

- 1.3f Base Plan Region-wide Load Ratio Share:** Ratio of a Network Customer's or Transmission Owner's Resident Load in the SPP Region to the total load in the SPP Region computed in accordance with Section II.B. to Schedule 11 of this Tariff and calculated on a calendar year basis, for the prior calendar year.
- 1.3g Base Plan Region-wide Rate:** Regional component of the rate (per kW of Reserved Capacity for Point-to-Point Transmission Service) assessed by SPP in accordance with Schedule 11 to recover the revenue requirement of facilities classified as Base Plan Upgrades.
- 1.3h Base Plan Upgrades:** Those upgrades included in and constructed pursuant to the SPP Transmission Expansion Plan in order to ensure the reliability of the Transmission System. Base Plan Upgrades shall also include those upgrades required for new or changed Designated Resources to the extent allowed for in Attachment J to this Tariff.
- 1.3i Base Plan Zonal Annual Transmission Revenue Requirement:** For each Zone, the sum of the annual transmission revenue requirement for each Base Plan Upgrade and of the Base Plan Avoided Revenue Requirement(s), if any, that are allocated to the Zone in accordance with Attachments J and S to this Tariff.
- 1.3j Base Plan Zonal Charge:** Zonal component of the charge assessed by SPP in accordance with Schedule 11 to recover the revenue requirement of facilities classified as Base Plan Upgrades.
- 1.3k Base Plan Zonal Load Ratio Share:** Ratio of a Network Customer's or Transmission Owner's Resident Load in a Zone to the total load in that Zone computed in accordance with Section II.A. to Schedule 11 of this Tariff and calculated on a calendar year basis, for the prior calendar year.
- 1.3l Base Plan Zonal Rate:** Zonal component of the rate (per kW of Reserved Capacity for Point-to-Point Transmission Service) assessed by SPP in accordance with Schedule 11 to recover the revenue requirement of facilities classified as Base Plan Upgrades.
- 1.4 Commission:** The Federal Energy Regulatory Commission.

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- 1.5 Completed Application:** An Application that satisfies all of the information and other requirements of the Tariff, including any required deposit.
- 1.6 Control Area:** An electric power system or combination of electric power systems to which a common automatic generation control scheme is applied in order to:
- (1) match, at all times, the power output of the generators within the electric power system(s) and capacity and energy purchased from entities outside the electric power system(s), with the load within the electric power system(s);
 - (2) maintain scheduled interchange with other Control Areas, within the limits of Good Utility Practice;

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- (3) maintain the frequency of the electric power system(s) within reasonable limits in accordance with Good Utility Practice; and
 - (4) provide sufficient generating capacity to maintain operating reserves in accordance with Good Utility Practice.
- 1.7 Curtailment:** A reduction in firm or non-firm transmission service in response to a transmission capacity shortage as a result of system reliability conditions.
- 1.8 Delivering Party:** The entity supplying capacity and energy to be transmitted at Point(s) of Receipt.
- 1.9 Designated Agent:** Any entity that performs actions or functions required under the Tariff on behalf of the Transmission Provider, a Transmission Owner, an Eligible Customer, or the Transmission Customer.
- 1.9a Designated Resource:** Any designated generation resource owned, purchased or leased by a Transmission Customer to serve load in the SPP Region. Designated Resources do not include any resource, or any portion thereof, that is committed for sale to third parties or otherwise cannot be called upon to meet the Transmission Customer's load on a non-interruptible basis.
- 1.10 Direct Assignment Facilities:** Facilities or portions of facilities that are constructed by any Transmission Owner(s) for the sole use/benefit of a particular Transmission Customer or a particular group of customers or a particular Generation Interconnection Customer requesting service under the Tariff. Direct Assignment Facilities shall be specified in the Service Agreements that govern service to the Transmission Customer(s) and Generation Interconnection Customer(s) and shall be subject to Commission approval.
- 1.10a Economic Upgrades:** Elective upgrades, identified in the SPP Transmission Expansion Plan, which have potential economic benefit to the SPP Region, but are not required for reliability reasons.
- 1.10ba Effective Date:** For Short-Term Firm and Non-Firm Point-To-Point Transmission Service the Effective Date of this Tariff is June 1, 1998. For Long-Term Firm Point-To-Point Transmission Service the Effective Date of this Tariff

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is April 1, 1999. For Network Integration Transmission Service the Effective Date of this Tariff is February 1, 2000.

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- 1.11 Eligible Customer:** (i) Any electric utility (including the Transmission Owner(s) and any power marketer), Federal power marketing agency, or any person generating electric energy for sale for resale. Electric energy sold or produced by such entity may be electric energy produced in the United States, Canada or Mexico. However, with respect to transmission service that the Commission is prohibited from ordering by Section 212(h) of the Federal Power Act, such entity is eligible only if the service is provided pursuant to a state requirement that a Transmission Owner offer the unbundled transmission service, or pursuant to a voluntary offer of such service by a Transmission Owner. (ii) Any retail customer or eligible person taking unbundled transmission service pursuant to a state requirement that a Transmission Owner offer the transmission service, or pursuant to a voluntary offer of such service by a Transmission Owner, is an Eligible Customer under the Tariff.

1.11a Existing Facilities: (i) Transmission System facilities placed in service on or before December 31, 2005; or (ii) planned Transmission System facilities identified in the SPP Transmission Expansion Plan required to be in service to meet SPP and NERC reliability standards for the summer of 2005; or (iii) Transmission System facilities identified by Transmission Owners, and not included in the SPP Transmission Expansion Plan, required to be in service to meet SPP and NERC reliability standards for the summer of 2005.

1.11b Existing Zonal Annual Transmission Revenue Requirement: The revenue requirement for Existing Facilities in each Zone for purposes of determining the charges under Schedules 7, 8 and 9 of this Tariff.

- 1.12 Facilities Study:** An engineering study conducted by the Transmission Provider in collaboration with the affected Transmission Owner(s) to determine the required modifications to the Transmission System, including the cost and scheduled completion date for such modifications, that will be required to provide the requested transmission service or Generation Interconnection Service. The

Transmission Provider shall have the ultimate responsibility for any such studies. However, the Transmission Provider's final decision must be consistent with Good Utility Practice. Facilities studies for any facilities not under the operational control of the Transmission Provider shall be performed by the Transmission Owner or any entity it designates to perform the studies.

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- 1.27 Non-Firm Point-To-Point Transmission Service:** Point-To-Point Transmission Service under the Tariff that is reserved and scheduled on an as-available basis and is subject to Curtailment or Interruption as set forth in Section 14.7 under Part II of this Tariff. Non-Firm Point-To-Point Transmission Service is available on a stand-alone basis for periods ranging from one hour to one month.
- 1.28 Open Access Same-Time Information System (OASIS):** The information system and standards of conduct contained in Part 37 of the Commission's regulations and all additional requirements implemented by subsequent Commission orders dealing with OASIS.
- 1.29 Part I:** Tariff Definitions and Common Service Provisions contained in Sections 2 through 12.
- 1.30 Part II:** Tariff Sections 13 through 27 pertaining to Point-To-Point Transmission Service in conjunction with the applicable Common Service Provisions of Part I and appropriate Schedules and Attachments.
- 1.31 Part III:** Tariff Sections 28 through 36 pertaining to Network Integration Transmission Service in conjunction with the applicable Common Service Provisions of Part I and appropriate Schedules and Attachments.
- 1.31a Part IV:** Tariff Sections 37 through 38 pertaining to special Tariff provisions related to the applicability of the Tariff during and after the Transition Period.
- 1.31b Part V: Tariff Sections 40 through 41 pertaining to recovery of costs for Base Plan Upgrades and appropriate Schedules and Attachments.**
- 1.32 Parties:** The Transmission Provider and the Transmission Customer receiving service under the Tariff.

- 1.33 Point(s) of Delivery:** Point(s) on the Transmission Provider's Transmission System where capacity and energy transmitted by the Transmission Provider will be made available to the Receiving Party under Part II of the Tariff. The Point(s) of Delivery shall be specified in the Service Agreement for Long-Term Firm Point-To-Point Transmission Service.
- 1.34 Point(s) of Receipt:** Point(s) of interconnection on the Transmission Provider's Transmission System where capacity and energy will be made available to the Transmission Provider by the Delivering Party under Part II of the Tariff. The Point(s) of Receipt shall be specified in the Service Agreement for Long-Term Firm Point-To-Point Transmission Service.
- 1.35 Point-To-Point Transmission Service:** The reservation and transmission of capacity and energy on either a firm or non-firm basis from the Point(s) of Receipt to the Point(s) of Delivery under Part II of the Tariff.
- 1.36 Power Purchaser:** The entity that is purchasing the capacity and energy to be transmitted under the Tariff.
- 1.36a Project Sponsor:** One or more entities that voluntarily agree to bear the costs of an Economic Upgrade.
- 1.37 Receiving Party:** The entity receiving the capacity and energy transmitted by the Transmission Provider to Point(s) of Delivery.
- 1.37a Regional State Committee:** A voluntary organization comprised of one designated commissioner from each participating state regulatory commission having jurisdiction over an SPP Member, established to collectively provide both direction and input on all matters pertinent to the participation of the Members in SPP pursuant to the SPP By-Laws.
- 1.38 Regional Transmission Group (RTG):** A voluntary organization of transmission owners, transmission users and other entities approved by the Commission to efficiently coordinate transmission planning (and expansion), operation and use on a regional (and interregional) basis.

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1.38a Requested Upgrades: Transmission upgrades, requested by a Transmission Customer or other entity, which do not meet the definition of any other category of Network Upgrades.

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1.39 Reserved Capacity: The maximum amount of capacity and energy that the Transmission Provider agrees to transmit for the Transmission Customer over the Transmission Provider's Transmission System between the Point(s) of Receipt and the Point(s) of Delivery under Part II of the Tariff. Reserved Capacity shall be expressed in terms of whole megawatts on a sixty (60) minute interval (commencing on the clock hour) basis.

1.39a Resident Load: The load for which Base Plan Charges are applicable pursuant to Part V of the Tariff.

1.40 Service Agreement: The initial agreement and any amendments or supplements thereto entered into by the Transmission Customer and the Transmission Provider for service under the Tariff.

1.41 Service Commencement Date: The date the Transmission Provider begins to provide service pursuant to the terms of an executed Service Agreement, or the date the Transmission Provider begins to provide service in accordance with Section 15.3 or Section 29.1 under the Tariff.

1.42 Short-Term Firm Point-To-Point Transmission Service: Firm Point-To-Point Transmission Service under Part II of the Tariff with a term of less than one year.

1.42a SPP: The Southwest Power Pool, Inc.

1.42b SPP Membership Agreement: The Southwest Power Pool Membership Agreement detailing the rights and obligations of the SPP and SPP Members.

1.42c SPP Region: The geographic area of the Transmission System.

1.42d SPP Transmission Expansion Plan: The SPP RTO shall regularly perform transmission planning studies. These studies shall assess the reliability and economic operation of the SPP Transmission System. Transmission expansion required over the planning period shall be submitted to the SPP Board of Directors.

1.43 System Impact Study: A coordinated assessment by the Transmission Provider and the affected Transmission Owner(s) of (i) the adequacy of the Transmission System to accommodate a request for either Firm Point-To-Point Transmission Service or Network Integration Transmission Service or (ii) to determine the

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Attachment Facilities, other Direct Assignment Facilities, and system upgrades
that are needed to accept power into the grid at

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1.48 Transmission Service: Point-To-Point Transmission Service provided under Part II of the Tariff on a firm and non-firm basis.

1.49 Transmission System: The facilities used by the Transmission Provider to provide transmission service under Part II, ~~and Part III~~ and Part V of the Tariff ~~and are reflected in the rates for Network Integration, Long Term Firm, Short-Term Firm and Non-Firm Point-to-Point Transmission Service under the Tariff as stated in Attachments H and T.~~

1.49a Users: Transmission Customers or other entities that are parties to transactions under the Tariff.

1.49b Wholesale Distribution Service: The provision of distribution service over a Transmission Owner's Distribution Facilities necessary to effectuate a transaction under this Tariff. To the extent such service is required, it shall be specified in the Service Agreement for the associated service being provided under the Tariff. The charges for Wholesale Distribution Service are described in Schedule 10.

1.50 Zone: The geographic area of the facilities of a Transmission Owner or a specific combination of Transmission Owners as specified in Schedules 7, 8, and 9.

2 Initial Allocation and Renewal Procedures

2.1 Initial Allocation of Available Transmission Capability: For purposes of determining whether existing capability on the Transmission Provider's Transmission System is adequate to accommodate a request for firm service under this Tariff, all Completed Applications for new firm transmission service received during the initial sixty (60) day period commencing with the Effective Date of the Tariff will be deemed to have been filed simultaneously. A lottery system conducted by the Transmission

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affected Transmission Customers in a timely manner of any scheduled Curtailments. In the event that the Transmission Customer fails to cease or reduce service in response to a directive by the Transmission Provider, the Transmission Customer shall pay any applicable charges and the following penalty (in addition to the charges for all of the firm capacity used): 200% of the Firm Point-to-Point Transmission Service charge under Schedule 7 plus 200% of the Base Plan Charge assessed under Schedule 11 for the entire length of the reserved period but not exceeding one month. This penalty shall apply only to the portion of the service that the Transmission Customer fails to curtail in response to a Curtailment directive. These penalty revenues shall reduce the Transmission Provider's administrative costs.

13.7 Classification of Firm Transmission Service:

- (a) The Transmission Customer taking Firm Point-To-Point Transmission Service may (1) change its Receipt and Delivery Points to obtain service on a non-firm basis consistent with the terms of Section 22.1 or (2) request a modification of the Points of Receipt or Delivery on a firm basis pursuant to the terms of Section 22.2.
- (b) The Transmission Customer may purchase transmission service to make sales of capacity and energy from multiple generating units that are on the Transmission Provider's Transmission System. For such a purchase of transmission service, the resources will be designated as multiple Points of Receipt, unless (i) the multiple generating units are at the same generating plant in which case the units would be treated as a single Point of Receipt, or (ii) the generating units or plants are in the same Control Area of a Transmission Owner in which case the units or plants also would be considered as a single Point of Receipt; provided, however, that generation which is dynamically scheduled

shall be considered as part of the Control Area where it is physically located. In the event of a change in the ownership or control of generation resources previously aggregated as a single Point of Receipt under this provision, such generation may be disaggregated and treated as multiple Points of Receipt, provided that all other terms of this Tariff and the Service Agreement are met.

- (c) The Transmission Provider shall provide firm deliveries of capacity and energy from the Point(s) of Receipt to the Point(s) of Delivery. Each Point of Receipt at which firm transmission capacity is reserved by the Transmission Customer shall be set forth in the Firm Point-To-Point Service Agreement for Long-Term Firm Transmission Service along with a corresponding capacity reservation associated with each Point of Receipt. Points of Receipt and corresponding capacity reservations shall be as mutually agreed upon by the Parties for Short-Term Firm Transmission. Each Point of Delivery at which firm transmission capacity is reserved by the Transmission Customer shall be set forth in the Firm Point-To-Point Service Agreement for Long-Term Firm Transmission Service along with a corresponding capacity reservation associated with each Point of Delivery. Points of Delivery and corresponding capacity reservations shall be as mutually agreed upon by the Parties for Short-Term Firm Transmission. The greater of either (1) the sum of the capacity reservations at the Point(s) of Receipt, or (2) the sum of the capacity reservations at the Point(s) of Delivery shall be the Transmission Customer's Reserved Capacity. The Transmission Customer will be billed for its Reserved Capacity under the terms of Schedules 7 and 11. The Transmission Customer may not exceed its firm capacity

reserved at each Point of Receipt and each Point of Delivery except as otherwise specified in Section 22. In the event that a Transmission Customer (including Third-Party Sales by a Transmission Owner) exceeds its firm reserved capacity at any Point of Receipt or Point of Delivery, the Transmission Customer shall pay the following penalty (in addition to the applicable charges for all of the firm capacity actually used): 200% of the Firm Point-to-Point Transmission Service charge under Schedule 7 plus 200% of the Base Plan Charge assessed under Schedule 11 for the period for which the additional service was actually used. The charges for the additional service shall be based upon the duration of the period when the additional capacity was used. For example, one hour would be billed at the charge for weekday deliveries. The Transmission Provider shall compensate the Transmission Owners for 100% of the Firm Point-to-Point Transmission Service charge and of the Base Plan Charge for the period for which they have provided service. The penalty revenues in excess of that amount shall be used to reduce the Transmission Provider's administrative costs. For the amounts exceeding reserved capacity, the Transmission Customer also must replace losses as required by this Tariff.

- 13.8 Scheduling of Firm Point-To-Point Transmission Service:** All scheduling practices and schedules submitted by Transmission Customers will comply with applicable North American Electric Reliability Council Policies and SPP Criteria. Transmission Customers shall submit all schedules electronically in a form

Transmission Service for the transmission of firm power do so with the full realization that such service is subject to availability and to Curtailment or Interruption under the terms of the Tariff. The Transmission Customer will be billed for its Reserved Capacity under the terms of Schedules 8 and 11. In the event that a Transmission Customer (including Third-Party Sales by a Transmission Owner) exceeds its non-firm capacity reservation, the Transmission Customer shall pay the following penalty (in addition to the charges for all of the non-firm capacity used): 200% of the Non-Firm Point-to-Point Transmission Service Charge under Schedule 8 plus 200% of the Base Plan Charge assessed under Schedule 11 for the entire length of the reserved period not to exceed one month for the amount in excess of such capacity reservation. The Transmission Provider shall compensate the Transmission Owners for 100% of the Non-Firm Point-to-Point Transmission Service charge and of the Base Plan Charge for the period for which they have provided service. The penalty revenues in excess of that amount shall be used to reduce the Transmission Provider's administrative costs. For the amounts exceeding the non-firm capacity reservation, the Transmission Customer must replace losses as required by this Tariff. Non-Firm Point-To-Point Transmission Service shall include transmission of energy on an hourly basis and transmission of scheduled short-term capacity and energy on a daily, weekly or monthly basis, but not to exceed one month's reservation for any one Application, under Schedules 8 and 11.

- 14.6 Scheduling of Non-Firm Point-To-Point Transmission Service:** All scheduling practices and schedules submitted by Transmission Customers will be consistent with applicable North American Electric Reliability Council Policies and SPP Criteria. Transmission Customers shall submit all schedules electronically in a form specified by The Transmission Provider. Schedules for Non-Firm Point-To-Point Transmission Service, other than for Next-Hour-Market Service, must be submitted to the Transmission

200% of the Non-Firm Point-to-Point Transmission Service Charge under Schedule 8 plus 200% of the Base Plan Charge assessed under Schedule 11 for the entire length of the reserved period not to exceed one month for the amount in excess of such capacity reservation. This penalty shall apply only to the portion of the service that the Transmission Customer fails to curtail in response to a Curtailment directive. These penalty revenues shall reduce the Transmission Provider's administrative costs.

15 Service Availability

15.1 General Conditions: The Transmission Provider will provide Firm and Non-Firm Point-To-Point Transmission Service over, on or across its Transmission System to any Transmission Customer that has met the requirements of Section 16.

15.2 Determination of Available Transmission Capability: A description of the Transmission Provider's specific methodology for assessing available transmission capability posted on the Transmission Provider's OASIS (Section 4) is contained in Attachment C of the Tariff. In the event sufficient transmission capability may not exist to accommodate a service request, the Transmission Provider will respond by offering to perform or cause to be performed a System Impact Study.

24 Metering and Power Factor Correction at Receipt and Delivery Points(s)

24.1 Transmission Customer Obligations: Unless otherwise agreed, the Transmission Customer shall be responsible for the cost of installing and maintaining compatible metering and communications equipment to accurately account for the capacity and energy being transmitted under Part II of the Tariff and to communicate the information to the Transmission Provider. Unless otherwise specified in an agreement for the construction of facilities, such equipment installed on the Transmission Customer's system shall remain the property of the Transmission Customer. Unless otherwise specified in an agreement for the construction of facilities, such equipment installed on the Transmission Owner's(s') system shall remain the property of the Transmission Owner(s).

24.2 Transmission Provider Access to Metering Data: The Transmission Provider or its agent shall have access to metering data, which may reasonably be required to facilitate measurements and billing under the Service Agreement.

24.3 Power Factor: Unless otherwise agreed, the Transmission Customer is required to maintain a power factor within the same range specified by the Transmission Provider, in consultation with the appropriate Transmission Owners, pursuant to Good Utility Practices. The power factor requirements are specified in the Service Agreement where applicable.

25 Compensation for Transmission Service

Rates for Firm and Non-Firm Point-To-Point Transmission Service are provided in the Schedules appended to the Tariff: Firm Point-To-Point Transmission Service (Schedule 7); and Non-Firm Point-To-Point Transmission Service (Schedule 8). In addition the Transmission Customer also shall pay any applicable Ancillary Service Costs, ~~and~~ Wholesale Distribution Service charges (Schedule 10), and Base Plan Charges (Schedule 11).

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of their Native Load Customers, shall be required to designate resources and loads in the same manner as any Network Customer under Part III of this Tariff. This information must be consistent with the information used by the Transmission Provider to calculate available transmission capability. The Transmission Provider shall include the Network Customer's Network Load in Transmission System planning and shall, consistent with Good Utility Practice, endeavor to cause to be constructed and placed into service sufficient transmission capacity to deliver the Network Customer's Network Resources to serve its Network Load on a basis comparable to the Transmission Owner(s') delivery of electric generating and purchased resources to Native Load Customers.

28.3 Network Integration Transmission Service: The Transmission Provider will provide firm transmission service over the Transmission System to the Network Customer for the delivery of capacity and energy from its designated Network Resources to service its Network Loads on a basis that is comparable to the Transmission Owner(s') use of the Transmission System to reliably serve Native Load Customers.

28.4 Secondary Service: The Network Customer may use the Transmission System to deliver energy to its Network Loads from resources that have not been designated as Network Resources. Such energy shall be transmitted, on an as-available basis, with no additional charges imposed under Schedules 7, 8, ~~or 9~~, or 11. Deliveries from resources other than Network Resources will have a higher priority than any Non-Firm Point-To-Point Transmission Service under Part II of the Tariff or any non-firm point-to-point service under any other transmission tariff or agreement where the service is being provided by a Transmission Owner.

established Load Shedding and Curtailment procedures or to cease or reduce service in response to a directive by the Transmission Provider, the Network Customer shall pay any applicable charges and the following penalty (in addition to the charges for all of the service used): 200% of the Network Integration Transmission Service charge for the applicable month under Schedule 9 plus 200% of the Base Plan Charge assessed under Schedule 11. This penalty shall apply only to the portion of the service that the Transmission Customer fails to curtail in response to a Curtailment directive. The Transmission Provider shall compensate the Transmission Owners for 100% of the Network Integration Transmission Service charge and of the Base Plan Charge for the period for which they have provided service. The penalty revenues in excess of that amount shall be used to reduce the Transmission Provider's administrative costs.

34 Rates and Charges

The Network Customer shall pay the Transmission Provider for any Direct Assignment Facilities, Ancillary Services, Base Plan Charges (Schedule 11) and applicable study costs, consistent with Commission policy, along with the following:

- 34.1 Monthly Demand Charge for all Zones except Zone 1:** Except as provided in Section 34.1a, for all network load served by the Transmission Provider, other than network load physically located within the Public Service Company of Oklahoma and Southwestern Electric Power Company, Subsidiaries of American Electric Power, Inc. Zone, the Network Customer shall pay a monthly Demand Charge, which shall be determined by multiplying its Load Ratio Share times one twelfth (1/12) of the Existing Zonal Annual Transmission Revenue Requirement specified in Attachment H for each Zone in which the Network Customer's Network Load is physically located. Where a Network Customer has designated Network Load not physically interconnected with the Transmission System under Section 31.3,

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the Network Customer shall pay a monthly Demand Charge, which shall be determined by multiplying its Load Ratio Share times one twelfth (1/12) of the Existing Zonal Annual Transmission Revenue Requirement specified in Attachment H for the Zone that is the basis for charges under Schedule 9.

34.1a Monthly Demand Charge – Zone 1: For all network load physically located within the Public Service Company of Oklahoma and Southwestern Electric Power Company, Subsidiaries of American Electric Power, Inc. Zone, the Network Customer shall pay a monthly Demand Charge calculated as shown on Addendum I to Attachment H.

34.2 Determination of Network Customer's Monthly Network Load: The Network Customer's monthly Network Load is its hourly load (60 minute, clock-hour); provided, however, the Network Customer's monthly Network Load will be its hourly load coincident with the monthly peak of the Zone where the Network Customer load is physically located. Where a Network Customer has Network Load in more than one Zone, the monthly Network Load will be determined separately for each Zone. Where a Network Customer has designated Network Load not physically interconnected with the Transmission System under Section 31.3, the Network Customer's monthly Network Load will be its hourly load coincident with the monthly peak of the Zone that is the basis for charges under Schedule 9.

prior to the retail load receiving the right to choose a different supplier; and (3) its bundled load under Grandfathered Agreements. For purposes of this provision the non-rate terms and conditions are those that would apply to Network Customers. In addition, unless a Transmission Owner executes a Service Agreement under this Part III, it will not be considered as taking Network Integration Transmission Service.

V. Recovery of Costs for Base Plan Upgrades

40. Base Plan Charge

SPP shall assess a Base Plan Charge to recover the revenue requirement of facilities classified as Base Plan Upgrades.

41. Applicability of Base Plan Charges

Base Plan Charges shall be determined in accordance with Schedule 11 and assessed to:

(a) Network Customers taking Network Integration Transmission Service to serve their Network Load under the SPP Tariff;

(b) Transmission Owners providing transmission service to: (i) bundled retail load for which such Transmission Owners are not taking Network Integration Transmission Service or Firm Point-to-Point Transmission Service under the SPP Tariff; and (ii) load being served under Grandfathered Agreements for which such Transmission Owners are not taking Network Integration Transmission Service or Firm Point-to-Point Transmission Service under the SPP Tariff; and

(c) Transmission Customers taking Point-to-Point Transmission Service under the SPP Tariff.

For the purposes of Schedule 11, the load defined in Sections 41(a) and (b) shall be classified as Resident Load.

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5. Direct Assignment Costs: Where a System Impact and/or Facilities Study indicates the need to construct Direct Assignment Facilities to accommodate a request for Transmission Service, the Transmission Customer shall be charged the full cost of such Direct Assignment Facilities in addition to the charges specified in this Schedule and Tariff. The annual costs of the facility shall be calculated by multiplying the levelized fixed charge rate of the Transmission Owner by the nondepreciated cost of the facility. Each month the Transmission Customer shall pay a charge based on such annual costs divided by twelve. Any such charge will be filed with the Commission.

6. Wholesale Distribution Service: Where Wholesale Distribution Service is provided to effectuate Firm Point-to-Point Transmission Service, the Transmission Customer shall pay all charges levied pursuant to the Wholesale Distribution Service Agreement and Schedule 10.

7. Base Plan Charges: The Transmission Customer shall pay all charges assessed pursuant to Schedule 11.

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5. **Losses:** The Transmission Customer shall replace losses determined in accordance with Attachment M.

6. **Wholesale Distribution Service:** Where Wholesale Distribution Service is provided to effectuate Non-Firm Point-to-Point Transmission Service, the Transmission Customer shall pay all charges levied pursuant to the Wholesale Distribution Service Agreement and Schedule 10.

7. **Base Plan Charges:** The Transmission Customer shall pay all charges assessed pursuant to Schedule 11.

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SCHEDULE 9

Network Integration Transmission Service

The Transmission Customer shall compensate the Transmission Provider for Network Integration Transmission Service at the applicable charges set forth below in addition to other applicable charges specified in the Tariff.

1. Zonal Rates: The Transmission Customer taking Network Integration Transmission Service shall pay a monthly demand charge for the Zone where the load is located. Each month, the Transmission Customer shall pay the Transmission Provider the applicable monthly zonal Demand Charge, determined in accordance with Section 34.1. If a Transmission Customer has load in multiple Zones, the Transmission Customer shall pay the monthly demand charge for each Zone in which its load is located- (i.e., the rate for each Zone shall be multiplied by the Transmission Customer's monthly Network Load in that Zone and the sum of the calculation for each applicable Zone shall be the total charges paid by the Transmission Customer). For load not physically interconnected with the Transmission System designated as Network load pursuant to Section 31.3, the Network Customer shall pay the zonal Demand Charge for the Zone interconnected with the Control Area, external to the SPP Region, that is the designated Point of Delivery. Where there is more than one Zone interconnected with such Control Area, the lowest zonal Demand Charge of the interconnected Zones is applicable. The Existing Zonal Annual Transmission Revenue Requirement ~~annual revenue requirements~~ of each Zone is stated in Attachment H.

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4. **Direct Assignment Costs:** Where a System Impact and/or Facilities Study indicates the need to construct Direct Assignment Facilities to accommodate a request for Transmission Service, the Transmission Customer shall be charged the full cost of such Direct Assignment Facilities in addition to the charges specified in this Schedule and Tariff. The annual costs of the facility shall be calculated by multiplying the levelized fixed charge rate of the Transmission Owner by the nondepreciated cost of the facility. Each month the Transmission Customer shall pay a charge based on such annual costs divided by twelve.

5. **Wholesale Distribution Service:** Where Wholesale Distribution Service is provided to effectuate Network Integration Transmission Service, the Network Customer shall pay all charges levied pursuant to the Wholesale Distribution Service Agreement and Schedule 10.

6. **Base Plan Charges:** The Transmission Customer shall pay all charges assessed pursuant to Schedule 11.

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SCHEDULE 11

Base Plan Charge

I. Introduction

Pursuant to Part V of this Tariff, the Network Customer and the Transmission Owner shall pay the Transmission Provider Base Plan Charges to recover the revenue requirement of facilities classified as Base Plan Upgrades based on their Resident Load in accordance with Section II of this Schedule. Likewise, the Transmission Customer taking Point-to-Point Transmission Service under the SPP Tariff shall pay the Transmission Provider Base Plan Charges to recover the revenue requirement of facilities classified as Base Plan Upgrades based on Reserved Capacity in accordance with Section III of this Schedule.

The charges stated in Schedule 11 shall not be changed absent a filing with the Commission.

II. Base Plan Charges to Resident Load

A. Base Plan Zonal Charge to Resident Load

The Network Customer and the Transmission Owner shall pay a monthly Base Plan Zonal Charge, which shall be determined by multiplying its Base Plan Zonal Load Ratio Share times one twelfth (1/12) of the Base Plan Zonal Annual Transmission Revenue Requirement specified in Attachment H for each Zone in which the Network Customer's or Transmission Owner's Resident Load is physically located. Where a Network Customer has designated Network Load not physically interconnected with the Transmission System under Section 31.3, Network Customer shall pay a monthly Zonal Base Plan Charge, which shall be determined by multiplying its Base Plan Zonal Load Ratio Share times one twelfth (1/12) of the Base Plan Zonal Annual Transmission Revenue Requirement specified in Attachment H for the Zone that is the basis for charges under Schedule 11.

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1. Determination of Network Customer's and Transmission Owner's Monthly Zonal Resident Load

The Network Customer's or Transmission Owner's monthly zonal Resident Load is its integrated hourly load coincident with the monthly peak of the Zone where the Resident Load is physically located. Where a Network Customer or Transmission Owner has Resident Load in more than one Zone, the monthly Resident Load will be determined separately for each Zone. Where a Network Customer has designated Network Load not physically interconnected with the Transmission System under Section 31.3, the Network Customer's monthly Resident Load will be its hourly load coincident with the monthly peak of the Zone that is the basis for charges under Schedule 11.

2. Determination of Transmission Provider's Monthly Zone Transmission Load

The Transmission Provider's monthly Transmission System load shall be determined in accordance with Section 34.3 of this Tariff.

B. Base Plan Region-wide Charge to Resident Load

The Network Customer and Transmission Owner shall pay a monthly Base Plan Region-wide Charge, which shall be determined by multiplying its Base Plan Region-wide Load Ratio Share times one twelfth (1/12) of the Base Plan Region-wide Annual Transmission Revenue Requirement specified in Attachment H.

1. Determination of Network Customer's and Transmission Owner's Monthly Regional Resident Load

The Network Customer's or Transmission Owner's monthly regional Resident Load is the sum of its monthly zonal Resident Load for each Zone, where the monthly zonal Resident Load is determined separately for each Zone coincident with the monthly peak of the Zone in accordance with Section II.A.1.

2. Determination of Transmission Provider's Monthly Regional Transmission Load

The Transmission Provider's monthly regional Transmission System load is the sum of the monthly Zone transmission load for each Zone, where the

monthly zone transmission load for each Zone is determined on a non-coincident basis in accordance with Section II.A.2.

III. Base Plan Charge for Point-to-Point Transmission Service

A. Base Plan Zonal Charge for Point-to-Point Transmission Service

The Base Plan Zonal Charge shall be assessed to Transmission Customers taking Firm or Non-Firm Point-to-Point Transmission Service under the SPP Tariff. The Transmission Customer shall pay the Base Plan Zonal Rate (per kW of Reserved Capacity) based upon the Zone where the load is located for Point-to-Point Transmission Service where the generation source is outside the SPP Region and the load is located within the SPP Region and for Point-to-Point Transmission Service where both the generation source and the load are located within the SPP Region. For Point-to-Point Transmission Service where the generation source is located within the SPP Region and the load is located outside of the SPP Region, and for Point-to-Point Transmission Service where both the generation source and the load are located outside of the SPP Region, the Transmission Customer shall pay the Base Plan Zonal Rate (per kW of Reserved Capacity) for the Zone interconnected with the Control Area, external to the SPP Region, that is the designated Point of Delivery. Where there is more than one Zone interconnected with such Control Area, the lowest Base Plan Zonal Rate of the interconnected Zones is applicable. The Base Plan Zonal Rates shall be calculated in accordance with Section III.D.

B. Base Plan Region-wide Charge for Point-to-Point Transmission Service

The Base Plan Region-wide Charge shall be assessed to Transmission Customers taking Firm or Non-Firm Point-to-Point Transmission Service under the SPP Tariff. The Transmission Customer shall pay the Base Plan Region-wide Rate (per kW of Reserved Capacity) for Point-to-Point Transmission Service. The Base Plan Region-wide Rate shall be calculated in accordance with Section III.C.

C. Base Plan Region-wide Rate for Point-to-Point Transmission Service

I. Determination of Annual Base Plan Region-wide Rate

The Base Plan Region-wide Annual Transmission Revenue Requirement specified in Attachment H is the basis for the Base Plan Region-wide Rate. The

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annual Base Plan Region-wide Rate for Firm Point-to-Point Transmission Service shall be determined in accordance with the following formula:

$$\text{BPRR} = \frac{\text{BPRATRR}}{\text{MRTL}}$$

in which

BPRR = the annual Base Plan Region-wide Rate

BPRATRR = the Base Plan Region-wide Annual Transmission Revenue Requirement as specified in Attachment H

MRTL = the average of the sum of the monthly regional Transmission System load for the twelve months of the calendar year on which the rate is based. The monthly regional Transmission System load is determined in accordance with Section II.B.2.

2. Base Plan Region-wide Rate for Firm Point-to-Point Transmission Service

The Base Plan Region-wide Rate for Firm Point-to-Point Transmission Service shall be:

Per month = annual Base Plan Region-wide Rate divided by 12;

Per week = annual Base Plan Region-wide Rate divided by 52;

Per day "on peak" = the "per week" Base Plan Region-wide Rate divided by 5; provided that the rate for 5 to 7 consecutive days may not exceed the "per week" Base Plan Region-wide Rate; and

Per day "off peak" = the "per week" Base Plan Region-wide Rate divided by 7.

3. Base Plan Region-wide Rate for Non-Firm Point-to-Point Transmission Service

The Base Plan Region-wide Rate for Non-Firm Point-to-Point Transmission Service shall be:

Per month = annual Base Plan Region-wide Rate divided by 12;

Per week = annual Base Plan Region-wide Rate divided by 52;

Per day "on peak" = the "per month" Base Plan Region-wide Rate multiplied by 12 then divided by 260;

Per day "off peak" = the "per month" Base Plan Region-wide Rate multiplied by 12 then divided by 365;

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Per hour "on peak" = the "per month" Base Plan Region-wide Rate multiplied by 12 then divided by 4160; and

Per hour "off peak" = the "per month" Base Plan Region-wide Rate multiplied by 12 then divided by 8760.

4. Total Region-wide Base Plan Charge

The total region-wide charge paid by a Transmission Customer pursuant to a reservation for hourly delivery shall not exceed the above on-peak daily rate multiplied by the highest amount of Reserved Capacity in any hour during such day. The total region-wide charge in any week, pursuant to a reservation for hourly or daily delivery, shall not exceed the above Base Plan Region-wide Rate specified for weekly delivery multiplied by the highest amount of Reserved Capacity in any hour during such week.

D. Base Plan Zonal Rates for Point-to-Point Transmission Service

1. Determination of Annual Base Plan Region-wide Rate

The Base Plan Zonal Annual Transmission Revenue Requirements specified in Attachment H are the basis for the Base Plan Zonal Rates. The annual Base Plan Zonal Rates for Firm Point-to-Point Transmission Service shall be determined in accordance with the following formula for each Zone.

$$\text{BPZR} = \text{BPZATRR} / \text{MZTL}$$

in which

BPZR = the annual Base Plan Zonal Rate for the Zone

BPZATRR = the Base Plan Zonal Annual Transmission Revenue Requirement for the Zone as specified in Attachment H

MZTL = the average of the sum of the monthly zone transmission load for the Zone for the twelve months of the calendar year on which the rate is based. The monthly zone transmission load is determined in accordance with Section II.A.2.

2. Base Plan Zonal Rate for Firm Point-to-Point Transmission Service

The Base Plan Zonal Rate for Firm Point-to-Point Transmission Service for each Zone shall be:

$$\text{Per month} = \frac{\text{annual Base Plan Zonal Rate for the Zone}}{\text{divided by 12;}}$$

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Per week = annual Base Plan Zonal Rate for the Zone divided by 52;

Per day "on peak" = the "per week" Base Plan Zonal Rate for the Zone divided by 5; provided that the rate for 5 to 7 consecutive days may not exceed the "per week" Base Plan Zonal Rate;

Per day "off peak" = the "per week" Base Plan Zonal Rate for the Zone divided by 7.

3. Base Plan Zonal Rate for Non-Firm Point-to-Point Transmission Service

The Base Plan Zonal Rate for Non-Firm Point-to-Point Transmission Service for each Zone shall be:

Per month = annual Base Plan Zone Rate for the Zone divided by 12;

Per week = annual Base Plan Zonal Rate for the Zone divided by 52;

Per day "on peak" = the "per month" Base Plan Zonal Rate for the Zone multiplied by 12 then divided by 260;

Per day "off peak" = the "per month" Base Plan Zonal Rate for the Zone multiplied by 12 then divided by 365;

Per hour "on peak" = the "per month" Base Plan Zonal Rate for the Zone multiplied by 12 then divided by 4160; and

Per hour "off peak" = the "per month" Base Plan Zonal Rate for the Zone multiplied by 12 then divided by 8760.

4. Total Zonal Base Plan Charge

The total zonal charge paid by a Transmission Customer for each Zone pursuant to a reservation for hourly delivery shall not exceed the above on-peak daily rate multiplied by the highest amount of Reserved Capacity in any hour during such day. The total zonal charge for each Zone in any week, pursuant to a reservation for hourly or daily delivery, shall not exceed the above Base Plan Zonal Rate for the Zone specified for weekly delivery multiplied by the highest amount of Reserved Capacity in any hour during such week.

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E. On-Peak and Off-Peak

Off-Peak days shall be Saturdays and Sundays and all NERC holidays. All other days shall be On-Peak. All hours during Off-Peak days shall be Off-Peak. On-Peak hours during On-Peak days shall be all hours from HE 0700 through HE 2200 Central Prevailing Time. All other hours during On-Peak days shall be Off-Peak.

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6.0 The Transmission Provider, as agent for the Transmission Owners, agrees to provide and the Transmission Customer agrees to take and pay for Firm Point-To-Point Transmission Service in accordance with the provisions of Parts I, II and V of the Tariff and this Service Agreement.

7.0 To the extent necessary to effectuate any transaction entered into pursuant to this Service Agreement, the following Transmission Owner(s) shall provide Wholesale Distribution Service over Distribution Facilities:

8.0 Any notice or request made to or by either Party regarding this Service Agreement shall be made to the representative of the other Party as indicated below.

Southwest Power Pool:

415 N. McKinley, 700 Plaza West

Little Rock, AR 72205

Transmission Customer:

9.0 The Tariff is incorporated herein and made a part hereof.

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ATTACHMENT B

Form Of Service Agreement For Non-Firm Point-To-Point Transmission Service

- 1.0 This Service Agreement, dated as of _____, is entered into, by and between Southwest Power Pool, Inc. ("The Transmission Provider"), and _____ (Transmission Customer).
- 2.0 The Transmission Customer has been determined by The Transmission Provider to be a Transmission Customer under Part II of the Tariff and has filed a Completed Application for Non-Firm Point-To-Point Transmission Service in accordance with Section 18.2 of the Tariff.
- 3.0 Service under this Agreement shall be provided by The Transmission Provider upon request by an authorized representative of the Transmission Customer.
- 4.0 The Transmission Customer agrees to supply information The Transmission Provider deems reasonably necessary in accordance with Good Utility Practice in order for it to provide the requested service.
- 5.0 The Transmission Provider, as agent for the Transmission Owners, agrees to provide and the Transmission Customer agrees to take and pay for Non-Firm Point-To-Point Transmission Service in accordance with the provisions of Parts I, II and V of the Tariff and this Service Agreement.
- 6.0 Any notice or request made to or by either Party regarding this Service Agreement shall be made to the representative of the other Party as indicated below.

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- 2.0 The Network Customer agrees to take and pay for Network Integration Transmission Service in accordance with the provisions of Parts I, ~~and III~~ and V of the Tariff and this Agreement with attached Specifications.
- 3.0 The terms and conditions of such Network Integration Transmission Service shall be governed by the Tariff, as in effect at the time this Agreement is executed by the Network Customer, or as the Tariff is thereafter amended or by its successor tariff, if any. The Tariff as it currently exists, or as it is hereafter amended is incorporated in this Agreement by reference. In the case of any conflict between this Agreement and the Tariff, the Tariff shall control. The Network Customer has been determined by the Transmission Provider to have a Completed Application for Network Integration Transmission Service under the Tariff. The Completed Specifications are based on the information provided in the Application and are incorporated herein and made a part hereof as Attachment 1.
- 4.0 Service under this Agreement shall commence on such date as it is permitted to become effective by the Commission. This Agreement shall be effective through _____. Thereafter, it will continue from year to year unless terminated by the Network Customer or the Transmission Provider by giving the other one-year advance written notice or by the mutual written consent of the Transmission Provider and Network Customer. Upon termination, the Network Customer remains responsible for any outstanding charges including all costs incurred and apportioned or assigned to the Network Customer under this Agreement.
- 5.0 The Transmission Provider and Network Customer have executed a Network Operating Agreement as required by the Tariff.
- 6.0 The Network Customer agrees to take and pay for Network Integration Transmission Service in accordance with the provisions of Parts I and III of the Tariff and this Agreement with attached Specifications.

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6.0 Delivery Points

The delivery points are the meters of _____ identified in Section 2.0 as the Network Load.

7.0 Receipt Points

The Points of Receipt are listed in Appendix 2.

8.0 Compensation

Service under this Agreement may be subject to some combination of the charges detailed below. The appropriate charges for individual transactions will be determined in accordance with the terms and conditions of the Tariff.

8.1 Transmission Charge

Monthly Demand Charge per Section 34. and Part V4 of the Tariff.

8.2 System Impact and/or Facility Study Charge

Studies may be required in the future to assess the need for system reinforcements in light of the ten-year forecast data provided. Future charges, if required, shall be in accordance with Section 32 of the Tariff.

8.3 Direct Assignment Facilities Charge

System reinforcements may be required in the future to support the load forecasts. Future charges, if required, shall be in accordance with Section 32 of the Tariff.

8.4 Ancillary Service Charges

8.4.1 The following Ancillary Services are required under this Network Integration Transmission Service Agreement.

- a) Scheduling and Tariff Administration Service per Schedule 1 of the Tariff

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ATTACHMENT H

Annual Transmission Revenue Requirement ~~For Network Integration~~ ~~Transmission Service~~

1. The Existing Zonal Annual Transmission Revenue Requirement within each Zone for purposes of determining the charges under Schedule 9, Network Integration Transmission Service, is specified in column 3. The Base Plan Zonal Annual Transmission Revenue Requirement within each Zone for the purposes of determining the zonal charges under Schedule 11, Base Plan Charges, is specified in column 4. ~~The Annual Transmission Revenue Requirement for purposes of the Network Integration Transmission Service within each Zone shall be:~~

Zone 1:	Public Service Company of Oklahoma and Southwestern Electric Power Company, Subsidiaries of American Electric Power, Inc.	\$ 88,681,579
Zone 2:	Cleco Corporation	\$ 20,328,000
Zone 3:	City Utilities of Springfield, Missouri	\$ 8,651,509
Zone 4:	Empire District	\$ 14,075,000
Zone 5:	Grand River Dam Authority (Est.)	\$ 24,589,256
Zone 6:	Kansas City Power and Light Company	\$ 35,461,776
Zone 7:	Oklahoma Gas & Electric Company	\$ 65,065,032
Zone 8:	Mid-West Energy	\$ 4,197,347
Zone 9:	Missouri Public Service	\$ 18,884,642
Zone 10:	Southwestern Power Administration	\$ 8,752,200
Zone 11:	Southwestern Public Service	\$ 64,200,000
Zone 12:	Sunflower Electric Cooperative	\$ 19,637,429
Zone 13:	Western Farmers Electric Cooperative	\$ 20,719,639
Zone 14:	Western Resources, Inc. (Kansas Gas & Electric and Western Resources)	\$ 66,491,775
Zone 15:	West Plains Energy	\$ 15,728,043

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(1) Zone	(2)	(3) Existing Zonal ATRR	(4) Base Plan Zonal ATRR
1	<u>American Electric Power (Public Service Company of Oklahoma, Southwestern Electric Power Company, and SPP portion of Texas North Company)</u>	<u>\$ 88,681,579</u>	<u>\$0</u>
2	<u>Cleco Corporation</u>	<u>\$ 29,328,000</u>	<u>\$0</u>
3	<u>City Utilities of Springfield, Missouri</u>	<u>\$ 8,651,509</u>	<u>\$0</u>
4	<u>Empire District Electric Company</u>	<u>\$ 14,075,000</u>	<u>\$0</u>
5	<u>Grand River Dam Authority (Est.)</u>	<u>\$ 24,589,256</u>	<u>\$0</u>
6	<u>Kansas City Power & Light Company</u>	<u>\$ 35,461,776</u>	<u>\$0</u>
7	<u>Oklahoma Gas & Electric Company</u>	<u>\$ 65,065,032</u>	<u>\$0</u>
8	<u>Midwest Energy, Inc.</u>	<u>\$ 4,197,347</u>	<u>\$0</u>
9	<u>Missouri Public Service</u>	<u>\$ 18,884,642</u>	<u>\$0</u>
10	<u>Southwestern Power Administration</u>	<u>\$ 7,427,000</u>	<u>\$0</u>
11	<u>Southwestern Public Service</u>	<u>\$ 64,200,000</u>	<u>\$0</u>
12	<u>Sunflower Electric Cooperative</u>	<u>\$ 19,637,429</u>	<u>\$0</u>
13	<u>Western Farmers Electric Cooperative</u>	<u>\$ 20,719,639</u>	<u>\$0</u>
14	<u>Westar Energy, Inc. (Kansas Gas & Electric and Westar Energy)</u>	<u>\$ 66,491,775</u>	<u>\$0</u>
15	<u>West Plains Energy</u>	<u>\$ 15,728,043</u>	<u>\$0</u>

2. The Base Plan Region-wide Annual Transmission Revenue Requirement for the purposes of determining the region-wide charges under Schedule 11 shall initially be \$0.
32. The amounts in (1) and (2) shall be effective until amended by the Transmission Owner or modified by the Commission or other applicable regulatory authority.
4. The revenue requirements stated in Attachment H shall not be changed absent a filing with the Commission.

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