

Smithview H2O Company

Company Full Certificated Name (Do not abbreviate and include any Commission approved AKA/DBA/Fictitious Name, if applicable.)

WATER and/or SEWER ANNUAL REPORT

SMALL COMPANY

(Fewer than 8,000 customers)

TO THE

MISSOURI PUBLIC SERVICE COMMISSION

January 1 - December 31, 2012

Please select how the company is certificated with the Commission under the Company Name as shown above (check all that apply):

☒ Water Service Provider

☐ Sewer Service Provider

Please choose one of the following filing options:

☒ **Public Submission** (NOT Highly Confidential)

☐ **Non-Public Submission** (Highly Confidential / Filed Under Seal)
For this filing to be considered Highly Confidential, additional submission of materials is required pursuant to Commission rule 4 CSR 240-3.335 and/or 4 CSR 240-3.640, Section 392.210, RSMo., and/or Section 393.140, RSMo.

1 For the calendar year of January 1 - December 31, 2012

2 **Company Name:** Smithview H2O Company

Parent Company Name: _____
(if applicable)

3 **Company Street Address:** 7491 Hwy V V Columbia, MO 65202

4 **Company Mailing Address:** 7491 Hwy V V Columbia, MO 65202

5 **Company Phone Number:** _____ **Company E-mail Address:** _____

Name, address, phone number and e-mail of person(s) to contact concerning information contained in this report:

6 Peggy Rosario _____
Name Name

7 7491 Hwy V V Columbia, MO 65202 _____
Mailing Address Mailing Address

7a 7491 Hwy V V Columbia, MO 65202 _____
Street Address Street Address

8 Columbia MO 65202 _____
City State Zip City State Zip

9 573-449-0405 _____
Telephone Number Telephone Number

10 astransport123@yahoo.com _____
E-mail Address E-mail Address

Please list the name, address, phone number and e-mail address of the primary licensed operator to contact concerning water plant operations:

Please list the name, address, phone number and e-mail address of the primary licensed operator to contact concerning sewer plant operations:

11 Stacy Chandler _____
Name Name

12 205 E Patton _____
Mailing Address Mailing Address

12a 205 E Patton _____
Street Address Street Address

13 Sturgeon MO 65284 _____
City State Zip City State Zip

14 573-999-4398 _____
Telephone Number Telephone Number

15 _____
E-mail Address E-mail Address

16 9442 _____
Operator License Number Operator License Number

Please provide the total company **and** gross intrastate operating revenues (i.e. Missouri Jurisdictional) Revenues for the Calendar Year: 2012

Water Revenues	**	MO Jurisdictional	**	**	Total Company	**
17 Operating Revenues from Tariffed Services		\$ 30,325.40			\$ 30,325.40	
18 Other Revenues		-				
19 TOTAL REVENUES (Page W-2, line 24)		\$ 30,325.40			\$ 30,325.40	

Total MO Jurisdictional Revenue (line 19 above) should match Statement of Revenue (MoPSC Assessment).

Sewer Revenues	**	MO Jurisdictional	**	**	Total Company	**
20 Operating Revenues from Tariffed Services		\$ -				
21 Other Revenues		-				
22 TOTAL REVENUES (Page S-2, line 21)		\$ -			\$ -	

Total MO Jurisdictional Revenue (line 22 above) should match Statement of Revenue (MoPSC Assessment).

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012
 2 Company Name: Smithview H2O Company

CAPITAL STOCK (COMMON AND DEFERRED)

Class and Series of Stock (a)	Number of Shares Authorized (b)	Par or Stated Value Per Share (c)	Number of Shares Issued (d)
	300	\$ 10.00	270

SECURITY HOLDERS AND VOTING POWERS

Report below the NAMES and ADDRESS of the ten stockholders who, at the end of the year, had the greatest voting powers in the respondent. AND STATE THE NUMBER OF VOTES each would have had a right to cast on that date. If any such holder held in trust, give the nature of the trust and the beneficial owner. Show also total votes of ALL securities with voting powers.

Names and Addresses (a)	Number of Votes (b)
Thomas Smith 7491 Hwy V V Columbia, MO 65202	50
16 Total Number of Votes Held by Above	50
17 Total Number of Votes of all Securities with Voting Powers	

Identify the principal or general officers of the company at the end of the year. Please include an additional sheet, if enough space is not provided on this page, to completely provide the requested information.

Title of General Officer	Name of Person Holding Office
President / Owner	Thomas Smith
Manager	Peggy Rosario


Please check all of the following for which the company has filed / is current:

- 27 ☒ Secretary of State Requirements ☒ Federal Tax Return
 28 ☒ Department of Natural Resources Permits ☒ State Tax Return

29 DNR Permit Number: MO 0047619 (If you hold more than one permit, please attach list.)
 30 Original DNR Permit Date: 7/1/2005
 31 DNR Permit Expiration Date: _____

Please list your most recent rate increase request Case No(s). and effective date(s).

32 Water Case No.: WR 2009-0197 Effective Date: 6/5/2009
 33 Sewer Case No.: _____ Effective Date: _____

 Indicates formula cell(s)

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2012

2 Company Name: Smithview H2O Company

Describe MAJOR transactions occurring during the year which will have a major effect on operations, such as rate changes, replacement of equipment and other abnormal cash expenditures of \$250 or more.

3 Chlorine Pump \$825.00

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

27

28

29

30

1 For the calendar year of January 1 - December 31, 2012

2 Company Name: Smithview H2O Company

NOTE: Please do not type over formulas. Totals will calculate automatically in this spreadsheet.

BALANCE SHEET
WATER AND SEWER OPERATIONS
ASSETS

	Account Description (a)	**	Amount (b)	**
3	Water Plant In Service (From Pg. W-5)		\$ 175,436.89	
4	LESS: Water Depreciation Reserve (From Pg. W-6)		\$ 77,639.40	
5	Net Water Plant in Service (i.e., Water Plant In Service MINUS Water Depreciation Reserve)		\$ 97,797.49	
6	Water Materials and Supplies (From Pg. 11)		\$ -	
7	Water Construction Work in Progress			
8	Water Plant Held for Future Use (List explanation on Page 4a)			
9	Water Plant Acquisition Adjustment			
10	Sewer Plant in Service (From Pg. S-4)		\$ -	
11	LESS: Sewer Depreciation Reserve (From Pg. S-5)		\$ -	
12	Net Sewer Plant in Service (i.e., Sewer Plant in Service MINUS Sewer Depreciation Reserve)		\$ -	
13	Sewer Materials and Supplies (From Pg. 11)		\$ -	
14	Sewer Construction Work in Progress			
15	Sewer Plant Held for Future Use (List explanation on Page 4a)			
16	Sewer Plant Acquisition Adjustment			
17	Other Plant (List explanation on Page 4a)			
18	Cash		\$ 854.11	
19	Other Assets (Accounts Receivable, etc.) (List explanation on Page 4a)			
20	Total Assets*		\$ 98,651.60	

* Total Assets should balance with Total Equity and Liabilities on Page 5 (see instructions). Difference between Equity & Liabilities and Assets (from Pg 5).

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012

2 Company Name: Smithview H2O Company

NOTE: Please do not type over formulas. Totals will calculate automatically in this spreadsheet.

BALANCE SHEET
WATER AND SEWER OPERATIONS
EQUITY AND LIABILITIES

	Account Description (a)	**	Amount (b)	**
3	Capital Stock		\$ 2,700.00	
4	Retained Earnings		\$ 78,911.60	
5	Long-Term Debt to Affiliates (owners, other owner controlled companies, etc.)			
6	Short-Term Debt to Affiliates (owners, other owner controlled companies, etc.)			
7	Long-Term Debt (banks, etc.)			
8	Short-Term Debt (banks, etc.)			
9	Water Customer Deposits			
10	Water Advances for Construction			
11	Water CIAC (From Pg. 9)		\$ -	
12	LESS: Water Amortization of CIAC (From Pg. 9)		\$ -	
13	Net Water CIAC (i.e., Water CIAC MINUS Water Amortization of CIAC)		\$ -	
14	Sewer Customer Deposits			
15	Sewer Advances for Construction			
16	Sewer CIAC (From Pg. 9)		\$ -	
17	LESS:		\$ -	
18	Net Sewer CIAC (i.e., Sewer CIAC Minus Sewer Amortization of CIAC)		\$ -	
19	Deferred Taxes - ITC			
20	Deferred Taxes - Other (List explanation on Page 5a)			
21	Other Liabilities (Accounts Payable, etc.) (List explanation on Page 5a)		\$ 17,040.00	
22	Total Equity and Liabilities*		\$ 98,651.60	

* Total Equity and Liabilities should balance with Total Assets on Page 4 (see instructions).
Difference between Equity & Liabilities and Assets (from Pg 4).

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012

2 Company Name: Smithview H2O Company

DETAILED EXPLANATION OF ITEMS

3 Page 5, Line 20 Deferred Taxes - Other \$ -

** Description	Amount	**
Total (should match amount on Page 5, Line 20)	\$ -	

4 Page 5, Line 21 Other Liabilities (Accounts Payable, etc.) \$ 17,040.00

** Description	Amount	**
Management Salary	\$ 13,800.00	
Contract Services	\$ 3,240.00	
Total (should match amount on Page 5, Line 21)	\$ 17,040.00	

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

SCHEDULE OF UNCOLLECTIBLE ACCOUNTS

	Account No. (a)	Describe customer account and methods used to attempt collection. Also, state why account is deemed uncollectible (bankruptcy, etc.) (b)	**	Amount Written Off		**
				Water Utility (c)	Sewer Utility (d)	
3	n160	moved rental		\$ 44.38		
4	020	moved rental		\$ 80.29		
5	6992	moved rental		\$ 79.14		
6	6991	moved rental		\$ 35.04		
7	ga89	moved rental		\$ 251.21		
8	cr158	moved rental		\$ 37.90		
9	n158	moved rental		\$ 26.71		
10	n050	moved rental		\$ 97.31		
11	tg50	moved rental		\$ 25.90		
12	pk142	moved rental		\$ 12.60		
13	033	moved rental		\$ 37.08		
14	jh18b	moved rental		\$ 41.03		
15	n067	moved rental		\$ 55.27		
16						
17						
18						
19						
20						
21						
22						
23						
24						
25						
26						
27						
28						
29						
30						
31		Total		\$ 823.86	\$ -	
				(Total to Pg. W-1)	(Total to Pg. S-1)	

PAYROLL INFORMATION

INSTRUCTIONS: Please provide names, titles and salaries for all officers and employees. Show total compensation paid to each during the year. Include all amounts including bonuses and other allowances. Enter "0" or none where applicable. Provide explanations where necessary. Use additional sheets if necessary.

1
2 Company Name: Smithview H2O Company
For the calendar year of January 1 - December 31, 2012

	Name and Title (a)	Payroll Charged To:			
		Total Utility Compensation (b)	Water Expense (c)	Sewer Expense (d)	Capitalized Payroll (e)
3	Donald Woods - Meter reads	\$ 710.00	\$ 710.00		
4	Stacy Chandler - Water operator	\$ 4,402.10	\$ 4,402.10		
5	Peggy Rosario - Manager	\$ 15,600.00	\$ 15,600.00		
6	Lisa Koopmeiners - Meter Reads	\$ 80.00	\$ 80.00		
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19	Total	\$ 20,792.10	\$ 20,792.10	\$ -	\$ -
			(Total to Page W-1)	(Total to Page S-1)	

PAYMENTS FOR SERVICES RENDERED BY OTHER THAN EMPLOYEES

1
2 Company Name: _____
For the calendar year of January 1 - December 31, 2012
Smithview H2O Company

INSTRUCTIONS: Report below all information concerning rate, management, construction, engineering, research, financial, valuation, legal, accounting, purchasing, advertising, labor relations, public relations, or other similar professional services rendered the respondent under written or verbal arrangements, for which total payments during the year to any corporation, partnership, individual (other than for services as an employee) or organization of any kind whatsoever. Use additional sheets if necessary.

Name of Recipient and Description of Service (a)	Amount of Payments:			
	Water		Sewer	
	Expensed (b)	Capitalized (c)	Expensed (d)	Capitalized (e)
3 Froeschner Hart	\$ 240.00			
4 Landers Weiss	587.50			
5 McMinn Backflow Testing	\$ 70.00			
6 A & S Transport				
7 Machine	\$ 2,040.00			
8 Labor	\$ 1,200.00			
9 Tak Billing Maint Fee	\$ 79.00			
10 Bank Fees	\$ 200.00			
11				
12				
13				
14				
15				
16				
17				
18				
19 Total	\$ 4,416.50	\$ -	\$ -	\$ -
	(Total to Page W-1)		(Total to Page S-1)	

CONTRIBUTIONS IN AID OF CONSTRUCTION

INSTRUCTIONS: This account shall include donations or contributions in cash, services, or property for construction purposes. The records supporting the entries to this account shall be so kept that the utility can furnish information as to the purpose of each donation, the conditions, if any, upon which it was made, the amount of each donation, and the amount applicable to each utility department. The credits (deductions) to this account shall not be transferred to any other account without the approval of the Commission.

	(a)	Water (b)	Sewer (c)
3	Balance at Beginning of Year		
4	PLUS: Additions During the Year (Please provide a detailed explanation.)		
5			
6			
7			
8			
10	Total Additions	\$ -	\$ -
11	LESS: Deductions During the Year (Please provide a detailed explanation.)		
12			
13			
14	Retire and cap off service connection, but no connection fee money returned = no entry here		
15			
16	Total Deductions	\$ -	\$ -
17	Balance at End of Year	\$ -	\$ -
		(Total to Pg. 5)	(Total to Pg. 5)

AMORTIZATION OF CONTRIBUTIONS IN AID OF CONSTRUCTION

(Please identify as Account Number 271A)

Distribution Method

	(a)	Water (b)	Sewer (c)
18	Balance at First of Year (Total of Amortization not Total of CIAC line 3)		
19	Total Contributions in Aid at End of Year (see above)	\$ -	\$ -
20	Total Plant in Service at End of Year (From Page W-5 or S-4)	\$ 175,436.89	\$ -
21	Percentage Contributions to Plant	0.00%	0.00%
22	Total Depreciation Expense (From Page W-6 or S-5)	\$ 5,023.40	\$ -
23	Total Amortization of Contributions	\$ -	\$ -
24	Balance at End of Year	\$ -	\$ -
		(Total to Pg. 5)	(Total to Pg. 5)

OR

Attached Method

	(a)	Water (b)	Sewer (c)
25	Balance at First of Year (Total of Amortization not Total of CIAC line 3)		
26	Total Amortization of Contributions	\$ -	\$ -
27	Balance at End of Year	\$ -	\$ -
		(Total to Pg. 5)	(Total to Pg. 5)

Indicates a link to another worksheet within workbook
Indicates formula cell(s)

INTEREST EXPENSE, NOTES PAYABLE, BONDS, BANK LOANS AND OTHER DEBTS

INSTRUCTIONS: Please report information for each separate item of debt. Show principal amount to which each interest rate applies. Include all items on which interest was paid during the year. Use additional sheets if necessary.

1
2 Company Name

Smithview H2O Company

For the calendar year of January 1 - December 31, 2012

	Description of Obligation (Include Name and Address of Each Lender) (a)	Origination Date (b)	Frequency of Payments (Semi-Monthly, Monthly, Quarterly, Annually, etc.) (c)	Date of Maturity (d)	Loan Amount (e)	Interest Rate (f)	Is Interest Rate Fixed or Variable? (g)	Paid (h)	Interest Charged To:	
									Water Utility (i)	Sewer Utility (j)
3										
4										
5										
6										
7										
8										
9										
10										
11										
12										
13										
14										
15										
16										
17										
18										
19										
20										
21	Total				\$ -			\$ -	\$ -	\$ -
									(Total to Pg. W-1)	(Total to Pg. S-1)

22. If the answer to column (g) is variable, please explain the method used for the interest rate calculation below with corresponding line number from above.

Page 10

Indicates formula cell(s)

SCHEDULE OF MATERIALS AND SUPPLIES AVAILABLE AT THE END OF THE YEAR

	Description of Items (Pipe, Meters, Fittings, Valves, Gas, etc.) (a)	Water		Sewer	
		Quantity (b)	Amount (c)	Quantity (d)	Amount (e)
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19					
20					
21					
22					
23					
24					
25	Total	-	\$ -	-	\$ -
			(Total to Pg. 4)		(Total to Pg. 4)

Indicates formula cell(s)

1

For the calendar year of January 1 - December 31, 2012

2 Company Name:

Smithview H2O Company

WATER OPERATING REVENUES, EXPENSES AND STATISTICS

	(a)	Amount (b)
3	Total Operating Revenues (From Page W-2)	\$ 30,325.40
	<u>Operating Expenses</u>	
4	Salaries & Wages (From Page 7)	\$ 20,792.10
5	Employee Pensions and Benefits	
6	Purchased Water	\$ 1,373.36
7	Plant Operations Expenses (From Page W-3)	\$ 7,740.00
8	Billing Expenses	\$ 1,450.00
9	Supplies and Expenses	\$ 3,000.00
10	Transportation Expenses	\$ 2,500.00
11	Rent Expense (List explanation on Page W-1a)	\$ 3,600.00
12	Insurance Expense	
13	Outside Services Employed (i.e., Legal, Accounting, etc.) (From Page 8)	\$ 4,416.50
14	Regulatory Commission Expenses	\$ 230.00
15	Uncollectible Expenses (From Page 6)	\$ 823.86
16	Depreciation Expense (From Page W-6)	\$ 5,023.40
17	Amortization of Contributions in Aid of Construction (Page 9)	\$ -
18	Amortization Expense	
19	Tax Expenses (From Page W-3)	\$ (1,920.00)
20	Interest Expense (From Page 10)	\$ -
21	Other Expenses (List explanation on Page W-1a)	\$ 3,510.00
22	Total Operating Expenses	\$ 52,539.22
23	Net Income (Loss) (A negative number indicated by () represents a loss.)	\$ (22,213.82)

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012

2 Company Name: Smithview H2O Company

DETAILED EXPLANATION OF ITEMS

3 Page W-1, Line 11

Rent Expense \$ 3,600.00

** Description	Amount	**
Smith Rentals Office Space	\$ 3,600.00	
Total (should match amount on Page W-1, Line 11)	\$ 3,600.00	

4 Page W-1, Line 21

Other Expenses \$ 3,510.00

** Description	Amount	**
Centurylink	\$ 2,310.00	
Central MO Golf Cart Rental	\$ 1,200.00	
Total (should match amount on Page W-1, Line 21)	\$ 3,510.00	

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

WATER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)

(Please indicate if metered amounts are in cubic feet measurements.)

(a)	No. of Customers		Gallons Sold 000's Omitted (d)	Revenue Amount (e)
	Beginning of Year (b)	End of Year (c)		
<u>Unmetered Sales of Water</u>				
3 Residential - Single Family				
4 Residential - Apartments				
5 Residential - Mobile Homes				
6 Commercial				
7 Other Sales to Public Authorities				
8 Other (List explanation on page W-2a)				
9 Total Unmetered Sales	-	-		\$ -
<u>Metered Sales of Water</u>				
10 5/8" Meter				
11 3/4" Meter	105	105		\$ 30,325.40
12 1" Meter				
13 1 1/2" Meter				
14 2" Meter				
15 Other (List explanation on page W-2a)				
16 Total Metered Sales	105	105	-	\$ 30,325.40
<u>Other Operating Revenues</u>				
17 Late Payment Fees				
18 Inspection Fees				
19 Reconnect Fees				
20 Rent Income				
21 Income from Merchandising, Jobbing & Contract Work (List explanation on page W-2a)				
22 Other Revenue (List explanation on page W-2a)				
23 Total Other Operating Revenues				\$ -
24 Total Operating Revenues *				\$ 30,325.40
(Total to Pg. W-1)				

* Total Operating Revenues should match Statement of Revenue (MOPSC Assessment).

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012
 2 Company Name: Smithview H2O Company

WATER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)

(a)	Amount (b)
<u>Plant Operations Expenses</u>	
3 Repairs of Water Plant - Pump Repair	
4 Repairs of Water Plant - Well Repair	
5 Repairs of Water Plant - Water Line Repair	\$ 1,865.00
6 Repairs of Water Plant - Equipment Repair	\$ 430.00
7 Repairs of Water Plant - Other (List explanation on Page W-3a)	
8 Fuel or Power Purchases for Pumping (i.e., Electric Bills, etc.)	\$ 3,000.00
9 Chemicals	\$ 1,420.00
10 Water Testing Expenses	\$ 200.00
11 Other Plant Operations Expenses (List explanation on Page W-3a)	\$ 825.00
12 Total Plant Operations Expenses	\$ 7,740.00
	(Total to Page W-1)
<u>Tax Expenses</u>	
13 Tax Expense - Property Taxes	
14 Tax Expense - Payroll Taxes	
15 Tax Expense - Franchise Taxes	
16 Tax Expense - Other Taxes (List explanation on Page W-3a)	
17 Tax Expense - Federal Income Taxes	\$ (1,920.00)
18 Tax Expense - State Income Taxes	
19 Tax Expense - Investment Tax Credits	
20 Total Tax Expenses	\$ (1,920.00)
	(Total to Page W-1)

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012

2 Company Name: Smithview H2O Company

DETAILED EXPLANATION OF ITEMS

3 Page W-3, Line 7

Repairs of Water Plant - Other

\$ -

** Description	Amount	**
Total (should match amount on Page W-3, Line 7)	\$ -	

4 Page W-3, Line 11

Other Plant Operation Expenses

\$ 825.00

** Description	Amount	**
Chemical Feed Pump	\$ 825.00	
Total (should match amount on Page W-3, Line 11)	\$ 825.00	

5 Page W-3, Line 16

Tax Expense - Other Taxes

\$ -

** Description	Amount	**
Total (should match amount on Page W-3, Line 16)	\$ -	

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

PUMPING AND PURCHASED WATER STATISTICS

(Omit 000's in reporting number of gallons or cubic feet of water. Use additional sheets if necessary.)

1
2 Company Name: _____
For the calendar year of January 1 - December 31, 2012
Smithview H2O Company

3 4 5 6 7	Gallons Pumped Into System	Source of Supply (Please describe source below.)				Total of all Methods (a+b+c+d=e) (e)
		(a)	(b)	(c)	(d)	
8	January	547				547
9	February	548				548
10	March	617				617
11	April	361				361
12	May	379				379
13	June	730				730
14	July	720				720
15	August	511				511
16	September	674				674
17	October	500				500
18	November	550				550
19	December	439				439
20	Total for Year	6,576	-	-	-	6,576

21 Maximum Quantity Supplied to the System in Any One Day: Minimum:

22 Range of Pressure in the Mains as Measured at the Highest Point on System:

If Water is Sold to Other Utilities for Resale, List Names, Addresses, Phone Numbers and Quantities Below:			
Name of Reseller	Address	Phone Number	Quantity
23			
24			
25			
26			

(To be used when filing under seal.)

Indicates a link to another worksheet within workbook
Indicates formula cell(s)

1

For the calendar year of January 1 - December 31, 2012

2 Company Name: _____

Smithview H2O Company

WATER UTILITY PLANT IN SERVICE

Account Description (a)	Acct. No. (b)	Balance at Beginning of Year (c)	Additions During the Year (d)	Retirements During the Year (e)	Balance at End of Year (c+d-e) (f)
<u>Intangible Plant</u>	Class B, C or D				
3 Organization	301	\$ 750.00			\$ 750.00
4 Franchise and Consents	302				\$ -
5 Miscellaneous Intangible Plant	303				\$ -
<u>Source of Supply Plant</u>					
6 Land and Land Rights	310	\$ 1,500.00			\$ 1,500.00
7 Structures and Improvements	311				\$ -
8 Collecting and Impounding Reservoirs	312				\$ -
9 Lake, River, and Other Intakes	313				\$ -
10 Wells and Springs	314	\$ 12,619.00			\$ 12,619.00
11 Infiltration Galleries and Tunnels	315				\$ -
12 Supply Mains	316				\$ -
13 Other Water Source Plant (List explanation on Page W-5a)	317				\$ -
<u>Pumping Plant</u>					
14 Land and Land Rights	320				\$ -
15 Structures and Improvements	321	\$ 1,200.00			\$ 1,200.00
16 Boiler Plant Equipment	322				\$ -
17 Other Power Production Equipment (List explanation on Page W-5a)	323				\$ -
18 Submersible Electric Pumping	325.1	\$ 17,460.00			\$ 17,460.00
19 High Service or Booster Pumps	325.2				\$ -
20 Diesel Pumping Equipment	326				\$ -
21 Hydraulic Pumping Equipment	327				\$ -
22 Other Pumping Equipment (List explanation on Page W-5a)	328				\$ -
<u>Water Treatment Plant</u>					
23 Land and Land Rights	330				\$ -
24 Structures and Improvements	331				\$ -
25 Water Treatment Equipment	332	\$ 1,375.00			\$ 1,375.00
<u>Transmission and Distribution Plant</u>					
26 Land and Land Rights	340				\$ -
27 Structures and Improvements	341				\$ -
28 Distribution Reservoirs and Standpipes	342	\$ 94,600.00			\$ 94,600.00
29 Transmission and Distribution Mains	343	\$ 14,812.00			\$ 14,812.00
30 Fire Mains	344				\$ -
31 Services	345				\$ -
32 Meters	346	\$ 30,105.00			\$ 30,105.00
33 Meter Installations	347				\$ -
34 Hydrants	348				\$ -
35 Other Transmission and Distribution Plant	349				\$ -
<u>General Plant</u>					
Note: USOA CLASS B and C are the same	B & C	D			
36 Land and Land Rights	389	370			\$ -
37 Structures and Improvements	390	371			\$ -
38 Office Furniture and Equipment	391	372			\$ -
39 Office Computer and Electronic Equipment	391.1	372.1	\$ 667.00		\$ 667.00
40 Transportation Equipment	392	373			\$ -
41 Other General Equipment	none	379			\$ -
42 Stores Equipment	393	none			\$ -
43 Tools, Shop and Garage Equipment	394	none			\$ -
44 Laboratory Equipment	395	none			\$ -
45 Power-operated Equipment	396	none			\$ -
46 Communication Equipment	397	none			\$ -
47 Miscellaneous Equipment	398	none	\$ 348.89		\$ 348.89
48 Other Tangible Property (List explanation on Page W-5a)	399	none			\$ -
49 Total Water Utility Plant In Service			\$ 175,436.89	\$ -	\$ 175,436.89
					(Total to Pages 4 & 9)

NOTE: All entries should be supported by records that identify the property being added or retired, its location, and its original cost in as much detail as reasonably possible. If adjustments are included in Columns "d" and/or "e", use additional sheets.

(To be used when filing under seal.)

DEPRECIATION RESERVE - WATER UTILITY PLANT

	Acct. No. (b)	Annual Depreciation Rate %*** (g)	Depreciation Expense (f*g) (h)	Reserve Balance at Beginning of Year (i)	Retirement of Property			Other Charges** (m)	Reserve Balance at END of Year (h+i-j-k+l+m) (n)
					Book Cost of Plant Retired (j)	Cost of Removal* (k)	Salvage Credit* (l)		
	Class B, C or D								
3	301				\$ -				\$ -
4	302				\$ -				\$ -
5	303				\$ -				\$ -
6	310				\$ -				\$ -
7	311				\$ -				\$ -
8	312				\$ -				\$ -
9	313				\$ -				\$ -
10	314	2.00%	\$ 252.00	\$ 8,762.00	\$ -				\$ 9,014.00
11	315				\$ -				\$ -
12	316				\$ -				\$ -
13	317				\$ -				\$ -
14	320				\$ -				\$ -
15	321	2.50%	\$ 30.00	\$ 240.00	\$ -				\$ 270.00
16	322				\$ -				\$ -
17	323				\$ -				\$ -
18	325.1	10.00%	\$ 746.00	\$ 27,528.00	\$ -				\$ 28,274.00
19	325.2				\$ -				\$ -
20	326				\$ -				\$ -
21	327				\$ -				\$ -
22	328	20.00%	\$ 70.00		\$ -				\$ 70.00
23	330				\$ -				\$ -
24	331				\$ -				\$ -
25	332	10.00%	\$ 138.00	\$ 1,042.00	\$ -				\$ 1,180.00
26	340				\$ -				\$ -
27	341				\$ -				\$ -
28	342	2.50%	\$ 2,365.00	\$ 9,517.00	\$ -				\$ 11,882.00
29	343	2.00%	\$ 296.00	\$ 15,896.00	\$ -				\$ 16,192.00
30	344				\$ -				\$ -
31	345				\$ -				\$ -
32	346	3.30%	\$ 993.00	\$ 9,536.00	\$ -				\$ 10,529.00
33	347				\$ -				\$ -
34	348				\$ -				\$ -
35	349				\$ -				\$ -
36	B & C	D							
36	389	370			\$ -				\$ -
37	390	371			\$ -				\$ -
38	391	372	20.00%	\$ 133.40	\$ 95.00	\$ -			\$ 228.40
39	391.1	372.1			\$ -				\$ -
40	392	373			\$ -				\$ -
41	none	379			\$ -				\$ -
42	393	none			\$ -				\$ -
43	394	none			\$ -				\$ -
44	395	none			\$ -				\$ -
45	396	none			\$ -				\$ -
46	397	none			\$ -				\$ -
47	398	none			\$ -				\$ -
48		Total	\$ 5,023.40	\$ 72,616.00	\$ -	\$ -	\$ -	\$ -	\$ 77,639.40
(Total to Pg. 9 & Pg. W-1)									(Total to Pg. 4)

NOTE: Amounts included in Column "j" should be the same as in Column "e" on the preceding page. Also, Annual Depreciation Expense is equal to Column "f" from preceding page multiplied by Column "g" found on this page.

* All entries included in Columns "k" and "l" should be supported by records that identify the property retired and the cost of removal or salvage is as much detail as reasonably possible.

** Report the details for these entries. Use additional sheets if necessary.

*** Annual Depreciation Expense must be calculated separately. This total should be calculated based upon actual in-service and retirement date(s) of new equipment and retirements during the period.

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

1
2

For the calendar year of January 1 - December 31, 2012
Company Name: Smithview H2O Company

PUMPS

Manufacturer and Type (i.e., High Service, Well, Standby, etc.)	Capacity	Date Installed	Date of Last Motor Replacement	Date of Last Pump Replacement
HYDRO POWER	110 GPM	6/1/2006	1/1/2001	6/1/2006

Page W-7a

(To be used when filing under seal.)

1
2

For the calendar year of January 1 - December 31, 2012
Smithview H2O Company

Company Name:

WELLS

	Number:	Number:	Number:	Number:
14 Description (i.e., Deep, Artisian, Spring, etc.)	DEEP			
15 Year Constructed	1972			
16 Type of Construction	DRILLED			
17 Type of Casing	STEEL			
18 Depth and Diameter of Well	6X11.050"			
19 Yield of Well in Gallons per day	30705			
20 Chemicals (i.e., Provide Type, Cost and Quantities of Each):	CLOROX			
21 Type -	CLOROX			
22 Cost -	1612.3			
23 Quantity -	453.75			

Page W-7 (Part 2)

(To be used when filing under seal.)

METERS AND METER SETTINGS

Customer Class (a)	Meter Size (b)	Total at Beginning of Year (c)	Total No. of Additions (d)	Total No. Removed or Disconnected (e)	Total at End of Year (f)	Total No. Owned by Customers at End of Year (g)
3 Residential:	3/4"	105				
4						
5						
6						
7 Other Customers:						
8						
9						
10 Total in Use by Customers		105	-	-	-	-
11 Not in Use (i.e., Inventory)						
12						
13						
14 Total Meters		105	-	-	-	-

STORAGE FACILITIES

Type of Storage (i.e., Pneumatic, Ground, Standpipes, Elevated Tanks, etc.) (a)	Construction Material (b)	Last Date Painted if Applicable (indicate interior or exterior) (c)	Capacity (d)
15 Standpipe 8' x 110'	Steel	10/1/2009	41000
16			
17			
18			
19			

(To be used when filing under seal.)

•

	Kind of Pipe (i.e., cast iron, galvanized, iron, plastic, etc.) (a)	Diameter of Pipe (b)	Total at Beginning of Year (c)	Total Additions During the Year (d)	Total Removed or Abandoned During the Year (e)	Total at End of Year (f)
3	Plastic	2 & 3"	6,675			6,675
4						-
5						-
6						-
7						-
8						-
9						-
10						-
11						-
12						-
13						-
14						-
15						-
16	Total Mains		6,675	-	-	6,675

SERVICES AVAILABLE FOR USE (from Main to Property Line)

	Size and Description by Type of Material (i.e., iron, copper, plastic, etc.) (a)	Owned by Utility				Total No. Owned by Customers at End of Year (f)
		Total No. at Beginning of Year (b)	Total No. of Additions (c)	Total No. Retired or Abandoned (d)	Total No. at End of Year (e)	
15	In Use:					
16	Plastic	105			105	
17					-	
18					-	
19	For Future Use:					
20					-	
21					-	
22					-	
23	Total of All Services	105	-	-	105	-

Page W-9

Indicates formula cell(s)

Page W-9

(To be used when filing under seal.)

Annual Report of

Smithview H2O Company

for the calendar year of January 1 - December 31, 2012

VERIFICATION

The foregoing report must be verified by the oath of the President, Treasurer, General Manager or Receiver of the company. The oath required may be taken before any person authorized to administer an oath (Notary Public) by the laws of the State in which the same is taken.

OATH

State Of Missouri }

ss:

County Of Boone }

Peggy Rosario makes oath and says that
Name of Affiant (Company Official/Representative)

s/he is Manager
Official Title of the Affiant (Company Official/Representative)

of Smithview H2O Company
Exact Legal Title or Name of the Respondent (Certificated Company Name)

and is located at 7491 Hwy V V Columbia, MO 65202 573-673-8899,
Address and Telephone Number of the Affiant (Company Official/Representative)

that s/he has examined the foregoing report; that to the best of his or her knowledge, information, and belief, all statements of fact contained in the said report are true and the said report is a correct statement of the business and affairs of the above-named respondent.

from January 1, 2012, to and including December 31, 2012
Month/Day Year Month/Day Year

/s/ Peggy Rosario
Signature of Affiant (Company Official/Representative)

Subscribed and sworn to before me, a Notary Public, in and for the State and County above named,
this 8th day of August, 2013.

My Commission expires March 19, 2017

/s/ Rodney Dixon
Signature of Notary Public

Missouri Revised Statutes § 392.210 or §393.140

(See Instructions Pages II and III for more information to complete this page.)