

# TRI-STATES UTILITY, INC.

**Company Full Certificated Name** (Do not abbreviate and include any Commission approved AKA/DBA/Fictitious Name, if applicable.)

## WATER and/or SEWER ANNUAL REPORT

### SMALL COMPANY

(Fewer than 8,000 customers)

### TO THE

## MISSOURI PUBLIC SERVICE COMMISSION

January 1 - December 31, 2012

Please select how the company is certificated with the Commission under the Company Name as shown above (check all that apply):

Water Service Provider

Sewer Service Provider

Please choose one of the following filing options:

**Public Submission** (NOT Highly Confidential)

**Non-Public Submission** (Highly Confidential / Filed Under Seal)

For this filing to be considered Highly Confidential, additional submission of materials is required pursuant to Commission rule 4 CSR 240-3.335 and/or 4 CSR 240-3.640, Section 392.210, RSMo., and/or Section 393.140, RSMo.



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For the calendar year of January 1 - December 31, 2012

Company Name: TRI-STATES UTILITY, INC.

**CAPITAL STOCK (COMMON AND DEFERRED)**

Class and Series of Stock (a)	Number of Shares Authorized (b)	Par or Stated Value Per Share (c)	Number of Shares Issued (d)
COMMON STOCK	30,000	\$ 1.00	30,000

**SECURITY HOLDERS AND VOTING POWERS**

Report below the NAMES and ADDRESS of the ten stockholders who, at the end of the year, had the greatest voting powers in the respondent. AND STATE THE NUMBER OF VOTES each would have had a right to cast on that date. If any such holder held in trust, give the nature of the trust and the beneficial owner. Show also total votes of ALL securities with voting powers.

Names and Addresses (a)	Number of Votes (b)
J & J Equities LLC 3512 SW Fairlawn Road Suite 400 Topeka KS 66614	20,000
McB Investments LLC 3512 SW Fairlawn Road Suite 400 Topeka KS 66614	10,000
Total Number of Votes Held by Above	30,000
Total Number of Votes of all Securities with Voting Powers	30,000

Identify the principal or general officers of the company at the end of the year. Please include an additional sheet, if enough space is not provided on this page, to completely provide the requested information.

Title of General Officer	Name of Person Holding Office
PRESIDENT	KEVIN E. RIGGS
VICE-PRESIDENT/C.O.O	ROBERT BUTCHKO

Please check all of the following for which the company has filed / is current:

27  Secretary of State Requirements  Federal Tax Return

28  Department of Natural Resources Permits  State Tax Return

29 DNR Permit Number: N/A (If you hold more than one permit, please attach list.)

30 Original DNR Permit Date: N/A

31 DNR Permit Expiration Date: N/A

Please list your most recent rate increase request Case No(s). and effective date(s).

32 Water Case No.: WR-2011-0037 Effective Date: 5/1/2011

33 Sewer Case No.: N/A Effective Date: N/A

Indicates formula cell(s)

(To be used when filing under seal.)

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For the calendar year of January 1 - December 31, 2012

Company Name: TRI-STATES UTILITY, INC.

Describe MAJOR transactions occurring during the year which will have a major effect on operations, such as rate changes, replacement of equipment and other abnormal cash expenditures of \$250 or more.

THE ABOVE ENTITY PURCHASED THE FOLLOWING EQUIPMENT IN 2012:

NEW MASTER TANK ALARM PANEL - 9/10/12 - \$4019

1

For the calendar year of January 1 - December 31, 2012

2 Company Name: TRI-STATES UTILITY, INC.

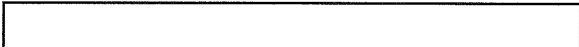
NOTE: Please do not type over formulas. Totals will calculate automatically in this spreadsheet.

**BALANCE SHEET**  
**WATER AND SEWER OPERATIONS**  
**ASSETS**

	Account Description (a)	**	Amount (b)	**
3	Water Plant In Service (From Pg. W-5)		\$ 3,200,249.00	
4	LESS: Water Depreciation Reserve (From Pg. W-6)		\$ 1,343,970.00	
5	Net Water Plant in Service (i.e., Water Plant In Service MINUS Water Depreciation Reserve)		\$ 1,856,279.00	
6	Water Materials and Supplies (From Pg. 11)		\$ 51,443.00	
7	Water Construction Work in Progress			
8	Water Plant Held for Future Use (List explanation on Page 4a)			
9	Water Plant Acquisition Adjustment			
10	Sewer Plant in Service (From Pg. S-4)		\$ -	
11	LESS: Sewer Depreciation Reserve (From Pg. S-5)		\$ -	
12	Net Sewer Plant in Service (i.e., Sewer Plant in Service MINUS Sewer Depreciation Reserve)		\$ -	
13	Sewer Materials and Supplies (From Pg. 11)		\$ -	
14	Sewer Construction Work in Progress			
15	Sewer Plant Held for Future Use (List explanation on Page 4a)			
16	Sewer Plant Acquisition Adjustment			
17	Other Plant (List explanation on Page 4a)			
18	Cash		\$ 173,903.00	
19	Other Assets (Accounts Receivable, etc.) (List explanation on Page 4a)		\$ 527,786.00	
20	<b>Total Assets*</b>		<b>\$ 2,609,411.00</b>	

\* Total Assets should balance with Total Equity and Liabilities on Page 5 (see instructions). Difference between Equity & Liabilities and Assets (from Pg 5).

Indicates a link to another worksheet within workbook  
 Indicates formula cell(s)



(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012

2 Company Name: TRI-STATES UTILITY, INC.

**DETAILED EXPLANATION OF ITEMS**

3 Page 4, Line 8 Water Plant Held for Future Use \$ -

** Description	Amount	**
Total (should match amount on Page 4, Line 8)	\$ -	

4 Page 4, Line 15 Sewer Plant Held for Future Use \$ -

** Description	Amount	**
Total (should match amount on Page 4, Line 15)	\$ -	

5 Page 4, Line 17 Other Plant \$ -

** Description	Amount	**
Total (should match amount on Page 4, Line 17)	\$ -	

5 Page 4, Line 19 Other Assets \$ 527,786.00

** Description	Amount	**
see attached		
Total (should match amount on Page 4, Line 19)	\$ -	

Indicates a link to another worksheet within workbook  
 Indicates formula cell(s)

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: TRI-STATES UTILITY, INC.

**NOTE:** Please do not type over formulas. Totals will calculate automatically in this spreadsheet.

**BALANCE SHEET**  
**WATER AND SEWER OPERATIONS**  
**EQUITY AND LIABILITIES**

Account Description (a)	**	Amount (b)	**
3 Capital Stock		\$ 304,959.00	
4 Retained Earnings		\$ (109,481.00)	
5 Long-Term Debt to Affiliates (owners, other owner controlled companies, etc.)			
6 Short-Term Debt to Affiliates (owners, other owner controlled companies, etc.)			
7 Long-Term Debt (banks, etc.)		\$ 2,225,195.00	
8 Short-Term Debt (banks, etc.)		\$ 20,458.00	
9 Water Customer Deposits		\$ 22,896.00	
10 Water Advances for Construction			
11 Water CIAC (From Pg. 9)		\$ -	
12 LESS: Water Amortization of CIAC (From Pg. 9)		\$ -	
13 Net Water CIAC (i.e., Water CIAC MINUS Water Amortization of CIAC)		\$ -	
14 Sewer Customer Deposits			
15 Sewer Advances for Construction			
16 Sewer CIAC (From Pg. 9)		\$ -	
17 LESS:		\$ -	
18 Net Sewer CIAC (i.e., Sewer CIAC Minus Sewer Amortization of CIAC)		\$ -	
19 Deferred Taxes - ITC			
20 Deferred Taxes - Other (List explanation on Page 5a)			
21 Other Liabilities (Accounts Payable, etc.) (List explanation on Page 5a)		\$ 145,384.00	
22 <b>Total Equity and Liabilities*</b>		<b>\$ 2,609,411.00</b>	

\* Total Equity and Liabilities should balance with Total Assets on Page 4 (see instructions).  
 Difference between Equity & Liabilities and Assets (from Pg 4).

Indicates a link to another worksheet within workbook  
 Indicates formula cell(s)

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: TRI-STATES UTILITY, INC.

**DETAILED EXPLANATION OF ITEMS**

3 Page 5, Line 20 Deferred Taxes - Other \$ -

** Description	Amount	**
Total (should match amount on Page 5, Line 20)	\$ -	

4 Page 5, Line 21 Other Liabilities (Accounts Payable, etc.) \$ 145,384.00

** Description	Amount	**
see attached		
Total (should match amount on Page 5, Line 21)	\$ -	

Indicates a link to another worksheet within workbook  
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(To be used when filing under seal.)

**SCHEDULE OF UNCOLLECTIBLE ACCOUNTS**

Account No. (a)	Describe customer account and methods used to attempt collection. Also, state why account is deemed uncollectible (bankruptcy, etc.) (b)	Amount Written Off		**
		Water Utility (c)	Sewer Utility (d)	
3	ALL CHARGES AND FEES ADJUSTED OFF			
4	BOOKS AS UNCOLLECTIBLE			
5				
6	1ST QUARTER	\$ 856.58		
7	2ND QUARTER	\$ 1,086.54		
8	3RD QUARTER	\$ 480.31		
9	4TH QUARTER PLUS YEAREND ADJUSTMENTS	\$ 4,975.22		
10				
11	ONCE CUSTOMER DISCONNECTS SERVICE AND			
12	FINAL BILL RENDERED IF CUSTOMER REFUSES			
13	TO HONOR OBLIGATION OF FINAL PAYMNET,			
14	BALANCE IS CARRIED FOR 3 BILLING CYCLES,			
15	THEN BALANCE IS ADJUSTED OFF			
16				
17	COLLECTIONS ARE BY EITHER:			
18	1. CUSTOMER RETURNS TO THE SYSTEM			
19	2. UNCOLLECTED BILLS ARE SENT TO THE			
20	COLLECTION COMPANY			
21				
22	COMPANY RARELY FILES AS CREDITOR IN ANY			
23	BANKRUPTCY PROCEEDINGS.			
24				
25				
26				
27				
28				
29				
30				
31	<b>Total</b>	\$ 7,398.65	\$ -	
		(Total to Pg. W-1)	(Total to Pg. S-1)	

1

2 Company Name:

TRI-STATES UTILITY, INC.

**PAYROLL INFORMATION**

INSTRUCTIONS: Please provide names, titles and salaries for all officers and employees. Show total compensation paid to each during the year. Include all amounts including bonuses and other allowances. Enter "0" or none where applicable. Provide explanations where necessary. Use additional sheets if necessary.

	Name and Title (a)	Payroll Charged To:				Capitalized Payroll (e)
		Total Utility Compensation (b)	Water Expense (c)	Sewer Expense (d)		
3	BAER, TODD A - SUPERINTENDENT	\$ 42,445.78	\$ 42,445.78			
4	CUNNINGHAM, PATRICK - OUTSIDE PLANT	\$ 5,120.00	\$ 5,120.00			
5	GARCEAU, CECELIA M - OFFICE MANAGER	\$ 33,828.70	\$ 33,828.70			
6	HARNSTROM, HAILEY E - OFFICE	\$ 22,628.99	\$ 22,628.99			
7	KELLEY, THOMAS C - VICE PRESIDENT/C.O.O	\$ 53,000.00	\$ 53,000.00			
8	LINKOUS, CARL D - OUTSIDE PLANT	\$ 25,089.04	\$ 25,089.04			
9	MOORE, PAUL D - OUTSIDE PLANT	\$ 9,473.49	\$ 9,473.49			
10	PADGETT, MARY E - OUTSIDE PLANT	\$ 9,090.90	\$ 9,090.90			
11	PASTRANA, VICENTE R - OUTSIDE PLANT	\$ 23,949.98	\$ 23,949.98			
12	SCHMITT, JESSICA - BOOKKEEPING	\$ 10,483.84	\$ 10,483.84			
13	ACCURUAL DIFFERENCES	\$ 2,042.92	\$ 2,042.92			
14						
15						
16						
17						
18						
19	Total	\$ 237,153.64	\$ 237,153.64	\$ -	\$ -	\$ -
			(Total to Page W-1)	(Total to Page S-1)		

Indicates formula cell(s)

(To be used when filing under seal.)

**PAYMENTS FOR SERVICES RENDERED BY OTHER THAN EMPLOYEES**

INSTRUCTIONS: Report below all information concerning rate, management, construction, engineering, research, financial, valuation, legal, accounting, purchasing, advertising, labor relations, public relations, or other similar professional services rendered the respondent under written or verbal arrangements, for which total payments during the year to any corporation, partnership, individual (other than for services as an employee) or organization of any kind whatsoever. Use additional sheets if necessary.

	Name of Recipient and Description of Service (a)	Amount of Payments:			
		Water		Sewer	
		Expensed (b)	Capitalized (c)	Expensed (d)	Capitalized (e)
3	MCCULLOUGH AND ASSOCIATES - ACCOUNTING	\$ 11,717.26			
4	LAW OFFICES OF W.C	\$ 327.80			
5	BRYAN W. SMITH - LEGAL	\$ 1,877.63			
6	FISHER & DORITY - LEGAL	\$ 19,800.00			
7	JOHNNY BROWN	\$ 36,000.00			
8	AKERS & AMEY INSURANCE	\$ 1,500.00			
9	OTHER - ACCOUNTING	\$ 199.76			
10	OTHER - LEGAL	\$ 356.51			
11					
12					
13					
14					
15					
16					
17					
18					
19	Total	\$ 71,778.96	\$ -	\$ -	\$ -
		(Total to Page W-1)		(Total to Page S-1)	

(To be used when filing under seal.)

**CONTRIBUTIONS IN AID OF CONSTRUCTION**

INSTRUCTIONS: This account shall include donations or contributions in cash, services, or property for construction purposes. The records supporting the entries to this account shall be so kept that the utility can furnish information as to the purpose of each donation, the conditions, if any, upon which it was made, the amount of each donation, and the amount applicable to each utility department. The credits (deductions) to this account shall not be transferred to any other account without the approval of the Commission.

	(a)	Water (b)	Sewer (c)
3	Balance at Beginning of Year		
4	PLUS: Additions During the Year (Please provide a detailed explanation.)		
5			
6			
7			
8			
10	Total Additions	\$ -	\$ -
11	LESS: Deductions During the Year (Please provide a detailed explanation.)		
12			
13			
14	Retire and cap off service connection, but no connection fee money returned = no entry here		
15			
16	Total Deductions	\$ -	\$ -
17	Balance at End of Year	\$ -	\$ -
		(Total to Pg. 5)	(Total to Pg. 5)

**AMORTIZATION OF CONTRIBUTIONS IN AID OF CONSTRUCTION**

(Please identify as Account Number 271A)

Distribution Method

	(a)	Water (b)	Sewer (c)
18	Balance at First of Year (Total of Amortization not Total of CIAC line 3)		
19	Total Contributions in Aid at End of Year (see above)	\$ -	\$ -
20	Total Plant in Service at End of Year (From Page W-5 or S-4)	\$ 3,200,249.00	\$ -
21	Percentage Contributions to Plant	0.00%	0.00%
22	Total Depreciation Expense (From Page W-6 or S-5)	\$ 162,374.00	\$ -
23	Total Amortization of Contributions	\$ -	\$ -
24	Balance at End of Year	\$ -	\$ -
		(Total to Pg. 5)	(Total to Pg. 5)

OR

Attached Method

	(a)	Water (b)	Sewer (c)
25	Balance at First of Year (Total of Amortization not Total of CIAC line 3)		
26	Total Amortization of Contributions	\$ -	\$ -
27	Balance at End of Year	\$ -	\$ -
		(Total to Pg. 5)	(Total to Pg. 5)

Indicates a link to another worksheet within workbook  
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1  
2 Company Name

TRI-STATES UTILITY, INC.

**INTEREST EXPENSE, NOTES PAYABLE, BONDS, BANK LOANS AND OTHER DEBITS**

INSTRUCTIONS: Please report information for each separate item of debt. Show principal amount to which each interest rate applies. Include all items on which interest was paid during the year. Use additional sheets if necessary.

	Description of Obligation (Include Name and Address of Each Lender) (a)	Origination Date (b)	Frequency of Payments (Semi-Monthly, Monthly, Quarterly, Annually, etc.) (c)	Date of Maturity (d)	Loan Amount (e)	Interest Rate (f)	Is Interest Rate Fixed or Variable? (g)	Interest Charged To:	
								Water Utility (i)	Sewer Utility (j)
3	GREAT SOUTHERN BANK LINE OF CREDIT				\$ 1,472,286.08	4.00%	F	\$ 65,492.19	
4	1451 E BATTLEFIELD SPRINGFIELD MO 65804								
5	1ST SECURITY-OLD NATIONAL BANK				\$ 723,657.99	8.75%	F	\$ -	
6	521 PRESIDENT CLINTON AVE SUITE 800								
7	LITTLE ROCK, AR 72201								
8	FORD MOTOR CREDIT - 08 FORD F-150		9/30/2013		\$ 2,988.07	8.99%	F	\$ 432.00	
9	FORD MOTOR CREDIT - 08 FORD F-150		9/30/2013		\$ 3,674.83	8.99%	F	\$ 554.51	
10	JOHN DEERE		12/20/2015		\$ 43,046.61	3.90%	F	\$ 1,975.47	
11	OTHER							\$ (101.93)	
12									
13									
14									
15									
16									
17									
18									
19									
20									
21	Total				\$ 2,245,653.58			\$ 20,458.26	\$ -
								(Total to Pg. W-1)	(Total to Pg. S-1)

22. If the answer to column (g) is variable, please explain the method used for the interest rate calculation below with corresponding line number from above.

Indicates formula cell(s)

(To be used when filling under seal.)

**SCHEDULE OF MATERIALS AND SUPPLIES AVAILABLE AT THE END OF THE YEAR**

Description of Items (Pipe, Meters, Fittings, Valves, Gas, etc.) (a)	Water		Sewer	
	Quantity (b)	Amount (c)	Quantity (d)	Amount (e)
3 SEE ATTACHED PHYSICAL INVENTORY - 12/31/12		\$ 51,443.00		
4				
5				
6				
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13				
14				
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16				
17				
18				
19				
20				
21				
22				
23				
24				
25 <b>Total</b>	-	\$ 51,443.00	-	\$ -
		(Total to Pg. 4)		(Total to Pg. 4)

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2012

2 Company Name:

TRI-STATES UTILITY, INC.

**WATER OPERATING REVENUES, EXPENSES AND STATISTICS**

(a)	Amount (b)
3 Total Operating Revenues (From Page W-2)	\$ 1,145,503.51
<i>Operating Expenses</i>	
4 Salaries & Wages (From Page 7)	\$ 237,153.64
5 Employee Pensions and Benefits	\$ 20,439.45
6 Purchased Water	
7 Plant Operations Expenses (From Page W-3)	\$ 151,339.78
8 Billing Expenses	\$ 13,165.53
9 Supplies and Expenses	\$ 14,894.00
10 Transportation Expenses	\$ 22,581.47
11 Rent Expense (List explanation on Page W-1a)	\$ 102,550.00
12 Insurance Expense	\$ 21,378.67
13 Outside Services Employed (i.e., Legal, Accounting, etc.) (From Page 8)	\$ 71,778.96
14 Regulatory Commission Expenses	\$ 8,298.16
15 Uncollectible Expenses (From Page 6)	\$ 7,398.65
16 Depreciation Expense (From Page W-6)	\$ 162,374.00
17 Amortization of Contributions in Aid of Construction (Page 9)	\$ -
18 Amortization Expense	\$ 520.00
19 Tax Expenses (From Page W-3)	\$ 26,477.98
20 Interest Expense (From Page 10)	\$ 68,352.24
21 Other Expenses (List explanation on Page W-1a)	\$ 73,579.45
22 Total Operating Expenses	\$ 1,002,281.98
23 Net Income (Loss) (A negative number indicated by ( ) represents a loss.)	\$ 143,221.53

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Indicates formula cell(s)

(To be used when filing under seal.)

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For the calendar year of January 1 - December 31, 2012

2 Company Name:

TRI-STATES UTILITY, INC.

**DETAILED EXPLANATION OF ITEMS**

3 Page W-1, Line 11

Rent Expense \$ 102,550.00

\*\* Description

Amount

\*\*

see attached		
Total (should match amount on Page W-1, Line 11)	\$	-

4 Page W-1, Line 21

Other Expenses \$ 73,579.45

\*\* Description

Amount

\*\*

see attached		
Total (should match amount on Page W-1, Line 21)	\$	-

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Indicates formula cell(s)

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(To be used when filing under seal.)

1  
2 Company Name: \_\_\_\_\_

For the calendar year of January 1 - December 31, 2012  
TRI-STATES UTILITY, INC.

**WATER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

(Please indicate if metered amounts are in cubic feet measurements.)

(a)	No. of Customers		Gallons Sold 000's Omitted (d)	Revenue Amount (e)
	Beginning of Year (b)	End of Year (c)		
<u>Unmetered Sales of Water</u>				
3 Residential - Single Family				
4 Residential - Apartments				
5 Residential - Mobile Homes				
6 Commercial				
7 Other Sales to Public Authorities				
8 Other (List explanation on page W-2a)				
9 Total Unmetered Sales	-	-		\$ -
<u>Metered Sales of Water</u>				
10 5/8" Meter	1,534	1,535	44,297	\$ 262,847.86
11 3/4" Meter	1,759	1,762	88,555	\$ 434,024.44
12 1" Meter	41	41	8,819	\$ 32,196.72
13 1 1/2" Meter				
14 2" Meter	108	108	55,496	\$ 197,095.78
15 Other (List explanation on page W-2a)	26	26	26,170	\$ 96,996.05
16 Total Metered Sales	3,468	3,472	223,337	\$ 1,023,160.85
<u>Other Operating Revenues</u>				
17 Late Payment Fees				\$ 35,263.24
18 Inspection Fees				
19 Reconnect Fees				\$ 23,078.67
20 Rent Income				
21 Income from Merchandising, Jobbing & Contract Work (List explanation on page W-2a)				
22 Other Revenue (List explanation on page W-2a)				\$ 64,000.75
23 Total Other Operating Revenues				\$ 122,342.66
24 <b>Total Operating Revenues *</b>				\$ 1,145,503.51
				(Total to Pg. W-1)

\* Total Operating Revenues should match Statement of Revenue (MOPSC Assessment).

Indicates a link to another worksheet within workbook  
Indicates formula cell(s)

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: TRI-STATES UTILITY, INC.

**DETAILED EXPLANATION OF ITEMS**

3 Page W-2, Line 8 Other \$ -

	** Description	Amount	
	Total (should match amount on Page W-2, Line 8)	\$ -	

4 Page W-2, Line 15 Other \$ 96,996.05

	** Description	Amount	
	see attached		
	Total (should match amount on Page W-2, Line 15)	\$ -	

5 Page W-2, Line 21 Income (Merchandising, Jobbing, & Contract Work) \$ -

	** Description	Amount	
	Total (should match amount on Page W-2, Line 21)	\$ -	

5 Page W-2, Line 22 Other Revenue \$ 64,000.75

	** Description	Amount	
	see attached		
	Total (should match amount on Page W-2, Line 22)	\$ -	

Indicates a link to another worksheet within workbook  
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(To be used when filing under seal.)

1  
2

For the calendar year of January 1 - December 31, 2012

Company Name: TRI-STATES UTILITY, INC.

**WATER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

(a)	Amount (b)
<u>Plant Operations Expenses</u>	
3 Repairs of Water Plant - Pump Repair	\$ 7,071.89
4 Repairs of Water Plant - Well Repair	
5 Repairs of Water Plant - Water Line Repair	
6 Repairs of Water Plant - Equipment Repair	
7 Repairs of Water Plant - Other (List explanation on Page W-3a)	\$ 7,100.67
8 Fuel or Power Purchases for Pumping (i.e., Electric Bills, etc.)	\$ 133,546.84
9 Chemicals	\$ 3,412.38
10 Water Testing Expenses	\$ 208.00
11 Other Plant Operations Expenses (List explanation on Page W-3a)	
12 Total Plant Operations Expenses	\$ 151,339.78
	(Total to Page W-1)
<u>Tax Expenses</u>	
13 Tax Expense - Property Taxes	\$ 733.16
14 Tax Expense - Payroll Taxes	\$ 25,744.82
15 Tax Expense - Franchise Taxes	
16 Tax Expense - Other Taxes (List explanation on Page W-3a)	
17 Tax Expense - Federal Income Taxes	
18 Tax Expense - State Income Taxes	
19 Tax Expense - Investment Tax Credits	
20 Total Tax Expenses	\$ 26,477.98
	(Total to Page W-1)

Indicates a link to another worksheet within workbook

Indicates formula cell(s)



(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: TRI-STATES UTILITY, INC.

**DETAILED EXPLANATION OF ITEMS**

3 Page W-3, Line 7 Repairs of Water Plant - Other \$ 7,100.67

** Description	Amount	**
see attached		
Total (should match amount on Page W-3, Line 7)	\$ -	

4 Page W-3, Line 11 Other Plant Operation Expenses \$ -

** Description	Amount	**
Total (should match amount on Page W-3, Line 11)	\$ -	

5 Page W-3, Line 16 Tax Expense - Other Taxes \$ -

** Description	Amount	**
Total (should match amount on Page W-3, Line 16)	\$ -	

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

2 Company Name:

TRI-STATES UTILITY, INC.

**PUMPING AND PURCHASED WATER STATISTICS**

(Omit 000's in reporting number of gallons or cubic feet of water. Use additional sheets if necessary.)

	Gallons Pumped Into System			Source of Supply (Please describe source below.)			Total of all Methods (a+b+c+d=e) (e)
	(a)	(b)	(c)	(d)			
8	8,051	13,442			21,493		
9	5,619	11,332			16,951		
10	7,227	13,592			20,819		
11	6,558	12,655			19,213		
12	10,204	16,735			26,939		
13	13,399	22,726			36,125		
14	13,427	25,529			38,956		
15	12,996	26,095			39,091		
16	7,558	16,548			24,106		
17	7,654	18,623			26,277		
18	7,001	17,688			24,689		
19	6,069	16,117			22,186		
20	105,763	211,082			316,845		
Total for Year							

21 Maximum Quantity Supplied to the System in Any One Day:  Minimum:

22 Range of Pressure in the Mains as Measured at the Highest Point on System:

If Water is Sold to Other Utilities for Resale, List Names, Addresses, Phone Numbers and Quantities Below:

Name of Reseller	Address	Phone Number	Quantity
None in 2012			

Indicates a link to another worksheet within workbook  
Indicates formula cell(s)

(To be used when filing under seal.)

2 Company Name: \_\_\_\_\_

TRI-STATES UTILITY, INC.

**WATER UTILITY PLANT IN SERVICE**

Account Description (a)	Acct. No. (b)	Balance at Beginning of Year (c)	Additions During the Year (d)	Retirements During the Year (e)	Balance at End of Year (c+d-e) (f)
<u>Intangible Plant</u>					
3 Organization	301				\$ -
4 Franchise and Consents	302				\$ -
5 Miscellaneous Intangible Plant	303				\$ -
<u>Source of Supply Plant</u>					
6 Land and Land Rights	310	\$ 15,092.00			\$ 15,092.00
7 Structures and Improvements	311	\$ 13,049.00			\$ 13,049.00
8 Collecting and Impounding Reservoirs	312				\$ -
9 Lake, River, and Other Intakes	313				\$ -
10 Wells and Springs	314	\$ 542,486.00			\$ 542,486.00
11 Infiltration Galleries and Tunnels	315				\$ -
12 Supply Mains	316	\$ 127,909.00			\$ 127,909.00
13 Other Water Source Plant (List explanation on Page W-5a)	317				\$ -
<u>Pumping Plant</u>					
14 Land and Land Rights	320	\$ 29,772.00			\$ 29,772.00
15 Structures and Improvements	321				\$ -
16 Boiler Plant Equipment	322				\$ -
17 Other Power Production Equipment (List explanation on Page W-5a)	323				\$ -
18 Submersible Electric Pumping	325.1				\$ -
19 High Service or Booster Pumps	325.2	\$ 94,474.00			\$ 94,474.00
20 Diesel Pumping Equipment	326				\$ -
21 Hydraulic Pumping Equipment	327				\$ -
22 Other Pumping Equipment (List explanation on Page W-5a)	328	\$ 17,687.00			\$ 17,687.00
<u>Water Treatment Plant</u>					
23 Land and Land Rights	330				\$ -
24 Structures and Improvements	331	\$ 28,701.00	\$ 4,019.00		\$ 32,720.00
25 Water Treatment Equipment	332	\$ 14,562.00			\$ 14,562.00
<u>Transmission and Distribution Plant</u>					
26 Land and Land Rights	340				\$ -
27 Structures and Improvements	341	\$ 777.00			\$ 777.00
28 Distribution Reservoirs and Standpipes	342	\$ 383,912.00			\$ 383,912.00
29 Transmission and Distribution Mains	343	\$ 635,915.00			\$ 635,915.00
30 Fire Mains	344				\$ -
31 Services	345	\$ 86,683.00			\$ 86,683.00
32 Meters	346	\$ 765,244.00			\$ 765,244.00
33 Meter Installations	347				\$ -
34 Hydrants	348	\$ 25,500.00			\$ 25,500.00
35 Other Transmission and Distribution Plant	349	\$ 8,434.00			\$ 8,434.00
<u>General Plant</u>					
<b>Note: USOA CLASS B and C are the same</b>	B & C	D			
36 Land and Land Rights	389	370	\$ 42.00		\$ 42.00
37 Structures and Improvements	390	371	\$ 37,652.00		\$ 37,652.00
38 Office Furniture and Equipment	391	372	\$ 79,489.00		\$ 79,489.00
39 Office Computer and Electronic Equipment	391.1	372.1	\$ 33,210.00		\$ 33,210.00
40 Transportation Equipment	392	373	\$ 190,975.00		\$ 190,975.00
41 Other General Equipment	none	379			\$ -
42 Stores Equipment	393	none			\$ -
43 Tools, Shop and Garage Equipment	394	none	\$ 34,214.00		\$ 34,214.00
44 Laboratory Equipment	395	none			\$ -
45 Power-operated Equipment	396	none	\$ 16,031.00		\$ 16,031.00
46 Communication Equipment	397	none	\$ 13,874.00		\$ 13,874.00
47 Miscellaneous Equipment	398	none			\$ -
48 Other Tangible Property (List explanation on Page W-5a)	399	none	\$ 546.00		\$ 546.00
49 Total Water Utility Plant In Service			\$ 3,196,230.00	\$ 4,019.00	\$ -
					\$ 3,200,249.00
					(Total to Pages 4 & 9)

**NOTE:** All entries should be supported by records that identify the property being added or retired, its location, and its original cost in as much detail as reasonably possible. If adjustments are included in Columns "d" and/or "e", use additional sheets.

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: TRI-STATES UTILITY, INC.

**DETAILED EXPLANATION OF ITEMS**

3. Page W-5, Line 13 Other Water Source Plant \$ -

** Description	Amount	**
Total (should match amount on Page W-5, Line 13)	\$ -	

4. Page W-5, Line 17 Other Power Production Equipment \$ -

** Description	Amount	**
Total (should match amount on Page W-5, Line 17)	\$ -	

5. Page W-5, Line 22 Other Pumping Equipment \$ 17,687.00

** Description	Amount	**
see attached		
Total (should match amount on Page W-5, Line 22)	\$ -	

6. Page W-5, Line 48 Other Tangible Property \$ 546.00

** Description	Amount	**
see attached		
Total (should match amount on Page W-5, Line 48)	\$ -	

Indicates a link to another worksheet within workbook  
 Indicates formula cell(s)

(To be used when filing under seal.)

1  
2

Company Name: TRI-STATES UTILITY, INC.

**DEPRECIATION RESERVE - WATER UTILITY PLANT**

Acct. No. (b)	Annual Depreciation Rate %*** (g)	Depreciation Expense (f*g) (h)	Reserve Balance at Beginning of Year (i)	Retirement of Property			Other Charges** (m)	Reserve Balance at END of Year (h+i-j-k+l+m) (n)
				Book Cost of Plant Retired (j)	Cost of Removal* (k)	Salvage Credit* (l)		
<b>Class B, C or D</b>								
301				\$ -				\$ -
302				\$ -				\$ -
303				\$ -				\$ -
310				\$ -				\$ -
311	2.00%	\$ 326.00	\$ 3,073.00	\$ -				\$ 3,399.00
312				\$ -				\$ -
313				\$ -				\$ -
314	3.00%	\$ 17,526.00	\$ 161,822.00	\$ -				\$ 179,348.00
315				\$ -				\$ -
316	2.00%	\$ 2,559.00	\$ 55,389.00	\$ -				\$ 57,948.00
317				\$ -				\$ -
320				\$ -				\$ -
321	3.00%	\$ 744.00	\$ 10,874.00	\$ -				\$ 11,618.00
322				\$ -				\$ -
323				\$ -				\$ -
325.1				\$ -				\$ -
325.2	3.00%	\$ 3,034.00	\$ 66,416.00	\$ -				\$ 69,450.00
326				\$ -				\$ -
327				\$ -				\$ -
328	10.00%	\$ 1,768.00	\$ 2,528.00	\$ -				\$ 4,296.00
330				\$ -				\$ -
331	3.00%	\$ 742.00	\$ 5,561.00	\$ -				\$ 6,303.00
332	3.00%	\$ 417.00	\$ 1,323.00	\$ -				\$ 1,740.00
340				\$ -				\$ -
341	3.00%	\$ 19.00	\$ 60.00	\$ -				\$ 79.00
342	3.00%	\$ 9,598.00	\$ 155,370.00	\$ -				\$ 164,968.00
343	2.00%	\$ 12,734.00	\$ 119,162.00	\$ -				\$ 131,896.00
344				\$ -				\$ -
345	3.00%	\$ 2,165.00	\$ 26,141.00	\$ -				\$ 28,306.00
346	10.00%	\$ 75,326.00	\$ 369,270.00	\$ -				\$ 444,596.00
347				\$ -				\$ -
348	2.00%	\$ 510.00	\$ 12,750.00	\$ -				\$ 13,260.00
349	10.00%	\$ 841.00	\$ 1,930.00	\$ -				\$ 2,771.00
<b>B &amp; C</b>	<b>D</b>							
389	370	5.00%	\$ 2.00	\$ 4.00	\$ -			\$ 6.00
390	371	8.00%	\$ 3,113.00	\$ 12,218.00	\$ -			\$ 15,331.00
391	372	8.00%	\$ 7,646.00	\$ 52,676.00	\$ -			\$ 60,322.00
391.1	372.1				\$ -			\$ -
392	373	10.00%	\$ 19,699.00	\$ 101,702.00	\$ -			\$ 121,401.00
393	379				\$ -			\$ -
393	none				\$ -			\$ -
394	none	5.00%	\$ 1,720.00	\$ 13,311.00	\$ -			\$ 15,031.00
395	none				\$ -			\$ -
396	none	7.00%	\$ 1,069.00	\$ 6,109.00	\$ -			\$ 7,178.00
397	none	6.00%	\$ 789.00	\$ 3,870.00	\$ -			\$ 4,659.00
398	none	5.00%	\$ 27.00	\$ 37.00	\$ -			\$ 64.00
<b>Total</b>			\$ 162,374.00	\$ 1,181,596.00	\$ -	\$ -	\$ -	\$ 1,343,970.00

(Total to Pg. 9 & Pg. W-1)

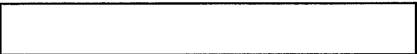
(Total to Pg. 4)

NOTE: Amounts included in Column "j" should be the same as in Column "e" on the preceding page. Also, Annual Depreciation Expense is equal to Column "f" from preceding page multiplied by Column "g" found on this page.

\* All entries included in Columns "k" and "l" should be supported by records that identify the property retired and the cost of removal or salvage is as much detail as reasonably possible.

\*\* Report the details for these entries. Use additional sheets if necessary.

\*\*\* Annual Depreciation Expense must be calculated separately. This total should be calculated based upon actual in-service and retirement date(s) of new equipment and retirements during the period.



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(To be used when filing under seal.)

Indicates formula cell(s)

For the calendar year of January 1 - December 31, 2012  
 Company Name: TRI-STATES UTILITY, INC.

**PUMPS**

Manufacturer and Type (i.e., High Service, Well, Standby, etc.)	Capacity	Date Installed	Date of Last Motor Replacement	Date of Last Pump Replacement
#4 HS GRUNDFOS 625S150	660	2/1/2002	10/1/2005	2/1/2002
#5 HS HATACHI 250 GOUNDS 9CNHC 6S/S	1100	5/1/2009	1/1/2007	1/1/2007

(To be used when filing under seal.)

Company Name: \_\_\_\_\_

**WELLS**

	Number:	Number:	Number:
13			
14	#4 DEEP	#5 DEEP	
15	1987	1994	
16	DRILLED	DRILLED	
17	STEEL	STEEL	
18	1665/12"	1600/14"	
19	302348	534644	
20	GAS	GAS	
21	958.94	958.94	
22	6PPD	6PPD	
23			

(To be used when filing under seal.)

1

2 Company Name:

TRI-STATES UTILITY, INC.

**METERS AND METER SETTINGS**

Customer Class (a)	Meter Size (b)	Total at Beginning of Year (c)	Total No. of Additions (d)	Total No. Removed or Disconnected (e)	Total at End of Year (f)	Total No. Owned by Customers at End of Year (g)
Residential:	5/8	1,482	1		1,483	
	3/4	1,668	3	-	1,671	
	1	8			8	
	2	2			2	
Other Customers:	5/8, 3/4 C	143			143	
	1, 2, 2F	139			139	
	3, 4, 6, 8	26			26	
Total in Use by Customers		3,468	4	-	3,472	-
Not in Use (i.e., Inventory)	5/8, 3/4	49			49	
	1, 2	16			16	
	3, 8	3			3	
Total Meters		3,536	4	-	3,540	-

**STORAGE FACILITIES**

Type of Storage (i.e., Pneumatic, Ground, Standpipes, Elevated Tanks, etc.) (a)	Construction Material (b)	Last Date Painted if Applicable (indicate interior or exterior) (c)	Capacity (d)
#4 STANDPIPE 30X68 ID 5024601104	STEEL	11/5/03 EX	400000
#5 STANDPIPE 29X88 ID 5024601105	STEEL	1994 EX	445000

Indicates formula cell(s)

(To be used when filing under seal.)

2 Company Name:

TRI-STATES UTILITY, INC.

**MAINS (measurement in feet)**

	Kind of Pipe (i.e., cast iron, galvanized, iron, plastic, etc.) (a)	Diameter of Pipe (b)	Total at Beginning of Year (c)	Total Additions During the Year (d)	Total Removed or Abandoned During the Year (e)	Total at End of Year (f)
3	PVC	12	2,673		2,673	-
4	CI, PVC, PLASTIC	8	78,677		78,677	-
5	PVC	6	52,675		52,675	-
6	PVC	4	76,842		76,842	-
7	PVC	2 & 3	26,180		26,180	-
8	PVC CUTS	3/4 & 1	3,650		3,650	-
9						
10						
11						
12						
13						
14						
15						
16	Total Mains		240,697	-	240,697	-

**SERVICES AVAILABLE FOR USE (from Main to Property Line)**

Size and Description by Type of Material (i.e., iron, copper, plastic, etc.) (a)	Owned by Utility				Total No. Owned by Customers at End of Year (f)
	Total No. at Beginning of Year (b)	Total No. of Additions (c)	Total No. Retired or Abandoned (d)	Total No. at End of Year (e)	
In Use:					
5/8 COPPER, PVC, SDR 9	1,535			1,535	
3/4 COPPER, PVC SDR 9	1,745			1,745	
1-2 COPPER, PVC, SDR 9	147			147	
3/12 DIP, PVC, PLASTIC	26			26	
For Future Use:					
Total of All Services	3,453	-	-	3,453	-

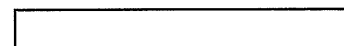
(To be used when filing under seal.)

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**SEWER OPERATING REVENUES, EXPENSES AND STATISTICS**

	(a)	Amount (b)
3	Total Operating Revenues (From Page S-2)	\$ -
	<i>Operating Expenses</i>	
4	Salaries & Wages (From Page 7)	\$ -
5	Employee Pensions and Benefits	N/A
6	Purchased Water	N/A
7	Plant Operations Expenses (From Page S-3)	\$ -
8	Billing Expenses	N/A
9	Supplies and Expenses	N/A
10	Transportation Expenses	N/A
11	Rent Expense (List explanation on Page S-1a)	N/A
12	Insurance Expense	N/A
13	Outside Services Employed (i.e., Legal, Accounting, etc.) (From Page 8)	\$ -
14	Regulatory Commission Expenses	N/A
15	Uncollectible Expenses (From Page 6)	\$ -
16	Depreciation Expense (From Page S-5)	\$ -
17	Amortization of Contributions in Aid of Construction (Page 9)	\$ -
18	Amortization Expense	N/A
19	Tax Expenses (From Page S-3)	\$ -
20	Interest Expense (From Page 10)	\$ -
21	Other Expenses (List explanation on Page S-1a)	N/A
22	Total Operating Expenses	\$ -
23	Net Income (Loss: This number should be negative as it is an offset to depreciation expense)	\$ -

Indicates a link to another worksheet within workbook  
 Indicates formula cell(s)



(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: TRI-STATES UTILITY, INC.

**DETAILED EXPLANATION OF ITEMS**

3 Page S-1, Line 11 Rent Expense

** Description	Amount	**
Total (should match amount on Page S-1, Line 11)	\$ -	

4 Page S-1, Line 21 Other Expenses

** Description	Amount	**
Total (should match amount on Page S-1, Line 21)	\$ -	

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2012

2 Company Name: \_\_\_\_\_

TRI-STATES UTILITY, INC.

**SEWER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

(Please indicate if metered amounts are in cubic feet measurements.)

(a)	No. of Customers		Gallons Sold 000's Omitted (d)	Revenue Amount (e)
	Beginning of Year (b)	End of Year (c)		
<u>Flat Rate Sales</u>				
3 Residential - Single Family	N/A	N/A	XXXX	N/A
4 Residential - Apartments	N/A	N/A	XXXX	N/A
5 Residential - Mobile Homes	N/A	N/A	XXXX	N/A
6 Commercial	N/A	N/A	XXXX	N/A
7 Other Sales to Public Authorities	N/A	N/A	XXXX	N/A
8 Other (List explanation on Page S-2a)	N/A	N/A	XXXX	N/A
9 Total Unmetered Sales	-	-	XXXX	\$ -
<u>Metered Sales Based on Water Usage</u>				
10 Commercial	N/A	N/A		N/A
11 Other Sales to Public Authorities	N/A	N/A		N/A
12 Other (List explanation on Page S-2a)	N/A	N/A		N/A
13 Total Metered Sales	-	-	-	\$ -
<u>Other Operating Revenues</u>				
14 Late Payment Fees				N/A
15 Inspection Fees				N/A
16 Reconnect Fees				N/A
17 Rent Income				N/A
18 Income from Merchandising, Jobbing & Contract Work (List explanation on Page S-2a)				N/A
19 Other Revenue (List explanation on Page S-2a)				N/A
20 Total Other Operating Revenues				\$ -
21 Total Operating Revenues *				\$ -
				(Total to Pg. S-1)

\* Total Operating Revenues should match Statement of Revenue (MOPSC Assessment).

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

**DETAILED EXPLANATION OF ITEMS**

3 Page S-2, Line 8

Other

** Description	Amount	**
Total (should match amount on Page S-2, Line 8)	\$ -	

4 Page S-2, Line 12

Other

** Description	Amount	**
Total (should match amount on Page S-2, Line 12)	\$ -	

5 Page S-2, Line 18

Income (Merchandising, Jobbing, & Contract Work )

** Description	Amount	**
Total (should match amount on Page S-2, Line 18)	\$ -	

5 Page S-2, Line 19

Other Revenue

** Description	Amount	**
Total (should match amount on Page S-2, Line 19)	\$ -	

Indicates a link to another worksheet within workbook  
 Indicates formula cell(s)

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2012

2 Company Name: \_\_\_\_\_

TRI-STATES UTILITY, INC.

**SEWER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

(a)	Amount (b)
<i>Plant Operations Expenses</i>	
3 Contracted Maintenance Expenses	N/A
4 Repairs of Sewer Plant - Pump Repair	N/A
5 Repairs of Sewer Plant - Treatment Repair	N/A
6 Repairs of Sewer Plant - Collecting Sewers and Manhole Repair	N/A
7 Repairs of Sewer Plant - Equipment Repair	N/A
8 Repairs of Sewer Plant - Other (List explanation on Page S-3a)	N/A
9 Utility Bills	N/A
10 Chemicals	N/A
11 Sludge Hauling Expenses	N/A
12 Effluent Testing Expenses	N/A
13 Other Plant Operations Expenses (List explanation on Page S-3a)	N/A
14 Total Plant Operations Expenses	\$ -
	(Total to Page S-1)
<i>Tax Expenses</i>	
15 Tax Expense - Property Taxes	N/A
16 Tax Expense - Payroll Taxes	N/A
17 Tax Expense - Franchise Taxes	N/A
18 Tax Expense - Other Taxes (List explanation on Page S-3a)	N/A
19 Tax Expense - Federal Income Taxes	N/A
20 Tax Expense - State Income Taxes	N/A
21 Tax Expense - Investment Tax Credits	N/A
22 Total Tax Expenses	\$ -
	(Total to Page S-1)

Indicates formula cell(s)



(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: TRI-STATES UTILITY, INC.

**DETAILED EXPLANATION OF ITEMS**

3. Page S-3, Line 8 Repairs of Sewer Plant - Other

** Description	Amount	**
Total (should match amount on Page S-3, Line 8)	\$ -	

4. Page S-3, Line 13 Other Plant Operations Expenses

** Description	Amount	**
Total (should match amount on Page S-3, Line 13)	\$ -	

5. Page S-3, Line 18 Tax Expense - Other Taxes

** Description	Amount	**
Total (should match amount on Page S-3, Line 18)	\$ -	

Indicates a link to another worksheet within workbook  
 Indicates formula cell(s)

(To be used when filing under seal.)

2 Company Name: \_\_\_\_\_

TRI-STATES UTILITY, INC.

**SEWER UTILITY PLANT IN SERVICE**

Account Description (a)	Acct. No. (b)		Balance at Beginning of Year (c)	Additions During the Year (d)	Retirements During the Year (e)	Balance at End of Year (c+d-e) (f)
	CLASS B	CLASS C & D				
<u>Intangible Plant</u>						
3 Organization	301	301				\$ -
4 Franchise and Consents	302	302				\$ -
5 Miscellaneous Intangible Plant	303	303				\$ -
<u>Land and Structures</u>						
6 Land and Land Rights	none	310				\$ -
7 Structures and Improvements	none	311				\$ -
<u>Collection Plant</u>						
8 Land and Land Rights	350	none				\$ -
9 Structures and Improvements	351	none				\$ -
10 Collection Sewer - Force	352.1	352.1				\$ -
11 Collection Sewer - Gravity	352.2	352.2				\$ -
12 Other Collection Plant Facilities (List explanation on Page S-4a)	353	353				\$ -
13 Services to Customers	354	354				\$ -
14 Flow Measuring Devices	355	355				\$ -
<u>Pumping Plant</u>						
15 Land and Land Rights	360	none				\$ -
16 Structures and Improvements	361	none				\$ -
17 Receiving Wells and Pump Pits	362	362				\$ -
18 Pumping Equipment	363	363				\$ -
<u>Treatment and Disposal</u>						
19 Land and Land Rights	370	none				\$ -
20 Structures and Improvements	371	none				\$ -
21 Oxidation Lagoon	none	372				\$ -
22 Treatment and Disposal Equipment	372	373				\$ -
23 Sewer Collection (Septic) Tanks	372.1	373.1				\$ -
24 Plant Sewer	373	374				\$ -
25 Outfall Sewer Lines	374	375				\$ -
26 Other Treatment and Disposal Plant Equipment (List explanation on Page S-4a)	375	376				\$ -
<u>General Plant</u>						
27 Land and Land Rights	389	none				\$ -
28 Structures and Improvements	390	none				\$ -
29 Office Furniture and Equipment	391	391				\$ -
30 Computer and Electronic Office Equipment	391.1	391.1				\$ -
31 Transportation Equipment	392	392				\$ -
32 Other General Equipment (List explanation on Page S-4a)	none	393				\$ -
33 Stores Equipment	393	None				\$ -
34 Tools Shop and Garage Equipment	394	None				\$ -
35 Laboratory Equipment	395	None				\$ -
36 Power Operated Equipment	396	None				\$ -
37 Communications Equipment	397	None				\$ -
38 <b>Total Sewer Utility Plant In Service</b>			\$ -	\$ -	\$ -	\$ -
						(Total to Pages 4 & 9)

NOTE: All entries should be supported by records that identify the property being added or retired, its location, and its original cost in as much detail as reasonably possible. If adjustments are included in Columns "d" and/or "e", please explain below. Use additional sheets if necessary.

39 Explanation:

Indicates a link to another worksheet within workbook  
Indicates formula cell(s)

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: TRI-STATES UTILITY, INC.

**DETAILED EXPLANATION OF ITEMS**

3. Page S-4, Line 12 Other Collection Plant Facilities \$ -

** Description	Amount	**
Total (should match amount on Page S-4, Line 12)	\$ -	

4. Page S-4, Line 26 Other Treatment & Disposal Plant Equipment \$ -

** Description	Amount	**
Total (should match amount on Page S-4, Line 26)	\$ -	

5. Page S-4, Line 32 Other General Equipment \$ -

** Description	Amount	**
Total (should match amount on Page S-4, Line 32)	\$ -	

Indicates a link to another worksheet within workbook  
 Indicates formula cell(s)

(To be used when filing under seal.)

1  
2

Company Name: \_\_\_\_\_

For the calendar year of January 1 - December 31, 2012  
TRI-STATES UTILITY, INC.

**DEPRECIATION RESERVE - SEWER UTILITY PLANT**

	Acct. No. (b)		Annual Depreciation Rate % (g)	Annual Depreciation Expense (f*g) (h)***	Reserve Balance at Beginning of Year (i)	Retirement of Property			Other Charges (m)**	Reserve Balance at End of Year (h+i-j-k+l+m) (n)	
	CLASS B	CLASS C & D				Book Cost of Plant Retired (j)	Cost of Removal (k)*	Salvage Credit (l)*			
3	301	301				\$ -				\$ -	
4	302	302				\$ -				\$ -	
5	303	303				\$ -				\$ -	
6	none	310				\$ -				\$ -	
7	none	311				\$ -				\$ -	
8	350	none				\$ -				\$ -	
9	351	none				\$ -				\$ -	
10	352.1	352.1				\$ -				\$ -	
11	352.2	352.2				\$ -				\$ -	
12	353	353				\$ -				\$ -	
13	354	354				\$ -				\$ -	
14	355	355				\$ -				\$ -	
15	360	none				\$ -				\$ -	
16	361	none				\$ -				\$ -	
17	362	362				\$ -				\$ -	
18	363	363				\$ -				\$ -	
19	370	none				\$ -				\$ -	
20	371	none				\$ -				\$ -	
21	none	372				\$ -				\$ -	
22	372	373				\$ -				\$ -	
23	372.1	373.1				\$ -				\$ -	
24	373	374				\$ -				\$ -	
25	374	375				\$ -				\$ -	
26	375	376				\$ -				\$ -	
27	389	none				\$ -				\$ -	
28	390	none				\$ -				\$ -	
29	391	391				\$ -				\$ -	
30	391.1	391.1				\$ -				\$ -	
31	392	392				\$ -				\$ -	
32	none	393				\$ -				\$ -	
33	393	None				\$ -				\$ -	
34	394	None				\$ -				\$ -	
35	395	None				\$ -				\$ -	
36	396	None				\$ -				\$ -	
37	397	None				\$ -				\$ -	
38			Total	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
				(Total to Pg 9 & Pg S-1)							(Total to Pg. 4)

Indicates a link to another worksheet within workbook  
Indicates formula cell(s)

**GENERAL INFORMATION**

3 Treatment Facilities - Please describe process.  
 [Empty box for description]

4 Was your treatment plant:  constructed in place OR  purchased as a package unit?  
 5 Were your lift stations:  constructed in place OR  purchased as a package unit?

6 What is the designated capacity of your treatment facilities? [Empty box]

7 What percent of designed capacity is currently being utilized? [Empty box]

8 Please describe the treatment process for liquid waste.  
 [Empty box for description]

9 Please describe the treatment process for waste solids.  
 [Empty box for description]

10 Where is the point of discharge for liquid waste?  
 [Empty box for description]

11 What is the ultimate disposal of waste solids and how obtained?  
 [Empty box for description]

12 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken.  
 [Empty box for description]

**COLLECTING SEWERS (measurement in feet)**

	Kind of Pipe (i.e. Cast Iron, VCP, PVC, etc.) (a)	Diameter of Pipe (b)	Total No. at Beginning of Year (c)	Total No. of Additions During the Year (d)	Removed or Abandoned During the Year (e)	Total No. at End of Year (f)
13 Force:						
14						
15 Gravity:						
16						
17						

**LIFT STATIONS**

	Pumps: Name, Size, Type	Location	H.P.	GPM	TDH
18					
19					
20					

[Empty box]  
 (To be used when filing under seal.)

TRI-STATES UTILITY, INC.  
 WATER AND SEWER ANNUAL REPORT  
 FOR THE YEAR ENDED DECEMBER 31, 2012

**DETAILED EXPLANATION FOR PAGE W-1**

RENT EXPENSE:	\$ 13,300	Rent Expense paid to Highway 165 Investments LLC for Topeka office
	\$ 73,850	Rent Expense paid to Highway 165 Investments LLC
	\$ 15,400	Rent expense paid to Furman Inc for shop
	<u>\$ 102,550</u>	
OTHER EXPENSES:		
	\$ 11,179.44	Misc Expenses - Other Rents
	2,477.01	Misc Expenses - Uniforms
	114.58	Misc Expenses - Penalties
	100.00	Misc Expenses - Travel
	179.00	Misc Expenses - Other
	11,344.10	Maintenance of General Plant
	15,387.50	Postage
	7,824.40	Misc Expenses - Telephone
	138.74	Misc Expenses - Lunch/Meals
	1,989.70	Misc Expenses - Dues & Subscriptions
	12,881.63	Misreads
	1,254.80	Payroll processing fee
	50.00	Misc Expenses - Sec of State fees
	8,658.55	Utilities for office
	<u>\$ 73,579.45</u>	

**DETAILED EXPLANATION FOR PAGE W-2**

OTHER REVENUE:		
	5,100.00	Connection Fees
	125.00	Temp Disconnect
	50.00	Call-out Reg hr
	6.50	Call out after hrs
	425.00	ISF check fees
	57,769.25	Miscellaneous Income
	525.00	Labor collected - Damages
	<u>\$ 64,000.75</u>	

**DETAILED EXPLANATION FOR PAGE W-3**

REPAIRS OF WATER PLANT - OTHER:	\$ 136.77	Maintenance Supervision - Engineering
	5,240.31	Maintenance of Services
	1,723.59	Small Tools
	<u>\$ 7,100.67</u>	

TRI-STATES UTILITY, INC.  
 WATER AND SEWER ANNUAL REPORT  
 FOR THE YEAR ENDED DECEMBER 31, 2012

**DETAILED EXPLANATION FOR PAGE 4**

OTHER ASSETS:	\$	59,283.00	Accounts Receivable
		2,369.00	Amortized Loan Costs
		1,000.00	Deposit - Down Payment
		200.00	Deposit - Surety Bond
		456,934.00	Due from J & J Equities
		8,000.00	Deposit - Rent
	\$	<u>527,786.00</u>	

**DETAILED EXPLANATION FOR PAGE 5**

CAPITAL STOCK	\$	30,000.00	Common Stock
		274,959.00	Additional Paid-In Capital
	\$	<u>304,959.00</u>	

OTHER LIABILITIES:	\$	59,446.00	Accounts Payable
	\$	1,073.00	Payroll Liabilities
		1,244.00	Accrued SWH Payable
		1,012.00	Accrued Unemployment Payable
		6,340.00	Accrued Salaries
		329.00	Garnishments
		839.00	Accrued Primacy
		1,562.00	Accrued Sales tax
		4,000.00	Accrued rent
		(2,932.00)	Accrued other
		72,471.00	Interest Accrued
	\$	<u>145,384.00</u>	

Per client email - 5/1/13

SIZE	Sub Totals
5/8"	2381.12
3/4"	7217.55
1'	2448.04
1.5"	269.58
2"	12823.07
3"	297.96
4"	7311.59
6"	5235.19
8"	3199.58
12"	0
Pit Lids	5038.165
CL2	2267.05
Hydr Pts	885.64
MISC	2068.24
<b>TOTAL</b>	<b>51442.78</b>

10

154,000





USDA Account CPA	Item Number	Description	ASL (1) YRS	MOS	Date Acquired M D YR	Cost/ Basis	Monthly Basis	Months In Use (2)	Depreciation - Per Books			Depr Reserve - Recalced Amount	Difference	Adjust Number
									Current	Prior	Reserve			
343.000	163	Supply Mains	50	600	5 19 2009	42,550	70.92	43	42,550	2,198	851	3,049	3,049	0
343.000	172	Supply Mains-PCI Bridges	50	600	19 2009	80,050	133.42	43	80,050	4,136	1,601	5,737	5,737	0
343.000	174	Water Line-PCI Bridges	50	600	6 1 2009	3,271	5.45	43	3,271	169	65	234	234	0
343.000	177	Hydrants-Ferguson	50	600	6 3 2009	8,630	14.38	42	8,630	432	172	604	604	0
343.000	190	Supply Main-PCI Bridges	50	600	6 8 2009	8,035	13.39	42	8,035	402	160	562	562	0
343.000	191	Supply Main-PCI Bridges	50	600	7 20 2009	7,000	11.67	41	7,000	338	140	478	478	0
343.000	192	Engineering Systems	50	600	8 5 2009	1,346	2.24	40	1,346	63	27	90	90	0
343.000	193	Sprd Winwater Works	50	600	8 10 2009	18,428	30.71	40	18,428	860	369	1,229	1,229	0
343.000	194	Supply Main-PCI Bridges	50	600	8 13 2009	9,705	16.18	40	9,705	453	194	647	647	0
343.000	195	Ferguson Enterprises	50	600	8 13 2009	4,080	6.80	40	4,080	190	82	272	272	0
343.000	196	Table Rock Asphalt	50	600	8 21 2009	482	0.80	40	482	22	10	32	32	0
343.000	197	Supply Mains-PCI Bridges	50	600	8 31 2009	30,259	50.43	39	30,259	1,412	605	2,017	2,017	0
343.000	198	Supply Main-PCI Bridges	50	600	9 15 2009	9,300	15.50	39	9,300	419	186	605	605	0
343.000	199	McGlowthow Bros-line upgrade	50	600	9 17 2009	382	0.64	39	382	17	8	25	25	0
343.000	200	Quality Structures	50	600	9 18 2009	9,720	16.20	39	9,720	437	195	632	632	0
343.000	201	Dallas Co. Road Boring	50	600	9 18 2009	13,325	22.21	39	13,325	600	266	866	866	0
343.000	202	Sapp & Sons - upgrade	50	600	9 18 2009	14,868	24.78	39	14,868	669	297	966	966	0
343.000	203	Carr Excavating	50	600	9 18 2009	9,825	16.38	39	9,825	442	197	639	639	0
343.000	204	Ferguson Enterprises	50	600	9 25 2009	1,883	3.14	39	1,883	85	37	122	122	0
343.000	205	Table Rockrepairs & leak correction	50	600	9 28 2009	2,835	4.73	39	2,835	128	56	184	184	0
343.000	206	Heavy Equipment Rental-line ext.	50	600	9 28 2009	28,770	47.95	39	28,770	1,295	575	1,870	1,870	0
343.000	207	Laser Equipment Rental	50	600	10 7 2009	805	1.34	38	805	35	16	51	51	0
343.000	208	Miller-Blevins - static tests on line	50	600	10 13 2009	804	1.34	38	804	35	16	51	51	0
343.000	209	Lowes - misc parts	50	600	10 13 2009	104	0.17	38	104	5	2	7	7	0
343.000	210	Sprd Winwater Works	50	600	10 16 2009	800	1.33	38	800	35	16	51	51	0
343.000	211	Table Rock extension	50	600	11 4 2009	518	0.86	37	518	22	10	32	32	0
343.000	212	Simmons Engineering-Fall Creek	50	600	11 4 2009	3,150	5.25	37	3,150	131	63	194	194	0
343.000	213	Simmons-line extension	50	600	11 5 2009	511	0.85	37	511	21	11	32	32	0
343.000	214	Morris Oil-backhoe	50	600	11 10 2009	207	0.35	37	207	9	4	13	13	0
343.000	215	Mule Barn	50	600	11 12 2009	733	1.22	37	733	31	14	45	45	0
343.000	216	Fabick Southwest-equipment rental	50	600	11 15 2009	2,746	4.58	37	2,746	114	55	169	169	0
343.000	217	Table Rock-fix access road	50	600	11 16 2009	613	1.02	37	613	26	12	38	38	0
343.000	218	Branson Star LTD-PCI fuel bills	50	600	11 30 2009	3,019	5.03	37	3,019	126	60	186	186	0
343.000	219	Table Rock-repair gunner hill	50	600	12 8 2009	523	0.87	36	523	21	10	31	31	0
343.000	220	EBE Enterprises-straw blower rental	50	600	12 8 2009	80	0.13	36	80	3	2	5	5	0
343.000	221	Lowes - seed for 265/376 ext.	50	600	12 8 2009	371	0.62	36	371	15	7	22	22	0
343.000	222	Simmons Engineering-265/376 ext.	50	600	12 14 2009	48	0.08	36	48	2	1	3	3	0
343.000	223	Simmons Engineering-265/376 ext.	50	600	12 8 2009	540	0.90	36	540	22	10	32	32	0
343.000	239	265-376 Line	50	600	1 31 2010	152	0.25	35	152	6	3	9	9	0
343.000	240	2010 Fall Creek Rd	50	600	6 11 2010	2,800	4.67	30	2,800	84	56	140	140	0
343.000	241	Fall Creek Rd	50	600	6 14 2010	771	1.29	30	771	23	16	39	39	0
343.000	249	Diesel for Fall Creek	50	600	6 28 2010	777	1.30	30	777	23	16	39	39	0
343.000	257	Fall Creek Rd	50	600	7 6 2010	13,225	22.04	29	13,225	375	264	639	639	0
343.000	257	Fall Creek Rd	50	600	7 31 2010	32,517	54.20	29	32,517	921	651	1,572	1,572	0
343.000	257	Fall Creek Rd	50	600	8 5 2010	9,529	15.88	28	9,529	254	191	445	445	0
343.000	158	Eng Fees-Fall Creek Rd	20	240	5 1 2009	226	0.94	44	226	30	11	41	41	0
343.000	159	Eng Fees-Mont St	20	240	5 31 2009	358	1.49	43	358	46	18	64	64	0
343.000	271	Concrete-Fall Creek Rd	50	600	9 14 2011	2,496	4.16	15	2,496	12	50	62	62	0
343.000 Total		Transmission & Distribution Mains				635,915	119,162	12,734	131,836	131,836	0	0	0	R-28.1
345.000	7	Services	40	480	3 31 1987	6,213	12.94	309	6,213	3,844	156	4,000	4,000	0
345.000	12	Services	40	480	3 31 1987	4,839	10.08	309	4,839	2,994	121	3,115	3,115	0
345.000	13	Services	40	480	6 30 1987	7,090	14.77	306	7,090	4,343	177	4,520	4,520	0
345.000	14	Services	40	480	9 30 1987	9,479	19.75	303	9,479	5,147	237	5,984	5,984	0
345.000	15	Services	40	480	12 31 1987	5,066	10.55	300	5,066	3,040	126	3,166	3,166	0
345.000	46	Gooseberry Extension/Serv	40	480	3 31 2001	6,622	13.80	141	6,622	1,780	165	1,945	1,945	0

USOA Account CPA	New	Item Number	Description	YRS	ASL (1) MOS	Date Acquired M D YR	Cost/ Basis	Monthly Basis	Months In Use (2)	Depreciation - Per Books			Reserve	Depr Reserve - Recalced		Adjust Number
										Current	Prior	Basis		Amount	Difference	
345.000	345.000	126	Services	40	480	1 1 2008	19,396	40.41	60	19,396	1,940	485	2,425	2,425	0	0
345.000	345.000	127	Services	40	480	1 31 2008	625	1.30	59	625	61	16	77	77	0	0
345.000	345.000	128	Services	40	480	2 29 2008	6,016	12.53	58	6,016	577	150	727	727	0	0
345.000	345.000	129	Services	40	480	3 31 2008	7,097	14.79	57	7,097	665	178	843	843	0	0
345.000	345.000	130	Services	40	480	4 30 2008	1,600	3.33	56	1,600	147	40	187	187	0	0
345.000	345.000	131	Services	40	480	5 30 2008	486	1.01	55	486	44	12	56	56	0	0
345.000	345.000	132	Services	40	480	6 30 2008	4,764	9.93	54	4,764	417	119	536	536	0	0
345.000	345.000	133	2 Ton Clean Rock Schlink-New Meter	40	480	7 17 2008	500	1.04	53	500	43	12	55	55	0	0
345.000	345.000	134	1 Ton Clean Rock Schlink-New Meter	40	480	7 18 2008	226	0.47	53	226	19	6	25	25	0	0
345.000	345.000	135	Top Soil	40	480	7 22 2008	128	0.27	53	128	11	3	14	14	0	0
345.000	345.000	136	Services	40	480	7 31 2008	2,893	6.03	53	2,893	247	72	319	319	0	0
345.000	345.000	137	Main Service	40	480	8 1 2008	928	1.93	53	928	79	23	102	102	0	0
345.000	345.000	138	Gaskets	40	480	8 6 2008	22	0.05	52	22	2	0	2	2	0	0
345.000	345.000	139	Majestic Point Parts	40	480	8 6 2008	331	0.69	52	331	28	8	36	36	0	0
345.000	345.000	146	Boundary survey re well #4	40	480	12 11 2008	1,430	2.98	48	1,430	107	36	143	143	0	0
345.000	345.000	243	New Service-rpm marina	40	480	4 8 2010	57	0.12	32	57	2	2	4	4	0	0
345.000	345.000	272	Services	40	480	10 4 2011	876	1.83	14	876	4	22	26	26	0	0
	345.000 Total						86,684			86,684	26,141	2,165	28,306	28,306	0	R-30.1
398.000	346.000	64	Plate Vibrator for Meters	10	120	11 14 2002	1,930	16.08	121	1,930	1,753	177	1,930	1,930	0	0
314.000	346.000	102	Meters	10	120	1 24 2007	739,248	6,160.40	71	739,248	363,464	73,924	437,388	437,388	0	0
314.000	346.000	111	New Meter Conn-Overages	10	120	6 30 2007	5,898	49.15	66	5,898	2,554	590	3,244	3,244	0	0
346.000	346.000	124	Water Meter for Quality	10	120	8 6 2008	427	3.56	52	427	142	43	185	185	0	0
346.000	346.000	273	Meters	10	120	4 26 2011	1,845	15.38	20	1,845	123	185	308	308	0	0
346.000	346.000	273	Meters	10	120	5 26 2011	126	1.05	19	126	7	13	20	20	0	0
271.000	271.000	154	CIAC	40	480	6 30 2008	8,069	16.81	54	8,069	706	202	908	908	0	0
271.000	271.000	179	CIAC	40	480	6 30 2009	5,286	11.01	42	5,286	330	133	463	463	0	0
271.000	271.000	236	CIAC	40	480	6 30 2010	2,414	5.03	30	2,414	91	60	151	151	0	0
	346.000 Total						765,243			765,243	369,270	75,326	444,596	444,596	0	R-31.1
348.000	348.000	16	Fire Hydrants	50	600	1 1 1987	25,500	42.50	312	25,500	12,750	510	13,260	13,260	0	0
	348.000 Total						25,500			25,500	12,750	510	13,260	13,260	0	R-32.1
349.000	349.000	125	Valve Lids	10	120	8 1 2008	66	0.55	53	66	23	6	29	29	0	0
349.000	349.000	167	Concrete vault	10	120	5 27 2009	1,380	11.50	43	1,380	357	138	495	495	0	0
349.000	349.000	178	Line extension-Fabick	10	120	6 8 2009	2,746	22.88	42	2,746	687	274	961	961	0	0
349.000	349.000	224	150 LSDA Pad Lock Key	10	120	11 2 2009	1,868	15.57	37	1,868	389	187	576	576	0	0
349.000	349.000	225	CL2 Head replacement	10	120	12 15 2009	2,348	19.57	36	2,348	470	234	704	704	0	0
349.000	349.000	244	Fall Creek Rd	10	120	6 2 2010	25	0.21	30	25	4	2	6	6	0	0
	349.000 Total						8,433			8,433	1,930	841	2,771	2,771	0	R-32.1
	TOTAL						1,906,464			1,906,464	684,663	101,193	785,876	785,876	0	0
	General Plant															
389.000	389.000	237	File Easement Majestic Point 8" Main	20	240	3 4 2010	42	0.18	33	42	4	2	6	6	0	0
389.000	389.000	149	Office Remodel	15	180	12 19 2008	5,116	28.42	48	5,116	1,023	341	1,364	1,364	0	0
390.000	390.000	148	Fencing at Tri-States office	7	84	12 22 2008	13,070	155.60	48	13,070	5,601	1,868	7,469	7,469	0	0
390.000	390.000	245	Sprinkler system	20	240	8 23 2010	3,470	14.46	28	3,470	231	174	405	405	0	0
390.000	390.000	246	Security System	20	240	9 28 2010	1,861	7.75	27	1,861	116	93	209	209	0	0
390.000	390.000	267	Fire Alarm System	20	240	8 23 2010	2,910	12.13	28	2,910	194	146	340	340	0	0
390.000	390.000	267	Fire Alarm System	20	240	10 26 2010	2,909	12.12	26	2,909	170	145	315	315	0	0
390.000	390.000	267	Fire Alarm System	20	240	12 16 2010	75	0.31	24	75	4	4	8	8	0	0
390.000	390.000	267	Fire Alarm System	20	240	3 15 2011	75	0.31	21	75	3	4	7	7	0	0
390.000	390.000	267	Fire Alarm System	20	240	7 5 2011	75	0.31	17	75	2	3	5	5	0	0
390.000	390.000	268	Alarm system at wells	20	240	8 8 2011	100	0.42	16	100	2	5	7	7	0	0
390.000	390.000	268	Alarm system at wells	20	240	8 8 2011	989	4.12	16	989	16	50	66	66	0	0
390.000	390.000	269	DVR at wells	20	240	8 15 2011	765	3.19	16	765	319	38	51	51	0	0
399.000	390.000	17	Carpet	20	240	5 29 1992	1,351	5.63	247	1,351	1,323	28	1,351	1,351	0	0

USOA Account CPA	New	Item Number	Description	ASL (1) YRS	MOS	Date Acquired		Cost/ Basis	Monthly Basis	Months In Use (2)	Depreciation - Per Books			Depr Reserve - Recalced Amount	Difference	Adjust Number
						M	D				YR	Prior	Current			
399.000	390.000	20	Portable Shed	20	240	10	31	1992	3,519	14.66	242	3,519	3,519	0		
399.000	390.000	234	New door locks - ofc bldg Structures & Improvements	20	240	10	13	2009	1,367	5.70	38	1,367	216	0		
	390.000								37,652			37,652	15,331	0		R-36.1
396.000	391.000	25	Fax Machine	20	240	12	31	1994	1,720	7.17	216	1,720	1,548	0		
396.000	391.000	32	Three Ceiling Fans, Motion Det	20	240	10	11	1996	478	1.99	194	478	386	0		
396.000	391.000	29	Gateway 2000 Computer	7	84	9	30	1996	2,196	26.14	195	2,196	2,196	0		
396.000	391.000	31	Waterworks Software	7	84	9	30	1996	995	11.85	195	995	995	0		
396.000	391.000	35	Printer	7	84	11	13	1997	513	6.11	181	513	513	0		
396.000	391.000	34	Gateway 2000 Computer	7	84	12	11	1997	2,693	32.06	180	2,693	2,693	0		
391.000	391.000	36	Chair	20	240	12	12	1997	241	1.00	180	241	181	0		
391.000	391.000	42	Office Safe - All Locking	20	240	3	5	1998	1,972	8.22	177	1,972	1,454	0		
391.000	391.000	43	Security System for Office	20	240	3	6	1998	773	3.22	177	773	570	0		
396.000	391.000	44	Gateway Computer	7	84	9	13	1999	1,730	20.60	159	1,730	1,730	0		
396.000	391.000	45	Lexmark Printer	7	84	7	18	2000	2,010	23.93	149	2,010	2,010	0		
391.000	391.000	72	Two New Computers	7	84	10	1	2003	3,574	42.55	111	3,574	3,574	0		
391.000	391.000	74	Laser Printer	7	84	12	9	2003	363	4.32	108	363	363	0		
391.000	391.000	77	Printer	7	84	1	7	2004	466	5.55	107	466	466	0		
391.000	391.000	85	Two New CPU - Sharon's	7	84	5	3	2005	10,473	124.68	91	10,473	10,473	0		
391.000	391.000	87	Four Liebert Upstation GXT 2	7	84	12	6	2005	2,347	27.94	84	2,347	2,347	0		
398.000	391.000	88	Software	7	84	10	5	2005	434	5.17	86	434	434	0		
398.000	391.000	89	Software	7	84	12	6	2005	1,249	14.87	84	1,249	1,249	0		
398.000	391.000	90	Symantec Software	7	84	4	7	2006	1,334	15.88	80	1,334	1,270	0		
398.000	391.000	91	Software	7	84	5	16	2006	720	8.57	79	720	677	0		
398.000	391.000	92	Computer	7	84	6	29	2006	1,909	22.73	78	1,909	1,773	0		
398.000	391.000	97	Computer	7	84	12	8	2006	570	6.79	72	570	489	0		
398.000	391.000	101	Q7 Quickbooks	7	84	3	7	2007	1,122	13.36	69	1,122	922	0		
398.000	391.000	103	Intedata Systems - Software	7	84	4	17	2007	1,380	16.43	68	1,380	920	0		
398.000	391.000	104	Symantec Renewal	7	84	4	17	2007	254	3.02	68	254	206	0		
398.000	391.000	105	QB Update	7	84	5	15	2007	225	2.68	67	225	179	0		
398.000	391.000	106	Computer - Rogers	7	84	12	17	2007	313	3.73	63	313	224	0		
398.000	391.000	108	Printer	20	240	12	22	2008	4,500	18.75	48	4,500	900	0		
391.000	391.000	155	Various Office Equipment	7	84	1	28	2009	5,000	59.52	47	5,000	2,798	0		
391.000	391.000	226	New computer system & install Labor per new computer quote	7	84	7	7	2009	5,406	64.36	41	5,406	2,639	0		
391.100	391.000	228	Minolta Copier Buy Out	7	84	10	20	2009	1,772	21.10	40	1,772	844	0		
391.100	391.000	247	Carpet for office	20	240	8	26	2009	6,207	73.89	29	6,207	2,808	0		
391.000	391.000	248	Computers	7	84	8	2	2010	1,300	15.48	28	1,300	433	0		
391.000	391.000	249	Office furniture	20	240	8	9	2010	3,937	16.40	28	3,937	459	0		
391.000	391.000	250	Chairs	20	240	8	23	2010	1,129	4.70	28	1,129	132	0		
391.000	391.000	263	Oreck Vacuum	7	84	8	23	2010	554	6.60	28	554	185	0		
391.000	391.000	264	Pictures & Decorations	20	240	11	8	2010	572	2.38	25	572	60	0		
391.000	391.000	265	Phones	7	84	12	31	2010	1,063	12.65	24	1,063	304	0		
391.000	391.000	274	Phone	7	84	1	6	2011	245	2.92	23	245	67	0		
391.000	391.000	275	Phone	7	84	10	26	2011	268	3.19	14	268	45	0		
398.000	391.100	84	Software Program Software	35	240	4	8	2005	28,327	67.45	92	28,327	6,205	0		
399.000	391.100	120	Star receipt printer & billing module	20	240	9	22	2008	2,275	9.48	51	2,275	483	0		
399.000	391.100	235	New camera/recording equipment	20	240	12	15	2009	2,608	10.87	36	2,608	391	0		
	391.000 Total								112,699			112,699	60,322	0		R-36.1
392.000	392.000	58	2002 Ford Truck F Series	7	84	9	11	2002	15,636	186.14	123	15,636	15,636	0		
392.000	392.000	63	Truck Tool Boxes	7	84	11	14	2002	441	5.25	121	441	441	0		
392.000	392.000	66	Power Inverters for Truck	7	84	4	8	2003	966	11.50	116	966	966	0		
392.000	392.000	69	03 Ford Explorer	7	84	9	30	2003	36,035	428.99	111	36,035	36,035	0		
392.000	392.000	99	06 Ford Truck	7	84	7	10	2006	18,008	214.38	77	18,008	16,507	0		
392.000	392.000	100	Dump Truck	7	84	8	10	2006	4,300	51.19	76	4,300	3,890	0		

Deer Sch.

USOA Account CPA	New	Item Number	Description	ASL (1) YRS	Date Acquired M D YR	Cost/ Basis	Monthly		Depreciation - Per Books			Depr Reserve - Recalced Amount	Difference	Adjust Number	
							Months In Use (2)	Basis	Prior	Current	Reserve				
392.000	392.000	114	New truck (Tri-Lakes Ford)	7	84 9 16 2008	17,301	205.96	51	17,301	8,033	2,471	10,504	10,504	0	
392.000	392.000	115	New truck (Tri-Lakes Ford)	7	84 9 16 2008	21,943	261.23	51	21,943	10,188	3,135	13,323	13,323	0	
392.000	392.000	116	Workizer Light Bar	7	84 4 15 2008	508	6.05	56	508	308	73	339	339	0	
392.000	392.000	117	Equipment (Bus Andrews)	7	84 10 15 2008	6,760	80.48	50	6,760	3,058	966	4,024	4,024	0	
392.000	392.000	266	John Deere Wheel Loader Backhoe	7	84 12 22 2010	69,077	822.35	24	69,077	9,868	9,868	19,736	19,736	0	
	392,000 Total		Transportation Equipment			190,975			190,975	101,702	19,699	121,401	121,401	0	R-38.2
396.000	394.000	21	Meter Tester	20	240 5 27 1993	699	2.91	235	699	649	35	684	684	0	
396.000	394.000	22	Leak Detector	20	240 5 27 1993	1,501	6.25	235	1,501	1,395	75	1,470	1,470	0	
396.000	394.000	23	Metal Detectors	20	240 7 31 1994	219	1.91	221	219	191	180	202	202	0	
396.000	394.000	30	Handheld Meter Readers	20	240 9 30 1996	3,590	14.96	195	3,590	2,737	180	2,917	2,917	0	
396.000	394.000	47	Metal Detector	20	240 6 7 2001	117	0.49	138	117	117	6	67	67	0	
396.000	394.000	48	Handheld Meter Reader	20	240 4 15 2001	1,271	5.30	140	1,271	678	63	741	741	0	
396.000	394.000	80	Breathing Apparatus	20	240 6 8 2004	8,174	34.06	102	8,174	3,065	409	3,474	3,474	0	
396.000	394.000	82	Air Compressor and Hose	20	240 1 10 2005	756	3.15	95	756	261	38	299	299	0	
396.000	394.000	94	Leak Detector	20	240 10 17 2006	4,500	18.75	74	4,500	1,163	225	1,388	1,388	0	
396.000	394.000	95	Tools for Titan	20	240 11 30 2006	5,700	23.75	73	5,700	1,449	285	1,734	1,734	0	
396.000	394.000	96	Main Break	20	240 12 13 2006	373	1.55	72	373	93	19	112	112	0	
398.000	394.000	98	Stainless Screws	20	240 1 1 2007	5,029	20.95	72	5,029	1,257	252	1,509	1,509	0	
394.000	394.000	118	Cutquick Saw	20	240 1 8 2008	878	3.66	59	878	172	44	216	216	0	
394.000	394.000	251	Submersible pump	20	240 8 26 2010	918	3.83	28	918	61	46	107	107	0	
398.000	398.000	233	Equipment - Lowe's	15	180 7 2 2009	489	2.72	41	489	489	32	111	111	0	
	394,000 Total		Tools, Shop, Garage Equipment			34,214			34,214	13,311	1,720	15,031	15,031	0	R-40.1
396.000	396.000	79	Shindaiwa Cut Off Saw	15	180 4 20 2004	965	5.36	104	965	493	65	558	558	0	
391.000	396.000	81	Power Washer	15	180 9 14 2004	530	2.94	99	530	256	36	292	292	0	
396.000	396.000	83	Three Infrared Heaters	15	180 3 11 2005	700	3.89	93	700	315	47	362	362	0	
396.000	396.000	86	Air Condensing Unit	15	180 10 5 2005	1,115	6.19	86	1,115	458	75	533	533	0	
398.000	396.000	93	Komatsu	15	180 6 30 2006	11,939	66.33	78	11,939	4,378	796	5,174	5,174	0	
396.000	396.000	119	Warren portable 12V-DC Pump	15	180 1 1 2008	782	4.34	60	782	209	52	261	261	0	
	396,000 Total		Power Operated Equipment			16,031			16,031	6,709	1,069	7,178	7,178	0	R-42.1
397.000	397.000	18	Communication Equipment	15	180 7 3 1992	1,033	5.74	245	1,033	1,033	0	1,033	1,033	0	
397.000	397.000	19	Communication Equipment	15	180 9 30 1992	1,018	5.66	243	1,018	1,018	0	1,018	1,018	0	
397.000	397.000	156	Phone Equipment	15	180 3 4 2009	639	3.55	45	639	117	43	160	160	0	
397.000	397.000	160 & 168	Phone Equipment	15	180 5 4 2009	448	2.49	43	448	77	30	107	107	0	
397.000	397.000	161	Laptop-Tom's	15	180 5 15 2009	1,117	6.21	43	1,117	192	75	267	267	0	
397.000	397.000	169	Computer	15	180 4 17 2009	697	3.87	44	697	124	46	170	170	0	
397.000	397.000	173	Phone Equipment	15	180 6 4 2009	264	1.47	42	264	264	18	62	62	0	
397.000	397.000	229	Phone Equipment	15	180 8 17 2009	448	2.49	40	448	70	30	100	100	0	
397.000	397.000	230	New radios	15	180 8 26 2009	6,328	35.16	40	6,328	984	422	1,406	1,406	0	
397.000	397.000	231	Phone Equipment	15	180 10 8 2009	280	1.56	38	280	280	19	59	59	0	
397.000	397.000	232	Phone Equipment	15	180 12 23 2009	543	3.02	36	543	72	37	109	109	0	
397.000	397.000	238	Equipment	15	180 1 19 2010	224	1.24	35	224	224	15	44	44	0	
397.000	397.000	252	Phones	15	180 9 23 2010	834	4.63	27	834	70	55	125	125	0	
	397,000 Total		Communication Equipment			13,873			13,873	3,870	789	4,659	4,659	0	R-43.1
399.000	399.000	259	New door locks - ofc bldg	20	240 8 23 2010	91	0.38	28	91	91	5	11	11	0	
399.000	399.000	259	Shelves, 3 Thermostats, Door Stoppers	20	240 8 23 2010	400	1.67	28	400	27	20	47	47	0	
399.000	399.000	259	Multiple	20	240 8 23 2010	55	0.23	28	55	55	4	6	6	0	
	399,000 Total		Other Tangible Plant			546			546	37	27	64	64	0	R-45.1
			GENERAL PLANT			406,032			406,032	189,927	34,065	223,992	223,992	0	
			TOTAL			3,185,156			3,185,156	1,181,601	162,374	1,343,975	1,343,975	0	
			Grand Total												

Rounding

399,000 Total

399,000 Total

399,000 Total

399,000 Total

399,000 Total

399,000 Total

399,000 Total

399,000 Total

399,000 Total

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USOA Account CPA	New	Item Number	Description	ASL (1) YRS	MOS	Date Acquired			Cost/ Basis	Monthly Basis	Months In Use (2)	Depreciation - Per Books		Depr Reserve - Recalced Amount	Adjust Number
						M	D	YR				Prior	Current		

Rationale for Adjustments: To adjust depreciation reserve to reflect actual months used.

- (1) ASL = Average Service Life (in years)
- (2) The formula for Months in Use calculates the number of months between the depreciation end date (as in the annual report date or ending date of test year) recorded in row 4. It assumes the depreciation end date is at the end of a month. If the day of the month acquired is on the first of the month (day = 1) then that month is counted, since the item was in use for a full month. If the day of the month acquired is any day after the first of the month (day > 1), that month is NOT counted since the item was not in use for the full month.

Annual Report of TRI-STATES UTILITY, INC.  
for the calendar year of January 1 - December 31, 2012

**VERIFICATION**

The foregoing report must be verified by the oath of the President, Treasurer, General Manager or Receiver of the company. The oath required may be taken before any person authorized to administer an oath (Notary Public) by the laws of the State in which the same is taken.

**OATH**

State Of Missouri }  
County Of St. Louis } ss:

KEVIN E. RIGGS makes oath and says that  
Name of Affiant (Company Official/Representative)

s/he is PRESIDENT  
Official Title of the Affiant (Company Official/Representative)

of TRI-STATES UTILITY, INC  
Exact Legal Title or Name of the Respondent (Certificated Company Name)

and is located at 302 TERRACE RD, BRANSON, MO 65616 TEL.# 417-334-4189  
Address and Telephone Number of the Affiant (Company Official/Representative)

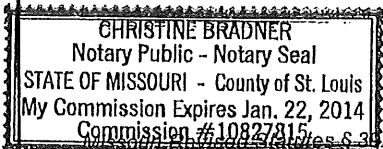
that s/he has examined the foregoing report; that to the best of his or her knowledge, information, and belief, all statements of fact contained in the said report are true and the said report is a correct statement of the business and affairs of the above-named respondent.

from January 1, 2012, to and including December 31, 2012  
Month/Day Year Month/Day Year

[Signature] 5/22/13  
Signature of Affiant (Company Official/Representative)

Subscribed and sworn to before me, a Notary Public, in and for the State and County above named,  
this 22nd day of May, 2013.

My Commission expires 1-22-14.



Christine Bradner  
Signature of Notary Public