

# Ozark Shores Water Company

Company Full Certificated Name (Do not abbreviate and include any Commission approved  
AKA/DBA/Fictitious Name, if applicable.)

## WATER and/or SEWER ANNUAL REPORT

### SMALL COMPANY

(Fewer than 8,000 customers)

### TO THE

## MISSOURI PUBLIC SERVICE COMMISSION

For the Calendar Year of  
January 1 - December 31, 2010

Please select how the company is certificated with the Commission under the  
Company Name as shown above (check all that apply):

☒ Water Service Provider

☒ Sewer Service Provider

Please choose one of the following filing options:

☒ **Public Submission** (NOT Highly Confidential)

☐ **Non-Public Submission** (Highly Confidential / Filed Under Seal)  
For this filing to be considered Highly Confidential, additional submission of  
materials is required pursuant to Commission rule 4 CSR 240-3.335 and/or 4 CSR  
240-3.640, Section 392.210, RSMo., and/or Section 393.140, RSMo.

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For the calendar year of January 1 - December 31, 20102 **Company Name:** Ozark Shores Water CompanyParent Company Name:  
(if applicable)3 **Company Street Address:** 62 Bittersweet Road, Lake Ozark, MO 650494 **Company Mailing Address:** P.O. Box 9, Lake Ozark, MO 650495 **Company Phone Number:** 573-365-6792 **Company E-mail Address:** jrsommers@lakeozarks.com

Name, address, phone number and e-mail of person(s) to contact concerning information contained in this report:

6	<u>John R Summers</u>	<u></u>
	Name	Name
7	<u>Same as Above</u>	<u></u>
	Mailing Address	Mailing Address
7a	<u></u>	<u></u>
	Street Address	Street Address
8	<u></u>	<u></u>
	City State Zip	City State Zip
9	<u></u>	<u></u>
	Telephone Number	Telephone Number
10	<u></u>	<u></u>
	E-mail Address	E-mail Address

Name, address, phone number and e-mail address of person(s) to contact concerning plant operations:

11	<u>Josh Duncan</u>	<u></u>
	Name	Name
12	<u>Same as Above</u>	<u></u>
	Mailing Address	Mailing Address
12a	<u></u>	<u></u>
	Street Address	Street Address
13	<u></u>	<u></u>
	City State Zip	City State Zip
14	<u></u>	<u></u>
	Telephone Number	Telephone Number
15	<u>jduncanpwsd4@gmail.com</u>	<u></u>
	E-mail Address	E-mail Address

Please provide the total company and gross intrastate operating revenues (i.e. Missouri Jurisdictional) Revenues  
for the Calendar Year: 2010

<b>Water Revenues</b>		**	MO Jurisdictional	**	**	Total Company	**
16	Operating Revenues from Tariffed Services		\$ 653,455.48			\$ 653,455.48	
17	Other Revenues		\$ 1,338.41			\$ 1,338.41	
18	<b>TOTAL REVENUES</b>		\$ 654,793.89			\$ 654,793.89	

MO Jurisdictional should match Statement of Revenue (MoPSC Assessment).

<b>Sewer Revenues</b>		**	MO Jurisdictional	**	**	Total Company	**
19	Operating Revenues from Tariffed Services						
20	Other Revenues						
21	<b>TOTAL REVENUES</b>						

MO Jurisdictional should match Statement of Revenue (MoPSC Assessment).

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2010

2 Company Name: Ozark Shores Water Company**CAPITAL STOCK (COMMON AND DEFERRED)**

Class and Series of Stock (a)	Number of Shares Authorized (b)	Par or Stated Value Per Share (c)	Number of Shares Issued (d)
	1,000	\$ 1.00	900

**SECURITY HOLDERS AND VOTING POWERS**

Report below the NAMES and ADDRESS of the ten stockholders who, at the end of the year, had the greatest voting powers in the respondent. AND STATE THE NUMBER OF VOTES each would have had a right to cast on that date. If any such holder held in trust, give the nature of the trust and the beneficial owner. Show also total votes of ALL securities with voting powers.

Names and Addresses (a)	Number of Votes (b)
North Suburban Public Utility Company	900
10777 Barkley, Suite 210, Overland Park, KS 66211	

16 Total Number of Votes Held by Above \_\_\_\_\_

17 Total Number of Votes of all Securities with Voting Powers \_\_\_\_\_

Identify the principal or general officers of the company at the end of the year. Please include an additional sheet, if enough space is not provided on this page, to completely provide the requested information.

	Title of General Officer	Name of Person Holding Office
18	President	Robert Schwermann
19	Secretary	Brian Schwermann
20		
21		
22		
23		

Please check all of the following for which the company has filed / is current:

- 27 ☒ Secretary of State Requirements ☒ Federal Tax Return
- 28 ☒ Department of Natural Resources Permits ☒ State Tax Return

Please list your most recent rate increase request Case No. and effective date.

29 Case No.: WR-98-990 Effective Date: 12/11/1996

(To be used when filing under seal.)

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For the calendar year of January 1 - December 31, 2010

2 Company Name: Ozark Shores Water Company

Describe MAJOR transactions occurring during the year which will have a major effect on operations, such as rate of replacement of equipment and other abnormal cash expenditures of \$250 or more.

3 Well 3 Repair \$950.00 & \$707.82

4 Well 1 Repair \$950.00

5 Rebuild Chlorinator \$691.99

6 Injector \$902.79

7 Main Repairs \$3,671.36

8 \_\_\_\_\_

9 \_\_\_\_\_

10 \_\_\_\_\_

11 \_\_\_\_\_

12 \_\_\_\_\_

13 \_\_\_\_\_

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1

For the calendar year of January 1 - December 31, 2010

2 Company Name: Ozark Shores Water Company

NOTE: Please do not type over formulas. Totals will calculate automatically in this spreadsheet.

**BALANCE SHEET**  
**WATER AND SEWER OPERATIONS**  
**ASSETS**

Account Description (a)	Amount (b)	**
3 Water Plant In Service (From Pg. W-5)	\$ 4,777,188	
4 LESS: Water Depreciation Reserve (From Pg. W-6)	\$ 1,959,793	
5 Net Water Plant in Service (i.e., Water Plant In Service MINUS Water Depreciation Reserve)	\$ 2,817,395	
6 Water Materials and Supplies (From Pg. 11)	\$ 101,640	
7 Water Construction Work in Progress	\$ 777	
8 Water Plant Held for Future Use *	\$ -	
9 Water Plant Acquisition Adjustment	\$ 36,784	
10 Sewer Plant in Service (From Pg. S-4)	\$ -	
11 LESS: Sewer Depreciation Reserve (From Pg. S-5)	\$ -	
12 Net Sewer Plant in Service (i.e., Sewer Plant in Service MINUS Sewer Depreciation Reserve)	\$ -	
13 Sewer Materials and Supplies (From Pg. 11)	\$ -	
14 Sewer Construction Work in Progress	\$ -	
15 Sewer Plant Held for Future Use *	\$ -	
16 Sewer Plant Acquisition Adjustment	\$ -	
17 Other Plant *	\$ -	
18 Cash	\$ 40,776	
19 Other Assets (Accounts Receivable, etc.) *	\$ 103,963	
20 <b>Total Assets**</b>	\$ 3,101,335	

\* Please attach a detailed explanation for these items.

\*\* Total Assets should balance with Total Equity and Liabilities on Page 5 (see instructions).  
 Difference between Equity & Liabilities and Assets (from Pg 5)

(To be used when filing under seal.)

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For the calendar year of January 1 - December 31, 2010

2 Company Name: Ozark Shores Water Company

**NOTE:** Please do not type over formulas. Totals will calculate automatically in this spreadsheet.

**BALANCE SHEET**  
**WATER AND SEWER OPERATIONS**  
**EQUITY AND LIABILITIES**

Account Description (a)		Amount (b)	
	**		**
3 Capital Stock		\$ 3,494,500	
4 Retained Earnings		\$ (1,101,085)	
5 Long-Term Debt to Affiliates (owners, other owner controlled companies, etc.)		\$ -	
6 Short-Term Debt to Affiliates (owners, other owner controlled companies, etc.)		\$ 303,376	
7 Long-Term Debt (banks, etc.)		\$ -	
8 Short-Term Debt (banks, etc.)		\$ 533	
9 Water Customer Deposits		\$ 8,457	
10 Water Advances for Construction		\$ -	
11 Water CIAC (From Pg. 9)		\$ 440,954	
12 LESS: Water Amortization of CIAC (From Pg. 9)		\$ 69,645	
13 Net Water CIAC (i.e., Water CIAC MINUS Water Amortization of CIAC)		\$ 371,309	
14 Sewer Customer Deposits		\$ -	
15 Sewer Advances for Construction		\$ -	
16 Sewer CIAC (From Pg. 9)		\$ -	
17 LESS: Sewer Amortization of CIAC (From Pg. 9)		\$ -	
18 Net Sewer CIAC (i.e., Sewer CIAC MINUS Sewer Amortization of CIAC)		\$ -	
19 Deferred Taxes - ITC		\$ -	
20 Deferred Taxes - Other *		\$ -	
21 Other Liabilities (Accounts Payable, etc.) *		\$ 24,425	
22 <b>Total Equity and Liabilities**</b>		<b>\$ 3,101,335</b>	

\* Please attach a detailed explanation for these items.

\*\* Total Equity and Liabilities should balance with Total Assets on Page 4 (see instructions).

Difference between Equity &amp; Liabilities and Assets (from Pg 4)

(To be used when filing under seal.)

2 Company Name: Ozark Shores Water Company**SCHEDULE OF UNCOLLECTIBLE ACCOUNTS**

Account No. (a)	Describe customer account and methods used to attempt collection. Also, state why account is deemed uncollectible (bankruptcy, etc.) (b)	**	Amount Written Off		**
			Water Utility (c)	Sewer Utility (d)	
3	24064901 Twin Oaks Investment		\$ 203.68		
4	12001411 Willie Box		\$ 8.47		
5	16000101 Keys to the Lake		\$ 1.74		
6	10105601 Robert Rydeen		\$ 63.97		
7	10105603 Amy Parson		\$ 3.63		
8	11053801 Gary Aumann		\$ 3.32		
9	12401701 Terry Reznikov		\$ 0.51		
10	18025311 Neil Shives		\$ 1.00		
11	19059901 Ray King		\$ 2.42		
12	19072901 Matt Krantz		\$ 1.45		
13	19086301 Wilma Mistler		\$ 1.93		
14	24002001 Alan Hawsey		\$ 37.31		
15	24049301 Brandon Wall		\$ 1.74		
16	24058202 Twin Oaks Investment		\$ 1.03		
17	19027301 Tracey Smith		\$ 1,342.93		
18	19043901 Kerry Frampton		\$ 2.46		
19	19047401 Jaime Massey		\$ 3.77		
20	19054401 Randy Vandersee		\$ 5.52		
21	19092701 Alan Parker		\$ 2.53		
22	24064902 Barry Rolen		\$ 0.72		
23	21420001 Eric Riley		\$ 1.07		
24	34016501 Lawyers Land Title		\$ 0.50		
25	34030901 Lyle Andrews		\$ 0.46		
26	34035001 Deborah Colburn		\$ 1.65		
27	34077891 Dale Tabor		\$ 1.41		
28	34090001 Al Soloman		\$ 3.32		
29	47001901 Dennis Petree		\$ 2.33		
30	10002401 Alan Tapie		\$ 1.79		
31	<b>Total</b>				
			(Total to Pg. W-1)	(Total to Pg. S-1)	

(To be used when filing under seal.)



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For the calendar year of January 1 - December 31, 2010

2 Company Name: Ozark Shores Water Company**SCHEDULE OF UNCOLLECTIBLE ACCOUNTS**

Account No. (a)	Describe customer account and methods used to attempt collection. Also, state why account is deemed uncollectible (bankruptcy, etc.) (b)		Amount Written Off		
			Water Utility (c)	Sewer Utility (d)	
3	58005402	Melissa Krantz	\$ 2.29		
4	24062602	Orleans Duplex LLC	\$ 0.58		
5	28015311	Tony Martin	\$ 1.29		
6	19028601	Mark Frey	\$ 1.79		
7	24062601	Twin Oaks	\$ 10.85		
8	24062901	Twin Oaks	\$ 7.51		
9	24140901	John Reagan	\$ 5.74		
10	34035301	Don Taylor	\$ 5.74		
11	19029901	Juckette Estate	\$ 1.42		
12	19060701	Mike Christensen	\$ 0.38		
13	14059001	Christy Buchan	\$ 7.16		
14	19003802	Romaona Buell	\$ 8.21		
15	19042101	Curtis Russell	\$ 5.89		
16	24063501	Sherry Newhart	\$ 4.55		
17	24065501	Scott Gigrich	\$ 5.12		
18	34077702	Roy Leo	\$ 11.95		
19	34077721	Roy Leo	\$ 37.46		
20	34082702	Roy Leo	\$ 269.40		
21		Michael Petrovich	\$ 810.00		
22		John Muilenberg	\$ 13.18		
23					
24					
25					
26					
27					
28					
29					
30					
31		<b>Total</b>	\$ 2,913.17		
			(Total to Pg. W-1)	(Total to Pg. S-1)	

(To be used when filing under seal.)

### PAYROLL INFORMATION

**INSTRUCTIONS:** Please provide names, titles and salaries for all officers and employees. Show total compensation paid to each during the year. Include all amounts including bonuses and other allowances. Enter "0" or none where applicable. Provide explanations where necessary. Use additional sheets if necessary.

Name and Title (a)		Payroll Charged To:			
		Total Utility Compensation (b)	Water Expense (c)	Sewer Expense (d)	Capitalized Payroll (e)
3	Robert Schwermann, President	\$ -	\$ -	\$ -	-
4	Brian Schwermann, Secretary	\$ -	\$ -	\$ -	-
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19	Total		(Total to Page W-1)	(Total to Page S-1)	

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2010

2 Company Name: Ozark Shores Water Company

**PAYMENTS FOR SERVICES RENDERED BY OTHER THAN EMPLOYEES**

INSTRUCTIONS: Report below all information concerning rate, management, construction, engineering, research, financial, valuation, legal, accounting, purchasing, advertising, labor relations, public relations, or other similar professional services rendered the respondent under written or verbal arrangements, for which total payments during the year to any corporation, partnership, individual (other than for services as an employee) or organization of any kind whatsoever. Use additional sheets if necessary.

Name of Recipient and Description of Service (a)	Amount of Payments:			
	Water		Sewer	
	Expensed (b)	Capitalized (c)	Expensed (d)	Capitalized (e)
3 North Suburban Public Utility Co. - Management Fees	\$ 219,442.77			
4 Beck & Company, LC - Accounting	\$ 15,595.00			
5 Newman, Comley & Ruth - Attorney	\$ 250.50			
6 B & D Services, Inc. - Janitorial	\$ 5,020.00			
7 Handy Jon, Inc. - Sanitation	\$ 615.39			
8 Missouri One Call System, Inc. - Utility Locates	\$ 1,067.30			
9 Camden County PWSD4 - Contract Operations	\$ 173,913.36	\$ 27,686.64		
10				
11				
12				
13				
14				
15				
16				
17				
18				
19 Total	\$ 415,904.32	\$ 27,686.64		
	(Total to Page W-1)		(Total to Page S-1)	
(To be used when filing under seal.)				

2 Company Name: Ozark Shores Water Company**CONTRIBUTIONS IN AID OF CONSTRUCTION**

INSTRUCTIONS: This account shall include donations or contributions in cash, services, or property for construction purposes. The records account shall be so kept that the utility can furnish information as to the purpose of each donation, the conditions, if any, upon which it was made and the amount applicable to each utility department. The credits (deductions) to this account shall not be transferred to any other account Commission.

(a)	Water (b)	Sewer (c)
3 Balance at Beginning of Year	\$ 406,539	
4 PLUS: Additions During the Year (Please provide a detailed explanation.)		
5 New Services	\$ 9,315	
6 New Main Extension	\$ 13,975	
7 Transferred from Advances In Aid of Construction	\$ 11,125	
8		
10 Total Additions	\$ 34,415	
11 LESS: Deductions During the Year (Please provide a detailed explanation.)		
12		
13		
14 Retire and cap off service connection, but no connection fee money returned = no entry here		
15		
16 Total Deductions		
17 Balance at End of Year	\$ 440,954	
	(Total to Pg. 5)	(Total to Pg. 5)

**AMORTIZATION OF CONTRIBUTIONS IN AID OF CONSTRUCTION**

(Please identify as Account Number 271A)

**Distribution Method**

(a)	Water (b)	Sewer (c)
18 Balance at First of Year (Total of Amortization not Total of CIAC line 3)	\$ 61,170	
19 Total Contributions in Aid at End of Year (see above)	\$ 440,954	
20 Total Plant in Service at End of Year (From Page W-5 or S-4)	\$ 4,777,188	
21 Percentage Contributions to Plant	\$ 0	
22 Total Depreciation Expense (From Page W-6 or S-5)	\$ 1,959,793	
23 Total Amortization of Contributions	\$ 8,475	
24 Balance at End of Year	\$ 69,645	
	(Total to Pg. 5)	(Total to Pg. 5)

**OR****Attached Method**

(a)	Water (b)	Sewer (c)
25 Balance at First of Year (Total of Amortization not Total of CIAC line 3)		
26 Total Amortization of Contributions		
27 Balance at End of Year		
	(Total to Pg. 5)	(Total to Pg. 5)

(To be used when filing under seal.)

**INTEREST EXPENSE, NOTES PAYABLE, BONDS, BANK LOANS AND OTHER DEBTS**

INSTRUCTIONS: Please report information for each separate item of debt. Show principal amount to which each interest rate applies. Include all items on which interest was paid during the year. Use additional sheets if necessary.

Description of Obligation (Include Name and Address of Each Lender) (a)	Origination Date (b)	Frequency of Payments (Semi-Monthly, Monthly, Quarterly, Annually, etc.) (c)	Date of Maturity (d)	Loan Amount (e)	Interest Rate (f)	Is Interest Rate Fixed or Variable? (g)	Paid (h)	Interest Charged To:	
								Water Utility (i)	Sewer Utility (j)
3 None									
4									
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18 Total								(Total to Pg. W-1)	(Total to Pg. S-1)

19. If the answer to column (g) is variable, please explain the method used for the interest rate calculation below with corresponding line number from above.

(To be used when filing under seal.)

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For the calendar year of January 1 - December 31, 2010

2 Company Name: Ozark Shores Water Company

**SCHEDULE OF MATERIALS AND SUPPLIES AVAILABLE AT THE END OF THE YEAR**

Description of Items (Pipe, Meters, Fittings, Valves, Gas, etc.) (a)	Water		Sewer	
	Quantity (b)	Amount (c)	Quantity (d)	Amount (e)
3 Elbows	21	\$ 1,422.41		
4 Repair Bands	143	\$ 11,410.13		
5 Tapping Saddles	82	\$ 3,170.04		
6 Pipe	2,439	\$ 5,416.39		
7 Meters	129	\$ 23,169.74		
8 Tees	27	\$ 3,422.19		
9 Anchor Couplings	18	\$ 1,900.00		
10 Grip Rings	113	\$ 6,901.15		
11 Accessory Transition	370	\$ 3,874.30		
12 Gate Valves	18	\$ 4,347.72		
13 Hymax Couplings	26	\$ 4,100.83		
14 Packjoints	49	\$ 1,648.97		
15 Other		\$ 30,856.70		
16				
17				
18				
19				
20				
21				
22				
23				
24				
25 Total		\$ 101,640.57		
		(Total to Pg. 4)		(Total to Pg. 4)

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2010

2 Company Name: Ozark Shores Water Company**WATER OPERATING REVENUES, EXPENSES AND STATISTICS**

(a)	Amount (b)
3 Total Operating Revenues (From Page W-2)	\$ 654,794
<u>Operating Expenses</u>	
4 Salaries & Wages (From Page 7)	\$ -
5 Employee Pensions and Benefits	\$ -
6 Purchased Water	\$ -
7 Plant Operations Expenses (From Page W-3)	\$ 114,414
8 Billing Expenses	\$ 9,497
9 Supplies and Expenses	\$ 14,137
10 Transportation Expenses	\$ 18,090
11 Rent Expense * <i>See explanations page</i>	\$ 35,050
12 Insurance Expense	\$ 14,811
13 Outside Services Employed (i.e., Legal, Accounting, etc.) (From Page 8)	\$ 415,904
14 Regulatory Commission Expenses	\$ 4,766
15 Uncollectible Expenses (From Page 6)	\$ 2,913
16 Depreciation Expense (From Page W-6)	\$ 94,308
17 Amortization of Contributions in Aid of Construction (Page 9)	\$ (8,475)
18 Amortization Expense	\$ -
19 Tax Expenses (From Page W-3)	\$ 9,341
20 Interest Expense (From Page 10)	\$ -
21 Other Expenses * <i>See explanations page</i>	\$ 18,478
22 Total Operating Expenses	
23 Net Income (Loss)	\$ 743,234
	\$ (88,440)

\* Please attach a detailed explanation for these items.

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2010

2 Company Name: Ozark Shores Water Company**WATER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

(Please indicate if metered amounts are in cubic feet measurements.)

		No. of Customers		Gallons Sold 000's Omitted (d)	Revenue Amount (e)
		Beginning of Year (b)	End of Year (c)		
(a)					
<u>Unmetered Sales of Water</u>					
3	Residential - Single Family			XXXX	
4	Residential - Apartments			XXXX	
5	Residential - Mobile Homes			XXXX	
6	Commercial			XXXX	
7	Other Sales to Public Authorities			XXXX	
8	Other *			XXXX	
9	Total Unmetered Sales				
<u>Metered Sales of Water</u>					
10	5/8" Meter	-			
11	3/4" Meter	1,736	1,761	99,813,961	\$ 322,082.65
12	1" Meter	36	36	7,731,340	\$ 19,596.96
13	1 1/2" Meter	-	-	-	\$ -
14	2" Meter	23	23	114,391,150	\$ 32,530.22
15	Other *	20	20	120,150,500	\$ 270,091.22
16	Total Metered Sales	1,815	1,840	239,134,951	\$ 644,301.05
<u>Other Operating Revenues</u>					
17	Late Payment Fees				\$ 9,154.43
18	Inspection Fees				\$ -
19	Reconnect Fees				\$ -
20	Rent Income				\$ -
21	Income from Merchandising, Jobbing & Contract Work *				\$ -
22	Other Revenue * <i>See explanations page</i>				\$ 1,338.41
23	Total Other Operating Revenues				\$ 10,492.84
24	Total Operating Revenues				\$ 654,793.89
					(Total to Pg. W-1)

\* Please attach a detailed explanation for these items.

(To be used when filing under seal.)



1

2 Company Name: Ozark Shores Water Company**WATER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

(a)	Amount (b)
<u>Plant Operations Expenses</u>	
3 Repairs of Water Plant - Pump Repair	\$ -
4 Repairs of Water Plant - Well Repair	\$ 9,843.83
5 Repairs of Water Plant - Water Line Repair	\$ 9,409.52
6 Repairs of Water Plant - Equipment Repair	\$ -
7 Repairs of Water Plant - Other *	\$ -
8 Fuel or Power Purchases for Pumping (i.e., Electric Bills, etc.)	\$ 86,041.82
9 Chemicals	\$ 6,898.42
10 Water Testing Expenses	\$ 2,220.00
11 Total Plant Operations Expenses	\$ 114,413.59
	(Total to Page W-1)
<u>Tax Expenses</u>	
12 Tax Expense - Property Taxes	\$ 9,254.56
13 Tax Expense - Payroll Taxes	\$ -
14 Tax Expense - Franchise Taxes	\$ -
15 Tax Expense - Other Taxes * <i>See explanations page</i>	\$ 86.64
16 Tax Expense - Federal Income Taxes	\$ -
17 Tax Expense - State Income Taxes	\$ -
18 Tax Expense - Investment Tax Credits	\$ -
19 Total Tax Expenses	\$ 9,341.20
	(Total to Page W-1)

\* Please attach a detailed explanation for these items.

(To be used when filing under seal.)

2 Company Name: Ozark Shores Water Company

**PUMPING AND PURCHASED WATER STATISTICS**  
(Omit 000's in reporting number of gallons or cubic feet of water. Use additional sheets if necessary.)

Gallons Pumped Into System		Source of Supply (Please describe source below.)				Total of all Methods (a+b+c+d=e) (e)
		Quantities are in gallons. Column a is pumped. Column b is purchased.				
		(a)	(b)	(c)	(d)	
(Please indicate whether measurement is gallons or cubic feet.)						
8	January	17,924	3,106			21,030
9	February	14,707	2,738			17,444
10	March	17,109	4,276			21,385
11	April	19,238	3,590			22,828
12	May	22,710	5,500			28,210
13	June	25,752	6,553			32,305
14	July	29,559	8,314			37,873
15	August	30,572	7,090			37,662
16	September	25,408	4,590			29,998
17	October	21,298	4,510			25,808
18	November	17,028	3,271			20,300
19	December	16,882	2,442			19,324
20	Total For Year	258,187	55,980			314,167

21 Maximum Quantity Supplied to the System in Any One Day: 2,058 Minimum: 176

22 Range of Pressure in the Mains as Measured at the Highest Point on System: 43 - 50

If Water is Sold to Other Utilities for Resale, List Names, Addresses, Phone Numbers and Quantities Below:			
Name of Reseller	Address	Phone Number	Quantity

(To be used when filing under seal.)

2 Company Name: Ozark Shores Water Company

**WATER UTILITY PLANT IN SERVICE**

	Account Description (a)	Acct. No. (b)	Balance at Beginning of Year (c)	Additions During the Year (d)	Retirements During the Year (e)	Balance at End of Year (c+d-e) (f)
	<u><i>Intangible Plant</i></u>	<u>Class B, C or D</u>				
3	Organization	301	\$ 28,717			\$ 28,717
4	Franchise and Consents	302	\$ 34,118			\$ 34,118
5	Miscellaneous Intangible Plant	303	\$ 3,122			\$ 3,122
	<u><i>Source of Supply Plant</i></u>					
6	Land and Land Rights	310	\$ 712			\$ 712
7	Structures and Improvements	311				
8	Collecting and Impounding Reservoirs	312				
9	Lake, River, and Other Intakes	313				
10	Wells and Springs	314	\$ 375,648	\$ 1,339		\$ 376,986
11	Infiltration Galleries and Tunnels	315				
12	Supply Mains	316	\$ 16,801			\$ 16,801
13	Other Water Source Plant *	317				
	<u><i>Pumping Plant</i></u>					
14	Land and Land Rights	320	\$ 24,664			\$ 24,664
15	Structures and Improvements	321	\$ 67,624			\$ 67,624
16	Boiler Plant Equipment	322				
17	Other Power Production Equipment *	323				
18	Submersible Electric Pumping	325.1	\$ 104,997			\$ 104,997
19	High Service or Booster Pumps	325.2				
20	Diesel Pumping Equipment	326				
21	Hydraulic Pumping Equipment	327				
22	Other Pumping Equipment *	328	\$ 126			\$ 126
	<u><i>Water Treatment Plant</i></u>					
23	Land and Land Rights	330				
24	Structures and Improvements	331				
25	Water Treatment Equipment	332	\$ 23,908			\$ 23,908
	<u><i>Transmission and Distribution Plant</i></u>					
26	Land and Land Rights	340	\$ 1,293			\$ 1,293
27	Structures and Improvements	341				
28	Distribution Reservoirs and Standpipes	342	\$ 844,783			\$ 844,783
29	Transmission and Distribution Mains	343	\$ 2,196,192	\$ 44,977		\$ 2,241,169
30	Fire Mains	344				
31	Services	345				
32	Meters	346	\$ 610,411	\$ 3,150		\$ 613,561
33	Meter Installations	347				
34	Hydrants	348	\$ 361			\$ 361
35	Other Transmission and Distribution Plant	349	\$ 341,408			\$ 341,408
	<u><i>General Plant</i></u>					
	<b>Note:</b> USOA CLASS B and C are the same	B & C	D			
36	Land and Land Rights	389	370			
37	Structures and Improvements	390	371			
38	Office Furniture and Equipment	391	372	\$ 13,062		\$ 13,062
39	Office Computer and Electronic Equipment	391.1	372.1			
40	Transportation Equipment	392	373			
41	Other General Equipment	none	379			
42	Stores Equipment	393	none			
43	Tools, Shop and Garage Equipment	394	none	\$ 5,698		\$ 5,698
44	Laboratory Equipment	395	none	\$ 2,462		\$ 2,462
45	Power-operated Equipment	396	none	\$ 30,745		\$ 30,745
46	Communication Equipment	397	none	\$ 871		\$ 871
47	Miscellaneous Equipment	398	none			
48	Other Tangible Property *	399	none			
49	Total Water Utility Plant In Service		\$ 4,727,722	\$ 49,466		\$ 4,777,188
						(Total to Pages 4 and 9)

\* Please attach a detailed explanation for these items.

NOTE: All entries should be supported by records that identify the property being added or retired, its location, and its original cost in as much detail as reasonably possible. If adjustments are included in Columns "d" and/or "e", use additional sheets.

(To be used when filing under seal.)

Company Name: Ozark Shores Water Company

**DEPRECIATION RESERVE - WATER UTILITY PLANT**

Acct. No. (b)	Annual Depreciation Rate % (g)***	Annual Depreciation Expense ( <sup>f</sup> g) (h)	Reserve Balance at Beginning of Year (i)	Retirement of Property			Other Charges (m)**	Reserve Balance at END of Year (h+i-j-k+l+m) (n)
				Book Cost of Plant Retired (j)	Cost of Removal (k) *	Salvage Credit (l) *		
Class B, C or D								
301	5.00%	\$ 1,436	\$ 22,975					\$ 24,411
302			\$ 34,118					\$ 34,118
303			\$ 3,122					\$ 3,122
310								
311								
312								
313	1.50%	\$ 5,655	\$ 100,291					\$ 105,946
314								
315	2.20%	\$ 370	\$ 8,626					\$ 8,996
316								
317								
320	2.00%	\$ 1,352	\$ 37,392					\$ 38,745
321								
322								
323								
325.1			\$ 104,997					\$ 104,997
325.2								
326								
327								
328			\$ 126					\$ 126
330								
331								
332	5.00%	\$ 1,195	\$ 25,497					\$ 26,692
340								
341								
342	2.20%	\$ 18,585	\$ 267,363					\$ 285,948
343	2.20%	\$ 49,306	\$ 990,187					\$ 1,039,492
344								
345								
346	2.50%	\$ 15,339	\$ 135,776					\$ 151,115
347								
348	2.50%	\$ 9	\$ 176					\$ 185
349			\$ 87,577					\$ 87,577
B & C	D							
389	370							
390	371							
391	372	5.00%	\$ 653	\$ 10,896				\$ 11,549
391.1	372.1							
392	373							
none	379							
393	none							
394	none	5.00%	\$ 285	\$ 2,874				\$ 3,158
395	none	5.00%	\$ 123	\$ 1,877				\$ 2,000
396	none		\$ 30,745					\$ 30,745
397	none		\$ 871					\$ 871
398	none							
399	none							
		\$ 94,308	\$ 1,865,486					\$ 1,959,793
		(Total to Pg. 9 & Pg. W-1)						(Total to Pg. 4)

NOTE: Amounts included in Column "j" should be the same as in Column "e" on the preceding page. Also, Annual Depreciation Expense is equal to Column "f" from preceding page multiplied by Column "g" found on this page.

\* All entries included in Columns "k" and "l" should be supported by records that identify the property retired and the cost of removal or salvage is as much detail as reasonably possible.

\*\* Report the details for these entries. Use additional sheets if necessary.

\*\*\* Annual Depreciation Expense must be calculated separately. This total should be calculated based upon actual in-service and retirement date(s) of new equipment and retirements during the period.

(To be used when filing under seal.)

2 Company Name: Ozark Shores Water Company

PUMPS

Manufacturer and Type (i.e., High Service, Well, Standby, etc.)	Capacity	Date Installed	Date of Last Motor Replacement	Date of Last Pump Replacement
Grundfos	320 GPM	1971	2004	2000
Grundfos	135 GPM	1980	1999	1999
Grundfos	380 GPM	1983	2000	2000
Grundfos	465 GPM	1991	2001	2001

WELLS

Description (i.e., Deep, Artesian, Spring, etc.)	Number:	Number:	Number:
Year Constructed	1971	1980	1991
Type of Construction	Deep	Deep	Deep
Type of Casing	Steel	Steel	Steel
Depth and Diameter of Well	1158' & 10"	1160' & 10"	1204' & 10"
Yield of Well in Gallons per day	460,800	194,400	547,200
Chemicals (i.e., Provide Type, Cost and Quantities of Each):			662,400
Type -			
Cost -			
Quantity -			

(To be used when filling under seal.)

Company Name: Ozark Shores Water Company

**METERS AND METER SETTINGS**

Customer Class (a)	Meter Size (b)	Total at Beginning of Year (c)	Total No. of Additions (d)	Total No. Removed or Disconnected (e)	Total at End of Year (f)	Total No. Owned by Customers at End of Year (g)
Residential:	3/4"	1,724	25		1,749	-
	1"	30			30	-
	2"	8			8	-
	3-6"	3			3	-
Other Customers:	3/4" - 1"	20			20	-
	2"	14			14	-
	3-6"	16			16	-
Total in Use by Customers	XXXXXX	1,815	25		1,840	XXXXXX
Not in Use (i.e., Inventory)					129	XXXXXX
Total Meters	XXXXXX	1,944			1,969	XXXXXX

**STORAGE FACILITIES**

Type of Storage (i.e., Pneumatic, Ground, Standpipes, Elevated Tanks, etc.) (a)	Construction Material (b)	Last Date Painted if Applicable (Indicate interior or exterior) (c)	Capacity (d)
Standpipe	Steel	1988; both	86,000
Ground	Steel	1988; both	750,000
Elevated	Steel	1998; both	400,000
(To be used when filing under seal.)			

**MAINS (measurement in feet)**

Kind of Pipe (i.e., case iron, galvanized, iron, plastic, etc.) (a)	Diameter of Pipe (b)	Total at Beginning of Year (c)	Total Additions During the Year (d)	Total Removed or Abandoned During the Year (e)	Total at End of Year (f)
3 In Use:					
4 Plastic	1-1 1/2"	9,206			
5					
6 Plastic	2"	5,316			
7					
8 Plastic	3"	6,560			
9					
10 Plastic	4"	5,082			
11					
12 Plastic	6"	175,717			
13					
14 Plastic	8-12"	14,866			
15					
16 Total Mains		216,747			216,747

**SERVICES AVAILABLE FOR USE (from Main to Property Line)**

Size and Description by Type of Material (i.e., iron, copper, plastic, etc.) (a)	Owned by Utility				Total No. Owned by Customers at End of Year (f)
	Total No. at Beginning of Year (b)	Total No. of Additions (c)	Total No. Retired or Abandoned (d)	Total No. at End of Year (e)	
16 In Use:					
17	2,994			2,994	-
18					
19	3,424	506		3,930	-
20	923			923	-
21 For Future Use:	26			26	-
22	4			4	-
23	14			14	-
24					
25 Total of All Services	7,385	506		7,891	-
26					

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2010

2 Company Name: Ozark Shores Water Company**SEWER OPERATING REVENUES, EXPENSES AND STATISTICS**

(a)	Amount (b)
3 Total Operating Revenues (From Page S-2)	N/A
<u>Operating Expenses</u>	
4 Salaries & Wages (From Page 7)	
5 Employee Pensions and Benefits	
6 Purchased Water	
7 Plant Operations Expenses (From Page S-3)	
8 Billing Expenses	
9 Supplies and Expenses	
10 Transportation Expenses	
11 Rent Expense *	
12 Insurance Expense	
13 Outside Services Employed (i.e., Legal, Accounting, etc.) (From Page 8)	
14 Regulatory Commission Expenses	
15 Uncollectible Expenses (From Page 6)	
16 Depreciation Expense (From Page S-5)	
17 Amortization of Contributions in Aid of Construction (Page 9)	
18 Amortization Expense	
19 Tax Expenses (From Page S-3)	
20 Interest Expense (From Page 10)	
21 Other Expenses *	
22 Total Operating Expenses	
23 Net Income (Loss)	

\* Please attach a detailed explanation for these items.

 (To be used when filing under seal.)



1

For the calendar year of January 1 - December 31, 2010

2 Company Name: Ozark Shores Water Company

**SEWER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

(Please indicate if metered amounts are in cubic feet measurements.)

		No. of Customers		Gallons Sold 000's Omitted (d)	Revenue Amount (e)
		Beginning of Year (b)	End of Year (c)		
(a)					
<u>Flat Rate Sales</u>					
3	Residential - Single Family	N/A		XXXX	
4	Residential - Apartments			XXXX	
5	Residential - Mobile Homes			XXXX	
6	Commercial			XXXX	
7	Other Sales to Public Authorities			XXXX	
8	Other *			XXXX	
9	Total Unmetered Sales			XXXX	
<u>Metered Sales of Water</u>					
10	Commercial				
11	Other Sales to Public Authorities				
12	Other *				
13	Total Metered Sales				
<u>Other Operating Revenues</u>					
14	Late Payment Fees				
15	Inspection Fees				
16	Reconnect Fees				
17	Rent Income				
18	Income from Merchandising, Jobbing & Contract Work *				
19	Other Revenue *				
20	Total Other Operating Revenues				
21	Total Operating Revenues				
					(Total to Pg. S-1)

\* Please attach a detailed explanation for these items.

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2010

2 Company Name: Ozark Shores Water Company

**SEWER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

(a)	Amount (b)
<u>Plant Operations Expenses</u>	
3 Contracted Maintenance Expenses	N/A
4 Repairs of Sewer Plant - Pump Repair	
5 Repairs of Sewer Plant - Treatment Repair	
6 Repairs of Sewer Plant - Collecting Sewers and Manhole Repair	
7 Repairs of Sewer Plant - Equipment Repair	
8 Repairs of Sewer Plant - Other *	
9 Utility Bills	
10 Chemicals	
11 Sludge Hauling Expenses	
12 Effluent Testing Expenses	
13 Total Plant Operations Expenses	

\* Please attach a detailed explanation for these items.

(To be used when filing under seal.)

**SEWER UTILITY PLANT IN SERVICE**

SEWER UTILITY PLANT IN SERVICE						
Account Description (a)	Acct. No. (b)		Balance at Beginning of Year (c)	Additions During the Year (d)	Retirements During the Year (e)	Balance at End of Year (c+d-e) (f)
	CLASS B	CLASS C & D				
<u>Intangible Plant</u>						
3 Organization	301	301	N/A			
4 Franchise and Consents	302	302				
5 Miscellaneous Intangible Plant	303	303				
<u>Land and Structures</u>						
6 Land and Land Rights	none	310				
7 Structures and Improvements	none	311				
<u>Collection Plant</u>						
8 Land and Land Rights	350	none				
9 Structures and Improvements	351	none				
10 Collection Sewer - Force	352.1	352.1				
11 Collection Sewer - Gravity	352.2	352.2				
12 Other Collection Plant Facilities *	353	353				
13 Services to Customers	354	354				
14 Flow Measuring Devices	355	355				
<u>Pumping Plant</u>						
15 Land and Land Rights	360	none				
16 Structures and Improvements	361	none				
17 Receiving Wells and Pump Pits	362	362				
18 Pumping Equipment	363	363				
<u>Treatment and Disposal</u>						
19 Land and Land Rights	370	none				
20 Structures and Improvements	371	none				
21 Oxidation Lagoon	none	372				
22 Treatment and Disposal Equipment	372	373				
23 Sewer Collection (Septic) Tanks	372.1	373.1				
24 Plant Sewer	373	374				
25 Outfall Sewer Lines	374	375				
26 Other Treatment and Disposal Plant Equipment *	375	376				
<u>General Plant</u>						
27 Land and Land Rights	389	none				
28 Structures and Improvements	390	none				
29 Office Furniture and Equipment	391	391				
30 Computer and Electronic Office Equipment	391.1	391.1				
31 Transportation Equipment	392	392				
32 Other General Equipment *	none	393				
33 Stores Equipment	393	None				
34 Tools Shop and Garage Equipment	394	None				
35 Laboratory Equipment	395	None				
36 Power Operated Equipment	396	None				
37 Communications Equipment	397	None				
38 <b>Total Sewer Utility Plant In Service</b>						
* Please attach a detailed explanation for this item.						
						(Total to Pages 4 and 9)

\* Please attach a detailed explanation for these items.

NOTE: All entries should be supported by records that identify the property being added or retired, its location, and its original cost in as much detail as reasonably possible. If adjustments are included in Columns "d" and/or "e", please explain below. Use additional sheets if necessary.

39 Explanation:

1  
2

For the calendar year of January 1 - December 31, 2010

Company Name: Ozark Shores Water Company

**DEPRECIATION RESERVE - SEWER UTILITY PLANT**

	Acct. No. (b)		Annual Depreciation Rate % (g)	Annual Depreciation Expense (f*g) (h)***	Reserve Balance at Beginning of Year (i)	Retirement of Property			Other Charges (m)**	Reserve Balance at End of Year (h+i-j-k+l+m) (n)
	CLASS B	CLASS C & D				Book Cost of Plant Retired (j)	Cost of Removal (k)*	Salvage Credit (l)*		
3	301	301	N/A							
4	302	302								
5	303	303								
6	none	310								
7	none	311								
8	350	none								
9	351	none								
10	352.1	352.1								
11	352.2	352.2								
12	353	353								
13	354	354								
14	355	355								
15	360	none								
16	361	none								
17	362	362								
18	363	363								
19	370	none								
20	371	none								
21	none	372								
22	372	373								
23	372.1	373.1								
24	373	374								
25	374	375								
26	375	376								
27	389	none								
28	390	none								
29	391	391								
30	391.1	391.1								
31	392	392								
32	none	393								
33	393	None								
34	394	None								
35	395	None								
36	396	None								
37	397	None								
38										
39				Total						
(Total to Pg. 9 & Pg. S-1)										
(Total to Pg. 4)										

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2010

2 Company Name: Ozark Shores Water Company

**GENERAL INFORMATION**

3 Treatment Facilities - Please describe process.

N/A

4 Was your treatment plant constructed in place or purchased as a package unit?

☐

Yes

☐

No

5 Were your lift stations constructed in place or purchased as package units?

☐

Yes

☐

No

6 What is the designated capacity of your treatment facilities?

7 What percent of designed capacity is currently being utilized?

8 What is the name of the current operator?

9 Please describe the treatment process for liquid waste.

10 Please describe the treatment process for waste solids.

11 Where is the point of discharge for liquid waste?

12 What is the ultimate disposal of waste solids and how obtained?

13 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken.

**COLLECTING SEWERS (measurement in feet)**

	Kind of Pipe (i.e., Cast Iron, VCP, PVC, etc.) (a)	Diameter of Pipe (b)	Total No. at Beginning of Year (c)	Total No. of Additions During the Year (d)	Total No. Removed or Abandoned During the Year (e)	Total No. at End of the Year (f)
14 Force:						
15						
16 Gravity:						
17						
18						

**LIFT STATIONS**

	Pumps: Name, Size, Type	Location	H.P.	GPM	TDH
19					
20					
21					

(To be used when filling under seal.)

**Ozark Shores Water Company  
2010 Annual Report Explanations**

Rent Expense (page W-1, #11)

Building Rental	17,050
Equipment Rental	18,000
	<u>35,050</u>

Other Water Expenses (page W-1, #21)

Utilities	3,950
Telephone	6,582
Dues & Subscriptions	1,087
Bank Charges	3,252
Travel & Entertainment	1,654
Building Maintenance	1,117
Contributions	100
Misc. Expense	59
Non-Utility Refunds	300
General	377
	<u>18,478</u>

Other Revenue (page W-2, #22)

Discounts on Primacy Fee	<u>1,338</u>
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Other Taxes (page W-2, #15)

Use Tax	<u>87</u>
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Annual Report of Ozark Shores Water Company

for the calendar year of January 1 - December 31, 2010

VERIFICATION

The foregoing report must be verified by the oath of the President, Treasurer, General Manager or Receiver of the company. The oath required may be taken before any person authorized to administer an oath (Notary Public) by the laws of the State in which the same is taken.

OATH

State Of Missouri }  
 } ss:  
County Of Camden }

John R Summers makes oath and says that  
Name of Affiant (Company Official/Representative)

s/he is General Manager  
Official Title of the Affiant (Company Official/Representative)

of Ozark Shores Water Company  
Exact Legal Title or Name of the Respondent (Certificated Company Name)

and is located at P.O. Box 9, Lake Ozark, MO 65049 573-365-6792  
Address and Telephone Number of the Affiant (Company Official/Representative)

that s/he has examined the foregoing report; that to the best of his or her knowledge, information, and belief, all statements of fact contained in the said report are true and the said report is a correct statement of the business and affairs of the above-named respondent.

from January 1, 2010, to and including December 31, 2010  
Month/Day Year Month/Day Year

[Signature]  
Signature of Affiant (Company Official/Representative)

Subscribed and sworn to before me, a Notary Public, in and for the State and County above named,  
this 16th day of August, 2011.

My Commission expires October 31, 2011

[Signature]  
Signature of Notary Public

Missouri Revised Statutes § 392.210 or §393.140

(See Instructions Pages 9 for more information to complete this page. )