

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

INDEX

Sheet No.

- 1.....Index
- 2.....Map of Service Area
- 3.....Legal Description of Service Area
- 6.....Schedule of Rates
- 7.....Schedule of Service Charges

<u>Sheet Number</u>	<u>Rule Number</u>	<u>Rule Subject</u>
9.....	1.	Definitions
13.....	2.	General Matters
14.....	3.	Limited Authority of Company Employees
15.....	4.	Applications for Sewer Service
17.....	5.	Inside Piping and Customer Service Sewer
20.....	6.	Improper or Excessive Use
22.....	7.	Discontinuance of Service by Company
25.....	8.	Interruptions in Service
26.....	9.	Bills for Service
28.....	10.	Extension of Collecting Sewers

* Indicates new rate or text
+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

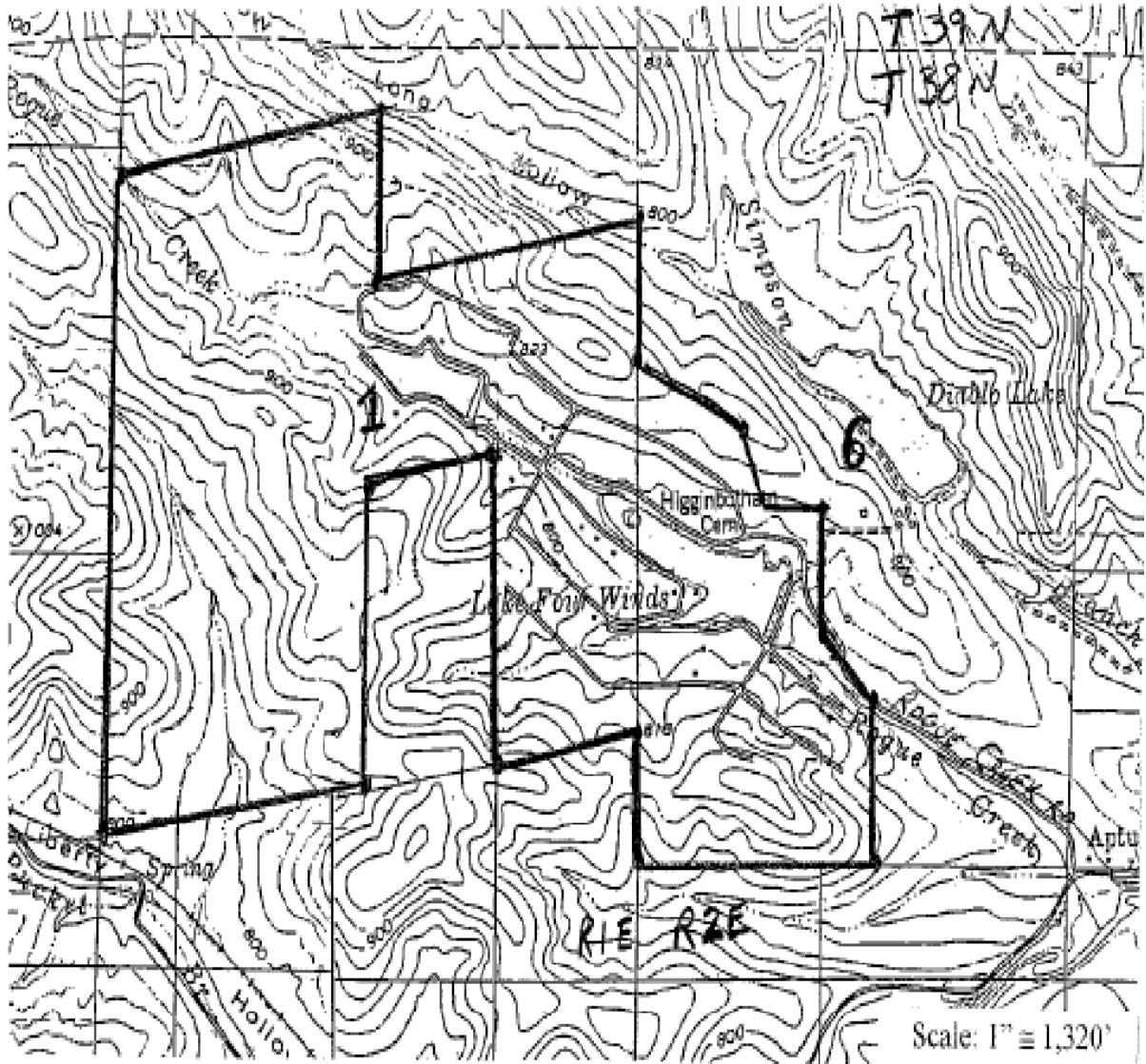
Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Map of Service Area



Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Legal Description of Service Area

Beginning at the Southwest corner of Section 6, Township 38 North, Range 2 East; thence North along the line between Range 1 East and Range 2 East a distance of 1082.40 feet to the Southeast corner of Section 1, Township 38 North, Range 1 East; thence along the South line of Section 1 bearing 78°16'25" West, a distance of 1369.50 feet; thence North 0°17'30" West, a distance of 2640 feet; thence South 78°06'08" West, a distance of 1369.50 feet; thence South 0°35'00" East, a distance of 1320 feet; thence South 78°12'18" West, a distance of 1369.50 feet; thence South 0°52'43" East, a distance of 1320.00 feet to a point on the South line of Section 1; thence South 78°16'25" West, a distance of 1369.50 feet to the Southwest corner of Section 1, Township 38 North, Range 1 East; thence North 1°36'37" East along the west line of Section 1 a distance of 5280 feet to the Northwest corner of Lot 2 in the Northwest quarter of Section 1; thence North 77°55'34" East along the North line of Lot 2 a distance of 2537.74 feet to the Northeast corner of Lot 2; thence South 0°35'00" East, a distance of 1320 feet to the Northwest corner of Lot 1 in the Northeast quarter of Section 1; thence North 78°01'00" East, a distance of 2796.50 feet to the Northeast corner of Lot 1; thence South 0°00'00" East along the Range line a distance of 1215.24 feet; thence South 61°00'00" East, a distance of 1036.20 feet; thence South 23°30'00" East, a distance of 704.22 feet; thence North 88°42'21" East, a distance of 701.59 feet; thence South 4°03'30" West, a distance of 794.69 feet; thence South 53°00'00" East, a distance of 169.34 feet; thence South 5°00'00" West, a distance of 440 feet; thence North 88°39'55" East, a distance of 318 feet thence South 4°05'47" West, a distance of 1320 feet; thence South 88°36'55" West along the South line of Section 6, Township 38 North, Range 2 East, a distance of 2112 feet, more or less, to the point of beginning; containing 442.92 acres in Section 1, Township 38 North, Range 1 East, and 158.90 acres in Section 6, Township 38 North, Range 2 East, all lying in Washington County, Missouri.

- * Indicates new rate or text
- + Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Legal Description of Service Area (continued)

Part of the NW 1/4 of the SE 1/4 of Section 6, Township 38 North, Range 2 East described as follows, to-wit: Begin at the Southwest corner of the Northwest quarter of the Southeast quarter of Section 6, Township 38 North, Range 2 East; thence North 710 feet to cedar post for a corner at the road, Mulberry 2 feet in diameter, South 23° East 17 feet; thence South 53° East along road 458 1/4 feet to a corner, Post Oak 12 inches South 60° West 4 feet Post Oak 9 inches South 20° East 7 feet and Post Oak 9 inches South 55° East 6 1/4 feet; thence South 5° West 440 feet to a corner on South line of Northwest quarter of Southeast quarter Black Haw 4 inches North 5° West 5 feet and a Chinquapin 5 inches North 45° West 8 feet; thence West 342 feet to the place of beginning, containing 5.28 acres.

The East half of the Southeast quarter of Section One (1), Township 38 North, Range 1 East, containing 80 acres, the Southwest fractional quarter of Section 6, Township 38 North, Range 2 East, containing 98.80 acres; the West half of the Southwest quarter of the Southeast quarter of Section 6, Township 38 North, Range 2 East, containing 20 acres and part of the Northwest quarter of the Southeast quarter of Section 6, Township 38 North, Range 2 East, described as follows: Beginning at the quarter Section corner on the East and West line between Section 6 and 7, Township 38 North, Range 2 East at a Post Oak 12 inches in diameter bears South 70° East 30 links, also a Post Oak 13 inches in diameter bears South 40° West 150 links, thence North 20 chains set a post from which a White Oak 18 inches in diameter bears North 20° West 50 links, thence East 350 links where set a post from which a Black Oak 5 inches in diameter bears North 20 degrees East 21 links, thence North 20 chains to beginning corner, containing 7 acres, all in Washington County, Missouri, containing 205.80 acres, more or less, according to the Official Plat Book of said County on file in the office of the Recorder of Deeds.

- * Indicates new rate or text
- + Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Legal Description of Service Area (continued)

Also, the North half of the Southwest quarter, Lot One (1) and Two (2) of the Northwest quarter, and Lot One (1) of the Northeast quarter and the North half of the Southwest quarter of section One (1), Township 38 North , Range One (1) East, containing 320 acres.

The Southwest quarter of the Southwest quarter of Section One (1), Township 38 North, Range One (1) East, containing 40 acres, more or less, EXCEPT: .08 of an acre, more or less, deeded to Missouri Pacific Railroad Company by deed recorded in Book 115, page 299, Deed of Records of Washington County, Missouri.

Part of Lot One (1) of N.W. Frl. Quarter of Section 6, Township 38 North, Range Two (2) East, described as follows, to-wit: Began at West 1/4 Section corner in field, thence east a distance of 17.50 chains to a point, (B/O. 30" for corner), thence North 23° 30" west a distance of 10.67 chains to a point (stake and rocks), thence N. 61° West 15.70 chains to a point, (Corner Axle), thence South a distance of 17.88 chains to the place of beginning, containing 21.1 acres, more or less.

All of the Southeast quarter of the Southwest quarter of Section One (1), Township 38 North, Range One (1) East, containing 40 acres.

- * Indicates new rate or text
- + Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Schedule of Sewer Rates

Availability - The following monthly water sewer service charges are available to any sewer Customer located on the Company's collecting sewer suitable for supplying the service requested.

Monthly Sewer Service Charges - The following minimum monthly service charge must be paid regardless of the quantity of water metered.

Residential Rate - \$37.28 per month

Commercial Rate - \$372.26 per month

Taxes - Any applicable Federal, State, or local taxes computed on a billing basis shall be added as separate items in rendering each bill.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Schedule of Sewer Service Charges

The following Miscellaneous Charges apply as authorized and Described elsewhere in the Company's filed Rule and Regulations:

New Service Connection

A new service connection to the Collecting Sewer will be the actual cost to the Company.

Late Charges

A charge of five dollars (\$5.00) or three percent (3%) per month times the unpaid balance, whichever is greater, will be added to delinquent accounts.

Returned Check Charge

A returned check charge of twenty-five dollars (\$25.00) per check will be due on all checks returned from the bank for insufficient funds.

Disconnection/Reconnection

If sewer Discontinuance of Service in accordance with Rule 8 is accomplished by physical disconnection, then the charge for reconnection after such disconnection by the Company shall be the total actual cost of disconnection and reconnection.

Inspection Fee

A fee of thirty-five dollars (\$35.00) will be charged for inspection of a new service sewer and connection to the collecting sewer.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Schedule of Sewer Service Charges (continued)

Re-Inspection Fee

A fee of twenty dollars (\$20.00) will be charged for any re-inspection of the new service sewer that is not the fault of the Company.

Service Calls

Service calls at any time other than during normal business hours for any reason except disconnection for a ruptured Customer's service shall require a service charge of forty dollars (\$40.00).

- * Indicates new rate or text
- + Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 1 DEFINITIONS

- A. An "APPLICANT" is a person, firm, corporation, governmental body, or other entity that has applied for sewer service and/or an extension of collecting sewers along with additional plant facilities; two or more such entities may make one application for a sewer extension, and be considered one APPLICANT. An "ORIGINAL APPLICANT" is an APPLICANT who entered into any contract or agreement with the Company for an extension of collecting sewers and/or additional plant facilities, contributed funds or utility plant assets to the Company under the terms of the contract or agreement, and is eligible for refunds under the terms of the contract or agreement as additional Applicants connect to such extensions or plant facilities.
- B. "B.O.D" denotes Biochemical Oxygen Demand. It is the quantity of oxygen utilized in the biochemical oxidation of waste matter under standard laboratory conditions expressed in milligrams per liter.
- C. "C.O.D" denotes Chemical Oxygen Demand. It is the quantity of oxygen utilized in the chemical oxidation of waste matter under standard laboratory conditions, expressed in milligrams per liter.
- D. A "COLLECTING SEWER" is a pipeline, including force pipelines, gravity sewers, interceptors, laterals, trunk sewers, manholes, lampholes, and necessary appurtenances, including service wyes and saddles, which is owned and maintained by the Company, located on public property or on private easements, and used to transport sewage waste from the Customer's service connection to the point of disposal.
- E. A "COMMERCIAL CUSTOMER" is any common area owned or controlled by the Home Owner's Association including but not limited to the clubhouse, shower facilities, restrooms, and swimming pool.
- F. The "COMPANY" is Rogue Creek Utilities, Inc., acting through its officers, managers, or other duly authorized employees or agents.

* Indicates new rate or text
+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 1 continued

- G. A "CUSTOMER" is any person, firm, corporation or governmental body which has contracted with the Company for sewer service, or is receiving service from the Company, or whose facilities are connected for utilizing such service.
- H. The "DATE OF CONNECTION" shall be the date the permit for a service connection is issued by the Company. In the event no permit is taken and a service connection is made, the date of connection shall be determined based on available information, such as construction/occupancy permits, or water or electric service turn-on dates.
- I. "DISCONTINUANCE OF SERVICE" is intentional cessation of the use of sewer service by action of the Company not at the request of the Customer. Such DISCONTINUANCE OF SERVICE may be accomplished by methods including physical disconnection of the service sewer, or turn-off of water service by the water utility at the request of the Company.
- J. "DOMESTIC SEWAGE" is sewage, excluding storm and surface water, resulting from normal household activities; and, "NON-DOMESTIC SEWAGE" is all sewage other than DOMESTIC SEWAGE including, but not limited to, commercial or industrial wastes. See Rule 6 - Improper Waste or Excessive Use.
- K. A "FOUNDATION DRAIN" is a pipe installed inside or outside the foundation of a structure for the purpose of draining ground or subsurface water away from the foundation.
- L. "pH" is the relative degree of acidity or alkalinity of water as indicated by the hydrogen ion concentration. pH is indicated on a scale reading from 1-14, with 7.0 being neutral, below 7.0 acid, and above 7.0 alkaline; more technically defined as the logarithm of the reciprocal of the hydrogen ion concentration.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 1 continued

- M. A "SADDLE" is a fitting that connects the Customer's Service Sewer to the collecting sewer. The saddle clamps around the collecting sewer pipeline into which pipeline a hole is cut, and the Service Sewer is connected to the SADDLE thereby connecting it to the collecting sewer.
- N. A "SERVICE CONNECTION" is the connection of a service sewer to the Company's collecting sewer either at the bell of a wye branch or the bell of a saddle placed on the barrel of the collecting sewer.
- O. A "SERVICE SEWER" or "CUSTOMER'S SERVICE SEWER" is a pipe with appurtenances installed, owned and maintained by the Customer, used to conduct sewage from the Customer's premises to the collecting sewer, excluding service wyes or saddles. The SERVICE SEWER is constructed, owned and maintained by the Customer.
- P. A "SUBDIVISION" is any land in the state of Missouri which is divided or proposed to be divided into two or more lots or other divisions of land, whether contiguous or not, or uniform in size or not, for the purpose of sale or lease, and includes resubdivision thereof.
- Q. A "TEE" is a three-way one-piece pipe fitting in the shape of the letter "T" that is a part of the Collecting Sewer pipeline and to which the Customer's Service Sewer is connected.
- R. "TERMINATION OF SERVICE" is the cessation of the use of sewer service requested by the Customer. Such TERMINATION OF SERVICE shall be accomplished by a method verified and recognized by the Company, and may include physical disconnection of the service sewer, termination or disconnection of water service by the water utility, or the Company's observation of non-occupancy of the unit served.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 1 continued

- S. The word "UNIT" or "LIVING UNIT" shall be used herein to define the premises or property of a single sewer user, whether or not that sewer user is the Customer. It shall pertain to any building whether multi-tenant or single occupancy, residential or commercial, owned or leased. Each mobile home in a mobile home park, and each rental unit of a multi-tenant rental property are considered as separate Units for each single family or firm occupying same as a residence or place of business.
- T. A "WYE" or "WYE BRANCH" or "Y" or "Y BRANCH" is a three-way one-piece pipe fitting in the shape of the letter "y" that is a part of the collecting sewer pipeline, and to which the Customer's service sewer is connected.

- * Indicates new rate or text
- + Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 2 GENERAL MATTERS

- A. Every Customer, upon signing an application for service or accepting service rendered by the Company, shall be considered to have expressed consent to be bound by these Rates, Rules and Regulations.
- B. The Company's Rules and Regulations governing rendering of service are set forth in the numbered sheets of this tariff. The rates applicable to appropriate class of service are set forth in Rate Schedules and constitute a part of this tariff.
- C. The Company reserves the right, subject to approval from the Missouri Public Service Commission, to prescribe additional rates or to alter existing Rates or Rules as it may deem necessary or proper.
- D. At the effective date of these Rules, all new facilities, construction contracts and written agreements shall conform to these Rules in accordance with the statutes of the State of Missouri and authority of the Missouri Public Service Commission. Pre-existing facilities that do not conform with these Rules and Regulations may remain, if said facilities do not cause any service problems and reconstruction is impractical.
- E. The Company shall have the right to enter upon the Customer's premises for the purpose of inspecting for compliance with these Rules and Regulations. Company personnel shall identify themselves and such inspections shall be conducted during reasonable hours.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 3 LIMITED AUTHORITY OF COMPANY EMPLOYEES

- A. Employees or agents of the Company are expressly forbidden to demand or accept any compensation for any service rendered to its Customers except as covered in the Company's Rates, Rules and Regulations.
- B. No employee or agent of the Company shall have the right or authority to bind it by any promise, agreement or representation contrary to the letter or intent of these Rates, Rules and Regulations.
- C. The Company shall not be responsible in damages for any failure to remove waste water from the premises or for interruption if such failure or interruption is without willful default or negligence on its part.
- D. The Company shall not be liable for damages because of any interruption of sewer service or for damages caused by defective piping and appliances on the Customer's premises.
- E. The Company shall not be liable for damages due to damages from acts of God, civil disturbances, war, government actions, and other uncontrollable occurrences.

* Indicates new rate or text
+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 4 APPLICATIONS FOR SEWER SERVICE

- A. A written application for service, signed by the Customer, and accompanied by the appropriate fees as provided in the Schedule of Rates, the Schedule of Service Charges, Rule 10 - Extension of Collecting Sewers, and other information required by these Rules, must be received from each Customer. Said application must be filed in writing twenty-four (24) hours in advance stating the street, house number, name of the applicant, name of the property owner, and the time, at which connection is to be made. The Company shall have the right to refuse service for failure to comply with the Rules herein, or if the Customer owes a past due bill not in dispute for sewer service at any location within the Company's service area. In any case where a collecting sewer extension or unusual construction or equipment expense is necessary to furnish the service, the Company may require a contract for service specifying a reasonable period of time for the Company to provide the service. If the Customer is a tenant, the Company shall notify the owner of the property or owner's property manager or other agent that the owner or property manager may be responsible for payment of the sewer service bill associated with the application.
- B. A prospective Customer shall, upon request of the Company, present in writing to the Company a list of devices that will discharge to the collecting sewers, the amount and specifications of any discharge, and the location of any buildings. The Company will then advise the Customer of the form and the character of the wastewater collection facilities available. If a sewer extension as provided for in Rule 10 - Extension of Collecting Sewers will be necessary, or if the Customer will be required to own, operate, and maintain a pretreatment facility, the Customer will also be so advised.
- C. When sewer charges are based on water usage, the Company reserves the right to refuse sewer service to any applicant unless said applicant agrees to install a water meter accessible by the Company, so that there will be a basis for sewer charges. The Company and Customer may agree to an estimated water use amount, on an interim basis for a period not to exceed six (6) months, to allow time to install suitable metering equipment.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 4 continued

- D. The Company will determine or approve the location of the service connection. Service sewers will not be extended along public streets or roadways or through property of others in connecting with collecting sewers. If a service connection is requested at a point not already served by a collecting sewer of adequate capacity, the collecting sewer shall be extended in accordance with Rule 10 - Extension of Collecting Sewers, unless in the Company's judgment such a collecting sewer would serve no other purpose and a service sewer may be constructed to serve the Customer's premises in a reasonable manner.
- E. A new service connection shall be authorized when all conditions in Rule 2 – General Matters and Rule 5 – Inside Piping and Customer Service Sewer, regarding application, construction and inspection provisions, are met.
- F. No substantial addition to the water using equipment or appliances connected to the sewer system shall be made by Customers discharging non domestic sewage except upon written notice to and with the written consent of the Company.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 5 INSIDE PIPING AND CUSTOMER SERVICE SEWER

- A. The Customer is obligated to construct, repair, and maintain the service sewer from the collecting sewer to the building, and make the connection to the collecting sewer. The Customer shall notify the Company prior to cleaning or repairing the service sewer.
- B. When a service sewer is to be connected to the collecting sewer, the plumber shall advise the Company twenty-four (24) hours in advance of when the connection is expected to be made so a representative of the Company can inspect the installation and connection. No backfill shall be placed until the work has been inspected by the Company. In the event the Customer or the Customer's agent shall damage a wye branch or saddle, or cause damage to the collecting sewer, then the Customer shall be responsible for the cost to repair any such damage, including replacement of pipe or appurtenances as necessary.
- C. Plumbing specifications of all governmental agencies having jurisdiction, and the Company's Rules, in effect at the time of connection, must be met. The Company may deny service or may discontinue service where foundation drains, downspouts, or other sources of surface or storm water are permitted to enter the sewer system through either the inside piping or through the building sewer.
- D. A separate and independent service sewer shall generally be required for every building. Exceptions are:
 - 1. When one building stands at the rear of another building on an interior lot where a proper service sewer cannot be constructed through an adjoining easement. In that situation, the service sewer from the front building may be extended to the rear building and it will be considered as one service sewer; or
 - 2. When two or more buildings are a part of a complex that cannot be subdivided.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 5 continued

- E. The service sewer shall be one of the following: ductile iron pipe, vitrified clay sewer pipe (VCP), or polyvinyl chloride pipe (PVC), American Society for Testing and Materials (ASTM) specification or equal; or other suitable material approved by the Company. Only those jointing materials and methods that are approved by the Company may be used. Joints shall be tight and waterproof. Any part of the service sewer that is located within ten feet (10') of a water main or water service pipe shall be constructed of ductile iron or PVC pressure pipe. The pipe shall be bedded according to the manufacturer's specifications and on undisturbed earth or fill compacted to at least ninety-five percent (95%) proctor density. Fill may be non-organic soil or aggregate.
- F. The size and slope of the service sewer shall be subject to the approval of the Company, but in no event shall the diameter be less than four inches (4"). The slope of such four inch (4") pipe shall not be less than one-eighth inch (1/8") per foot.
- G. Whenever possible, the service sewer shall be brought to the building at an elevation below the basement floor. No building sewer shall be laid parallel to or within three feet (3') of any bearing wall. The depth shall be sufficient to afford protection from frost. The service sewer shall be laid at a uniform grade and in straight alignment insofar as possible. Changes in direction shall be made only with properly curved pipes and fittings.
- H. Existing service sewers may be used in connection with new buildings only when they are found on examination and test to meet all requirements of the Company.
- I. In any building in which a building drain is too low to permit the required slope of the service sewer, sanitary sewage carried by such drain shall be lifted by approved artificial means and discharged to the service sewer. No water operated sewage ejector shall be used.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 5 continued

- J. All excavations required for the installation of a service sewer and connection to the collecting sewer shall be open trench work unless otherwise approved by the Company. Pipe laying and backfill shall be performed in accordance with the latest published engineering specifications of the manufacturer of the materials used, and all applicable local plumbing codes.
- K. The connection of the service sewer to the collecting sewer shall be made at the wye branch, if such branch is available at a suitable location. If the collecting sewer is vitrified clay pipe of twelve inch (12") diameter or less and there is no properly located wye branch at a suitable location, a wye branch shall be installed at a location specified by the Company. If the collecting sewer is greater than twelve inches (12") in diameter, or is PVC of any size, a neat hole may be cut at a location specified by the Company, and a saddle installed to which the service sewer will be connected. The invert of the service sewer at the point of connection shall be at the centerline or higher elevation of the collecting sewer. The connection shall be secure and watertight.
- L. Any change in the location of an existing service connection and/or service sewer requested by the Customer shall be made at the Customer's expense.
- M. Company personnel may not work on piping or facilities not owned by the Company unless authorized by the Customer.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 6 – IMPROPER OR EXCESSIVE USE

- A. The following requirements for the use of sewer service provided by the Company shall be observed. Violation of the requirements will result in the discontinuance of service to the Customer, and the Customer may be required to comply with Paragraph B., below.
- B. In the event that the Customer to be served proposes to discharge an abnormally high volume or strength of waste, the Company may require:
 - 1. The Customer to install a pretreatment facility, grease trap or other device on the premises to prevent the exceeding of discharge limits or other adverse impacts upon the Company's system. The installation of any such device as well as its operation and maintenance shall be the responsibility of the Customer, and subject to approval and inspection by the Company; or
 - 2. The Customer to enter into a special contract with the Company for treatment of the Customer's discharge that could require an enlargement of the Company's existing sewage treatment plant or the construction of a temporary sewage treatment plant, and/or the construction or reconstruction of sewer lines or pump facilities. This special contract shall be in a form approved by the Missouri Public Service Commission with a rate applicable to the Customer to be included within this Schedule of Rates, Rules, and Regulations, that is fair and reasonable to both parties and so as not to constitute a burden upon the Company or the existing Customers of the Company.
- C. No Customer shall discharge or cause to be discharged any storm water, surface water, ground water, swimming pool water, roof runoff, sub-surface drainage, or cooling water into the collecting sewers.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 6 continued

D. Except as may be provided in Paragraph B.2., above, the Customer shall be required to take any action necessary to meet the following described wastewater limits before the wastewater is discharged into the collecting sewer:

1. Maximum temperature of 150 degrees Fahrenheit; and
2. Maximum strength of four-hundred (400) parts per million Biological Oxygen Demand (B.O.D.); and
3. A maximum of one-hundred (100) parts per million, by weight, any fat, oil or grease; and
4. A maximum of twenty-five (25) parts per million, by weight, any soluble oils; and
5. No gasoline, benzene, naphtha, fuel oil, or other flammable or explosive liquid, solid or gas; and
6. No garbage that has not been properly shredded; and
7. No ashes, cinders, sand, mud, straw, shavings, metal, glass, rags, feathers, tar, plastics, wood or any other solid or viscous substance capable of causing obstruction to the flow in sewers or other interference with the proper operation of the sewer system; and
8. No waste-water having a pH less than 5.0 or greater than 9.0, or having any other corrosive property, capable of causing damage or hazard to structures, equipment or personnel of the Company; and
9. No waste-water containing heavy metals, toxic material, or Chemical Oxygen Demand (C.O.D.), in sufficient quantity to disrupt the operation of treatment facilities, or exceeding any limits which may be specified in a service contract for any such substance.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 7 – DISCONTINUANCE OR TERMINATION OF SERVICE

- A. The Company reserves the right of discontinuance of service for any of the following reasons:
1. For nonpayment of the sewer utility bill (see Rule 9); or
 2. For unauthorized resale of sewer service; or
 3. For an unauthorized service connection to the Company's collecting sewer; or
 4. For failure to comply with these Rules and Regulations.
- B. Discontinuance of service may be accomplished, but not limited to, physical disconnection of the Customer's service sewer from the Company's collecting sewer. Discontinuance of sewer service for non-payment of a sewer bill may be accomplished either by physical disconnection or by discontinuance by turn-off of water service by the Customer's water utility at the request of the Company. In such cases where discontinuance is accomplished by turn-off of water service:
1. If sewer billing is combined with water billing, Customers will be notified by the water utility by the terms of its Rules normally practiced for discontinuance of water service; or
 2. If sewer billing is not combined with water billing, Customers will be notified by the terms of Paragraphs F. and H., below, and not by those of any water utility.
- C. Discontinuance of service to a Customer for any reason as outlined in Paragraph A., above, shall not prevent the Company from pursuing any lawful remedy by action at law or otherwise for the collection of monies due from the Customer.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 7 continued

- D. In the event of discontinuance of service by the Company for any reason as outlined in Paragraph A., above, then any monies due the Company shall become immediately due and payable.
- E. The Company reserves the right of discontinuance of service to a Customer, or to refuse service to any applicant or for any unit to reasonably protect itself against fraud or abuse.
- F. Unless discontinuance is accomplished by turn-off of water service and discontinuance notice is provided by the water utility as provided for in Paragraph B.1., above, then at least thirty (30) days prior to discontinuance of service, the Company will mail a written notice to the Customer by certified mail, return receipt requested, with a copy of the notice sent to the Public Service Commission and a copy to the property owner if different than the Customer and if known by the Company. Said notice shall state the reasons for discontinuance of service, the amount of money owed if for a past due bill including the amount of any service charges owed, and that service may be discontinued at any time after the expiration of the notice period, provided satisfactory arrangements for continuance of the service have not been made by the Customer. The thirty (30) day notice may be waived if there is any waste discharge that might be detrimental to the health and safety of the public, or cause damage to the sewer system. In the event of discontinuance of service without the thirty (30) day notice as above provided, the Customer and the Missouri Public Service Commission shall be notified immediately with a statement of the reasons for such discontinuance of service. If service is provided to a multi-tenant building or complex, the Company will make an effort to notify tenants by door hangers or other type of notice of the possibility of discontinuance of service.
- G. Reconnection of any Customer after discontinuance of service by authority of this Rule will be made subject to payment of the cost of reconnection.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 7 continued

- H. Where the owner of rental property is the Customer and has been notified of the intent of discontinuance of service, the tenants shall be given the opportunity in a reasonable and timely manner to pay delinquent bills in lieu of discontinuance of service.

- I. Termination of service at the Customer's request may be accomplished at the expense of the Customer. If termination of service must be accomplished by physical disconnection, the Customer shall notify the Company of the date and time of the disconnection in writing at least five (5) days prior to the disconnection. If termination is accomplished by turn-off of water service, such notice shall be on or before the date of the water turn-off. Service may not be terminated for one unit of a multi-unit building if the building is served by one service sewer, unless accomplished by turnoff of water service to that unit. The method used for termination of service shall be determined by the Company.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 8 INTERRUPTIONS IN SERVICE

- A. The Company reserves the right to limit sewer service in its collecting sewers at any time, in a reasonable and non-discriminatory manner, for the purpose of making repairs to the sewer system.
- B. Whenever service is limited for repairs, all Customers affected by such limitation will be notified in advance whenever it is practicable to do so. Every effort will be made to minimize limitation of service.
- C. No refunds of charges for sewer service will be made for limitations of service unless due to willful misconduct of the Company.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 9 BILLS FOR SERVICE

- A. The charges for sewer service shall be at the rates specified in this tariff, which is on file with the Missouri Public Service Commission and at the Company's office. The point of assumption of sewer service shall be at the service connection. Service charges for connection or disconnection are set forth in the Schedule of Service Charges.
- B. A Customer who is or has been taking sewer service at one or more units connected to the collecting sewer shall be held liable for payment of any applicable charges for service furnished to such units from the date of connection until the date requested by the Customer in writing for service to be terminated, or until service is discontinued by the Company.
- C. Bills for sewer service will be mailed or delivered to the Customer's last address as shown by the records of the Company, but failure to receive the bill will not relieve the Customer from the obligation to pay the same.
- D. Payments shall be made at the office of the Company or at a convenient location designated by the Company, or by mailing to the Company's mailing address.
- E. Separate bills shall be rendered for each location at which sewer service is provided, even though one entity may be the Customer at such separate locations.
- F. The Company shall have the right to render bills monthly in advance, or on a monthly basis in arrears when the sewer charges are based on water usage. Bills shall have the due date indicated on the bill.
- G. Neither the Company nor the Customer will be bound by bills rendered under mistake of fact as to the quantity of service rendered or as a result of clerical error. Customers will be held responsible for charges based on service provided.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 9 continued

- H. Billings will be made and distributed at monthly intervals. Bills will be rendered net, bearing the last date on which payment will then be considered delinquent. The period after which the payment is considered delinquent is a minimum of twenty-one (21) days after rendition of the bill. Service may be discontinued after thirty (30) days written notice by certified mail return receipt requested from the Company as provided by Rule 7 - Discontinuance or Termination of Service. Delinquent bills are subject to a late charge as provided in the Schedule of Service Charges.
- I. When bills are rendered for a period of less than a complete billing period due to the connection or termination of service, the billing shall be for the proportionate part of the monthly charge, or where water usage is the basis for the charge, at the appropriate rate for water used. Customers terminating after taking service for less than one month shall pay not less than the monthly minimum.
- J. The owner of the property served will be held responsible for ultimate payment of a bill. Copies of all notices of violations of the Rules, or of disconnection of service shall also be sent to the owner of the property

- * Indicates new rate or text
- + Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 10 EXTENSION OF COLLECTING SEWERS

- A. Collecting sewers will be extended within the Company's certificated service area, at the applicant's cost, if service is requested by the applicant at a location where facilities do not exist (the "applicant" is sometimes referred to in this Rule as the "original applicant"). The applicant shall enter into a contract with the Company. The applicant may choose to have the Company perform all work under the terms and conditions of Paragraph C., below, or have a private contractor perform the work under the terms and conditions of Paragraph D., below. For purposes of this Rule, an extension could include, in addition to a collecting sewer, one or more pump station or treatment plant facilities, as necessary to provide the service.
- B. The pipe used in making extensions shall be of a type and size which will be reasonably adequate for the area to be served. Such determination as to size and type of pipe shall be left solely to the judgment of the Company. If the Company desires a pipe size, lift station, treatment plant, or any other facility larger than reasonably required to provide service to the applicant, the additional cost due to larger size shall be borne by the Company.
- C. The Company will extend collecting sewers for the applicant under the following terms and conditions:
1. Upon receipt of written application for service as provided in Rule 4, Applications for Service, the Company will provide the applicant an itemized estimate of the cost of the proposed extension. Said estimate shall include the cost of all labor and materials required, including reconstruction of existing facilities if necessary, and the direct costs associated with supervision, engineering, permits, and bookkeeping. Applicable income tax cost calculated at the maximum rate will be added to this estimate.
 2. The applicant shall enter into a contract with the Company for the installation of said extension and shall tender to the Company a contribution-in-aid-of-construction (CIAC) equal to the amount determined in Paragraph C.1., above, plus any appropriate fees as provided in the Schedule of Rates or the Schedule of Service Charges.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 10 continued

3. If, as a result of reasonably unforeseen circumstances, the actual cost of the extension exceeds the estimated cost of the extension, the applicant shall pay the additional cost.
- D. When the applicant elects to construct an extension, the Company will connect said extension to its existing collecting sewers under the following terms and conditions:
1. Applicant shall enter into a contract with the Company which provides that the applicant construct said collecting sewers and/or other facilities to meet the requirements of all governmental agencies and the Company's Rules and Regulations. Plans for the extension shall be submitted to the Company for approval prior to construction. Applicant's choice of construction contractor is subject to approval by the Company. Applicant shall contribute said facilities to the Company with a detailed accounting of the actual cost of construction, and contribute to the Company the estimated reasonable cost of the Company's inspection.
 2. The Company, or its representative, shall have the right to inspect and test the extension prior to connecting it to the existing collecting sewers and acceptance of ownership.
 3. Connection of the extension to existing Company collecting sewers shall be made by, or under direct supervision of, the Company or its representative.
 4. The Company shall have the right to refuse ownership and responsibility for the sewer extension until applicant has met the contractual obligations as provided in Paragraph D.1., above.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 10 continued

E. The cost to additional applicants connecting to the sewer contributed by the original applicant shall be as follows:

1. For a single-family residential applicant applying for service in a platted subdivision, the Company shall divide the actual cost of the extension, including income tax impact if any, by the number of lots abutting said extension to determine the per lot extension cost. When counting lots, corner lots which abut existing sewers shall be excluded.
2. For a single-family residential applicant requesting service to areas that are not platted in subdivision lots, the applicant's cost shall be equal to the total cost of the extension times one hundred feet (100') divided by the total length of the extension in feet.
3. For an applicant that is not a single family residence as described in E.1. or E.2., above the cost will be multiplied by a water usage factor, as appropriate. The water usage factor shall be determined by dividing the average monthly usage in gallons by 7,000 gallons, but shall not be less than 1.

F. Refunds of contributions shall be made to the original applicant as follows:

1. Should the actual cost of an extension constructed by the Company under Paragraph C, or actual costs for inspection by the Company under Paragraph D, above, be less than the estimated cost, the Company shall refund the difference as soon as the actual cost has been ascertained.
2. During the first ten years after the extension is completed, the Company will refund to the original applicant who paid for the extension monies collected from additional applicants in accordance with Paragraph E., above.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address

Name of Utility: Rogue Creek Utilities, Inc.
Service Area: Certificated Service Areas in Washington County

Rules and Regulations Governing
Rendering of Sewer Service

Rule 10 continued

3. The sum of all refunds to the applicant shall not exceed the total contribution, including income tax and inspection costs associated with the extension, which the applicant has paid.
 4. If two or more entities are considered an original applicant, the refund shall be distributed to each entity based upon the percentage of the actual extension cost contributed by each entity.
- G. Any extension made under this Rule shall be and remain the property of the Company in consideration of its perpetual upkeep and maintenance.
- H. The Company reserves the right to connect additional extensions to a collecting sewer contributed by the applicant. The connection of new Customers to such additional extensions shall not entitle the applicant to any refund.

* Indicates new rate or text

+ Indicates change

Issue Date: November 20, 2013
Month/Day/Year

Effective Date: February 1, 2014
Month/Day/Year

Issued By: Dale W. Johansen – Receiver
Name & Title of Issuing Officer

915 Country Ridge Dr., Jefferson City, MO 65109
Company Mailing Address