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## GENERAL RULES AND REGULATIONS APPLYING TO ELECTRIC SERVICE

## 18. RESERVED FOR FUTURE USE

## 19. AVERAGE PAYMENT PLAN

- .01 Availability
- .02 Eligibility
- .03 Election
- .04 Rate
- .05 Payment of Arrears Under the Plan
- .06 Average Payment Amount
- .07 Payment
- .08 Termination
- .09 General Rules and Regulations Applicable

## 20. PROMOTIONAL PRACTICE WAIVERS

.01 Farmland Industries Thermal Storage Project

## 21. SEPARATE METERING VARIANCES

### 22. MEEIA PROGRAMS

- .01 Commercial & Industrial Demand-Side Management
- .02 Building Operator Certification
- .03 Business Energy Analyzer
- .04 Business Energy Efficiency Rebates Custom
- .05 Business Energy Efficiency Rebates Standard
- .06 Demand Response Incentive
- .07 Residential Demand-Side Management
- .08 Home Appliance Recycling Rebate
- .09 Air Conditioning Upgrade Rebate
- .10 Home Energy Report Pilot
- .11 Home Lighting Rebate
- .12 Home Energy Improvements
- .13 Income-Eligible Weatherization
- .14 Programmable Thermostat (available to Commercial & Industrial and Residential)
- .15 Home Energy Analyzer

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## GENERAL RULES AND REGULATIONS APPLYING TO ELECTRIC SERVICE

## 22.01 COMMERCIAL & INDUSTRIAL DEMAND-SIDE MANAGEMENT

## PURPOSE:

The Commercial & Industrial (C&I) Demand-Side Management (DSM) Programs (Programs), which consist of five programs, are designed to encourage C&I customers to proactively use energy in such a way as to reduce consumption of electricity. The programs included in this tariff are cost effective by having a Total Resource Cost Test ratio of greater than 1.0.

These Programs are offered in accordance with Section 393.1075, RSMo. Supp. 2009 (the Missouri Energy Efficiency Investment Act) and its associated State Regulations.

## AVAILABILITY:

Except as otherwise provided in the terms governing a particular program, these Programs are available to any of the Company's customers served under SGS, MGS, LGS, LPS, SGA, MGA, LGA, or TPP rate schedules.

Customer participation is limited to fund availability and KCP&L reserves the right to freeze the Programs.

The Programs are not available to customers electing to opt-out of DSM program funding under 4 CSR 240-20.094(6), and monetary incentives that otherwise would be payable under a program are not available to those that have received a state tax credit under sections 135.350 through 135.263, RSMo, or under sections 253.545 through 253.561, RSMo. As provided for in the Commission's rules, customers shall attest to non-receipt of any such tax credit and acknowledge that the penalty for a customer who provides false documentation is a class A misdemeanor.

A customer may elect not to participate (opt-out) in an electric utility's DSM programs under 4 CSR 240-20.094(6) if they:

- Have at least one account with a demand of 5,000 kW in the previous 12 months with that electric utility, or;
- Operate an interstate pipeline pumping station, or;
- Have multiple accounts with aggregate coincident demand of 2,500 kW in the previous 12 months with that utility and have a comprehensive demand-side or energy efficiency program with achieved savings at least equal to those expected from the utility-provided programs.

A customer electing to opt-out must provide written notice to the electric utility no earlier than September 1 and not later than October 30 to be effective for the following calendar year but shall still be allowed to participate in interruptible or curtailable rate schedules or tariffs offered by the electric utility.

Unless otherwise provided for in the tariff sheets or schedules governing a particular Program, customers may participate in multiple Programs, but may receive only one Incentive per Measure.

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## GENERAL RULES AND REGULATIONS APPLYING TO ELECTRIC SERVICE

## COMMERCIAL & INDUSTRIAL DEMAND-SIDE MANAGEMENT

### **DEFINITIONS:**

Unless otherwise defined, terms used in tariff sheets or schedules in Section 22. MEEIA Programs, have the following meanings:

(continued)

<u>Applicant</u> – A customer who has submitted a program application or has had a program application submitted on their behalf by an agent or Trade Ally.

<u>Demand-Side Program Investment Mechanism (DSIM)</u> – A mechanism approved by the Commission in a utility's filing for demand-side program approval in Case No. EO-2014-0095.

<u>Evaluator</u> – The independent entity selected by the Company to provide evaluation, measurement and verification of the Company's demand-side program portfolio.

<u>Incentive</u> – Any consideration provided by the Company directly or through the Program Administrator, including in the form of cash, bill credit, payment to third party, or public education programs, which encourages the adoption of Measures.

<u>Measure</u> – An end-use measure, energy efficiency measure, and energy management measure as defined in 4 CSR 240-22.020(18), (20), and (21).

<u>Measure Benefit/Cost (B/C) Test</u> – Each non-prescriptive measure must pass the B/C Test by having a value of 1.0 or greater. B/C Test value equals the present value of the benefits of the Measure over the useful life of the Measure divided by the incremental cost to implement the Measure. The benefits of the Measure include the utility estimated avoided costs.

<u>Participant</u> – End-use customer and/or manufacturer, installer, or retailer providing qualifying products or services to end-use customers.

<u>Program Administrator</u> – The entity selected by the Company to provide program design, promotion, administration, implementation, and delivery of services.

<u>Program Partner</u> – A retailer, distributor or other service provider that the Company or the Program Administrator has approved to provide specific program services through execution of a Company approved service agreement.

<u>Program Period</u> – The period from approval of the MEEIA plan through December 31, 2015, unless sooner terminated under the TERM provision of this tariff. Programs may have slightly earlier deadlines for certain activities, as noted on the Company website – <u>www.kcpl.com</u>.

<u>Project</u> – One or more Measures proposed by an Applicant in a single application.

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ISSUED BY:	Darrin R. Ives, Vice President		Kansas City, MO

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## **COMMERCIAL & INDUSTRIAL DEMAND-SIDE MANAGEMENT**

### (continued)

<u>Total Resource Cost (TRC) Test</u> – A test of the cost-effectiveness of demand-side programs that compares the avoided utility costs to the sum of all incremental costs of end-use measures that are implemented due to the program (including both Company and Participant contributions), plus utility costs to administer, deliver and evaluate each demand-side program.

### TERM:

This tariff and the tariffs reflecting each specific C&I DSM program shall be effective from approval of the MEEIA plan through December 31, 2015, unless a sooner deadline is outlined on the Company website.

If the Programs are terminated prior to the end of the Program Period, only Incentives for qualifying Measures that have been installed prior to the Programs' termination will be provided to the customer.

## **DESCRIPTION:**

The reduction in energy consumption and/or demand will be accomplished through the following Programs:

- Building Operator Certification
- Business Energy Efficiency Rebates Custom
- Business Energy Efficiency Rebates Standard
- Demand Response Incentive

Program details regarding the interaction between the Company or Program Administrators and customers participating in the Programs, such as Incentives paid directly to customers, available Measures, availability of the Program, eligibility, and application and completion requirements may be adjusted through the change process as presented below. Those details, additional details on each Program, and other details such as process flows, application instructions, and application forms will be provided by the Company website, www.kcpl.com.

### CHANGE PROCESS:

The change process is applicable to changes in a Program detail regarding the interaction between the company or Program Administrators and customers participating in the Programs, and excludes changes to the ranges of Incentive amounts for each Measure.

- 1) Identify need for program detail change regarding the interaction between the Company or Program Administrators and customers participating in the Programs.
- 2) Discuss proposed change with Implementer;
- 3) Discuss proposed change with Evaluator;
- 4) Analyze impact on program and portfolio (cost-effectiveness, goal achievement, etc.);
- 5) Inform the Staff, Office of the Public Counsel and the Department of Economic Development, Division of Energy, of the proposed change, the time within which it needs to be implemented, provide them the analysis that was done and consider recommendations from them that are received within the implementation timeline (the implementation timeline shall be no less than five

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	business days from the time that Economic Development, Division analysis);			
6)	Take timely received recommendate believes it is appropriate to do so;		l incorpo	prate them where the Company
7)	Notify and train customer conta Consultants, Business Center) of	act personnel (Custor	ner Sei	rvice Representatives, Energy
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- 8) Make changes to forms and promotional materials;
- 9) Update program website;
- 10) File updated web pages and, if appropriate updated list of Measures and Incentives amounts in Case No. EO-2014-0095; and
- 11) Inform Customer, Trade Allies, etc.

Company will also continue to discuss and provide information on ongoing Program and Portfolio progress at quarterly regulatory advisory group update meetings.

### PROPOSED PROGRAM ENERGY AND DEMAND SAVINGS TARGETS:

Note that targeted energy and demand savings may be shifted between Programs depending on market response, changes in technology, or similar factors. These targets are based on savings at customer meters (excluding transmission and distribution line losses).

	Annual Incremen Tar	Total by Program	
	2014	2015	
Building Operator Certification	759,251	1,518,500	2,277,751
Business Energy Efficiency Rebates- Custom	16,995,544	16,668,880	33,664,424
Business Energy Efficiency Rebates- Standard	16,286,471	16,271,118	32,557,589
TOTAL	34,041,266	34,458,498	68,499,764

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	Annual Increme Savings	Total by Program	
	2014	2015	
Building Operator			
Certification	87	173	260
Business Energy			
Efficiency Rebates-			
Custom	4,391	4,397	8,788
Business Energy			
Efficiency Rebates-			
Standard	3,421	3,356	6,777
Demand Response			
Incentive	39,065	39,065	78,130
TOTAL	46,964	46,991	93,957

\*Programmable Thermostat is included in the C&I program offering; however, the demand savings associated with the program are only shown in the Residential Demand-Side Management tariff.

## **PROGRAM DESCRIPTIONS:**

The following pages contain other descriptions and terms for the Programs being offered under this tariff.

### CHANGES IN MEASURES OR INCENTIVES:

KCP&L may offer the Measurers contained in KCP&L's filing approved in Case No. EO-2014-0095. The offering of Measures not contained within the aforesaid filing must be approved by the Commission pursuant to 4 CSR 240-20.094(4). Measures being offered and Incentives available to customers will be listed on KCP&L's website, <u>www.kcpl.com</u>. The Measures and Incentives being offered are subject to change. Customers must consult <u>www.kcpl.com</u> for the list of currently available Measures. Should a Measure or Incentive offering shown on KCP&L's website differ from the corresponding Measure or Incentive offering as shown in the currently effective notice filed in Case No. EO-2014-0095, the stated Measure or Incentive offering as shown in the currently effective notice shall govern.

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## 22.02 BUILDING OPERATOR CERTIFICATION

### PURPOSE:

This voluntary program is designed to establish and encourage Building Operator Certification through the Northwest Energy Efficiency Council's Building Operator Certification Level 1 and Level 2 curriculums. This effort will include certification update and refresh as appropriate. In support of partnerships with the Missouri Department of Economic Development, Division of Energy (MDED) and the Midwest Energy Efficiency Alliance (MEEA), KCP&L will:

- Reimburse MDED for the annual cost to license the Level 1 and Level 2 curriculums for the Company's Missouri service territory.
- Reimburse portions of the tuition costs for Building Operators associated with properties in KCP&L's service area who successfully complete the certifications.

#### **AVAILABILITY:**

The certification courses funded by this Program will be available through MDED for any Building Operator employed by a company having at least one Missouri commercial property receiving electrical service from the Company.

### **PROGRAM PROVISIONS:**

The Program will be administered by the MDED and MEEA. KCP&L will reimburse the MDED for the amount paid annually to license the Level 1 and Level 2 curriculums for the Company area per certification class (about 20 students per class). Tuition reimbursements will be paid to the company or individual paying the tuition. To receive the reimbursement, the company or individual paying the tuition or the utility account holder associated with at least one Missouri commercial property must complete a reimbursement request and submit it to the Company. The reimbursement form is available at the Company website, www.kcpl.com.

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## APPLYING TO ELECTRIC SERVICE

## 22.03 BUSINESS ENERGY ANALYZER

## PURPOSE:

This Program allows customers with access to the Internet to retrieve their billing information, make comparisons of electric usage on a monthly or yearly basis, analyze electric usage on an end use basis, and research energy savings by end use through a searchable resource center. Customers can also compare their bills to analyze changes from one month to another. Business customers can also compare their business to a similar business in terms of average energy usage using the Energy Guide label concept.

## **PROGRAM PROVISIONS:**

This energy efficiency program is considered educational. Additional details are available at the Company website, <u>www.kcpl.com</u>.

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#### GENERAL RULES AND REGULATIONS APPLYING TO ELECTRIC SERVICE

### 22.04 BUSINESS ENERGY EFFICIENCY REBATES – CUSTOM

## PURPOSE:

The Business Energy Efficiency Custom Rebates Program is designed to encourage more effective utilization of electric energy through energy efficiency improvement opportunities which are available at the time of new equipment purchases, facility modernization, and industrial process improvement. A "Custom Incentive" is a direct payment or bill credit to a participant for installation of Measures that are part of Projects that have been pre-approved by the Program Administrator.

## AVAILABILITY:

This Program is available during the Program Period, and is available to all customers in the classes identified in the Commercial & Industrial Demand-Side Management section that also meet Custom Rebate Program Provisions below.

### **PROGRAM PROVISIONS:**

Customer applications will be evaluated and the rebates will be distributed on a first-come basis according to the date of the customer's application. This Program provides a rebate for installing qualifying high efficiency equipment or systems, or replacing or retrofitting HVAC systems, motors, lighting, pumps or other qualifying equipment or systems with higher energy efficiency equipment or systems. Both new constructions projects and retrofit projects are eligible to apply. To become a Participant in the Program customers must request a rebate for a Project by submitting an application through the Company's website (www.kcpl.com) or on paper. Measures being offered and Incentives available to customers will be listed on KCP&L's website, www.kcpl.com. Rebate applications for different energy saving measures at the same facility may be submitted. An entity with multiple facilities may participate for each facility by submitting an application for each facility. The maximum amount of each rebate will be calculated as the lesser of the buy down to a two-year payback, 50% of the incremental cost of the higher efficiency equipment, system, or energy saving measure, up to the customer annual maximum. The total amount of Program (Business Energy Efficiency Rebate – Custom and Standard) rebates that a Participant can receive during a Program year is limited to \$250,000 per customer or up to two-times the projected Demand-Side Investment Mechanism (DSIM) charge of the customer if it is greater than \$125,000. The rebate for the measure will be issued upon completion of the project.

After the Company reviews projects approved and/or paid during the first six months of a Program year, the Company may approve application for additional rebates if the Customer has reached its maximum and if Program funds are available.

By applying for the Custom Rebate Program, the customer agrees that the Project may be subject to random on-site inspections by the Program Administrator.

January 7, 2014 Darrin R. Ives Vice President

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### 22.05 BUSINESS ENERGY EFFICIENCY REBATES – STANDARD

## PURPOSE:

The Business Energy Efficiency Standard Rebates Program is designed to encourage installation of energy efficient measures in existing facilities. The primary objectives of the Program are to provide incentives to facility owners and operators for the installation of high efficiency equipment and controls and to provide a marketing mechanism for electrical contractors, mechanical contractors, and their distributors to promote energy efficient equipment to end users.

## AVAILABILITY:

This Program is available during the Program Period, and is available to all customers in the classes identified in the Commercial & Industrial Demand-Side Management section that also meet Standard Rebate Program Provisions below.

## **PROGRAM PROVISIONS:**

The Company will hire a Program Administrator to implement this Program. The Program Administrator will provide the necessary services to effectively implement the program and to strive to attain the energy savings targets. Standard Measures and Incentives will be provided to qualifying customers that provide completed Standard Rebate Applications as indicated below:

- Customer must complete a Standard Rebate Application form, available at <u>www.kcpl.com;</u>
- Customer must provide proof of equipment purchase and installation;
- Measures must be purchased and installed after the effective date of this tariff;
- Measures which receive an Incentive under the Custom Rebate Program are not eligible for this Standard Rebate Program;
- Measures must be part of a Project having an installed TRC ratio greater than 1.0; and
- Standard Measures must be installed as a retrofit in an existing facility;

By applying for the Standard Rebate Program, the customer agrees that the Project may be subject to random on-site inspections by the Program Administrator.

The total amount of Program (Business Energy Efficiency Rebate – Custom and Standard) rebates that a Participant can receive during a Program year is limited to \$250,000 per customer or up to two-times the projected Demand-Side Investment Mechanism (DSIM) charge of the customer if it is greater than \$125,000.

## ELIGIBLE MEASURES AND INCENTIVES

Standard Incentives filed in EO-2014-0095 are eligible for Program benefits and Incentives and may be offered during the Program Period. These include, but are not limited to, the following equipment types:

- Lighting and Controls
- Motors, Pumps and Variable Frequency Drives;
- HVAC (Heating, Ventilation and Air-Conditioning)
- Business Computing
- Food Service and Refrigeration

January 7, 2014 Darrin R. Ives Vice President

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#### 22.06 DEMAND RESPONSE INCENTIVE

#### PURPOSE:

This voluntary rider (Demand Response Incentive or Rider) is designed to reduce customer load during peak periods to help defer future generation capacity additions and provide for improvements in energy supply.

#### AVAILABILITY:

This Rider is available to any Customer currently receiving or requesting electric service under any generally available non-residential rate schedule. The Customer must have a load curtailment capability of at least 25 kW during the Curtailment Season and within designated Curtailment Hours, and must agree to establish Firm Power Levels as set forth herein. Availability is further subject to the economic and technical feasibility of the installation of required Company equipment. The Company reserves the right to limit the total Curtailable Load determined under this Rider. Customer participation is limited to fund availability and the Company reserves the right to modify or terminate this Program at any time, subject to Commission approval. Demand Response Incentive is a replacement for the PLCC Rider (PLCC) and MPower Rider. References to PLCC and MPower Rider found in other tariffs shall be considered references to Demand Response Incentive.

#### AGGREGATION OF A CUSTOMER'S MULTIPLE ACCOUNTS:

For the purposes of this Rider only and at the Company's option, a Customer with multiple accounts may request that some or all of its accounts be aggregated with respect to Estimated Peak Demands, Curtailable Loads and Firm Power Levels, so long as each account in the aggregation is able to provide a Curtailable Load of at least 25 kW.

The aggregated account will be treated as a single account for purposes of calculating the Program Participation Payments, Curtailment Occurrence Payments and Penalties.

#### **TERM OF CONTRACT:**

Contracts under this Rider shall be for a one-year, three-year or five-year term. Thereafter, Customers may enter into a new contract for a term of one-year, three, or five years subject to the terms and conditions of this Rider as may be modified from time to time. Written notice by either the Customer or Company to terminate a contract must be given at least thirty (30) days prior to commencement of the Curtailment Season.

#### CURTAILMENT SEASON:

The Curtailment Season shall be June 1 through September 30. The Curtailment Season will exclude Independence Day and Labor Day, or the days celebrated as such.

## **CURTAILMENT HOURS:**

Curtailment will occur during the hours of 12:00 noon through 10:00 pm, Monday through Friday during the Curtailment Season. The Curtailment Hours associated with a Curtailment Event will be established at the time of the Curtailment Notification.

### CURTAILMENT NOTIFICATION:

Customers will receive curtailment notification a minimum of four (4) hours prior to the start time of a Curtailment Event.

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Kansas City, MO

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### CURTAILMENT LIMITS:

The Customer contract shall specify the Maximum Number of Curtailment Events for which the Customer agrees to curtail load during each Curtailment Season. The Maximum Number of Curtailment Events shall be at least one (1) but shall not exceed ten (10) separate occurrences per Curtailment Season. Each Curtailment Event shall be no less than two and no more than eight consecutive hours and no more than one occurrence will be required per day. The Company may call a Curtailment Event no more than three consecutive days per calendar week. The cumulative hours of Curtailment Hours per Customer shall not exceed eighty (80) hours in any Curtailment Season.

### **ESTIMATED PEAK DEMANDS:**

The Estimated Peak Demand is the average of the Customer's Monthly Maximum Demand for Monday through Friday between 12:00 noon and 10:00 pm for June 1 through September 30 from the previous year.

The Company may use such other data or methodology as may be appropriate to establish the Estimated Peak Demand.

## **ESTIMATED PEAK DEMAND MODIFICATIONS:**

The Company may review and, if necessary, adjust the Customer's Estimated Peak Demand based on evidence that the Customer's actual peak demand has changed, or will change, significantly from the Estimated Peak Demand currently being used to calculate the Customer's Curtailable Load. If a change in the Customer's Estimated Peak Demand results in a change in its Curtailable Load, the Customer shall lose and/or repay its curtailment compensation proportional to the number of days curtailment was not available and the change in the Curtailable Load.

## FIRM POWER LEVELS:

During the months of June through September, the Customer's Firm Power Level, which is the maximum demand level to be drawn during a Curtailment Event, shall be set at least 25 kW less than the Customer's Estimated Peak Demand.

The Company may use a Test Curtailment to establish the Firm Power Levels for the Customer.

### FIRM POWER LEVEL MODIFICATIONS:

After the Curtailment Season, and upon ninety (90) days written notice by the Customer, the Firm Power Level may be modified to reflect significant change in Customer load, subject to verification and approval by the Company. At any time the Company may adjust the Customer's Firm Power Level downward based on evidence that the Customer's actual demand has dropped, or will drop, significantly from the Estimated Peak Demand. Any adjusted Firm Power Level shall continue to provide for a Curtailable Load of at least 25 kW. Future customer compensation will be adjusted accordingly for any change in Firm Power Level.

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## FIRM POWER LEVEL MODIFICATIONS: (continued)

Additionally, for any change in Firm Power Level that decreases Curtailable Load for the Customer shall result in re-evaluation of all curtailment compensation to the Customer including any payment or credits made in advance of the Curtailment Season. The Customer shall repay the Company prior payments/credits made in excess of the curtailment compensation due based on the decreased level of Curtailable Load.

## CURTAILABLE LOAD:

Curtailable Load shall be that portion of a Customer's Estimated Peak Demand that the Customer is willing and able to commit for curtailment, and that the Company agrees to accept for curtailment. The Curtailable Load shall be the same amount for each month of the contract. Under no circumstances shall the Curtailable Load be less than 25 kW. Curtailable Load is calculated as the difference between the Estimated Peak Demand as determined above, and the Firm Power Level.

## CUSTOMER COMPENSATION:

Customer compensation shall be defined within each Customer contract and will be based on contract term, Maximum Number of Curtailment Events and the number of actual Curtailment Events per Curtailment Season. Timing of all payments/credits shall be specified in the curtailment contract with each Customer. Payments shall be paid to the Customer in the form of a check or bill credit as specified in the contract. The credits shall be applied before any applicable taxes. All other billing, operational, and related provisions of other applicable rate schedules shall remain in effect.

Compensation will include:

**PROGRAM PARTICIPATION PAYMENT:** For each Curtailment Season, Customer shall receive a payment/credit based upon the contract term, the number of consecutive years under contract, and the Maximum Number of Curtailment Events. The Program Participation Payment for a Curtailment Season is equal to the per kilowatt of Curtailable Load rate as defined in the table below multiplied by the Maximum Number of Curtailment Events stated in the Customer's contract.

Contract Term	# of Consecutive Years Under Contract	\$/kW of Curtailable Load
One year	1	\$2.50
One year	2	\$2.50
One year	3	\$3.25
One year	4	\$3.25
One year	5 or more	\$4.50
Three years	1 to 3	\$3.25
Three years	4	\$3.25
Three years	5 or more	\$4.50
Five years	Any	\$4.50

The Program Participation Payment will be divided by the number of months in the Curtailment Season and applied as bill credits equally for each month of the Curtailment Season.

DATE OF ISSUE: ISSUED BY:

January 7, 2014 Darrin R. Ives, Vice President DATE EFFECTIVE: May 7, 2014 Kansas City, MO

<b>KANSAS CITY POWER 8</b>	LIGHT COMPANY			
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### DEMAND RESPONSE INCENTIVE

(continued)

### **CUSTOMER COMPENSATION: (continued)**

**INTIAL PAYMENT:** Upon agreement with the Company, a Customer may receive a one-time payment to purchase specific equipment necessary to participate in the Demand Response Incentive Rider. The amount of any Initial Payment will be deducted from the net present value (NPV) of the Program Participation Payments expected under the contract as calculated by the Company. The Initial Payment amount, when subtracted from the NPV of the expected Program Participation Payments, may not result in an annual Program Participation Payment of less than \$2.50 per kilowatt of Curtailable Load per Curtailment Event.

**CURTAILMENT EVENT PAYMENT:** The Customer will also receive \$0.35 per kW of Curtailable Load for each Curtailment Hour during which the Customer's metered demand is less than or equal to the Customer's Firm Power Level.

#### **NEED FOR CURTAILMENT:**

Curtailments can be requested for operational or economic reasons. Operational curtailments may occur when physical operating parameters approach becoming a constraint on the generation, transmission, or distribution systems, or to maintain the Company's capacity margin requirement. Economic curtailment may occur when the marginal cost to produce or procure energy, or the opportunity to sell the energy in the wholesale market, is greater than the Customer's retail price.

#### **PENALTIES:**

Failure of the Customer to effect load reduction to its Firm Power Level or lower in response to any Company request for curtailment shall result in the following reduction or refund of Program Participation Payments and Curtailment Occurrence Payments for each such failure as follows:

Reduction of Curtailment Occurrence Payment: Customer will forfeit Curtailment Event Payment for every Curtailment Hour during which it fails to effect load reduction to its Firm Power Level or lower.

Reduction of Program Participation Payment: Customer will receive reduced future Program Participation Payments or a bill debit, in an amount equal to 150% of the Program Participation Payment divided by the Maximum Number of Curtailment Events, the result of which is multiplied by the percentage by which the Customer underperformed during a Curtailment Event.

Any Customer who fails to reduce load to its Firm Power Level on three or more days within any Curtailment Season may be ineligible for this Rider for a period of two years from the date of the third failure.

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### CURTAILMENT CANCELLATION:

The Company reserves the right to cancel a scheduled Curtailment Event prior to the start time of such Curtailment Event. However, if cancellation occurs with less than two hours of the notification period remaining prior to commencement of a Curtailment Event, the canceled Curtailment Event shall be counted as a separate occurrence with a zero-hour duration.

#### **TEST CURTAILMENT:**

The Company reserves the right to request a Test Curtailment once each year and/or within three months after a Customer's failure to effect load reduction to its Firm Power Level or lower upon any Company request for curtailment. Test Curtailments do not count toward the Maximum Number of Curtailment Events. Customers will not be compensated for Test Curtailments.

#### **VOLUNTARY LOAD REDUCTION:**

Customers served on this Rider also will be served on the Voluntary Load Reduction Rider (Schedule VLR), subject to the paragraph entitled "Special Provisions for Customers Served on Schedule DRI." A separate Contract for service on Schedule VLR is not required for customers served on Schedule DRI.

### ADDITIONAL VOLUNTARY EVENTS

At any time while the Customer's contract is in effect, the Company may request a Customer to participate, on a voluntary basis, in additional Curtailment Events. Customers who are asked and who participate in these additional voluntary curtailments will receive Curtailment Event Payments as outlined previously in this Rider, but will not receive additional Program Participation Payments. This provision applies to all Customers whose contracts are still in force, whether or not they have participated in a number of Curtailment Events equal to their chosen Maximum Number of Curtailment Events.

At its sole discretion, the Company will decide to apply the terms of Voluntary Load Reduction or Additional Voluntary Events for a given Curtailment Event.

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## 22.07 RESIDENTIAL DEMAND-SIDE MANAGEMENT

### PURPOSE:

The Residential Demand-Side Management (DSM) Programs (Programs), which consist of eight programs, are designed to encourage residential customers to proactively use energy in such a way as to reduce consumption of electricity. With the exception of the Income-Eligible Weatherization Program, the programs included in this tariff are cost effective by having a Total Resource Cost Test ratio of greater than 1.0.

These Programs are offered in accordance with Section 393.1075, RSMo. Supp. 2009 (the Missouri Energy Efficiency Investment Act) and its associated State Regulations.

## AVAILABILITY:

Except as otherwise provided in the terms governing a particular program, these Programs are available to residential customers in the Company's Missouri service area being served under any generally available residential rate schedule.

Monetary incentives are not payable to a customer participating in a residential DSM program that has received a state tax credit under sections 135.350 through 135.362, RSMo, or under sections 253.545 through 253.561, RSMo. As provided for in the Commission's rules, customers shall attest to non-receipt of any such tax credit and acknowledge that the penalty for a customer who provides false documentation is a class A misdemeanor.

Customer participation is limited to fund availability and KCP&L reserves the right to freeze the Programs.

Unless otherwise provided for in the tariff sheets or schedules governing a particular Program, customers may participate in multiple Programs, but may receive only one Incentive per Measure.

### **DEFINITIONS:**

Unless otherwise defined, terms used in tariff sheets or schedules in Section 22. MEEIA Programs, have the following meanings:

<u>Applicant</u> – A customer who has submitted a program application or has had a program application submitted on their behalf.

<u>Demand-Side Program Investment Mechanism (DSIM)</u> – A mechanism approved by the Commission in a utility's filing for demand-side program approval in Case No. EO-2014-0095.

<u>Evaluator</u> – The independent entity selected by the Company to provide evaluation, measurement and verification of the Company's demand-side program portfolio.

<u>Incentive</u> – Any consideration provided by the Company directly or through the Program Administrator and Program Partners, including buydowns, markdowns, rebates, bill credits, payment to third parties, direct installations, giveaways and education, which encourages the adoption of Measures.

DATE OF ISSUE:	January 7, 2014	DATE EFFECTIVE:	May 7, 2014
ISSUED BY:	Darrin R. Ives, Vice President		Kansas City, MO

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## **RESIDENTIAL DEMAND-SIDE MANAGEMENT**

### (continued)

<u>Measure</u> – An end-use measure, energy efficiency measure, and energy management measure as defined in 4 CSR 240-22.020(18), (20), and (21).

<u>Participant</u> – End-use customer and/or manufacturer, installer, or retailer providing qualifying products or services to end-use customers.

<u>Program Administrator</u> – The entity selected by the Company to provide program design, promotion, administration, implementation, and delivery of services.

<u>Program Period</u> – The period from approval of the MEEIA plan through December 31, 2015, unless sooner terminated under the TERM provision of this tariff. Programs may have slightly earlier deadlines for certain activities, as noted on the Company website – <u>www.kcpl.com</u>.

<u>Program Partner</u> – A retailer, distributor or other service provider that the Company or the Program Administrator has approved to provide specific program services through execution of a Company approved service agreement.

<u>Total Resource Cost (TRC) Test</u> – A test of the cost-effectiveness of demand-side programs that compares the avoided utility costs to the sum of all incremental costs of end-use measures that are implemented due to the program (including both Company and Participant contributions), plus utility costs to administer, deliver and evaluate each demand-side program.

## TERM:

This tariff and the tariffs reflecting each specific residential DSM program shall be effective from [TBD] through December 31, 2015, unless a sooner deadline is outlined on the Company website.

If the Programs are terminated prior to the end of the Program Period, only Incentives for qualifying Measures that have been installed prior to the Programs' termination will be provided to the customer.

### **DESCRIPTION:**

The reduction in energy consumption and demand will be accomplished through the following Programs:

- Home Appliance Recycling Rebate
- Air Conditioning Upgrade Rebate
- Home Energy Report Pilot
- Home Lighting Rebate
- Home Energy Improvements
- Income-Eligible Weatherization
- Programmable Thermostat

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## GENERAL RULES AND REGULATIONS APPLYING TO ELECTRIC SERVICE

## **RESIDENTIAL DEMAND-SIDE MANAGEMENT**

## (continued)

Program details regarding the interaction between the Company or Program Administrators and customers participating in the Programs, such as Incentives paid directly to customers, available Measures, availability of the Program, eligibility, and application and completion requirements may be adjusted through the change process as presented below. Those details, additional details on each program, and other details such as process flows, application instructions, and application forms will be provided on the Company website, <u>www.kcpl.com</u>.

## CHANGE PROCESS:

The change process is applicable to changes in a program detail regarding the interaction between the Company or Program Administrators and customers participating in the Programs, and excludes changes to the ranges of Incentive amounts for each Measure.

- 1) Identify need for program detail change regarding the interaction between the Company or Program Administrators and customers participating in the Programs;
- 2) Discuss proposed change with Implementer;
- 3) Discuss proposed change with Evaluator;
- 4) Analyze impact on program and portfolio (cost-effectiveness, goal achievement, etc.);
- 5) Inform the Staff, Office of the Public Counsel and the Department of Economic Development, Division of Energy, of the proposed change, the time within which it needs to be implemented, provide them the analysis that was done and consider recommendations from them that are received within the implementation timeline (the implementation timeline shall be no less than five business days from the time that the Staff, Office of the Public Counsel and the Department of Economic Development, Division of Energy, are informed and provided the above-referenced analysis);
- 6) Take timely received recommendations into account and incorporate them where the Company believes it is appropriate to do so;
- 7) Notify and train customer contact personnel (Customer Service Representatives, Energy Consultants, Business Center) of the changes;
- 8) Make changes to forms and promotional materials;
- 9) Update program website;
- 10) File updated web pages and, if appropriate updated list of Measures and Incentive amounts in Case No. EO-2014-0095; and
- 11) Inform Customers, Trade Allies, Program Partners, etc.

Company will also continue to discuss and provide information on ongoing program and portfolio progress at quarterly regulatory advisory group update meetings.

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## GENERAL RULES AND REGULATIONS APPLYING TO ELECTRIC SERVICE

## **RESIDENTIAL DEMAND-SIDE MANAGEMENT**

(continued)

## PROPOSED PROGRAM ENERGY AND DEMAND SAVINGS TARGETS:

Note that targeted energy and demand savings may be shifted between programs depending on market response, changes in technology, or similar factors. These targets are based on savings at customer meters (excluding transmission and distribution line losses).

	Annual Incremen Tar	Total by Program	
	2014	2015	
Home Appliance Recycling			
Rebate	409,839	743,606	1,153,445
Air Conditioning Upgrade			
Rebate	2,044,674	1,992,012	4,036,686
Home Energy Report Pilot	21,928,861	27,411,076	49,339,937
Home Lighting Rebate	14,816,440	15,999,922	30,816,362
Home Energy			
Improvements	290,222	525,963	816,185
Income Eligible			
Weatherization	354,744	580,631	935,375
TOTAL	39,844,780	47,253,210	87,097,990

_	Annual Incremental kW Demand Savings Targets		Total by Program
	2014	2015	
Home Appliance Recycling			
Rebate	65	119	184
Air Conditioning Upgrade			
Rebate	1,186	1,153	2,339
Home Energy Report Pilot	5,482	6,853	12,335
Home Lighting Rebate	1,579	1,739	3,318
Home Energy			
Improvements	73	132	205
Income Eligible			
Weatherization	129	173	302
Programmable Thermostat	20,019	19,931	39,950
TOTAL	28,533	30,100	58,633

DATE OF ISSUE: ISSUED BY: January 7, 2014 Darrin R. Ives, Vice President DATE EFFECTIVE:

May 7, 2014 Kansas City, MO

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## **RESIDENTIAL DEMAND-SIDE MANAGEMENT**

(continued)

## **PROGRAM DESCRIPTIONS:**

The following pages contain other descriptions and terms for the Programs being offered under this tariff.

## CHANGES IN MEASURES OR INCENTIVES:

KCP&L may offer the Measurers contained in KCP&L's filing approved in Case No. EO-2014-0095. The offering of Measures not contained within the aforesaid filing must be approved by the Commission pursuant to 4 CSR 240-20.094(4). Measures being offered and Incentives available to customers will be listed on KCP&L's website, <u>www.kcpl.com</u>. The Measures and Incentives being offered are subject to change. Customers must consult <u>www.kcpl.com</u> for the list of currently available Measures. Should a Measure or Incentive offering shown on KCP&L's website differ from the corresponding Measure or Incentive offering as shown in the currently effective notice filed in Case No. EO-2014-0095, the stated Measure or Incentive offering as shown in the currently effective notice shall govern.

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#### GENERAL RULES AND REGULATIONS APPLYING TO ELECTRIC SERVICE

## 22.08 HOME APPLIANCE RECYCLING REBATE

## PURPOSE:

This voluntary program is designed to encourage retirement of inefficient, operating secondary refrigerators and freezers by providing an Incentive to take the units out of homes and recycle them in an environmentally safe manner.

## AVAILABILITY:

The Home Appliance Recycling Rebate is available during the Program Period. All Company customers receiving service under any generally available residential rate schedule are eligible for this program.

### **PROGRAM PROVISIONS:**

The Company will hire a Program Administrator to implement this program. The Program Administrator will provide the necessary services to effectively implement the program and strive to attain the energy savings targets. The following process will be followed to serve Participants in the Program:

- Participants may schedule the appliance pickup at the Company website, <u>www.kcpl.com</u>.
- At the Participant's address, the Program Partner verifies the unit is eligible and removes it from the home.
- The unit is taken to the Program Partner facility and all materials are recovered for recycling or disposed of in accordance with the Environmental Protection Agency's approved practices.
- Incentives are sent to Participant following the appliance pickup appointment.

## ELIGIBLE MEASURES AND INCENTIVES

Recycling-related Measures filed in Case No. EO-2014-0095 are eligible for program benefits and Incentives and may be offered for promotion during the Program Period. Program details and Incentives paid directly to customers or Program Partners may be found at <u>www.kcpl.com</u>.

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## GENERAL RULES AND REGULATIONS APPLYING TO ELECTRIC SERVICE

## 22.09 AIR CONDITIONING UPGRADE REBATE

## PURPOSE:

This voluntary program is designed to encourage residential customers to have existing operating cooling systems evaluated and if feasible, brought back to factory specifications (re-commissioned), or replace less efficient, working central cooling systems with high efficiency central cooling systems.

## AVAILABILITY:

The Air Conditioning Upgrade Rebate is available during the Program Period. The Program is available to any Company customer receiving service under any generally available residential rate schedule.

### **PROGRAM PROVISIONS:**

The Company will hire a Program Administrator to implement this program. The Program Administrator will provide the necessary services to effectively implement the program and strive to attain the energy savings targets. Company will provide Incentives to encourage sales of energy efficiency products and for properly installed Air Conditioning energy saving upgrades.

The program will employ the Program Administrator's preferred protocols to verify system eligibility for program Measures.

Additional program provisions may be found at <u>www.kcpl.com</u>.

## ELIGIBLE MEASURES AND INCENTIVES

Air Conditioning-related program Measures filed in Case No. EO-2014-0095 are eligible for program benefits and Incentives and may be offered for promotion during the Program Period. Program details and Incentives paid directly to contractors or Program Partners may be found at <u>www.kcpl.com</u>.

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# APPLYING TO ELECTRIC SERVICE

## 22.10 HOME ENERGY REPORT PROGRAM - PILOT

## PURPOSE:

The Home Energy Report Pilot program provides residential customers with an Energy Report that provides a comparison of the household energy usage information with similar type customers or "neighbors." The intention of the Energy Report is to provide information that will influence customers' behavior in such a way that they lower their energy usage. This is a behavioral modification program.

## AVAILABILITY:

The Program is directed to customers currently receiving service under any generally available residential rate schedule. The Company will conduct a two-year pilot of the Program, selecting 120,000 customers for participation. The Program will operate as an opt-out only program, meaning the Company will select customers for participation in the program and will allow opt-out if desired. A Customer choosing to opt-out of the Program should contact the Company to have their premise removed from the reporting group.

### **PROGRAM PROVISIONS:**

The Company will hire a Program Administrator to implement this program. The Program Administrator will deliver a turn-key program with responsibility for all aspects of customer selection, report generation, energy savings quantification, customer communications and reporting.

Additional program provisions may be found at <u>www.kcpl.com</u>.

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## GENERAL RULES AND REGULATIONS APPLYING TO ELECTRIC SERVICE

## 22.11 HOME LIGHTING REBATE

## PURPOSE:

This voluntary program is designed to promote energy efficient lighting. The program promotes several products that are energy efficient such as solid state lighting and light emitting diode technologies.

## AVAILABILITY:

The Home Lighting Rebate is available during the Program Period and residential customers may participate in the Program by acquiring qualifying products from participating Program partners through purchase or other approved distribution methods, such as social marketing distribution, kits and or direct installation.

### **PROGRAM PROVISIONS:**

The Company will implement this Program. A Program Administrator may be responsible for items such as incentive processing, rebate processing, communication with the customer to resolve application issues and status reporting associated with the Program, as directed by the Company

The Program uses a two-pronged approach:

- 1. Increasing supply of qualifying products through partnerships with retailers, manufacturers and distributors; and
- 2. Creating demand through consumer awareness and understanding of the ENERGY STAR® label and the benefits of energy efficiency.

Program promotions will be made available at Program Partner locations within the Company's electric service territory. Participating Program Partners will be listed on the Company website, <u>www.kcpl.com</u>, with store name and location listed as well as any in-store promotions being offered.

## ELIGIBLE MEASURES AND INCENTIVES

Home Lighting Rebate Measures filed in Case No. EO-2014-0095 are eligible for program benefits and Incentives and may be offered for promotion during the Program Period. Eligible lighting products and Incentives paid directly to customers or Program Partners may be found at <u>www.kcpl.com</u>.

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## GENERAL RULES AND REGULATIONS APPLYING TO ELECTRIC SERVICE

## 22.12 HOME ENERGY IMPROVEMENTS

### PURPOSE:

This voluntary Program is intended to encourage residential Customers to identify and implement measures that can be applied to their home to improve energy efficiency and comfort by conducting a Home Energy Improvements walk-through home audit. The Program offers rebates and technical assistance for the installation of energy-saving measures identified during the audit.

## AVAILABILITY:

This Program may be applied to any home, multiplex, or apartment where the current resident is receiving service under any generally available residential rate schedule offered by the Company. All audits must be requested by the owner of the home, multiplex, or apartment. Tenant agreement, as applicable, is required. Program rebates are limited to one rebate per audit.

### **PROGRAM PROVISIONS:**

Customers who participate in the Program must participate in a basic home energy audit. This process may be facilitated and quality checked by a third party Program Administrator on behalf of the Company in accordance with established Program guidelines.

## ELIGIBLE MEASURES AND INCENTIVES:

Home Energy Improvements Meaures filed in Case No. EO-2014-0095 are eligible for program benefits and incentives and may be offered for promotion during the Program Period. Eligible Measures and Incentives may be found at <u>www.kcpl.com</u>.

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#### 22.13 INCOME-ELIGIBLE WEATHERIZATION

#### PURPOSE:

This voluntary Program is intended to assist residential Customers in reducing their energy usage by weatherizing the homes of qualified Customers.

#### AVAILABILITY:

This Program is available for the Program Period to any Customer currently receiving service under any generally available residential rate schedule and who meet the following criteria: The Customer's household earnings meet the low income guidelines for weatherization specified by the Department of Energy (DOE) for the number of persons in the residence, the residence must have energy consumption greater than 3,000 kWh per year, the Customer has received electric service from the Company for a minimum of one year prior to completion of an application for weatherization assistance and who also meets the additional Customer eligibility requirements defined in the agreement between the Company and the Social Service Agency.

#### **PROGRAM PROVISIONS:**

The Program will be implemented by the Company. Missouri-based Social Service Agencies will provide qualifying and assistance services to Customers.

Program funds cannot be used for administrative costs except those incurred by the Social Service Agency that is directly related to qualifying and assisting Customers under this Program. The amount of reimburseable administrative costs per Program year shall not exceed 13% of the total Program funds that are utilized by the Social Service Agency within a program year, as defined in the agreement between the Company and the Social Service Agency.

The total amount of grants offered to a qualifying Customer will be defined in the agreement between the Company and the Social Service Agency using established criteria for Income-Eligible Weatherization. The average expenditure per Customer in each Program year shall not exceed the Adjusted Average Expenditure Limit for weatherization determined by the U.S. DOE that is applicable for the month that the weatherization is completed.

### ELIGIBLE MEASURES AND INCENTIVES:

Income-Eligible Weatherization Meaures filed in Case No. EO-2014-0095 are eligible for program benefits and incentives and may be offered for promotion during the Program Period. Eligible Measures and Incentives may be found at <u>www.kcpl.com</u>.

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#### GENERAL RULES AND REGULATIONS APPLYING TO ELECTRIC SERVICE

## 22.14 PROGRAMMABLE THERMOSTAT

### PURPOSE:

The voluntary Programmable Thermostat Program is intended to help reduce system peak load and thus defer the need for additional capacity. The Program accomplishes this by cycling the Participants' air conditioning unit temporarily in a Company coordinated effort to limit overall system peak load.

## AVAILABILITY:

The Program is available for the Program Period to any Customer currently receiving service under any residential, small general service or medium general service rate schedule. Customers must also have adequate paging and/or radio coverage and have a working, central air conditioning system of suitable size and technology to be controlled by the programmable thermostat. Other appliances such as pool pumps or electric hot water heaters may be controlled with Participant's permission. Residential property owner's (owner occupant or landlord for a rental property) permission is required to participate. Commercial property owner's permission may be required for a tenant to participate.

## CONTROLS AND INCENTIVES:

Participants will receive a programmable thermostat that can be controlled via radio or Wi-Fi signals sent to the unit by the Company or its assignees. During a curtailment event, the Company or its assignee will send a radio or Wi-Fi signal to the thermostat that will cycle the Participant's air conditioner and any other equipment. Participants may use the programmable thermostat throughout the year to improve heating and cooling efficiency. Company supplied control devices may be substituted for the programmable thermostat.

## CYCLING METHODS:

The Company may elect to cycle Participants' air conditioner units either by raising the thermostat setting, or by directly cycling the compressor unit.

### **NOTIFICATION:**

The Company will notify Participants of a curtailment event via a website and/or on the thermostat. The notification can occur prior to or at the start of a curtailment event.

### **CURTAILMENT SEASON:**

The Curtailment Season will extend from June 1 to September 30.

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### **PROGRAMMABLE THERMOSTAT**

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### CURTAILMENT LIMITS:

The Company may call a curtailment event any weekday, Monday through Friday, excluding Independence Day and Labor Day, or any day officially designated as such. A curtailment event occurs whenever the thermostat is being controlled by the Company. The Company may call a maximum of one curtailment event per day lasting no longer than four (4) hours per Participant. The Company is not required to curtail all Participants simultaneously and may stagger curtailment events across participating Participants.

### CURTAILMENT OPT OUT PROVISION:

A Participant may opt out of one air conditioning cycling curtailment event each month during the Curtailment Season by notifying the Company at any time prior to or during a curtailment event. Notification must be communicated to the Company by using the Company's website (<u>www.kcpl.com</u>) or by calling the Company at the telephone number provided with the air conditioner cycling agreement. If a curtailment event does not occur on the day the Participant requested to opt out, the Participant is not considered as having used their once-per-month opt out provision.

### **NEED FOR CURTAILMENT:**

Curtailments may be requested for operational or economic reasons. Operational curtailments may occur when any physical operating parameter(s) approaches a constraint on the generation, transmission or distribution systems or to maintain the Company's capacity margin requirement. Economic reasons may include any occasion when the marginal cost to produce or procure energy or the price to sell the energy in the wholesale market is greater than a Customer's retail price.

### CONTRACT TERM:

Initial contracts will be for a period of three years, terminable thereafter on 90 days written notice. At the end of the initial term, the thermostat becomes the Participant's property; however, so long as the contract is in force, the Company will provide maintenance and repair to the programmable thermostat as may be required due to normal use. The Company may retain ownership of Company supplied control equipment after the initial term. If the Participant leaves the Program prior to the end of the initial contract, the Company will have 60 days thereafter to remove the thermostat and/or other control equipment; otherwise, it becomes the Participant's property.

DATE EFFECTIVE: May 7, 2014 Kansas City, MO

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				For _	Missouri Retail Servic	ce Area			
GENERAL RULES AND REGULATIONS									

#### GENERAL RULES AND REGULATIONS APPLYING TO ELECTRIC SERVICE

## 22.15 HOME ENERGY ANALYZER

## PURPOSE:

This Program allows customers with access to the Internet to retrieve their billing information, make comparisons of electric usage on a monthly or yearly basis, analyze electric usage on an end use basis, and research energy savings by end use through a searchable resource center. Customers can also compare their bills to analyze changes from one month to another.

## **PROGRAM PROVISIONS:**

This energy efficiency program is considered educational. Additional details are available at the Company website, <u>www.kcpl.com</u>.