BEFORE THE PUBLIC SERVICE COMMISSION OF THE STATE OF MISSOURI

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In the Matter of the Application of Liberty Utilities (Missouri Water) LLC to Acquire the Water and Sewer Franchises and Assets of Lakeland Heights Water Company, Oakbrier Water Company, R.D. Sewer Company LLC, and Whispering Hills Water System

Case No.

NOTICE OF INTENDED CASE FILING

COMES NOW Liberty Utilities (Missouri Water) LLC ("Liberty Water"), and, pursuant to Commission Rule 20 CSR 4240-4.017, submits this Notice of Intended Case Filing with regard to its intended application for approval of the transfer of water and sewer assets, including all water and sewer service franchises and Certificates of Convenience and Necessity, from Lakeland Heights Water Company, Oakbrier Water Company, R.D. Sewer Company LLC, and Rodger and La Dawn Owens d/b/a Whispering Hills Water System (the "Selling Utilities") to Liberty Water. In this regard, Liberty Water respectfully states as follows to the Missouri Public Service Commission (the "Commission"):

1. Liberty Water is a Missouri limited liability company with its principal office located at 602 Joplin Street, Joplin, Missouri, 64801, and provides water and sewer services to customers in its Missouri service areas, as certificated by the Commission. Liberty Water is a "water corporation," a "sewer corporation," and a "public utility," as those terms are defined by RSMo. §386.020 and, therefore, is subject to the general regulatory jurisdiction of the Commission as provided by law. Liberty Water currently serves approximately 3,000 water and/or sewer customers in Missouri.

2. Liberty Water intends to file an application for approval of the transfer of water and sewer assets, including all water and sewer service franchises and Certificates of Convenience

and Necessity, from the Selling Utilities to Liberty Water. The Selling Utilities are all currently regulated by the Commission and provide water and/or sewer service to customers in the Missouri counties of Wayne, Butler, and/or Stoddard.

3. RSMo. §393.190 and Commission Rules 20 CSR 4240-2.060 and 20 CSR 4240-10.105 govern applications for the transfer of utility assets. Issues the Commission will be asked to consider and decide in the intended case are those that normally arise in applications filed under the referenced statute and rules.

4. With regard to Rule 4.017(1) and the requirement that a 60-day filing notice include "a summary of all communication regarding substantive issues likely to be in the case between the filing party and the office of the commission that occurred in the ninety (90) days prior to filing the notice," the Company verifies that there have been no ex parte communications regarding substantive issues likely to be in the intended case between Liberty Water and the office of the Commission within the 90 days prior to the filing of this Notice.

5. Although Commission Rule 4.017(1) requires notice of an intended case filing be provided a minimum of sixty days prior to such filing, subsection (D) of the rule allows for waiver of that requirement for good cause shown. Liberty Water will likely seek such waiver in a separate filing, so that the intended application may be filed less than sixty days from the filing of this Notice of Intended Case Filing.

WHEREFORE, Liberty Water submits to the Commission this Notice of Intended Case Filing. The Company requests such relief as is just and proper under the circumstances.

Respectfully submitted,

<u>/s/ Diana C. Carter</u> Diana C. Carter MBE #50527 Liberty Utilities (Missouri Water) LLC 428 E. Capitol Ave., Suite 303 Jefferson City, Missouri 65101 Joplin Office Phone: (417) 626-5976 Cell Phone: (573) 289-1961 E-Mail: Diana.Carter@LibertyUtilities.com

CERTIFICATE OF SERVICE

I hereby certify that the above document was filed in EFIS on this 18th day of December, 2019, and sent by electronic transmission to the Staff of the Commission and the Office of the Public Counsel.

/s/ Diana C. Carter