

LAKE REGION WATER & SEWER COMPANY, INC.

**Company Full Certificated Name** (Do not abbreviate and include any Commission approved AKA/DBA/Fictitious Name, if applicable.)

WATER and/or SEWER ANNUAL REPORT

SMALL COMPANY

(Fewer than 8,000 customers)

TO THE

MISSOURI PUBLIC SERVICE COMMISSION

January 1 - December 31, 2011

**Please select how the company is certificated with the Commission under the Company Name as shown above (check all that apply):**

☒ Water Service Provider

☒ Sewer Service Provider

**Please choose one of the following filing options:**

☒ **Public Submission** (NOT Highly Confidential)

☐ **Non-Public Submission** (Highly Confidential / Filed Under Seal)  
For this filing to be considered Highly Confidential, additional submission of materials is required pursuant to Commission rule 4 CSR 240-3.335 and/or 4 CSR 240-3.640, Section 392.210, RSMo., and/or Section 393.140, RSMo.

1 For the calendar year of January 1 - December 31, 2011

2 **Company Name:** LAKE REGION WATER & SEWER COMPANY, INC.

**Parent Company Name:** \_\_\_\_\_  
(if applicable)

3 **Company Street Address:** 62 Bittersweet Road, Lake Ozark, MO 65049

4 **Company Mailing Address:** P.O. Box 9, Lake Ozark, MO 65049

5 **Company Phone Number:** 573-365-6792 **Company E-mail Address:** jrsummers@lakeozarks.com

**Name, address, phone number and e-mail of person(s) to contact concerning information contained in this report:**

|    |                          |       |                  |
|----|--------------------------|-------|------------------|
| 6  | John Summers             | _____ | _____            |
|    | Name                     |       | Name             |
| 7  | P.O. Box 9               | _____ | _____            |
|    | Mailing Address          |       | Mailing Address  |
| 7a | 62 Bittersweet Road      | _____ | _____            |
|    | Street Address           |       | Street Address   |
| 8  | Lake Ozark MO 65049      | _____ | _____            |
|    | City State Zip           |       | City State Zip   |
| 9  | 573-365-6792             | _____ | _____            |
|    | Telephone Number         |       | Telephone Number |
| 10 | jrsummers@lakeozarks.com | _____ | _____            |
|    | E-mail Address           |       | E-mail Address   |

**Please list the name, address, phone number and e-mail address of the primary licensed operator to contact concerning water plant operations:**

**Please list the name, address, phone number and e-mail address of the primary licensed operator to contact concerning sewer plant operations:**

|     |                         |       |                         |
|-----|-------------------------|-------|-------------------------|
| 11  | Joshua Duncan           | _____ | _____                   |
|     | Name                    |       | Same as Water Name      |
| 12  | Same                    | _____ | _____                   |
|     | Mailing Address         |       | Mailing Address         |
| 12a | Same                    | _____ | _____                   |
|     | Street Address          |       | Street Address          |
| 13  | Same                    | _____ | _____                   |
|     | City State Zip          |       | City State Zip          |
| 14  | Same                    | _____ | _____                   |
|     | Telephone Number        |       | Telephone Number        |
| 15  | jduncanpwsd4@gmail.com  | _____ | _____                   |
|     | E-mail Address          |       | E-mail Address          |
| 16  | 5369                    | _____ | _____                   |
|     | Operator License Number |       | Operator License Number |

Please provide the total company **and** gross intrastate operating revenues (i.e. Missouri Jurisdictional) Revenues for the Calendar Year: 2011

**Water Revenues**

17 Operating Revenues from Tariffed Services

18 Other Revenues

19 **TOTAL REVENUES** (Page W-2, line 24)

| ** | MO Jurisdictional | ** | ** | Total Company | **      |
|----|-------------------|----|----|---------------|---------|
| \$ | 209,864           |    |    | \$            | 209,864 |
| \$ | 100               |    |    | \$            | 100     |
| \$ | 209,963           |    |    | \$            | 209,963 |

Total MO Jurisdictional Revenue (line 19 above) should match Statement of Revenue (MoPSC Assessment).

**Sewer Revenues**

20 Operating Revenues from Tariffed Services

21 Other Revenues

22 **TOTAL REVENUES** (Page S-2, line 21)

| ** | MO Jurisdictional | ** | ** | Total Company | **      |
|----|-------------------|----|----|---------------|---------|
| \$ | 748,686           |    |    | \$            | 746,686 |
| \$ | -                 |    |    | \$            | -       |
| \$ | 748,686           |    |    | \$            | 746,686 |

Total MO Jurisdictional Revenue (line 22 above) should match Statement of Revenue (MoPSC Assessment).

1 For the calendar year of January 1 - December 31, 2011  
2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**CAPITAL STOCK (COMMON AND DEFERRED)**

| Class and Series of Stock<br>(a) | Number of<br>Shares<br>Authorized<br>(b) | Par or Stated<br>Value Per Share<br>(c) | Number of<br>Shares Issued<br>(d) |
|----------------------------------|--|---|-----------------------------------|
|                                  | 150,000                                  | \$ 1.00                                 | 150,000                           |
|                                  |  |   |                                   |
|                                  |  |   |                                   |
|                                  |  |   |                                   |
|                                  |  |   |                                   |

**SECURITY HOLDERS AND VOTING POWERS**

Report below the NAMES and ADDRESS of the ten stockholders who, at the end of the year, had the greatest voting powers in the respondent. AND STATE THE NUMBER OF VOTES each would have had a right to cast on that date. If any such holder held in trust, give the nature of the trust and the beneficial owner. Show also total votes of ALL securities with voting powers.

| Names and Addresses<br>(a)  | Number of<br>Votes<br>(b) |
|---|---------------------------|
| RPS Properties, 10777 Barkley, Suite 210, Overland Park, KS 66211 | 75,000                    |
| Sally Stump, 47203 Hwy 118, Alpine, TX 79830                      | 75,000                    |
|   |                           |
|   |                           |
|   |                           |
|   |                           |
|   |                           |
|   |                           |
| 16 Total Number of Votes Held by Above                            | 150,000                   |
| 17 Total Number of Votes of all Securities with Voting Powers     | 150,000                   |

Identify the principal or general officers of the company at the end of the year. Please include an additional sheet, if enough space is not provided on this page, to completely provide the requested information.

| Title of General Officer | Name of Person Holding Office |
|--------------------------|-------------------------------|
| 18 President             | Vernon Stump                  |
| 19 Secretary             | Brian Schwermann              |
| 20                       |                               |
| 21                       |                               |
| 22                       |                               |
| 23                       |                               |

Please check all of the following for which the company has filed / is current:

- 27 ☒ Secretary of State Requirements ☒ Federal Tax Return  
28 ☒ Department of Natural Resources Permits ☒ State Tax Return

29 DNR Permit Number: See Attached List (If you hold more than one permit, please attach list.)  
30 Original DNR Permit Date: \_\_\_\_\_  
31 DNR Permit Expiration Date: \_\_\_\_\_

Please list your most recent rate increase request Case No(s). and effective date(s).

32 Water Case No.: SR-2010-0110 Effective Date: 9/6/2010  
33 Sewer Case No.: WR-2010-0111 Effective Date: 9/6/2010

(To be used when filing under seal.)

For the calendar year of January 1 - December 31, 2011

LAKE REGION WATER & SEWER COMPANY, INC.

|   | Permit Number | Begin    | Expire   |
|---|---------------|----------|----------|
| 1 | MO-0123226    | 05/11/12 | 05/11/17 |
| 2 | MO-0113689    | 12/15/08 | 12/14/13 |
| 3 | MO-0082171    | 04/16/12 | 04/15/17 |
| 4 | MO-0118605    | 04/24/09 | 04/23/14 |
| 5 | MO-0102628    | 11/26/07 | 11/25/12 |
| 6 | MO-0123722    | 06/29/10 | 06/28/15 |

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For the calendar year of January 1 - December 31, 2011

2 Company Name:

LAKE REGION WATER & SEWER COMPANY, INC.

Describe MAJOR transactions occurring during the year which will have a major effect on operations, such as rate changes, replacement of equipment and other abnormal cash expenditures of \$250 or more.

3 Liftstation Repairs \$7,128

4 Engineer Evaluation of Water/Wastewater System \$7,965

5 Generator Replacement \$450

6 Racquet Club Flowmeter \$18,013

7 Liftstation Additions \$30,520

8 Treatment Plant Additions \$23,996

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1 For the calendar year of January 1 - December 31, 2011

2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

NOTE: Please do not type over formulas. Totals will calculate automatically in this spreadsheet.

**BALANCE SHEET**  
**WATER AND SEWER OPERATIONS**  
**ASSETS**

|    | Account Description<br>(a)   | ** Amount<br>(b)    | ** |
|----|--|---------------------|----|
| 3  | Water Plant In Service (From Pg. W-5)  | \$ 4,083,445        |    |
| 4  | LESS: Water Depreciation Reserve (From Pg. W-6)  | \$ 786,343          |    |
| 5  | Net Water Plant in Service (i.e., Water Plant In Service MINUS Water Depreciation Reserve) | \$ 3,297,102        |    |
| 6  | Water Materials and Supplies (From Pg. 11)   | \$ 24,098           |    |
| 7  | Water Construction Work in Progress  | \$ -                |    |
| 8  | Water Plant Held for Future Use (List explanation on Page 4a)                              | \$ 7,914            |    |
| 9  | Water Plant Acquisition Adjustment   | \$ -                |    |
| 10 | Sewer Plant in Service (From Pg. S-4)  | \$ 6,385,927        |    |
| 11 | LESS: Sewer Depreciation Reserve (From Pg. S-5)  | \$ 2,386,048        |    |
| 12 | Net Sewer Plant in Service (i.e., Sewer Plant in Service MINUS Sewer Depreciation Reserve) | \$ 3,999,879        |    |
| 13 | Sewer Materials and Supplies (From Pg. 11)   | \$ 7,995            |    |
| 14 | Sewer Construction Work in Progress  | \$ 12,697           |    |
| 15 | Sewer Plant Held for Future Use (List explanation on Page 4a)                              | \$ -                |    |
| 16 | Sewer Plant Acquisition Adjustment   | \$ -                |    |
| 17 | Other Plant (List explanation on Page 4a)  | \$ -                |    |
| 18 | Cash   | \$ 103,277          |    |
| 19 | Other Assets (Accounts Receivable, etc.) (List explanation on Page 4a)                     | \$ 289,625          |    |
| 20 | <b>Total Assets*</b>   | <b>\$ 7,742,587</b> |    |

\* Total Assets should balance with Total Equity and Liabilities on Page 5 (see instructions). Difference between Equity & Liabilities and Assets (from Pg 5).

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2011  
 2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**DETAILED EXPLANATION OF ITEMS**

3 Page 4, Line 8

Water Plant Held for Future Use

| ** Description                                | Amount | ** |
|---|--------|----|
|   |        |    |
|   |        |    |
|   |        |    |
|   |        |    |
|   |        |    |
| Total (should match amount on Page 4, Line 8) |        |    |

4 Page 4, Line 15

Sewer Plant Held for Future Use

| ** Description                                 | Amount | ** |
|--|--------|----|
|  |        |    |
|  |        |    |
|  |        |    |
|  |        |    |
|  |        |    |
| Total (should match amount on Page 4, Line 15) |        |    |

5 Page 4, Line 17

Other Plant

| ** Description                                 | Amount | ** |
|--|--------|----|
|  |        |    |
|  |        |    |
|  |        |    |
|  |        |    |
|  |        |    |
| Total (should match amount on Page 4, Line 17) |        |    |

5 Page 4, Line 19

Other Assets

\$ 289,625

| ** Description                                 | Amount     | ** |
|--|------------|----|
| Accounts Receivable                            | \$ 288,493 |    |
| Chlorine Bottle Deposits                       | \$ 727     |    |
| Missouri Department of Revenue Deposit         | \$ 400     |    |
| Misc   | \$ 5       |    |
|  |            |    |
| Total (should match amount on Page 4, Line 19) | \$ 289,625 |    |

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2011  
 2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**NOTE:** Please do not type over formulas. Totals will calculate automatically in this spreadsheet.

**BALANCE SHEET**  
**WATER AND SEWER OPERATIONS**  
**EQUITY AND LIABILITIES**

|    | Account Description<br>(a)   | ** | Amount<br>(b)    | ** |
|----|--|----|------------------|----|
| 3  | Capital Stock  | \$ | 3,207,068        |    |
| 4  | Retained Earnings  | \$ | (1,607,072)      |    |
| 5  | Long-Term Debt to Affiliates (owners, other owner controlled companies, etc.)  | \$ | -                |    |
| 6  | Short-Term Debt to Affiliates (owners, other owner controlled companies, etc.) | \$ | 361,109          |    |
| 7  | Long-Term Debt (banks, etc.)   | \$ | 12,362           |    |
| 8  | Short-Term Debt (banks, etc.)  | \$ | -                |    |
| 9  | Water Customer Deposits  | \$ | -                |    |
| 10 | Water Advances for Construction  | \$ | 411,000          |    |
| 11 | Water CIAC (From Pg. 9)  | \$ | 2,783,258        |    |
| 12 | LESS: Water Amortization of CIAC (From Pg. 9)                                  | \$ | 508,066          |    |
| 13 | Net Water CIAC (i.e., Water CIAC MINUS Water Amortization of CIAC)             | \$ | 2,275,192        |    |
| 14 | Sewer Customer Deposits  | \$ | -                |    |
| 15 | Sewer Advances for Construction  | \$ | -                |    |
| 16 | Sewer CIAC (From Pg. 9)  | \$ | 3,666,384        |    |
| 17 | LESS:  | \$ | 661,458          |    |
| 18 | Net Sewer CIAC (i.e., Sewer CIAC Minus Sewer Amortization of CIAC)             | \$ | 3,004,926        |    |
| 19 | Deferred Taxes - ITC   | \$ | (96,668)         |    |
| 20 | Deferred Taxes - Other (List explanation on Page 5a)                           | \$ | -                |    |
| 21 | Other Liabilities (Accounts Payable, etc.) (List explanation on Page 5a)       | \$ | 174,670          |    |
| 22 | <b>Total Equity and Liabilities*</b>   | \$ | <b>7,742,587</b> |    |

\* Total Equity and Liabilities should balance with Total Assets on Page 4 (see instructions).  
 Difference between Equity & Liabilities and Assets (from Pg 4).



1 For the calendar year of January 1 - December 31, 2011  
 2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**DETAILED EXPLANATION OF ITEMS**

3 Page 5, Line 20 Deferred Taxes - Other

| ** Description                                 | Amount | ** |
|--|--------|----|
|  |        |    |
|  |        |    |
|  |        |    |
|  |        |    |
|  |        |    |
| Total (should match amount on Page 5, Line 20) |        |    |

4 Page 5, Line 21 Other Liabilities (Accounts Payable, etc.) \$ 174,670

| ** Description                                 | Amount     | ** |
|--|------------|----|
| Accounts Payable                               | \$ 174,154 |    |
| Customer Refunds                               | \$ 233     |    |
| Sales Tax Payable                              | \$ 283     |    |
|  |            |    |
|  |            |    |
| Total (should match amount on Page 5, Line 21) | \$ 174,670 |    |

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2011

2 Company Name:

LAKE REGION WATER &amp; SEWER COMPANY, INC.

**SCHEDULE OF UNCOLLECTIBLE ACCOUNTS**

| Account<br>No.<br>(a) | Describe customer account and<br>methods used to attempt collection. Also, state why<br>account is deemed uncollectible (bankruptcy, etc.)<br>(b) | ** | Amount Written Off      |                         | ** |
|-----------------------|---|----|-------------------------|-------------------------|----|
|                       |   |    | Water<br>Utility<br>(c) | Sewer<br>Utility<br>(d) |    |
| 3 SR000101-0          | Christina Eads  |    |                         | \$ 131                  |    |
| 4 PHR0001-0           | Lena Findley - collection   |    |                         | \$ 274                  |    |
| 5 SR000103-0          | Terri Wright - collection   |    |                         | \$ 272                  |    |
| 6 TOWN02B-0           | Keri Bachofer - collection  |    | \$ 474                  |                         |    |
| 7 GP010041-0          | Dennis Rathman - bankruptcy   |    | \$ 410                  |                         |    |
| 8                     | Recovery  |    | \$ (39)                 |                         |    |
| 9                     |   |    |                         |                         |    |
| 10                    |   |    |                         |                         |    |
| 11                    |   |    |                         |                         |    |
| 12                    |   |    |                         |                         |    |
| 13                    |   |    |                         |                         |    |
| 14                    |   |    |                         |                         |    |
| 15                    |   |    |                         |                         |    |
| 16                    |   |    |                         |                         |    |
| 17                    |   |    |                         |                         |    |
| 18                    |   |    |                         |                         |    |
| 19                    |   |    |                         |                         |    |
| 20                    |   |    |                         |                         |    |
| 21                    |   |    |                         |                         |    |
| 22                    |   |    |                         |                         |    |
| 23                    |   |    |                         |                         |    |
| 24                    |   |    |                         |                         |    |
| 25                    |   |    |                         |                         |    |
| 26                    |   |    |                         |                         |    |
| 27                    |   |    |                         |                         |    |
| 28                    |   |    |                         |                         |    |
| 29                    |   |    |                         |                         |    |
| 30                    |   |    |                         |                         |    |
| 31                    | <b>Total</b>  |    | \$ 845                  | \$ 677                  |    |
|                       |   |    | (Total to Pg. W-1)      | (Total to Pg. S-1)      |    |

LAKE REGION WATER & SEWER COMPANY, INC.

**PAYROLL INFORMATION**

**INSTRUCTIONS:** Please provide names, titles and salaries for all officers and employees. Show total compensation paid to each during the year. Include all amounts including bonuses and other allowances. Enter "0" or none where applicable. Provide explanations where necessary. Use additional sheets if necessary.

| Name and Title<br>(a) |                             | Payroll Charged To:                  |                         |                         |                               |
|-----------------------|-----------------------------|--------------------------------------|-------------------------|-------------------------|-------------------------------|
|                       |                             | Total Utility<br>Compensation<br>(b) | Water<br>Expense<br>(c) | Sewer<br>Expense<br>(d) | Capitalized<br>Payroll<br>(e) |
| 3                     | Vernon Stump, President     | \$ -                                 | \$ -                    | \$ -                    | \$ -                          |
| 4                     | Brian Schwermann, Secretary | \$ -                                 | \$ -                    | \$ -                    | \$ -                          |
| 5                     |                             |                                      |                         |                         |                               |
| 6                     |                             |                                      |                         |                         |                               |
| 7                     |                             |                                      |                         |                         |                               |
| 8                     |                             |                                      |                         |                         |                               |
| 9                     |                             |                                      |                         |                         |                               |
| 10                    |                             |                                      |                         |                         |                               |
| 11                    |                             |                                      |                         |                         |                               |
| 12                    |                             |                                      |                         |                         |                               |
| 13                    |                             |                                      |                         |                         |                               |
| 14                    |                             |                                      |                         |                         |                               |
| 15                    |                             |                                      |                         |                         |                               |
| 16                    |                             |                                      |                         |                         |                               |
| 17                    |                             |                                      |                         |                         |                               |
| 18                    |                             |                                      |                         |                         |                               |
| 19                    | Total                       |                                      |                         |                         |                               |
|                       |                             |                                      | (Total to Page W-1)     | (Total to Page S-1)     |                               |

1

For the calendar year of January 1 - December 31, 2011

2 Company Name:

LAKE REGION WATER &amp; SEWER COMPANY, INC.

**PAYMENTS FOR SERVICES RENDERED BY OTHER THAN EMPLOYEES**

INSTRUCTIONS: Report below all information concerning rate, management, construction, engineering, research, financial, valuation, legal, accounting, purchasing, advertising, labor relations, public relations, or other similar professional services rendered the respondent under written or verbal arrangements, for which total payments during the year to any corporation, partnership, individual (other than for services as an employee) or organization of any kind whatsoever. Use additional sheets if necessary.

| Name of Recipient and Description of Service<br>(a) | Amount of Payments: |                    |                     |                    |
|---|---------------------|--------------------|---------------------|--------------------|
|   | Water               |                    | Sewer               |                    |
|   | Expensed<br>(b)     | Capitalized<br>(c) | Expensed<br>(d)     | Capitalized<br>(e) |
| 3 Beck & Company, Accounting                        | \$ 7,000.00         |                    | \$ 7,000.00         |                    |
| 4 Newman, Comley & Ruth, Legal                      | \$ 6,767.00         |                    | \$ 19,606.00        |                    |
| 5 Camden County PWSD4, Contract Operations          | \$ 59,598.00        | \$ 3,280.00        | \$ 177,927.00       | \$ 9,995.00        |
| 6 Payne & Jones Chartered, Legal                    | \$ 95.00            |                    | \$ 105.00           |                    |
| 7 Lake Utility Availability, Management             | \$ 71,760.00        |                    | \$ 204,240.00       |                    |
| 8 Allied Waste Services, Sanitation                 | \$ 94.00            |                    | \$ 268.00           |                    |
| 9 Missouri One Call, Utility Locates                | \$ 99.00            |                    | \$ 280.00           |                    |
| 10 Edwin Duncan, Consulting                         | \$ 520.00           |                    | \$ 1,480.00         |                    |
| 11 Krehbiel Engineering, Inc., Engineering          | \$ 889.00           |                    | \$ 7,076.00         |                    |
| 12 Lake Recharge & Fire Equip, Safety               | \$ 43.00            |                    | \$ 121.00           |                    |
| 13 Harms Inc., Engineering                          | \$ 471.00           |                    | \$ 1,341.00         |                    |
| 14  |                     |                    |                     |                    |
| 15  |                     |                    |                     |                    |
| 16  |                     |                    |                     |                    |
| 17  |                     |                    |                     |                    |
| 18  |                     |                    |                     |                    |
| 19 Total  | \$ 147,336.00       | \$ 3,280.00        | \$ 419,444.00       | \$ 9,995.00        |
|   | (Total to Page W-1) |                    | (Total to Page S-1) |                    |

**CONTRIBUTIONS IN AID OF CONSTRUCTION**

INSTRUCTIONS: This account shall include donations or contributions in cash, services, or property for construction purposes. The records supporting the entries to this account shall be so kept that the utility can furnish information as to the purpose of each donation, the conditions, if any, upon which it was made, the amount of each donation, and the amount applicable to each utility department. The credits (deductions) to this account shall not be transferred to any other account without the approval of the Commission.

|    | (a)   | Water<br>(b)     | Sewer<br>(c)     |
|----|---|------------------|------------------|
| 3  | Balance at Beginning of Year  | \$ 2,780,762     | \$ 3,665,954     |
| 4  | PLUS: Additions During the Year (Please provide a detailed explanation.)                    |                  |                  |
| 5  | New Services  | \$ 2,496         | \$ 430           |
| 6  |   |                  |                  |
| 7  |   |                  |                  |
| 8  |   |                  |                  |
| 10 | Total Additions   | \$ 2,496         | \$ 430           |
| 11 | LESS: Deductions During the Year (Please provide a detailed explanation.)                   |                  |                  |
| 12 |   |                  |                  |
| 13 |   |                  |                  |
| 14 | Retire and cap off service connection, but no connection fee money returned = no entry here |                  |                  |
| 15 |   |                  |                  |
| 16 | Total Deductions  |                  |                  |
| 17 | Balance at End of Year  | \$ 2,783,258     | \$ 3,666,384     |
|    |   | (Total to Pg. 5) | (Total to Pg. 5) |

**AMORTIZATION OF CONTRIBUTIONS IN AID OF CONSTRUCTION**

(Please identify as Account Number 271A)

**Distribution Method**

|    | (a)   | Water<br>(b)     | Sewer<br>(c)     |
|----|---|------------------|------------------|
| 18 | Balance at First of Year (Total of Amortization not Total of CIAC line 3) | \$ 452,425       | \$ 588,135       |
| 19 | Total Contributions in Aid at End of Year (see above)                     | \$ 2,783,258     | \$ 3,666,384     |
| 20 | Total Plant in Service at End of Year (From Page W-5 or S-4)              | \$ 44,083,445    | \$ 6,385,927     |
| 21 | Percentage Contributions to Plant   | 68%              | 58%              |
| 22 | Total Depreciation Expense (From Page W-6 or S-5)                         | \$ 90,221        | \$ 241,107       |
| 23 | Total Amortization of Contributions                                       | \$ 55,641        | \$ 73,323        |
| 24 | Balance at End of Year  | \$ 508,066       | \$ 661,458       |
|    |   | (Total to Pg. 5) | (Total to Pg. 5) |

**OR**

**Attached Method**

|    | (a)   | Water<br>(b)     | Sewer<br>(c)     |
|----|---|------------------|------------------|
| 25 | Balance at First of Year (Total of Amortization not Total of CIAC line 3) |                  |                  |
| 26 | Total Amortization of Contributions                                       |                  |                  |
| 27 | Balance at End of Year  |                  |                  |
|    |   | (Total to Pg. 5) | (Total to Pg. 5) |

2

LAKE REGION WATER & SEWER COMPANY, INC.

**INTEREST EXPENSE, NOTES PAYABLE, BONDS, BANK LOANS AND OTHER DEBTS**

INSTRUCTIONS: Please report information for each separate item of debt. Show principal amount to which each interest rate applies. Include all items on which interest was paid during the year. Use additional sheets if necessary.

|    | Description of Obligation<br>(Include Name and Address of Each Lender)<br>(a) | Origination<br>Date<br>(b) | Frequency of<br>Payments<br>(Semi-Monthly,<br>Monthly,<br>Quarterly,<br>Annually, etc.)<br>(c) | Date of<br>Maturity<br>(d) | Loan<br>Amount<br>(e) | Interest<br>Rate<br>(f) | Is Interest<br>Rate<br>Fixed or<br>Variable?<br>(g) | Paid<br>(h) | Interest Charged To:    |                         |
|----|---|----------------------------|--|----------------------------|-----------------------|-------------------------|---|-------------|-------------------------|-------------------------|
|    |   |                            |  |                            |                       |                         |   |             | Water<br>Utility<br>(i) | Sewer<br>Utility<br>(j) |
| 3  | Central Bank Lake Ozark, Pump Truck   | 6/10/08                    | Monthly  | 5/19/13                    | \$ 30,152.00          | 6.00%                   | V   | \$ 6,455    | \$ -                    | \$ 745                  |
| 4  | Central Bank Lake Ozark, Pickup Truck   | 11/18/08                   | Monthly  | 9/17/12                    | \$ 18,332.00          | 6.00%                   | V   | \$ 5,391    | \$ 127                  | \$ 362                  |
| 5  |   |                            |  |                            |                       |                         |   |             |                         |                         |
| 6  | P.O. Box 207  |                            |  |                            |                       |                         |   |             |                         |                         |
| 7  | Osage Beach, MO 65065   |                            |  |                            |                       |                         |   |             |                         |                         |
| 8  |   |                            |  |                            |                       |                         |   |             |                         |                         |
| 9  | Interest rates are variable tied to prime rate.                               |                            |  |                            |                       |                         |   |             |                         |                         |
| 10 | Minimum rate is 6%.   |                            |  |                            |                       |                         |   |             |                         |                         |
| 11 |   |                            |  |                            |                       |                         |   |             |                         |                         |
| 12 |   |                            |  |                            |                       |                         |   |             |                         |                         |
| 13 | Shawnee Bend Development  |                            |  |                            |                       |                         |   |             |                         |                         |
| 14 | P.O. Box 1329   |                            |  |                            |                       |                         |   |             |                         |                         |
| 15 | Lake Ozark, MO 65049  |                            |  |                            |                       |                         |   |             |                         |                         |
| 16 |   |                            |  |                            |                       |                         |   |             |                         |                         |
| 17 |   |                            |  |                            |                       |                         |   |             |                         |                         |
| 18 |   |                            |  |                            |                       |                         |   |             |                         |                         |
| 19 |   |                            |  |                            |                       |                         |   |             |                         |                         |
| 20 |   |                            |  |                            |                       |                         |   |             |                         |                         |
| 21 | Total   |                            |  |                            | \$ 48,484.00          |                         |   |             | \$ 3,983                | \$ 12,080               |
|    |   |                            |  |                            |                       |                         |   |             | (Total to<br>Pg. W-1)   | (Total to<br>Pg. S-1)   |

22 If the answer to column (a) is variable, please explain the method used for the interest rate calculation below with corresponding line number from above.

**SCHEDULE OF MATERIALS AND SUPPLIES AVAILABLE AT THE END OF THE YEAR**

| Description of Items<br>(Pipe, Meters, Fittings, Valves, Gas, etc.)<br>(a) | Water           |                  | Sewer           |                  |
|--|-----------------|------------------|-----------------|------------------|
|  | Quantity<br>(b) | Amount<br>(c)    | Quantity<br>(d) | Amount<br>(e)    |
| 3 Elbows   | 24              | \$ 833           | \$ 16           | \$ 815           |
| 4 Grip Ring & Gate Valve   | 6               | \$ 1,576         | \$ 7            | \$ 1,572         |
| 5 Packjoints   | 14              | \$ 442           | \$ 2            | \$ 123           |
| 6 Wyes   | 41              | \$ 2,663         |                 |                  |
| 7 Pipe   | 295             | \$ 700           |                 |                  |
| 8 Radio Read Meters  | 18              | \$ 12,413        |                 |                  |
| 9 Other  | 251             | \$ 5,471         | \$ 92           | \$ 5,485         |
| 10   |                 |                  |                 |                  |
| 11   |                 |                  |                 |                  |
| 12   |                 |                  |                 |                  |
| 13   |                 |                  |                 |                  |
| 14   |                 |                  |                 |                  |
| 15   |                 |                  |                 |                  |
| 16   |                 |                  |                 |                  |
| 17   |                 |                  |                 |                  |
| 18   |                 |                  |                 |                  |
| 19   |                 |                  |                 |                  |
| 20   |                 |                  |                 |                  |
| 21   |                 |                  |                 |                  |
| 22   |                 |                  |                 |                  |
| 23   |                 |                  |                 |                  |
| 24   |                 |                  |                 |                  |
| 25 Total   |                 | \$ 24,098        |                 | \$ 7,995         |
|  |                 | (Total to Pg. 4) |                 | (Total to Pg. 4) |

1

For the calendar year of January 1 - December 31, 2011

2 Company Name:

LAKE REGION WATER &amp; SEWER COMPANY, INC.

**WATER OPERATING REVENUES, EXPENSES AND STATISTICS**

| (a)  | Amount<br>(b) |
|--|---------------|
| 3 Total Operating Revenues (From Page W-2)                                 | \$ 209,963    |
| <u>Operating Expenses</u>  |               |
| 4 Salaries & Wages (From Page 7)   | \$ -          |
| 5 Employee Pensions and Benefits   | \$ -          |
| 6 Purchased Water  | \$ -          |
| 7 Plant Operations Expenses (From Page W-3)                                | \$ 18,623     |
| 8 Billing Expenses   | \$ 1,152      |
| 9 Supplies and Expenses  | \$ 3,909      |
| 10 Transportation Expenses   | \$ 11,562     |
| 11 Rent Expense (List explanation on Page W-1a)                            | \$ 7,932      |
| 12 Insurance Expense   | \$ 3,553      |
| 13 Outside Services Employed (i.e., Legal, Accounting, etc.) (From Page 8) | \$ 147,336    |
| 14 Regulatory Commission Expenses  | \$ 1,237      |
| 15 Uncollectible Expenses (From Page 6)                                    | \$ 845        |
| 16 Depreciation Expense (From Page W-6)                                    | \$ 90,221     |
| 17 Amortization of Contributions in Aid of Construction (Page 9)           | \$ (55,641)   |
| 18 Amortization Expense  | \$ -          |
| 19 Tax Expenses (From Page W-3)  | \$ 1,600      |
| 20 Interest Expense (From Page 10)   | \$ 3,983      |
| 21 Other Expenses (List explanation on Page W-1a)                          | \$ 4,882      |
| 22 Total Operating Expenses  | \$ 241,193    |
| 23 Net Income (Loss)   | \$ (31,229)   |



1 For the calendar year of January 1 - December 31, 2011  
2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**DETAILED EXPLANATION OF ITEMS**

3 Page W-1, Line 11

Rent Expense \$ 7,932

| ** Description                                   | Amount   | ** |
|--|----------|----|
| Equipment  | \$ 4,500 |    |
| Building   | \$ 3,432 |    |
|  |          |    |
|  |          |    |
|  |          |    |
| Total (should match amount on Page W-1, Line 11) | \$ 7,932 |    |

4 Page W-1, Line 21

Other Expenses \$ 4,882

| ** Description                                   | Amount   | ** |
|--|----------|----|
| Office Supplies                                  | \$ 1,376 |    |
| Telephone  | \$ 786   |    |
| Travel & Entertainment                           | \$ 1,534 |    |
| Misc General                                     | \$ 524   |    |
| 2009 Rate Case \$307 & Licenses/Permits \$354    | \$ 662   |    |
| Total (should match amount on Page W-1, Line 21) | \$ 4,882 |    |

**WATER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

(Please indicate if metered amounts are in cubic feet measurements.)

| (a)                             |  | No. of Customers         |                    | Gallons Sold<br>000's Omitted<br>(d) | Revenue Amount<br>(e) |
|---------------------------------|--|--------------------------|--------------------|--------------------------------------|-----------------------|
|                                 |  | Beginning of Year<br>(b) | End of Year<br>(c) |                                      |                       |
| <u>Unmetered Sales of Water</u> |  |                          |                    |                                      |                       |
| 3                               | Residential - Single Family  |                          |                    | XXXX                                 |                       |
| 4                               | Residential - Apartments   |                          |                    | XXXX                                 |                       |
| 5                               | Residential - Mobile Homes   |                          |                    | XXXX                                 |                       |
| 6                               | Commercial   |                          |                    | XXXX                                 |                       |
| 7                               | Other Sales to Public Authorities  |                          |                    | XXXX                                 |                       |
| 8                               | Other (List explanation on page W-2a)  |                          |                    | XXXX                                 |                       |
| 9                               | Total Unmetered Sales  |                          |                    |                                      |                       |
| <u>Metered Sales of Water</u>   |  |                          |                    |                                      |                       |
| 10                              | 5/8" Meter   | 579                      | 579                | 43,618                               | \$ 149,421            |
| 11                              | 3/4" Meter   | -                        | -                  | -                                    |                       |
| 12                              | 1" Meter   | 21                       | 21                 | 1,890                                | \$ 8,284              |
| 13                              | 1 1/2" Meter   | 24                       | 24                 | 3,765                                | \$ 17,721             |
| 14                              | 2" Meter   | 15                       | 18                 | 3,056                                | \$ 17,183             |
| 15                              | Other (List explanation on page W-2a)  | 4                        | 4                  | 2,815                                | \$ 12,470             |
| 16                              | Total Metered Sales  | 644                      | 646                | 55,144                               | \$ 205,080            |
| <u>Other Operating Revenues</u> |  |                          |                    |                                      |                       |
| 17                              | Late Payment Fees  |                          |                    |                                      | \$ 4,784              |
| 18                              | Inspection Fees  |                          |                    |                                      |                       |
| 19                              | Reconnect Fees   |                          |                    |                                      |                       |
| 20                              | Rent Income  |                          |                    |                                      |                       |
| 21                              | Income from Merchandising, Jobbing & Contract Work (List explanation on page W-2a) |                          |                    |                                      |                       |
| 22                              | Other Revenue (List explanation on page W-2a)                                      |                          |                    |                                      | \$ 100                |
| 23                              | Total Other Operating Revenues   |                          |                    |                                      | \$ 4,834              |
| 24                              | Total Operating Revenues *   |                          |                    |                                      | \$ 209,963            |
| (Total to Pg. W-1)              |  |                          |                    |                                      |                       |

\* Total Operating Revenues should match Statement of Revenue (MOPSC Assessment).



1 For the calendar year of January 1 - December 31, 2011  
 2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**WATER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

| (a)  | Amount<br>(b)       |
|--|---------------------|
| <u>Plant Operations Expenses</u>                                   |                     |
| 3 Repairs of Water Plant - Pump Repair                             | \$ -                |
| 4 Repairs of Water Plant - Well Repair                             | \$ 388              |
| 5 Repairs of Water Plant - Water Line Repair                       | \$ 1,565            |
| 6 Repairs of Water Plant - Equipment Repair                        | \$ -                |
| 7 Repairs of Water Plant - Other (List explanation on Page W-3a)   | \$ -                |
| 8 Fuel or Power Purchases for Pumping (i.e., Electric Bills, etc.) | \$ 14,068           |
| 9 Chemicals  | \$ 478              |
| 10 Water Testing Expenses  | \$ 2,124            |
| 11 Other Plant Operations Expenses (List explanation on Page W-3a) | \$ -                |
| 12 Total Plant Operations Expenses                                 | \$ 18,623           |
|  | (Total to Page W-1) |
| <u>Tax Expenses</u>  |                     |
| 13 Tax Expense - Property Taxes                                    | \$ 949              |
| 14 Tax Expense - Payroll Taxes                                     | \$ -                |
| 15 Tax Expense - Franchise Taxes                                   | \$ -                |
| 16 Tax Expense - Other Taxes (List explanation on Page W-3a)       | \$ -                |
| 17 Tax Expense - Federal Income Taxes                              | \$ 651              |
| 18 Tax Expense - State Income Taxes                                | \$ -                |
| 19 Tax Expense - Investment Tax Credits                            | \$ -                |
| 20 Total Tax Expenses  | \$ 1,600            |
|  | (Total to Page W-1) |

1

2 Company Name:

LAKE REGION WATER &amp; SEWER COMPANY, INC.

**PUMPING AND PURCHASED WATER STATISTICS**

(Omit 000's in reporting number of gallons or cubic feet of water. Use additional sheets if necessary.)

|                   | Gallons Pumped Into System | Source of Supply<br>(Please describe source below.) |         |     |        | Total of all<br>Methods<br>(a+b+c+d=e)<br>(e) |
|-------------------|----------------------------|---|---------|-----|--------|---|
|                   |                            |   |         |     |        |   |
|                   |                            | Deep Water Wells. Information in Gallons            |         |     |        |   |
|                   |                            | Well #1   | Well #2 | (c) | (d)    |   |
|                   | (a)                        | (b)   | (c)     | (d) |        |   |
| 8 January         | 804                        | 1,843   |         |     | 2,647  |   |
| 9 February        | 1,021                      | 797   |         |     | 1,818  |   |
| 10 March          | 723                        | 1,519   |         |     | 2,242  |   |
| 11 April          | 1,355                      | 1,855   |         |     | 3,210  |   |
| 12 May            | 4,778                      | 1,290   |         |     | 6,068  |   |
| 13 June           | 3,560                      | 4,631   |         |     | 8,191  |   |
| 14 July           | 6,154                      | 4,896   |         |     | 11,050 |   |
| 15 August         | 2,959                      | 5,808   |         |     | 8,767  |   |
| 16 September      | 5,719                      | 735   |         |     | 6,454  |   |
| 17 October        | 4,494                      | 132   |         |     | 4,626  |   |
| 18 November       | 2,205                      | -   |         |     | 2,205  |   |
| 19 December       | 1,636                      | 54  |         |     | 1,690  |   |
| 20 Total for Year | 35,408                     | 23,560  |         |     | 58,968 |   |

(Please indicate whether measurement is gallons or cubic feet.)

21 Maximum Quantity Supplied to the System in Any One Day:

840

Minimum:

10

22 Range of Pressure in the Mains as Measured at the Highest Point on System:

If Water is Sold to Other Utilities for Resale, List Names, Addresses, Phone Numbers and Quantities Below:

| Name of Reseller | Address | Phone Number | Quantity |
|------------------|---------|--------------|----------|
| N/A              |         |              |          |

(To be used when filing under seal.)

2 Company Name: \_\_\_\_\_

LAKE REGION WATER &amp; SEWER COMPANY, INC.

**WATER UTILITY PLANT IN SERVICE**

| Account Description<br>(a)   | Acct.<br>No.<br>(b) | Balance at<br>Beginning of Year<br>(c) | Additions During<br>the Year<br>(d) | Retirements<br>During the Year<br>(e) | Balance at End<br>of Year (c+d-e)<br>(f) |
|--|---------------------|--|-------------------------------------|---------------------------------------|--|
| <u>Intangible Plant</u>  | Class B, C or D     |  |                                     |                                       |  |
| 3 Organization   | 301                 | \$ 39,968                              |                                     |                                       | \$ 39,968                                |
| 4 Franchise and Consents   | 302                 |  |                                     |                                       |  |
| 5 Miscellaneous Intangible Plant                                       | 303                 |  |                                     |                                       |  |
| <u>Source of Supply Plant</u>  |                     |  |                                     |                                       |  |
| 6 Land and Land Rights   | 310                 | \$ 427                                 |                                     |                                       | \$ 427                                   |
| 7 Structures and Improvements  | 311                 | \$ 20,515                              |                                     |                                       | \$ 20,515                                |
| 8 Collecting and Impounding Reservoirs                                 | 312                 | \$ 486,431                             |                                     |                                       | \$ 486,431                               |
| 9 Lake, River, and Other Intakes                                       | 313                 |  |                                     |                                       |  |
| 10 Wells and Springs   | 314                 |  |                                     |                                       |  |
| 11 Infiltration Galleries and Tunnels                                  | 315                 |  |                                     |                                       |  |
| 12 Supply Mains  | 316                 |  |                                     |                                       |  |
| 13 Other Water Source Plant (List explanation on Page W-5a)            | 317                 |  |                                     |                                       |  |
| <u>Pumping Plant</u>   |                     |  |                                     |                                       |  |
| 14 Land and Land Rights  | 320                 |  |                                     |                                       |  |
| 15 Structures and Improvements   | 321                 |  |                                     |                                       |  |
| 16 Boiler Plant Equipment  | 322                 |  |                                     |                                       |  |
| 17 Other Power Production Equipment<br>(List explanation on Page W-5a) | 323                 |  |                                     |                                       |  |
| 18 Submersible Electric Pumping  | 325.1               | \$ 11,793                              |                                     |                                       | \$ 11,793                                |
| 19 High Service or Booster Pumps                                       | 325.2               |  |                                     |                                       |  |
| 20 Diesel Pumping Equipment  | 326                 |  |                                     |                                       |  |
| 21 Hydraulic Pumping Equipment   | 327                 |  |                                     |                                       |  |
| 22 Other Pumping Equipment (List explanation on Page W-5a)             | 328                 |  |                                     |                                       |  |
| <u>Water Treatment Plant</u>   |                     |  |                                     |                                       |  |
| 23 Land and Land Rights  | 330                 |  |                                     |                                       |  |
| 24 Structures and Improvements   | 331                 | \$ 12,799                              |                                     |                                       | \$ 12,799                                |
| 25 Water Treatment Equipment   | 332                 | \$ 16,564                              |                                     |                                       | \$ 16,564                                |
| <u>Transmission and Distribution Plant</u>                             |                     |  |                                     |                                       |  |
| 26 Land and Land Rights  | 340                 |  |                                     |                                       |  |
| 27 Structures and Improvements   | 341                 |  |                                     |                                       |  |
| 28 Distribution Reservoirs and Standpipes                              | 342                 |  |                                     |                                       |  |
| 29 Transmission and Distribution Mains                                 | 343                 | \$ 3,071,869                           |                                     |                                       | \$ 3,071,869                             |
| 30 Fire Mains  | 344                 |  |                                     |                                       |  |
| 31 Services  | 345                 | \$ 271,489                             | \$ 2,815                            |                                       | \$ 274,303                               |
| 32 Meters  | 346                 | \$ 95,404                              | \$ 551                              |                                       | \$ 95,955                                |
| 33 Meter Installations   | 347                 | \$ 33,161                              |                                     |                                       | \$ 33,161                                |
| 34 Hydrants  | 348                 |  |                                     |                                       |  |
| 35 Other Transmission and Distribution Plant                           | 349                 |  |                                     |                                       |  |
| <u>General Plant</u>   |                     |  |                                     |                                       |  |
| <b>Note:</b> USOA CLASS B and C are the same                           | B & C               | D                                      |                                     |                                       |  |
| 36 Land and Land Rights  | 389                 | 370                                    |                                     |                                       |  |
| 37 Structures and Improvements   | 390                 | 371                                    |                                     |                                       |  |
| 38 Office Furniture and Equipment                                      | 391                 | 372                                    | \$ 4,511                            |                                       | \$ 4,511                                 |
| 39 Office Computer and Electronic Equipment                            | 391.1               | 372.1                                  | \$ 3,924                            | \$ 294                                | \$ 4,218                                 |
| 40 Transportation Equipment  | 392                 | 373                                    | \$ 7,739                            |                                       | \$ 7,739                                 |
| 41 Other General Equipment   | none                | 379                                    |                                     |                                       |  |
| 42 Stores Equipment  | 393                 | none                                   |                                     |                                       |  |
| 43 Tools, Shop and Garage Equipment                                    | 394                 | none                                   | \$ 3,192                            |                                       | \$ 3,192                                 |
| 44 Laboratory Equipment  | 395                 | none                                   |                                     |                                       |  |
| 45 Power-operated Equipment  | 396                 | none                                   |                                     |                                       |  |
| 46 Communication Equipment   | 397                 | none                                   |                                     |                                       |  |
| 47 Miscellaneous Equipment   | 398                 | none                                   |                                     |                                       |  |
| 48 Other Tangible Property (List explanation on Page W-5a)             | 399                 | none                                   |                                     |                                       |  |
| 49 Total Water Utility Plant In Service                                |                     |  | \$ 4,079,786                        | \$ 3,660                              | \$ 4,083,445                             |
|  |                     |  |                                     |                                       | (Total to Pages 4 & 9)                   |

**NOTE:** All entries should be supported by records that identify the property being added or retired, its location, and its original cost in as much detail as reasonably possible. If adjustments are included in Columns "d" and/or "e", use additional sheets.

(To be used when filing under seal.)

Company Name: Lake Region Water &amp; Sewer Company, Inc.

**DEPRECIATION RESERVE - WATER UTILITY PLANT**

| Acct.<br>No.<br>(b) | Annual<br>Depreciation<br>Rate %<br>(g)*** | Annual<br>Depreciation<br>Expense<br>(f*g)<br>(h) | Reserve<br>Balance at<br>Beginning<br>of Year<br>(i) | Retirement of Property                  |                             |                            | Other<br>Charges<br>(m)** | Reserve<br>Balance<br>at END<br>of Year<br>(h+i-j-k+l+m)<br>(n) |
|---------------------|--|---|--|---|-----------------------------|----------------------------|---------------------------|---|
|                     |  |   |  | Book Cost<br>of Plant<br>Retired<br>(j) | Cost of<br>Removal<br>(k) * | Salvage<br>Credit<br>(l) * |                           |   |
| Class B, C or D     |  |   |  |   |                             |                            |                           |   |
| 301                 | 5.00%                                      | \$ 3,453  | \$ 17,741  |   |                             |                            |                           | \$ 21,194   |
| 302                 |  |   |  |   |                             |                            |                           |   |
| 303                 |  |   |  |   |                             |                            |                           |   |
| 310                 |  |   |  |   |                             |                            |                           |   |
| 311                 | 2.50%                                      | \$ 513  | \$ 6,155   |   |                             |                            |                           | \$ 6,668  |
| 312                 |  |   |  |   |                             |                            |                           |   |
| 313                 |  |   |  |   |                             |                            |                           |   |
| 314                 | 2.00%                                      | \$ 9,729  | \$ 83,471  |   |                             |                            |                           | \$ 93,200   |
| 315                 |  |   |  |   |                             |                            |                           |   |
| 316                 |  |   |  |   |                             |                            |                           |   |
| 317                 |  |   |  |   |                             |                            |                           |   |
| 320                 |  |   |  |   |                             |                            |                           |   |
| 321                 |  |   |  |   |                             |                            |                           |   |
| 322                 |  |   |  |   |                             |                            |                           |   |
| 323                 |  |   |  |   |                             |                            |                           |   |
| 325.1               | 10.00%                                     | \$ 1,179  | \$ 11,151  |   |                             |                            |                           | \$ 12,330   |
| 325.2               |  |   |  |   |                             |                            |                           |   |
| 326                 |  |   |  |   |                             |                            |                           |   |
| 327                 |  |   |  |   |                             |                            |                           |   |
| 328                 |  |   |  |   |                             |                            |                           |   |
| 330                 |  |   |  |   |                             |                            |                           |   |
| 331                 | 2.50%                                      | \$ 320  | \$ 3,840   |   |                             |                            |                           | \$ 4,160  |
| 332                 | 2.90%                                      | \$ 480  | \$ 7,223   |   |                             |                            |                           | \$ 7,703  |
| 340                 |  |   |  |   |                             |                            |                           |   |
| 341                 |  |   |  |   |                             |                            |                           |   |
| 342                 |  |   |  |   |                             |                            |                           |   |
| 343                 | 2.00%                                      | \$ 61,436   | \$ 513,698   |   |                             |                            |                           | \$ 575,134  |
| 344                 |  |   |  |   |                             |                            |                           |   |
| 345                 | 2.90%                                      | \$ 7,955  | \$ 29,736  |   |                             |                            |                           | \$ 37,691   |
| 346                 | 2.70%                                      | \$ 2,591  | \$ 6,563   |   |                             |                            |                           | \$ 9,154  |
| 347                 | 2.90%                                      | \$ 962  | \$ 4,514   |   |                             |                            |                           | \$ 5,476  |
| 348                 |  |   |  |   |                             |                            |                           | \$ -  |
| 349                 |  |   |  |   |                             |                            |                           |   |
| B & C               | D  |   |  |   |                             |                            |                           |   |
| 389                 | 370  |   |  |   |                             |                            |                           |   |
| 390                 | 371  |   |  |   |                             |                            |                           |   |
| 391                 | 372  | 5.00%   | \$ 226   | \$ 4,178                                |                             |                            |                           | \$ 4,404  |
| 391.1               | 372.1                                      | 5.00%   | \$ 211   | \$ 4,232                                |                             |                            |                           | \$ 4,443  |
| 392                 | 373  | 13.00%  | \$ 1,006   | \$ 2,125                                |                             |                            |                           | \$ 3,131  |
| none                | 379  |   |  |   |                             |                            |                           |   |
| 393                 | none                                       |   |  |   |                             |                            |                           |   |
| 394                 | none                                       | 5.00%   | \$ 160   | \$ 1,495                                |                             |                            |                           | \$ 1,655  |
| 395                 | none                                       |   |  |   |                             |                            |                           |   |
| 396                 | none                                       |   |  |   |                             |                            |                           |   |
| 397                 | none                                       |   |  |   |                             |                            |                           |   |
| 398                 | none                                       |   |  |   |                             |                            |                           |   |
| 399                 | none                                       |   |  |   |                             |                            |                           |   |
| Total               |  | \$ 90,221   | \$ 696,122   |   |                             |                            |                           | \$ 786,343  |
|                     |  | (Total to Pg. 9 & Pg. W-1)                        |  |   |                             |                            |                           | (Total to Pg. 4)  |

NOTE: Amounts included in Column "j" should be the same as in Column "e" on the preceding page. Also, Annual Depreciation Expense is equal to Column "f" from preceding page multiplied by Column "g" found on this page.

\* All entries included in Columns "k" and "l" should be supported by records that identify the property retired and the cost of removal or salvage is as much detail as reasonably possible.

\*\* Report the details for these entries. Use additional sheets if necessary.

\*\*\* Annual Depreciation Expense must be calculated separately. This total should be calculated based upon actual in-service and retirement date(s) of new equipment and retirements during the period.

Page W-6

**PUMPS**

| Manufacturer and Type<br>(i.e., High Service, Well, Standby, etc.) | Capacity | Date<br>Installed | Date of Last<br>Motor<br>Replacement | Date of Last<br>Pump<br>Replacement |
|--|----------|-------------------|--------------------------------------|-------------------------------------|
| Grundfoss, Well  | 240 GPM  | 1994              | 2003                                 | 2005                                |
| Grundfoss, Well  | 250 GPM  | 1998              | 2012                                 | 2012                                |



| WELLS |  |           |         |         |
|-------|--|-----------|---------|---------|
| 13    | Number:  | Number:   | Number: | Number: |
| 14    | Description (i.e., Deep, Artisan, Spring, etc.)              | Deep      | 1994    | 1998    |
| 15    | Year Constructed   |           |         |         |
| 16    | Type of Construction   | Steel     |         |         |
| 17    | Type of Casing   | 634' & 8" |         |         |
| 18    | Depth and Diameter of Well                                   |           |         |         |
| 19    | Yield of Well in Gallons per day                             |           | 345,600 | 360,000 |
| 20    | Chemicals (i.e., Provide Type, Cost and Quantities of Each): | N/A       |         | N/A     |
| 21    | Type -   |           |         |         |
| 22    | Cost -   |           |         |         |
| 23    | Quantity -   |           |         |         |

1

For the calendar year of January 1 - December 31, 2011

2 Company Name:

LAKE REGION WATER &amp; SEWER COMPANY, INC.

**METERS AND METER SETTINGS**

| Customer Class<br>(a)           | Meter Size<br>(b) | Total at<br>Beginning of Year<br>(c) | Total No. of<br>Additions<br>(d) | Total No. Removed<br>or Disconnected<br>(e) | Total at<br>End of Year<br>(f) | Total No. Owned<br>by Customers at<br>End of Year<br>(g) |
|---------------------------------|-------------------|--------------------------------------|----------------------------------|---|--------------------------------|--|
| 3 Residential:                  | 5/8"              | 568                                  | 1                                |   | 569                            |  |
| 4                               | 1"                | 16                                   |                                  |   | 16                             |  |
| 5                               | 1.5"              | 17                                   |                                  |   | 17                             |  |
| 6                               | 2-6"              | 8                                    | 1                                |   | 9                              |  |
| 7 Other Customers:              | 5/8"              | 10                                   |                                  |   | 10                             |  |
| 8                               | 1"                | 6                                    |                                  |   | 6                              |  |
| 9                               | 1.5-4"            | 19                                   |                                  |   | 19                             |  |
| 10 Total in Use by Customers    | XXXXXX            | 644                                  | 2                                |   | 646                            | XXXXXX   |
| 11 Not in Use (i.e., Inventory) | 1.5"              | -                                    | 16                               |   | 16                             | XXXXXX   |
| 12                              | 2"                | -                                    | 1                                |   | 1                              | XXXXXX   |
| 13                              | 4"                | -                                    | 2                                |   | 2                              | XXXXXX   |
| 14 Total Meters                 | XXXXXX            | 644                                  | 2                                |   | 665                            |  |

**STORAGE FACILITIES**

| Type of Storage<br>(i.e., Pneumatic, Ground, Standpipes, Elevated Tanks, etc.)<br>(a) | Construction<br>Material<br>(b) | Last Date Painted<br>if Applicable<br>(Indicate interior or exterior)<br>(c) | Capacity<br>(d) |
|---|---------------------------------|--|-----------------|
| 15 Elevated Tank  | Steel                           | 2003. both   | 200,000 gallons |
| 16  |                                 |  |                 |
| 17  |                                 |  |                 |
| 18  |                                 |  |                 |
| 19  |                                 |  |                 |

(To be used when filing under seal.)

2 Company Name:

LAKE REGION WATER &amp; SEWER COMPANY, INC.

**MAINS (measurement in feet)**

| Kind of Pipe<br>(i.e., cast iron, galvanized, iron, plastic, etc.)<br>(a) | Diameter of<br>Pipe<br>(b) | Total at<br>Beginning of Year<br>(c) | Total Additions<br>During the Year<br>(d) | Total Removed<br>or Abandoned<br>During the Year<br>(e) | Total at<br>End of Year<br>(f) |
|---|----------------------------|--------------------------------------|---|---|--------------------------------|
| PVC   | 8"                         | 54,000                               |   |   | 54,000                         |
| PVC   | 6"                         | 37,055                               |   |   | 37,055                         |
| PVC   | 4"                         | 3,683                                |   |   | 3,683                          |
| PVC   | 2"                         | 2,109                                |   |   | 2,109                          |
| Total Mains   |                            | 96,847                               |   |   | 96,847                         |

**SERVICES AVAILABLE FOR USE (from Main to Property Line)**

| Size and Description by Type of Material<br>(i.e., iron, copper, plastic, etc.)<br>(a) | Owned by Utility                            |                                  |   |                                    | Total No. Owned<br>by Customers<br>at End of Year<br>(f) |
|--|---|----------------------------------|---|------------------------------------|--|
|  | Total No. at<br>Beginning of<br>Year<br>(b) | Total No.<br>of Additions<br>(c) | Total No.<br>Retired<br>or Abandoned<br>(d) | Total No. at<br>End of Year<br>(e) |  |
| In Use:<br>1" Plastic CTS  | 689   |                                  |   | 689                                |  |
| For Future Use:  |   |                                  |   |                                    |  |
| Total of All Services  | 689   |                                  |   | 689                                |  |

**SEWER OPERATING REVENUES, EXPENSES AND STATISTICS**

|    | (a)   | Amount<br>(b) |
|----|---|---------------|
| 3  | Total Operating Revenues (From Page S-2)                                | \$ 748,686    |
|    | <u>Operating Expenses</u>   |               |
| 4  | Salaries & Wages (From Page 7)  | \$ -          |
| 5  | Employee Pensions and Benefits  | \$ -          |
| 6  | Purchased Water   | \$ -          |
| 7  | Plant Operations Expenses (From Page S-3)                               | \$ 109,238    |
| 8  | Billing Expenses  | \$ 3,363      |
| 9  | Supplies and Expenses   | \$ 4,438      |
| 10 | Transportation Expenses   | \$ 32,909     |
| 11 | Rent Expense (List explanation on Page S-1a)                            | \$ 23,268     |
| 12 | Insurance Expense   | \$ 6,625      |
| 13 | Outside Services Employed (i.e., Legal, Accounting, etc.) (From Page 8) | \$ 419,444    |
| 14 | Regulatory Commission Expenses  | \$ 60,989     |
| 15 | Uncollectible Expenses (From Page 6)                                    | \$ 677        |
| 16 | Depreciation Expense (From Page S-5)                                    | \$ 241,107    |
| 17 | Amortization of Contributions in Aid of Construction (Page 9)           | \$ (73,323)   |
| 18 | Amortization Expense  | \$ -          |
| 19 | Tax Expenses (From Page S-3)  | \$ 4,553      |
| 20 | Interest Expense (From Page 10)   | \$ 12,080     |
| 21 | Other Expenses (List explanation on Page S-1a)                          | \$ 16,361     |
| 22 | Total Operating Expenses  | \$ 861,728    |
| 23 | Net Income (Loss)   | \$ (113,042)  |

1

For the calendar year of January 1 - December 31, 2011

2 Company Name:

LAKE REGION WATER &amp; SEWER COMPANY, INC.

**DETAILED EXPLANATION OF ITEMS**

3 Page S-1, Line 11

Repairs of Water Plant - Other

\$ 23,268

\*\* Description

Amount

|  |           |
|--|-----------|
| Equipment  | \$ 13,500 |
| Building   | \$ 9,768  |
|  |           |
|  |           |
|  |           |
| Total (should match amount on Page S-1, Line 11) | \$ 23,268 |

4 Page S-1, Line 21

Other Expenses

\$ 16,361

\*\* Description

Amount

|   |           |
|---|-----------|
| Licenses & Permits  | \$ 3,246  |
| Office Supplies   | \$ 4,017  |
| Telephone   | \$ 2,293  |
| Travel & Entertainment                                      | \$ 4,477  |
| Misc \$1311 + 2009 Rate Case \$897 + Customer Refunds \$121 | \$ 2,329  |
| Total (should match amount on Page S-1, Line 21)            | \$ 16,361 |

1 For the calendar year of January 1 - December 31, 2011  
 2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**SEWER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

(Please indicate if metered amounts are in cubic feet measurements.)

| (a)                                       |  | No. of Customers            |                    | Gallons Sold<br>000's<br>Omitted<br>(d) | Revenue<br>Amount<br>(e) |
|---|--|-----------------------------|--------------------|---|--------------------------|
|   |  | Beginning<br>of Year<br>(b) | End of Year<br>(c) |   |                          |
| <u>Flat Rate Sales</u>                    |  |                             |                    |   |                          |
| 3   | Residential - Single Family  | 738                         | 741                | XXXX                                    | \$ 308,843               |
| 4   | Residential - Apartments   | 2                           | 2                  | XXXX                                    | \$ 3,120                 |
| 5   | Residential - Mobile Homes   |                             |                    | XXXX                                    |                          |
| 6   | Commercial   |                             |                    | XXXX                                    |                          |
| 7   | Other Sales to Public Authorities  |                             |                    | XXXX                                    |                          |
| 8   | Other (List explanation on Page S-2a)  |                             |                    | XXXX                                    |                          |
| 9   | Total Unmetered Sales  | 740                         | 743                | XXXX                                    | \$ 311,963               |
| <u>Metered Sales Based on Water Usage</u> |  |                             |                    |   |                          |
| 10  | Commercial   | 18                          | 18                 |   | \$ 341,500               |
| 11  | Other Sales to Public Authorities  |                             |                    |   |                          |
| 12  | Other (List explanation on Page S-2a)  | 11                          | 11                 |   | \$ 94,326                |
| 13  | Total Metered Sales  | 29                          | 29                 |   | \$ 435,826               |
| <u>Other Operating Revenues</u>           |  |                             |                    |   |                          |
| 14  | Late Payment Fees  |                             |                    |   | \$ 897                   |
| 15  | Inspection Fees  |                             |                    |   |                          |
| 16  | Reconnect Fees   |                             |                    |   |                          |
| 17  | Rent Income  |                             |                    |   |                          |
| 18  | Income from Merchandising, Jobbing & Contract Work (List explanation on Page S-2a) |                             |                    |   |                          |
| 19  | Other Revenue (List explanation on Page S-2a)                                      |                             |                    |   |                          |
| 20  | Total Other Operating Revenues   |                             |                    |   | \$ 897                   |
| 21  | Total Operating Revenues *   |                             |                    |   | \$ 748,686               |
| (Total to Pg. S-1)                        |  |                             |                    |   |                          |

\* Total Operating Revenues should match Statement of Revenue (MOPSC Assessment)

2 Company Name:

LAKE REGION WATER & SEWER COMPANY, INC.

## Other

3 Page S-2, Line 8

## \*\* Description

Amount

| Description                                     |  |
|---|--|
|   |  |
|   |  |
|   |  |
|   |  |
|   |  |
|   |  |
| Total (should match amount on Page S-2, Line 8) |  |

4 Page S-2, Line 12

**Other**

\$ 94,326

## \*\* Description

Amount

| Description                                      |           |
|--|-----------|
| Residential Metered Sales                        | \$ 94,326 |
|  |           |
|  |           |
|  |           |
|  |           |
| Total (should match amount on Page S-2, Line 12) | \$ 94,326 |

**5 Page S-2, Line 18**

### Income (Merchandising, Jobbing, & Contract Work)

## \*\* Description

Amount

| Description                                      |  |
|--|--|
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
| Total (should match amount on Page S-2, Line 18) |  |

**5 Page S-2, Line 19**

## Other Revenue

## \*\* Description

Amount

| Description                                      |  |
|--|--|
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
| Total (should match amount on Page S-2, Line 19) |  |

**SEWER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

| (a)  | Amount<br>(b)       |
|--|---------------------|
| <u>Plant Operations Expenses</u>                                   |                     |
| 3 Contracted Maintenance Expenses                                  | \$ 500              |
| 4 Repairs of Sewer Plant - Pump Repair                             | \$ -                |
| 5 Repairs of Sewer Plant - Treatment Repair                        | \$ -                |
| 6 Repairs of Sewer Plant - Collecting Sewers and Manhole Repair    | \$ -                |
| 7 Repairs of Sewer Plant - Equipment Repair                        | \$ 1,112            |
| 8 Repairs of Sewer Plant - Other (List explanation on Page S-3a)   | \$ -                |
| 9 Utility Bills  | \$ 45,842           |
| 10 Chemicals   | \$ 21,639           |
| 11 Sludge Hauling Expenses   | \$ 20,402           |
| 12 Effluent Testing Expenses                                       | \$ 8,190            |
| 13 Other Plant Operations Expenses (List explanation on Page S-3a) | \$ 11,553           |
| 14 Total Plant Operations Expenses                                 | \$ 109,238          |
|  | (Total to Page S-1) |
| <u>Tax Expenses</u>  |                     |
| 15 Tax Expense - Property Taxes                                    | \$ 2,701            |
| 16 Tax Expense - Payroll Taxes                                     | \$ -                |
| 17 Tax Expense - Franchise Taxes                                   | \$ -                |
| 18 Tax Expense - Other Taxes (List explanation on Page S-3a)       | \$ -                |
| 19 Tax Expense - Federal Income Taxes                              | \$ 1,852            |
| 20 Tax Expense - State Income Taxes                                | \$ -                |
| 21 Tax Expense - Investment Tax Credits                            | \$ -                |
| 22 Total Tax Expenses  | \$ 4,553            |
|  | (Total to Page S-1) |



1 For the calendar year of January 1 - December 31, 2011  
 2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**DETAILED EXPLANATION OF ITEMS**

3. Page S-3, Line 8

Repairs of Sewer Plant - Other

| ** Description                                  | Amount |
|---|--------|
|   |        |
|   |        |
|   |        |
|   |        |
|   |        |
| Total (should match amount on Page S-3, Line 8) |        |

4. Page S-3, Line 13

Other Plant Operations Expenses \$ 11,553

| ** Description                                   | Amount    |
|--|-----------|
| Treatment Plant Supplies                         | \$ 4,272  |
| Main Line Flush                                  | \$ 500    |
| Liftstation Supplies                             | \$ 3,081  |
| Laboratory Services                              | \$ 3,700  |
|  |           |
| Total (should match amount on Page S-3, Line 13) | \$ 11,553 |

5. Page S-3, Line 18

Tax Expense - Other Taxes

| ** Description                                   | Amount |
|--|--------|
|  |        |
|  |        |
|  |        |
|  |        |
|  |        |
| Total (should match amount on Page S-3, Line 18) |        |

**SEWER UTILITY PLANT IN SERVICE**

| SEWER UTILITY PLANT IN SERVICE   |                     |                |   |  |  |   |
|--|---------------------|----------------|---|--|--|---|
| Account Description<br>(a)   | Acct.<br>No.<br>(b) |                | Balance at<br>Beginning of<br>Year<br>(c) | Additions<br>During<br>the Year<br>(d) | Retirements<br>During the<br>Year<br>(e) | Balance at<br>End of Year<br>(c+d-e)<br>(f) |
|  | CLASS<br>B          | CLASS<br>C & D |   |  |  |   |
| <u>Intangible Plant</u>  |                     |                |   |  |  |   |
| 3 Organization   | 301                 | 301            | \$ 22,970                                 | \$ 1,454                               |  | \$ 24,424                                   |
| 4 Franchise and Consents   | 302                 | 302            |   |  |  |   |
| 5 Miscellaneous Intangible Plant   | 303                 | 303            |   |  |  |   |
| <u>Land and Structures</u>   |                     |                |   |  |  |   |
| 6 Land and Land Rights   | none                | 310            | \$ 5,985                                  |  |  | \$ 5,985                                    |
| 7 Structures and Improvements  | none                | 311            |   |  |  |   |
| <u>Collection Plant</u>  |                     |                |   |  |  |   |
| 8 Land and Land Rights   | 350                 | none           | \$ 4,650                                  |  |  | \$ 4,650                                    |
| 9 Structures and Improvements  | 351                 | none           | \$ 3,112,074                              |  |  | \$ 3,112,074                                |
| 10 Collection Sewer - Force  | 352.1               | 352.1          | \$ 162,667                                |  |  | \$ 162,667                                  |
| 11 Collection Sewer - Gravity  | 352.2               | 352.2          |   |  |  |   |
| 12 Other Collection Plant Facilities<br>(List explanation on Page S-4a)            | 353                 | 353            |   |  |  |   |
| 13 Services to Customers   | 354                 | 354            | \$ 219,992                                | \$ 2,791                               |  | \$ 222,783                                  |
| 14 Flow Measuring Devices  | 355                 | 355            | \$ 11,986                                 | \$ 18,013                              |  | \$ 29,999                                   |
| <u>Pumping Plant</u>   |                     |                |   |  |  |   |
| 15 Land and Land Rights  | 360                 | none           |   |  |  |   |
| 16 Structures and Improvements   | 361                 | none           |   |  |  |   |
| 17 Receiving Wells and Pump Pits   | 362                 | 362            | \$ 258,042                                |  |  | \$ 258,042                                  |
| 18 Pumping Equipment   | 363                 | 363            | \$ 535,625                                | \$ 30,520                              |  | \$ 566,145                                  |
| <u>Treatment and Disposal</u>  |                     |                |   |  |  |   |
| 19 Land and Land Rights  | 370                 | none           |   |  |  |   |
| 20 Structures and Improvements   | 371                 | none           |   |  |  |   |
| 21 Oxidation Lagoon  | none                | 372            |   |  |  |   |
| 22 Treatment and Disposal Equipment  | 372                 | 373            | \$ 1,007,537                              | \$ 24,031                              |  | \$ 1,031,569                                |
| 23 Sewer Collection (Septic) Tanks   | 372.1               | 373.1          |   |  |  |   |
| 24 Plant Sewer   | 373                 | 374            | \$ 310,868                                |  |  | \$ 310,868                                  |
| 25 Outfall Sewer Lines   | 374                 | 375            |   |  |  |   |
| 26 Other Treatment and Disposal Plant Equipment<br>(List explanation on Page S-4a) | 375                 | 376            | \$ 571,498                                |  |  | \$ 571,498                                  |
| <u>General Plant</u>   |                     |                |   |  |  |   |
| 27 Land and Land Rights  | 389                 | none           |   |  |  |   |
| 28 Structures and Improvements   | 390                 | none           |   |  |  |   |
| 29 Office Furniture and Equipment  | 391                 | 391            | \$ 6,378                                  |  |  | \$ 6,378                                    |
| 30 Computer and Electronic Office Equipment  | 391.1               | 391.1          | \$ 6,661                                  | \$ 455                                 |  | \$ 7,116                                    |
| 31 Transportation Equipment  | 392                 | 392            | \$ 53,988                                 |  |  | \$ 53,988                                   |
| 32 Other General Equipment (List explanation on Page S-4a)                         | none                | 393            |   |  |  |   |
| 33 Stores Equipment  | 393                 | None           |   |  |  |   |
| 34 Tools Shop and Garage Equipment   | 394                 | None           | \$ 4,934                                  |  |  | \$ 4,934                                    |
| 35 Laboratory Equipment  | 395                 | None           | \$ 1,284                                  |  |  | \$ 1,284                                    |
| 36 Power Operated Equipment  | 396                 | None           | \$ 11,523                                 |  |  | \$ 11,523                                   |
| 37 Communications Equipment  | 397                 | None           |   |  |  |   |
| 38 <b>Total Sewer Utility Plant In Service</b>                                     |                     |                | <b>\$ 6,308,662</b>                       | <b>\$ 77,264</b>                       |  | <b>\$ 6,385,927</b>                         |
|  |                     |                |   |  |  | (Total to Pgs 4 & 9)                        |

NOTE: All entries should be supported by records that identify the property being added or retired, its location, and its original cost in as much detail as reasonably possible. If adjustments are included in Columns "d" and/or "e", please explain below. Use additional sheets if necessary.

39 Explanation: #354 was reported as #353 in 2010, Services. #355 should have been combined with #354 in 2010, Flow Meter Devices

1  
2

For the calendar year of January 1 - December 31, 2011

Company Name:

LAKE REGION WATER & SEWER COMPANY, INC.

**DEPRECIATION RESERVE - SEWER UTILITY PLANT**

|    | Acct. No. (b) |             | Annual Depreciation Rate % (g) | Annual Depreciation Expense (f*g) (h)*** | Reserve Balance at Beginning of Year (i) | Retirement of Property         |                      |                     | Other Charges (m)** | Reserve Balance at End of Year (h+i-j-k+l+m) (n) |
|----|---------------|-------------|--------------------------------|--|--|--------------------------------|----------------------|---------------------|---------------------|--|
|    | CLASS B       | CLASS C & D |                                |  |  | Book Cost of Plant Retired (j) | Cost of Removal (k)* | Salvage Credit (l)* |                     |  |
| 3  | 301           | 301         | 5.00%                          | \$ 2,749                                 | \$ 15,820                                |                                |                      |                     |                     | \$ 18,569  |
| 4  | 302           | 302         |                                |  |  |                                |                      |                     |                     |  |
| 5  | 303           | 303         |                                |  |  |                                |                      |                     |                     |  |
| 6  | none          | 310         |                                |  |  |                                |                      |                     |                     |  |
| 7  | none          | 311         |                                |  |  |                                |                      |                     |                     |  |
| 8  | 350           | none        |                                |  |  |                                |                      |                     |                     |  |
| 9  | 351           | none        | 3.00%                          | \$ 140                                   | \$ 1,095                                 |                                |                      |                     |                     | \$ 1,235   |
| 10 | 352.1         | 352.1       | 2.00%                          | \$ 62,243                                | \$ 515,704                               |                                |                      |                     |                     | \$ 577,947                                       |
| 11 | 352.2         | 352.2       | 2.00%                          | \$ 3,253                                 | \$ 60,013                                |                                |                      |                     |                     | \$ 63,266  |
| 12 | 353           | 353         | 2.00%                          | \$ 4,455                                 | \$ 23,545                                |                                |                      |                     |                     | \$ 28,000  |
| 13 | 354           | 354         | 3.30%                          | \$ 967                                   | \$ 5,034                                 |                                |                      |                     |                     | \$ 6,001   |
| 14 | 355           | 355         | 3.30%                          | \$ 23                                    | \$ 402                                   |                                |                      |                     |                     | \$ 425   |
| 15 | 360           | none        |                                |  |  |                                |                      |                     |                     |  |
| 16 | 361           | none        |                                |  |  |                                |                      |                     |                     |  |
| 17 | 362           | 362         | 5.00%                          | \$ 12,902                                | \$ 133,166                               |                                |                      |                     |                     | \$ 146,068                                       |
| 18 | 363           | 363         | 10.00%                         | \$ 56,615                                | \$ 325,424                               |                                |                      |                     |                     | \$ 382,039                                       |
| 19 | 370           | none        |                                |  |  |                                |                      |                     |                     |  |
| 20 | 371           | none        |                                |  |  |                                |                      |                     |                     |  |
| 21 | none          | 372         |                                |  |  |                                |                      |                     |                     |  |
| 22 | 372           | 373         | 4.50%                          | \$ 46,421                                | \$ 751,286                               |                                |                      |                     |                     | \$ 797,707                                       |
| 23 | 372.1         | 373.1       |                                |  |  |                                |                      |                     |                     |  |
| 24 | 373           | 374         | 4.50%                          | \$ 13,989                                | \$ 91,128                                |                                |                      |                     |                     | \$ 105,117                                       |
| 25 | 374           | 375         |                                |  |  |                                |                      |                     |                     |  |
| 26 | 375           | 376         | 5.00%                          | \$ 28,574                                | \$ 172,924                               |                                |                      |                     |                     | \$ 201,498                                       |
| 27 | 389           | none        |                                |  |  |                                |                      |                     |                     |  |
| 28 | 390           | none        |                                |  |  |                                |                      |                     |                     |  |
| 29 | 391           | 391         | 5.00%                          | \$ 334                                   | \$ 6,537                                 |                                |                      |                     |                     | \$ 6,871   |
| 30 | 391.1         | 391.1       | 5.00%                          | \$ 341                                   | \$ 6,449                                 |                                |                      |                     |                     | \$ 6,790   |
| 31 | 392           | 392         | 13.00%                         | \$ 7,018                                 | \$ 27,736                                |                                |                      |                     |                     | \$ 34,754  |
| 32 | none          | 393         |                                |  |  |                                |                      |                     |                     |  |
| 33 | 393           | None        |                                |  |  |                                |                      |                     |                     |  |
| 34 | 394           | None        | 5.00%                          | \$ 247                                   | \$ 2,321                                 |                                |                      |                     |                     | \$ 2,568   |
| 35 | 395           | None        | 5.00%                          | \$ 64                                    | \$ 743                                   |                                |                      |                     |                     | \$ 807   |
| 36 | 396           | None        | 6.70%                          | \$ 772                                   | \$ 5,614                                 |                                |                      |                     |                     | \$ 6,386   |
| 37 | 397           | None        |                                |  |  |                                |                      |                     |                     |  |
| 38 |               |             | Total                          | \$ 241,107                               | \$ 2,144,941                             |                                |                      |                     |                     | \$ 2,386,048                                     |
|    |               |             |                                | (Total to Pg 9 & Pg S-1)                 |  |                                |                      |                     |                     | (Total to Pg. 4)                                 |

1 For the calendar year of January 1 - December 31, 2011  
2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**GENERAL INFORMATION**

3 Treatment Facilities - Please describe process.

Racquet Club WWTF #MO-00102628, extended aeration

4 Was your treatment plant: ☒ constructed in place OR ☐ purchased as a package unit?

5 Were your lift stations: ☐ constructed in place OR ☐ purchased as a package unit?

6 What is the designated capacity of your treatment facilities? 292,500 GPD

7 What percent of designed capacity is currently being utilized?

8 Please describe the treatment process for liquid waste.

Extended Aeration

9 Please describe the treatment process for waste solids.

Aerobic digestion

10 Where is the point of discharge for liquid waste?

Receiving stream is Lake of the Ozarks

11 What is the ultimate disposal of waste solids and how obtained?

Pumped out and transferred to Lake of the Ozarks Region Treatment Plant

12 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken.

N/A

**COLLECTING SEWERS (measurement in feet)**

|             | Kind of Pipe<br>(i.e. Cast Iron, VCP, PVC, etc.)<br>(a) | Diameter<br>of Pipe<br>(b) | Total No. at<br>Beginning of<br>Year<br>(c) | Total No. of<br>Additions<br>During the<br>Year<br>(d) | Removed or<br>Abandoned<br>During the<br>Year<br>(e) | Total No. at<br>End of Year<br>(f) |
|-------------|---|----------------------------|---|--|--|------------------------------------|
| 13 Force:   |   | 4"                         | 800   |  |  | 800                                |
| 14          |   |                            |   |  |  |                                    |
| 15 Gravity: |   | 8"                         | 8114  |  |  | 8114                               |
| 16          |   |                            |   |  |  |                                    |
| 17          |   |                            |   |  |  |                                    |

**LIFT STATIONS**

|    | Pumps: Name, Size, Type | Location | H.P. | GPM | TDH |
|----|-------------------------|----------|------|-----|-----|
| 18 |                         |          |      |     |     |
| 19 |                         |          |      |     |     |
| 20 |                         |          |      |     |     |

1 For the calendar year of January 1 - December 31, 2011  
2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**GENERAL INFORMATION**

3 Treatment Facilities - Please describe process.

Lodge WWTF #MO-0113689, extended aeration

4 Was your treatment plant: ☒ constructed in place OR ☐ purchased as a package unit?

5 Were your lift stations: ☐ constructed in place OR ☐ purchased as a package unit?

6 What is the designated capacity of your treatment facilities? 326,500 GPD

7 What percent of designed capacity is currently being utilized?

8 Please describe the treatment process for liquid waste.

Extended Aeration with Flow Equalization

9 Please describe the treatment process for waste solids.

Aerobic digestion with sludge thickener

10 Where is the point of discharge for liquid waste?

Receiving stream is Lake of the Ozarks

11 What is the ultimate disposal of waste solids and how obtained?

Pumped out and transferred to Lake of the Ozarks Region Treatment Plant

12 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken.

N/A

**COLLECTING SEWERS (measurement in feet)**

|    | Kind of Pipe<br>(i.e. Cast Iron, VCP, PVC, etc.)<br>(a) | Diameter<br>of Pipe<br>(b) | Total No. at<br>Beginning of<br>Year<br>(c) | Total No. of<br>Additions<br>During the<br>Year<br>(d) | Removed or<br>Abandoned<br>During the<br>Year<br>(e) | Total No. at<br>End of Year<br>(f) |
|----|---|----------------------------|---|--|--|------------------------------------|
| 13 | Force:  |                            |   |  |  |                                    |
| 14 |   |                            |   |  |  |                                    |
| 15 | Gravity:  | 10"                        | 10  |  |  | 10                                 |
| 16 |   |                            |   |  |  |                                    |
| 17 |   |                            |   |  |  |                                    |

**LIFT STATIONS**

|    | Pumps: Name, Size, Type | Location | H.P. | GPM | TDH |
|----|-------------------------|----------|------|-----|-----|
| 18 | Flygt #CP436-3          | WWTF     | 3    | 500 | 15" |
| 19 |                         |          |      |     |     |
| 20 |                         |          |      |     |     |

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2011  
2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**GENERAL INFORMATION**

3 Treatment Facilities - Please describe process.

Blackhawk Estates WWTF #MO-0123226, recirculating sand filter

4 Was your treatment plant: ☒ constructed in place OR ☐ purchased as a package unit?

5 Were your lift stations: ☐ constructed in place OR ☐ purchased as a package unit?

6 What is the designated capacity of your treatment facilities? 1,387 GPD

7 What percent of designed capacity is currently being utilized?

8 Please describe the treatment process for liquid waste.

Septic tank/recirculating sand filter

9 Please describe the treatment process for waste solids.

10 Where is the point of discharge for liquid waste?

Receiving stream is Lake of the Ozarks

11 What is the ultimate disposal of waste solids and how obtained?

Pumped out and transferred to Lake of the Ozarks Region Treatment Plant

12 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken.

N/A

**COLLECTING SEWERS (measurement in feet)**

|             | Kind of Pipe<br>(i.e. Cast Iron, VCP, PVC, etc.)<br>(a) | Diameter<br>of Pipe<br>(b) | Total No. at<br>Beginning of<br>Year<br>(c) | Total No. of<br>Additions<br>During the<br>Year<br>(d) | Removed or<br>Abandoned<br>During the<br>Year<br>(e) | Total No. at<br>End of Year<br>(f) |
|-------------|---|----------------------------|---|--|--|------------------------------------|
| 13 Force:   |   |                            |   |  |  |                                    |
| 14          |   |                            |   |  |  |                                    |
| 15 Gravity: |   |                            |   |  |  |                                    |
| 16          |   |                            |   |  |  |                                    |
| 17          |   |                            |   |  |  |                                    |

**LIFT STATIONS**

|    | Pumps: Name, Size, Type | Location | H.P. | GPM | TDH |
|----|-------------------------|----------|------|-----|-----|
| 18 |                         |          |      |     |     |
| 19 |                         |          |      |     |     |
| 20 |                         |          |      |     |     |

(To be used when filing under seal.)

Page 5-6 b

1 For the calendar year of January 1 - December 31, 2011  
2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**GENERAL INFORMATION**

3 Treatment Facilities - Please describe process.

Shawnee Bend WWTF #MO-0123722, extended aeration

4 Was your treatment plant: ☒ constructed in place OR ☐ purchased as a package unit?

5 Were your lift stations: ☒ constructed in place OR ☐ purchased as a package unit?

6 What is the designated capacity of your treatment facilities? 100,000 GPD

7 What percent of designed capacity is currently being utilized?

8 Please describe the treatment process for liquid waste.

Extended Aeration with flow equalization

9 Please describe the treatment process for waste solids.

Aerobic digestion

10 Where is the point of discharge for liquid waste?

Outfall to losing stream to unnamed tributary to Forked Hollow Cove Lake of the Ozarks

11 What is the ultimate disposal of waste solids and how obtained?

Pumped out and transferred to Lake of the Ozarks Region Treatment Plant

12 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken.

N/A

**COLLECTING SEWERS (measurement in feet)**

|    | Kind of Pipe<br>(i.e. Cast Iron, VCP, PVC, etc.)<br>(a) | Diameter<br>of Pipe<br>(b) | Total No. at<br>Beginning of<br>Year<br>(c) | Total No. of<br>Additions<br>During the<br>Year<br>(d) | Removed or<br>Abandoned<br>During the<br>Year<br>(e) | Total No. at<br>End of Year<br>(f) |
|----|---|----------------------------|---|--|--|------------------------------------|
| 13 | Force:  |                            |   |  |  |                                    |
| 14 |   |                            |   |  |  |                                    |
| 15 | Gravity:  |                            |   |  |  |                                    |
| 16 |   |                            |   |  |  |                                    |
| 17 |   |                            |   |  |  |                                    |

**LIFT STATIONS**

|    | Pumps: Name, Size, Type | Location | H.P. | GPM | TDH |
|----|-------------------------|----------|------|-----|-----|
| 18 | 4 Myers                 | WWTF     | 2    | 123 | 12" |
| 19 |                         |          |      |     |     |
| 20 |                         |          |      |     |     |

(To be used when filing under seal.)

Page 5-6 c

1 For the calendar year of January 1 - December 31, 2011  
2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**GENERAL INFORMATION**

3 Treatment Facilities - Please describe process.

Charleston WWTF #MO-0113689, extended aeration

4 Was your treatment plant: ☒ constructed in place OR ☐ purchased as a package unit?

5 Were your lift stations: ☐ constructed in place OR ☐ purchased as a package unit?

6 What is the designated capacity of your treatment facilities? 24,000 GPD

7 What percent of designed capacity is currently being utilized?

8 Please describe the treatment process for liquid waste.

Extended Aeration with flow equalization

9 Please describe the treatment process for waste solids.

Aerobic digestion

10 Where is the point of discharge for liquid waste?

Receiving stream is Lake of the Ozarks

11 What is the ultimate disposal of waste solids and how obtained?

Pumped out and transferred to Lake of the Ozarks Region Treatment Plant

12 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken.

N/A

**COLLECTING SEWERS (measurement in feet)**

|             | Kind of Pipe<br>(i.e. Cast Iron, VCP, PVC, etc.)<br>(a) | Diameter<br>of Pipe<br>(b) | Total No. at<br>Beginning of<br>Year<br>(c) | Total No. of<br>Additions<br>During the<br>Year<br>(d) | Removed or<br>Abandoned<br>During the<br>Year<br>(e) | Total No. at<br>End of Year<br>(f) |
|-------------|---|----------------------------|---|--|--|------------------------------------|
| 13 Force:   |   |                            |   |  |  |                                    |
| 14          |   |                            |   |  |  |                                    |
| 15 Gravity: |   |                            |   |  |  |                                    |
| 16          |   |                            |   |  |  |                                    |
| 17          |   |                            |   |  |  |                                    |

**LIFT STATIONS**

|    | Pumps: Name, Size, Type | Location | H.P. | GPM | TDH |
|----|-------------------------|----------|------|-----|-----|
| 18 | Zoller                  | WWTF     | 2    | 80  | 10" |
| 19 |                         |          |      |     |     |
| 20 |                         |          |      |     |     |

(To be used when filing under seal.)

Page 5-6 d



**VERIFICATION**

The foregoing report must be verified by the oath of the President, Treasurer, General Manager or Receiver of the company. The oath required may be taken before any person authorized to administer an oath (Notary Public) by the laws of the State in which the same is taken.

**OATH**

State Of Missouri }  
County Of Camden } ss:

John R Summers makes oath and says that  
Name of Affiant (Company Official/Representative)

s/he is General Manager  
Official Title of the Affiant (Company Official/Representative)

of Lake Region Water & Sewer Company, Inc.  
Exact Legal Title or Name of the Respondent (Certificated Company Name)

and is located at 62 Bittersweet Road, Lake Ozark, MO 65049 573-365-6792  
Address and Telephone Number of the Affiant (Company Official/Representative)

that s/he has examined the foregoing report; that to the best of his or her knowledge, information, and belief, all statements of fact contained in the said report are true and the said report is a correct statement of the business and affairs of the above-named respondent.

from January 1, 2011, to and including December 31, 2011  
Month/Day Year Month/Day Year

[Signature]  
Signature of Affiant (Company Official/Representative)

Subscribed and sworn to before me, a Notary Public, in and for the State and County above named,

this 30th day of July, 2012.

My Commission expires  
CYNTHIA GOLDSBY  
Notary Public, Notary Seal  
State of Missouri  
Camden County  
Commission # 11340510  
My Commission Expires October 31, 2015

October 31, 2015

[Signature]  
Signature of Notary Public

Missouri Revised Statutes § 392.210 or §393.140

(See Instructions Pages II and III for more information to complete this page. )