

**THE PUBLIC SERVICE COMMISSION
OF THE STATE OF MISSOURI**

Carl R. Mills' Request to Transfer Water)
System at Carriage Oaks Estate) File No.
)

APPLICATION FOR APPROVAL OF TRANSFER

COMES NOW Carl R. Mills (Applicant), by and through his attorneys, and respectfully requests the Missouri Public Service Commission (the "PSC") approve transfer of the water system at Carriage Oaks Estate to Carriage Oaks Estates Water and Sewer Not-For-Profit and states as follows in support:

1. Applicant is an individual owner of the water system at Carriage Oaks Estate (the "water system"). On October 9, 2019, the PSC issued a report and order granting Mr. Mills a Certificate of Convenience and Necessity for operation of the water system in WA-2018-0370.
2. There is currently a rate case pending about the water system (Case No. WR-2021-0177) and a complaint case related to the water system (Case No. WC-2021-0223).
3. Applicant has no other pending actions before the PSC or final, unsatisfied judgments or decisions which involve customer services or rates other than those pending actions described above.
4. To Applicant's knowledge, no annual report or assessment is overdue.
5. On November 6, 2021, Carriage Oaks Estates Water and Sewer Not-For-Profit was incorporated by David Lott, a homeowner at Carriage Oaks Estates in order to "own and manage the water and sewer utility assets for the benefit of the Carriage Oaks Estates homeowners." The Articles of Incorporation and Certificate of Incorporation is attached as Exhibit 1.
6. On November 29, 2021, Applicant transferred the sewer system at Carriage Oaks Estate to Carriage Oaks Estates Water and Sewer Not-For-Profit.
7. Applicant desires to transfer the water system to Carriage Oaks Estates Water and Sewer Not-For-Profit as well. Such transfer would not be detrimental to the public interest. This transfer is requested following a HOA meeting of the Carriage Oaks Homeowners Association, at which the HOA voted unanimously to accept transfer of the water system to Carriage Oaks Estates Water and Sewer Not-For-Profit.
8. Upon information and belief, this transfer will not be opposed and, if approved, will result in the resolution of the pending complaint case.
9. Applicant has authorized and requested the undersigned attorney submit this application for transfer.

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CERTIFICATE OF SERVICE

I hereby certify that copies of the foregoing have been delivered through the PSC's electronic filing system to all parties and/or their counsel of record this 30th day of November, 2021.

/s/ Larissa M. Whittingham

Attorney