or “DBA” under which the commission granted ETC designation. Use of other or additional names such as brand or service names is prohibited.
- (B) Maintain a current list of company-designated contacts within EFIS.
- (C) Provide a copy, to the manager of the commission’s Telecommunications Department, of any finding by a state or federal authority that the company has violated universal service fund program requirements.
- (D) An ETC will not self-certify to the federal USF administrator for receipt of federal USF unless the FCC has preempted such state commission authority.
- (E) An ETC will cooperate and comply with periodic audits and/or requests for information by the commission staff to monitor compliance with this chapter.

(2) Notice requirement for ETC name changes. At least ten (10) days prior to the use of a new name, an ETC should file a written notice in EFIS that includes—

- (A) A statement clearly setting out both the old name and the new name;
- (B) Evidence of registration of the new name with the Missouri Secretary of State;
- (C) A statement that the company will continue to comply with all applicable laws and rules relating to ETC designation;
- (D) A statement that the company’s contacts in EFIS have been reviewed and are correct; and
- (E) A copy of the notice informing customers of the name change.

(3) Annual filing requirement. In concurrence with the Form 481 deadline, an ETC shall annually submit into EFIS—

- (A) A copy of the company’s Form 481 report;
- (B) A copy of the company’s Lifeline application enrollment form;
- (C) Certification from an officer of the company attesting under penalty of perjury to the following information:
  - 1. The company has policies and procedures in place to ensure Lifeline subscribers are eligible to receive Lifeline service.
  - 2. The company is in compliance with all federal Lifeline certification procedures.
  - 3. The company complies with the minimum service levels set forth in FCC rule §54.408.

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4. The company's Missouri operations solely use the name of the company as recognized by the commission for ETC designation in all marketing and USF-related materials; and, (D) The website address containing information about the company's Lifeline service or alternatively state the company does not maintain such a website.

(E) If the ETC has received or will receive high cost support then the company's officer certification should include the following additional attestations and information—

1. All federal high-cost support provided to the company within Missouri was used in the preceding calendar year and will be used in the coming calendar year only for the provision, maintenance and upgrading of facilities and services for which the support is intended.
2. The applicable study area code(s) of the company's high-cost service area.
3. For wireless ETCs, the company complies with the latest edition of Cellular Telecommunications and Internet Association's Consumer Code for Wireless Service.

(4) The relinquishment of ETC status is accomplished by providing a letter signed by an authorized company official or representative at least sixty (60) days prior to relinquishing ETC status demonstrating compliance with 47 U.S.C. §214(e)(4).

*AUTHORITY: section 392.200.2, RSMo Supp. 2013, and sections 392.248 and 392.470.1, RSMo 2000 (Original authority: 392.200, RSMo 1939, amended 1987, 1988, 1996; 392.248, RSMo 1996; and 392.470.1, RSMo 1987) Original rule filed Aug. 7, 2013, effective April 30, 2014.*

*PUBLIC COST: This proposed rule will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.*

*PRIVATE COST: This proposed rule will not cost private entities more than five hundred dollars (\$500) in the aggregate.*

*NOTICE OF PUBLIC HEARING AND NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to the proposed rule with the Missouri Public Service Commission, 200 Madison Street. P.O. Box 360, Jefferson City MO 65102-0360. To be considered, comments must be received at the commission's offices on or before July 2, 2018, and should include a reference to Commission Case No. TX-2018-0120. Comments may also be submitted via a filing using the commission's electronic filing and information system at <http://www.psc.mo.gov/efis.asp>. A public hearing regarding this proposed rule is scheduled for July 9, 2018, at 10:00 a.m., in Room 310 of the Governor Office Building, 200 Madison St., Jefferson City, Missouri. Interested persons may appear at this hearing to submit additional comments and/or testimony in support of or in opposition to this proposed rule, and may be asked to respond to commission questions. Any persons with special needs as addressed by the Americans with Disabilities Act should contact the Missouri Public Service Commission at least ten (10) days prior to the hearing at one (1) of the following numbers: Consumer Services Hotline 1-800-392-4211 or TDD Hotline 1-800-829-7541.*

# **Small Business Regulator Fairness Board Small Business Impact Statement**

**Date:** November 17, 2017

**Rule Number:** 4 CSR 240-31.015

**Name of Agency Preparing Statement:** Missouri Public Service Commission

**Name of Person Preparing Statement:** Kari Salsman

**Phone Number:** 573-526-5631

**Email:** kari.salsman@psc.mo.gov

**Name of Person Approving Statement:** Natelle Dietrich

**Please describe the methods your agency considered or used to reduce the impact on small businesses** *(examples: consolidation, simplification, differing compliance, differing reporting requirements, less stringent deadlines, performance rather than design standards, exemption, or any other mitigating technique).*

Proposed rule simplifies and streamlines rules, which will reduce any impact on small businesses.

**Please explain how your agency has involved small businesses in the development of the proposed rule.**

Feedback was solicited in Case No. TW-2017-0078 and a workshop.

**Please list the probable monetary costs and benefits to your agency and any other agencies affected. Please include the estimated total amount your agency expects to collect from additionally imposed fees and how the moneys will be used.**

None

**Please describe small businesses that will be required to comply with the proposed rule and how they may be adversely affected.**

The proposed rule applies to companies designated as an eligible telecommunications carrier. No company will be adversely affected by the proposed rule.



**Please list direct and indirect costs (in dollars amounts) associated with compliance.**

N/A

**Please list types of business that will be directly affected by, bear the cost of, or directly benefit from the proposed rule.**

Companies designated as an eligible telecommunications carrier.

**Does the proposed rule include provisions that are more stringent than those mandated by comparable or related federal, state, or county standards?**

Yes\_\_\_ No\_X\_\_

**If yes, please explain the reason for imposing a more stringent standard.**

*For further guidance in the completion of this statement, please see §536.300, RSMo.*