## STATE OF MISSOURI PUBLIC SERVICE COMMISSION

TRANSCRIPT OF PROCEEDINGS

Pre-Hearing Conference
April 7, 2016

Jefferson City, Missouri
Volume 1

In the Matter of:
The Joint Application of
Missouri-American Water
Company and Woodland Manor
Water Company, LLC for MAWC
to Acquire Certain Water
Assets of Woodland Manor and,
in Connection therewith,
Certain Other Related
Transactions.

RON PRIDGIN, Presiding CHIEF REGULATORY LAW JUDGE

REPORTED BY: Shelley L. Mayer, CCR TIGER COURT REPORTING, LLC

1	APPEARANCES:
2	MS. WHITNEY PAYNE Public Service Commission
3	200 Madi son Street P. O. Box 360
4	Jefferson City, Missouri 65102-0360
5	573.751.6514 For: Staff of the Missouri Public Service Commission
6	MR. TIMOTHY OPITZ
7	Department of Economic Development Office of the Public Counsel
8	200 Madi son Street P. O. Box 2230
9	Jefferson City, Missouri 65102 573.751.5324
10	For: Office of the Public Counsel
11	MR. DEAN L. COOPER Brydon, Swearengen & England
12	P. Ö. Box 456 Jefferson City, Missouri 65102
13	573.635.7166 For: Missouri-American Water Company
14	MR. TIMOTHY W. LUFT
15	Missouri-American Water Company 727 Craig Road
16	St. Louis, Missouri 63141 314.996.2279
17	For: Missouri-American Water Company
18	
19	
20	
21	
22	
23	
24	
25	

## **PROCFEDINGS**

JUDGE PRIDGIN: Good morning. We are on the record. This is the prehearing conference in File No. WM-2016-0169 and this is in the matter of the joint application of Missouri-American Water Company and Woodland Manor Water Company, LLC for MAWC to acquire certain water assets of Woodland Manor, and in connection therewith, certain other related transactions.

I am Ron Pridgin; I am the regulatory law judge assigned to preside over this prehearing conference that's being held on April 7th, 2016, at the Governor Office Building and the time is approximately 10:00 in the morning.

Can I get oral entries of appearance from counsel, please, beginning with the Staff of the Commission.

MS. PAYNE: Whitney Payne for Staff Counsel's office.

JUDGE PRIDGIN: Ms. Payne, thank you.

On behalf of the Office of Public Counsel please?

MR. OPITZ: On behalf of the Office of Public Counsel, Tim Opitz, P.O. Box 2230, Jefferson City, Missouri 65102.

1 JUDGE PRIDGIN: Mr. Opitz, thank you. On behalf of Missouri-American Water 2 3 Company, please? 4 MR. COOPER: Dean Cooper on behalf of 5 Mi ssouri - Ameri can. 6 MR. LUFT: Tim Luft on behalf of 7 Mi ssouri -Ameri can. The court reporter has my 8 information. 9 JUDGE PRIDGIN: Counsel, thank you. 10 Any entry on behalf of Woodland Manor 11 Water Company? 12 Hearing no entry, have I All right. 13 overlooked anyone? 14 All right. I convened this prehearing conference to try to get this case moving forward. 15 16 understand that the companies and the Staff have a 17 disagreement on a condition proposed by Staff. 18 I'm giving you at least this one chance to get 19 together and talk. And I don't think I put it in my 20 order, but could I inquire from counsel, would I be 21 able to get some sort of pleading from counsel in --22 I guess today's April 7th, so by April 14th, letting 23 me know how the parties want to proceed? And whether 24 that be by proposed procedural schedule, status 25 report, stipulation? I'm seeing nods.

MR. COOPER: That would be fine. 1 MS. PAYNE: 2 Yeah. 3 JUDGE PRIDGIN: Order that April 14th, 4 just some sort of pleading on how the parties want to 5 proceed. 6 I'm up on the ninth floor if you need me, 7 as is the Commission's hearing couns-- calendar, 8 excuse me. Just one caveat: Just because a date 9 that you pick for hearing is free, it doesn't 10 necessarily mean the Commission is free. So please 11 understand, you know, the hearing room may be free, 12 but that date may not be available because one or 13 more commissioners may have a conflict. 14 Anything counsel needs to bring to my 15 attention before we go off the record and I leave you 16 to discuss matters? 17 MR. COOPER: No. 18 JUDGE PRIDGIN: All right. Hearing 19 nothing, if you're on the line, please stay on the 20 line. I'm just going to go off the record and excuse 21 That will conclude the on-the-record portion myself. 22 of the pre-hearing conference in WM-2016-0169. Thank 23 We're off the record. you. 24 (Off the record.) 25

\_

## **CERTIFICATE**

I, Shelley L. Mayer, a Certified Court Reporter, CCR No. 679, the officer before whom the foregoing hearing was taken, do hereby certify that the witness whose testimony appears in the foregoing hearing was duly sworn by me; that the testimony of said witness was taken by me to the best of my ability and thereafter reduced to typewriting under my direction; that I am neither counsel for, related to, nor employed by any of the parties to the action in which this hearing was taken, and further, that I am not a relative or employee of any attorney or counsel employed by the parties thereto, nor financially or otherwise interested in the outcome of the action.

ShellyhMaya

Shelley L. Mayer, CCR

A	CHIEF 1:21	discuss 5:16	joint 1:13 3:5	nods 4:25
ability 6:8	City 1:10 2:4,9	<b>duly</b> 6:6	judge 1:21 3:2	0
<b>able</b> 4:21	2:12 3:25		3:11,20 4:1,9	office 2:7,10
<b>acquire</b> 1:15 3:7	Commission 1:3	Economic 2:7	5:3,18	3:13,19,21,23
action 6:10,15	2:2,5 3:17 5:10	employed 6:10	K	officer 6:3
appearance 3:15	Commission's	6:13	know 4:23 5:11	on-the-record
APPEARAN	5:7	employee 6:12	KIIUW 4.23 3.11	5:21
2:1	commissioners	England 2:11	$\overline{\mathbf{L}}$	Opitz 2:6 3:23
appears 6:5	5:13	entries 3:15	L 1:23 2:11 6:2	3:24 4:1
application 1:13	companies 4:16	entry 4:10,12	6:19	oral 3:15
3:5	Company 1:14	excuse 5:8,20	law 1:21 3:10	order 4:20 5:3
approximately	1:15 2:13,15	excuse 5.0,20	leave 5:15	outcome 6:14
3:14	2:17 3:6,6 4:3	$\overline{\mathbf{F}}$	letting 4:22	overlooked 4:13
<b>April</b> 1:9 3:12	4:11 <b>conclude</b> 5:21	File 1:15 3:4	line 5:19,20	
4:22,22 5:3		financially 6:14	<b>LLC</b> 1:15,24 3:6	P
assets 1:16 3:7	condition 4:17 conference 1:8	fine 5:1	Louis 2:16	<b>P.O</b> 2:3,8,12
assigned 3:11		<b>floor</b> 5:6	<b>Luft</b> 2:14 4:6,6	3:24
attention 5:15	3:3,12 4:15 5:22	foregoing 6:3,5		parties 4:23 5:4
attorney 6:12	conflict 5:13	forward 4:15	M	6:10,13
available 5:12	connection 1:16	free 5:9,10,11	Madison 2:3,8	<b>Payne</b> 2:2 3:18
B	3:8	further 6:11	<b>Manor</b> 1:14,16	3:18,20 5:2
beginning 3:16	convened 4:14		3:6,8 4:10	<b>pick</b> 5:9
behalf 3:21,23	Cooper 2:11 4:4	G	matter 1:13 3:4	pleading 4:21
4:2,4,6,10	4:4 5:1,17	giving 4:18	matters 5:16	5:4
best 6:7	couns 5:7	<b>go</b> 5:15,20	<b>MAWC</b> 1:15 3:7	<b>please</b> 3:16,22
Box 2:3,8,12	counsel 2:7,10	<b>going</b> 5:20	<b>Mayer</b> 1:23 6:2	4:3 5:10,19
3:24	3:16,21,24 4:9	<b>Good</b> 3:2	6:19	portion 5:21
<b>bring</b> 5:14	4:20,21 5:14	Governor 3:13	mean 5:10	pre-hearing 1:8
Brydon 2:11	6:9,13	guess 4:22	<b>Missouri</b> 1:2,10	5:22
Building 3:13	Counsel's 3:19	H	2:4,5,9,12,16	prehearing 3:3
Dunuing 5.15	court 1:24 4:7		3:25	3:11 4:14
C	6:2	hearing 4:12 5:7	Missouri-Ame	preside 3:11
calendar 5:7	Craig 2:15	5:9,11,18 6:4,6	1:14 2:13,15	Presiding 1:20
case 4:15		6:11	2:17 3:5 4:2,5	<b>Pridgin</b> 1:20 3:2
caveat 5:8	<b>D</b>	<b>held</b> 3:12	4:7	3:10,20 4:1,9
<b>CCR</b> 1:23 6:3,19	date 5:8,12	I	morning 3:2,14	5:3,18
<b>certain</b> 1:15,17	<b>Dean</b> 2:11 4:4	information 4:8	moving 4:15	procedural 4:24
3:7,8	<b>Department</b> 2:7	inquire 4:20	N	<b>proceed</b> 4:23 5:5
CERTIFICATE	Development	interested 6:14	necessarily 5:10	PROCEEDIN
6:1	2:7		need 5:6	1:6 3:1
Certified 6:2	direction 6:9	J	needs 5:14	proposed 4:17
certify 6:4	disagreement	Jefferson 1:10	neither 6:9	4:24
chance 4:18	4:17	2:4,9,12 3:24	ninth 5:6	<b>Public</b> 1:3 2:2,5
			miitii 3.0 	
	•	•	•	•

	1	1
2:7,10 3:21,24	T	X
<b>put</b> 4:19	taken 6:4,7,11	
	talk 4:19	Y
Q	testimony 6:5,6	Yeah 5:2
	thank 3:20 4:1,9	
<u>R</u>	5:22	Z
record 3:3 5:15	thereto 6:13	0
5:20,23,24	therewith 1:16	
reduced 6:8	3:8	1
regulatory 1:21	think 4:19	11:11
3:10	<b>TIGER</b> 1:24	<b>10:00</b> 3:14
<b>related</b> 1:17 3:9	<b>Tim</b> 3:24 4:6	14th 4:22 5:3
6:9	time 3:13	14014.22 3.3
relative 6:12	<b>TIMOTHY</b> 2:6	2
report 4:25	2:14	<b>200</b> 2:3,8
REPORTED	today's 4:22	<b>2016</b> 1:9 3:12
1:23	transactions	<b>2230</b> 2:8 3:24
reporter 4:7 6:2	1:17 3:9	
REPORTING 1:24	TRANSCRIPT	3
	1:6	314.996.2279
<b>right</b> 4:12,14	try 4:15	2:16
5:18 Pand 2:15	typewriting 6:8	<b>360</b> 2:3
Road 2:15		
<b>Ron</b> 1:20 3:10 <b>room</b> 5:11	U	4
100H 3.11	understand 4:16	<b>456</b> 2:12
	5:11	5
schedule 4:24	<b>V</b>	573.635.7166
seeing 4:25	<u> </u>	2:13
<b>Service</b> 1:3 2:2,5	Volume 1:11	573.751.5324
<b>Shelley</b> 1:23 6:2	$\mathbf{W}$	2:9
6:19	$\overline{\mathbf{W}}$ 2:14	573.751.6514
sort 4:21 5:4	want 4:23 5:4	2:4
<b>St</b> 2:16	water 1:14,15,15	
<b>Staff</b> 2:5 3:16,18	2:13,15,17 3:5	6
4:16,17	3:6,7 4:2,11	<b>63141</b> 2:16
<b>STATE</b> 1:2	We're 5:23	<b>65102</b> 2:9,12
status 4:24	Whitney 2:2	3:25
<b>stay</b> 5:19	3:18	<b>65102-0360</b> 2:4
stipulation 4:25	witness 6:5,7	<b>679</b> 6:3
<b>Street</b> 2:3,8	WM-2016-0169	
Swearengen	1:15 3:4 5:22	7
2:11	Woodland 1:14	<b>7</b> 1:9
<b>sworn</b> 6:6	1:16 3:6,7 4:10	<b>727</b> 2:15
		<b>7th</b> 3:12 4:22
	1	