

# Valley Woods Utility, LLC

## Company Full Certificated Name

*Do not abbreviate; include any Commission approved AKA/DBA/Fictitious Name, if applicable.*

## WATER and/or SEWER ANNUAL REPORT

### SMALL COMPANY

*(Fewer than 8,000 customers)*

### TO THE

## MISSOURI PUBLIC SERVICE COMMISSION

January 1 - December 31, 2017

This filing is required pursuant to Commission Rules 4 CSR 240-3.335 and 4 CSR 240-3.640, and/or Section 393.140 RSMo.

Please indicate which type of service the Company is certificated to provide by checking the appropriate box(es). *(Check all that apply.)*

☒ Water Service Provider

☒ Sewer Service Provider

Please choose one of the following filing type options:

- ☒ **Public Submission** (NOT Confidential)
- ☐ **Non-Public Submission** (Confidential / Filed Under Seal)  
For this filing to be considered Confidential, additional submission of materials is required pursuant to Commission Rule 4 CSR 240-2.135.

Excel Issued Date: 12/13/2017  
Revised:

*(To be used when filing under seal.)*

1 For the calendar year of January 1 - December 31, 2017

2 **Company Name:** Valley Woods Utility, LLC

2a **Parent Company Name:** Ozark International, Inc.  
(if applicable)

3 **Company Mailing Address:** P.O. Box 1080, Nixa, MO 65714

4 **Company Street Address:** 786 Croley Blvd., Nixa, MO 65714

5 **Company Phone Number:** 417-725-3982

6 **Company E-mail Address:** customersupport@watertechozk.com

7 **Name, title, address, phone number, and e-mail of person(s) to contact concerning information contained in this report:**

7a	<u>Amy Brower</u>	<u></u>
	Name/Title	Name/Title
7b	<u>PO Box 1080</u>	<u></u>
	Mailing Address	Mailing Address
7c	<u>786 Croley Blvd.</u>	<u></u>
	Street Address	Street Address
7d	<u>Nixa MO 65714</u>	<u></u>
	City State Zip	City State Zip
7e	<u>417-725-3982</u>	<u></u>
	Telephone Number	Telephone Number
7f	<u><a href="mailto:freelancebookkeepingpro@gmail.com">freelancebookkeepingpro@gmail.com</a></u>	<u></u>
	E-mail Address	E-mail Address

8 Provide the **Total Company and gross intrastate Operating Revenues** (i.e., Missouri Jurisdictional) for Calendar Year 2017.


( BOTH COLUMNS MUST BE COMPLETED)


	**	MO Jurisdictional	**	**	Total Company	**
<b>Water Revenues</b>						
9 Total Operating Revenues (From Pg. W-2, Line 22)		\$ 16,403			\$ 16,403	
10 Total Non-Tariffed Revenues (Pg. W-2, Line 25)		\$ -				
11 <b>TOTAL REVENUES</b> (From Pg. W-2, line 26)		\$ 16,403			\$ 16,403	


(Total MO Jurisdictional Revenue (Line 11 above) should match Statement of Revenue - MoPSC Assessment).

	**	MO Jurisdictional	**	**	Total Company	**
<b>Sewer Revenues</b>						
12 Total Operating Revenues (From Pg. S-2, Line 22)		\$ 13,702			\$ 13,702	
13 Total Non-Tariffed Revenues (From Pg. S-2, Line 25)		\$ -				
14 <b>TOTAL REVENUES</b> (From Pg. S-2, Line 26)		\$ 13,702			\$ 13,702	

(Total MO Jurisdictional Revenue (Line 14 above) should match Statement of Revenue - MoPSC Assessment).

 Indicates a link to or from another worksheet within workbook

 Indicates formula cell(s)



(To be used when filing under seal.)

Company Name: **Valley Woods Utility, LLC****CAPITAL STOCK (COMMON AND PREFERRED)**

Class and Series of Stock (a)	Total Number of Shares Authorized (b)	Par or Stated Value Per Share (c)	Total Number of Shares Issued (d)	Current Book Value of Issued Shares of Stock (e)
n/a				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
<b>Total Value</b>				\$ -

**SECURITY HOLDERS AND VOTING POWERS**

Report below the **NAMES** and **ADDRESSES** of the 10 stockholders who, at the end of the year, had the greatest voting powers in the respondent, **AND STATE THE NUMBER OF VOTES** each would have had a right to cast on that date. If any such holder held in trust, give the nature of the trust and the beneficial owner. Show also total votes of **ALL** securities with voting powers.

Names and Addresses (a)	Number of Votes (b)
<b>Total Number of Votes Held by Above</b>	
<b>Total Number of Votes of All Securities with Voting Rights</b>	

Identify the principal or general officers of the company at the end of the year. Please include an additional sheet, if enough space is not provided on this page, to completely provide the requested information.

Title of General Officer(s)	Name of Person Holding Office
President	Cheryl Brower
Vice President	Mike Potter
Secretary	Mark Heiskell

Indicates formula cell(s)

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2017

2 Company Name: Valley Woods Utility, LLC

Describe **MAJOR** transactions occurring during the year which will have a effect on operations, such as rate changes, replacement of major equipment and other abnormal cash expenditures of \$250 or more. *(Dollar amounts to be recorded on Page W-5 and/or Page S-4, columns d.)*

3 James Guerin - Contract Labor - \$12,195.00

4 Rogersville Septic Service - \$4,375.00

5 Rozell Engineering - \$1500.00

6 Lefty's Pump - Booster Pump - \$2,042.03

7 Mark Busby - Contract Labor - \$3,996.00

8 Wieland & Condry - Legal - \$708.34

9 \_\_\_\_\_

10 \_\_\_\_\_

11 \_\_\_\_\_

12 \_\_\_\_\_

13 \_\_\_\_\_

14 \_\_\_\_\_

15 \_\_\_\_\_

16 \_\_\_\_\_

17 \_\_\_\_\_

18 \_\_\_\_\_

19 \_\_\_\_\_

20 \_\_\_\_\_

21 \_\_\_\_\_

22 \_\_\_\_\_

23 \_\_\_\_\_

24 \_\_\_\_\_

25 \_\_\_\_\_

26 \_\_\_\_\_

▼

*(To be used when filing under seal.)*

1 For the calendar year of January 1 - December 31, **2017**


2 Company Name: **Valley Woods Utility, LLC**


**NOTE: Please do not try to type over formulas. Totals will calculate automatically in this spreadsheet.**


**BALANCE SHEET**  
**WATER AND SEWER OPERATIONS**  
**ASSETS**

	Account Description (a)	**	Amount (b)	**
3	Water Plant In Service (From Pg. W-5)		\$ 123,726	
4	LESS: Water Depreciation Reserve (From Pg. W-5)		\$ 55,578	
5	Net Water Plant in Service (Line 3 <b>MINUS</b> Line 4)		\$ 68,148	
6	Water Materials and Supplies			
7	Water Construction Work in Progress			
8	Water Plant Held for Future Use			
9	Water Plant Acquisition Adjustment			
10	Sewer Plant in Service (From Pg. S-4)		\$ 181,882	
11	LESS: Sewer Depreciation Reserve (From Pg. S-4)		\$ 87,551	
12	Net Sewer Plant in Service (Line 10 <b>MINUS</b> Line 11)		\$ 94,331	
13	Sewer Materials and Supplies			
14	Sewer Construction Work in Progress			
15	Sewer Plant Held for Future Use			
16	Sewer Plant Acquisition Adjustment			
17	Other Plant			
18	Cash		\$ -	
19	Accounts Receivable (i.e., Amounts due from customers or other parties.)		\$ 663	
20	Other Assets			
21	<b>Total Assets*</b>		<b>\$ 163,142</b>	

\* **Total Assets should balance with Total Equity and Liabilities on Page 5 (see instructions).**  
**Difference between Equity & Liabilities and Assets ( from Pg. 5 ).**

 Indicates a link to another worksheet within workbook

 Indicates formula cell(s)



(To be used when filing under seal.)



2 Company Name: **Valley Woods Utility, LLC****NOTE: Please do not try to type over formulas. Totals will calculate automatically in this spreadsheet.**

**BALANCE SHEET**  
**WATER AND SEWER OPERATIONS**  
**EQUITY AND LIABILITIES**

	Account Description (a)	**	Amount (b)	**
3	Capital Stock (From Page 2)		\$ -	
4	Retained Earnings		\$ 138,578	
5	Long-Term Debt (banks, etc.- over 1 year) (From Pg. 9)		\$ -	
6	Short-Term Debt (banks, etc.- less than 1 year) (From Pg. 9)		\$ 6,793	
7	Water Customer Deposits		\$ 900	
8	Water Advances for Construction			
9	Water Contributions In Aid of Construction (From Pg. 8, Line 16)		\$ 43,052	
10	LESS: Water Amortization of Contributions In Aid of Construction (From Page 8, line 24)		\$ 26,181	
11	Net Water Contributions In Aid of Construction (i.e., Line 9 <b>MINUS</b> Line 10)		\$ 16,871	
12	Sewer Customer Deposits			
13	Sewer Advances for Construction			
14	Sewer Contributions In Aid of Construction (From Pg. 8, Line 16)		\$ -	
15	LESS: Sewer Amortization of Contributions In Aid of Construction (From Page 8, line 24)		\$ -	
16	Net Sewer Contributions In Aid of Construction (i.e., Line 14 <b>MINUS</b> Line 15)		\$ -	
17	Deferred Taxes - ITC			
18	Deferred Taxes - Other			
19	Accounts Payable; (Amounts owed to other parties; other than debt listed above.)			
20	Other Liabilities			
21	<b>Total Equity and Liabilities*</b>		<b>\$ 163,142</b>	

\* Total Equity and Liabilities should balance with Total Assets on Pg. 4 (see instructions).

Difference between Equity &amp; Liabilities and Assets (From Pg. 4).

-  Indicates a link to another worksheet within workbook
-  Indicates formula cell(s)

(To be used when filing under seal.)

**EMPLOYEE PAYROLL INFORMATION**

**INSTRUCTIONS:** Please provide names, titles and salaries for all officers and employees with W-2's. Show total compensation paid to each during the year. Include all amounts including bonuses and other allowances. Enter "0" or none where applicable. Provide explanations where necessary. Use additional sheets if necessary.

Contract Employees (i.e., 1099's or other outside parties) should not be listed on this page. (See page 7.)

Name and Title (a)	Payroll Charged To:			
	Total Utility Compensation (b)	Water Expense (c)	Sewer Expense (d)	Capitalized Payroll (e)
3 Hollis H. "Bert" Brower, Jr. - President	\$ 525	\$ 263	\$ 263	
4 Jeffrey Colbach - Maintenance	\$ 3,388	\$ 1,694	\$ 1,694	
5 Connie Long - Office Manager	\$ 1,759	\$ 880	\$ 880	
6 Donaleen Alexander - Clerical	\$ 979	\$ 490	\$ 490	
7 Abigail Long - Clerical	\$ 53	\$ 26	\$ 26	
8 Valerie Guerin - Meter Reader	\$ 303	\$ 151	\$ 151	
9 Cheryl Brower - Clerical	\$ 165	\$ 83	\$ 83	
10 Sydney Clayman - Clerical	\$ 789	\$ 394	\$ 394	
11				
12				
13				
14				
15				
16				
17				
18				
19 <b>Total</b>	<b>\$ 7,960</b>	<b>\$ 3,980</b>	<b>\$ 3,980</b>	<b>\$ -</b>
		(Total to Pg. W-1)	(Total to Pg. S-1)	

1  
2 Company Name: **Valley Woods Utility, LLC**

For the calendar year of January 1 - December 31, **2017**

**PAYMENTS FOR SERVICES RENDERED BY OTHER THAN EMPLOYEES**  
**(W-2 Employees should be listed on Page 6)**

**INSTRUCTIONS:** Report below all information concerning rate, management, construction, engineering, research, financial, valuation, legal, accounting, purchasing, advertising, labor relations, public relations, contract operators and contract labor, or other similar professional services or outside services other than employees rendered the respondent under written or verbal arrangements, for which total payments during the year to any corporation, partnership, individual or organization of any kind whatsoever. Attach additional worksheet pages if necessary.

1  
2 Company Name: **Valley Woods Utility, LLC**  
For the calendar year of January 1 - December 31, **2017**

Name of Recipient and Description of Service (a)	Amount of Payments:			
	Water		Sewer	
	Expensed (b)	Capitalized (c)	Expensed (d)	Capitalized (e)
3 Amy Brower - Accounting	\$ 87		\$ 88	
4 James Guerin - Contract Labor	\$ 12,195			
5 Mark Busby - Mowing	\$ 3,996			
6 Rozell Engineering	\$ 1,500			
7 Wieland & Condry - Legal	\$ 708			
8				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19 <b>Total</b>	<b>\$ 18,486</b>	<b>\$ -</b>	<b>\$ 88</b>	<b>\$ -</b>
	(Total to Pg. W-1)		(Total to Pg. S-1)	



Company Name: **Valley Woods Utility, LLC****CONTRIBUTIONS IN AID OF CONSTRUCTION**

**INSTRUCTIONS:** This account shall include donations or contributions in cash, services, or property for construction purposes. The records supporting the entries to this account shall be so kept that the utility can furnish information as to the purpose of each donation, the conditions, if any, upon which it was made, the amount of each donation, and the amount applicable to each utility department. The credits (deductions) to this account shall not be transferred to any other account without the approval of the Commission.

(a)	Water (b)	Sewer (c)
3 Balance at Beginning of Year <i>(From last years report, Pg. 8)</i>	\$ 43,052	
4 <b>PLUS:</b> Additions During the Year <i>(Please provide a detailed explanation.)</i>		
5		
6		
7		
8		
9 <b>Total Additions</b>	\$ -	\$ -
10 <b>LESS:</b> Deductions During the Year <i>(Please provide a detailed explanation.)</i>		
11		
12		
13 Retire and cap off service connection, but no connection fee money returned = no entry here		
14		
15 <b>Total Deductions</b>	\$ -	\$ -
16 Balance at End of Year	\$ 43,052	\$ -
	<i>(Total to Pg. 5)</i>	<i>(Total to Pg. 5)</i>

**AMORTIZATION OF CONTRIBUTIONS IN AID OF CONSTRUCTION***(Please identify as Account Number 271A)***17 PLEASE CHOOSE FROM THE DROP DOWN BOX WHICH METHOD THE UTILITY UTILIZES FOR ITS RECORDS.**

Distribution Method ▼

**Distribution Method**

(a)	Water (b)	Sewer (c)
18 Balance of Amortization at First of Year <i>(not Total of CIAC line 3)</i>	\$ 24,611	
19 <b>Total Contributions in Aid at End of Year</b> <i>(see above)</i>	\$ 43,052	\$ -
20 <b>Total Plant in Service at End of Year</b> <i>(From Pg. W-5 or S-4)</i>	\$ 123,726	\$ 181,882
21 Percentage Contributions to Plant	34.80%	0.00%
22 <b>Total Depreciation Expense</b> <i>(From Pg. W-5 or S-4)</i>	\$ 4,512	\$ 6,019
23 <b>Total Amortization of Contributions</b> <i>(To Pg. W-1, S-1)</i>	\$ 1,570	\$ -
24 Balance at End of Year	\$ 26,181	\$ -
	<i>(Total to Pg. 5)</i>	<i>(Total to Pg. 5)</i>

**OR****Attached Method**

(a)	Water (b)	Sewer (c)
25 Balance of Amortization at First of Year <i>(not Total of CIAC Line 3)</i>		
26 <b>Total Amortization of Contributions</b> <i>(To Pg. W-1, S-1)</i>		
27 Balance at End of Year		
	<i>(Total to Pg. 5)</i>	<i>(Total to Pg. 5)</i>

Indicates a link to another worksheet within workbook  
 Indicates formula cell(s)

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*(To be used when filing under seal.)*

**INTEREST EXPENSE, NOTES PAYABLE, BONDS, BANK LOANS AND OTHER DEBTS**

**INSTRUCTIONS:** Please report information for the **current annual reporting** year. List each separate item of debt. Please identify the named borrower for each debt, if different from the company. Show principal amount to which each interest rate applies. Include all items on which interest was paid during the year. Use additional worksheets if necessary.

	Type of Debt (i.e., Notes Payable, Bonds, Bank Loans, Shareholder Loans, Affiliate Loans, etc.) (a)	Contact Information of Each Lender (Name, Address, Phone No., Email) (b)	Origination Date (c)	Initial Loan Amount (d)	Interest Rate (e)	Type of Interest Rate: (Fixed, Variable) (f)	Frequency of Payments (Semi-Monthly, Monthly, Quarterly, Annually, etc.) (g)	Balance of Loan at Year End		Date of Maturity (i)	Debt Paid off During Year? Y or N (k)	Total Interest Paid During the Year (l)	Interest Paid - Charged To:	
								Long Term Debt (Over one year.) (h)	Short Term Debt (Less than one year.) (i)				Water Utility (m)	Sewer Utility (n)
3	Shareholder Loan	Bert Brower	1/1/13	\$ 1,957	0.00%	Fixed	Annually		\$ 5,626.10	1/1/18				
4	Shareholder Loan	Bert Brower	12/31/14	\$ 1,167	0.00%	Fixed	Annually		\$ 1,167.00	1/1/18				
5														
6														
7														
8														
9	Total							\$ -	\$ 6,793.10			\$ -	\$ -	\$ -
								(Totals to Page 5)					(Total to Pg. W-1)	(Total to Pg. S-1)


10 If the answer to column (f) is variable, please explain the method used for the interest rate calculation below with corresponding line number from above.

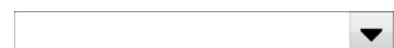
1

For the calendar year of January 1 - December 31, **2017**2 Company Name: **Valley Woods Utility, LLC****WATER OPERATING REVENUES, EXPENSES AND STATISTICS**

	Description (a)	Amount (b)
3	<b>Total Revenues</b> <i>(From Pg. W-2)</i>	\$ 16,403
	<b><u>Operating Expenses</u></b>	
4	Salaries & Wages <i>(From Pg. 6)</i>	\$ 3,980
5	Employee Pensions and Benefits	
6	Purchased Water	
7	Plant Operations Expenses <i>(From Pg. W-3, Line 12)</i>	\$ 4,452
8	Billing Expenses	\$ 178
9	Supplies and Expenses	\$ 556
10	Transportation Expenses	\$ 216
11	Rent Expense	\$ 144
12	Insurance Expense	\$ 154
13	Outside Services Employed <i>(e.g., Legal, Accounting, etc.) (From Pg.7)</i>	\$ 18,486
14	Regulatory Commission Expenses	\$ 140
15	Uncollectible Expenses	\$ 206
16	Depreciation Expense <i>(From Pg. W-5, Line 49)</i>	\$ 4,512
17	Amoritization of Contributions in Aid of Construction <i>(From Page 8)</i>	\$ (1,570)
18	Amortization Expense	
19	Tax Expenses <i>(e.g., Property, State, Federal, etc.) (From Pg. W-3, Line 20)</i>	\$ 440
20	Interest Expense <i>(From Pg. 9)</i>	\$ -
21	Other Expenses	
22	<b>Total Operating Expenses</b>	\$ 31,895
23	<b>Net Income (LOSS)</b> - <i>(A negative number indicated by ( ) represents a loss.)</i>	\$ (15,492)

 Indicates a link to another worksheet within workbook

 Indicates formula cell(s)



*(To be used when filing under seal.)*

**WATER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

*(Please indicate if metered amounts are in cubic feet measurements.)*

Description (a)		No. of Customers		No. of Gallons Sold (000's Omitted) (d)	Revenue Amount (e)
		Beginning of Year (b)	End of Year (c)		
<u>Unmetered Sales of Water</u>					
3	Residential - Single Family			XXXX	
4	Residential - Apartments			XXXX	
5	Residential - Mobile Homes			XXXX	
6	Commercial			XXXX	
7	Other Sales to Public Authorities			XXXX	
8	Other			XXXX	
9	<b>Total Unmetered Sales</b>	0	0		\$ -
<u>Metered Sales of Water</u>					
10	5/8" Meter	37	39	1,560,172	\$ 14,872
11	3/4" Meter				
12	1" Meter	1	1	425,410	\$ 1,531
13	1 1/2" Meter				
14	2" Meter				
15	Other				
16	<b>Total Metered Sales</b>	38	40	1,985,582	\$ 16,403
<u>Tariffed Operating Revenues</u>					
17	Late Payment Fees				
18	Returned Check Fees				
19	Inspection Fees				
20	Reconnect Fees				
21	Other Revenue				
22	<b>Total Operating Revenues</b> (From Tariffed Services) (To Pg. 1, line 9)				\$ 16,403
<u>Non Tariffed Revenues</u>					
23	Rent Income				
24	Other Income, (e.g., from Merchandising, Jobbing & Contract Work, etc.)				
25	<b>Total Non-Tariffed Revenues</b> (To Pg. 1, Line 10)				\$ -
26	<b>Total Revenues *</b> (To Pg. 1, Line 11)				\$ 16,403
					(Total to Pg. W-1 and Pg. 1)

\* **Total Operating Revenues should match Statement of Revenue (MOPSC Assessment).**

Indicates a link to another worksheet within workbook  
 Indicates formula cell(s)

(To be used when filing under seal.)


1 For the calendar year of January 1 - December 31, **2017**

2 Company Name: **Valley Woods Utility, LLC**

**WATER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

Description of Expenses (a)	Amount (b)
<b><u>Plant Operations Expenses</u></b>	
3 Repairs of Water Plant - Pump Repair	\$ 720
4 Repairs of Water Plant - Well Repair	
5 Repairs of Water Plant - Water Line Repair	
6 Repairs of Water Plant - Equipment Repair	
7 Repairs of Water Plant - Other	
8 Fuel or Power Purchases for Pumping ( <i>i.e., Electric Bills, etc.</i> )	\$ 2,752
9 Chemicals	
10 Water Testing Expenses	\$ 980
11 Other Plant Operations Expenses	
12 <b>Total Plant Operations Expenses</b>	<b>\$ 4,452</b>
	(Total to Page W-1)
<b><u>Tax Expenses</u></b>	
13 Tax Expense - Property Taxes	\$ 109
14 Tax Expense - Payroll Taxes	\$ 331
15 Tax Expense - Franchise Taxes	
16 Tax Expense - Other Taxes	
17 Tax Expense - Federal Income Taxes	
18 Tax Expense - State Income Taxes	
19 Tax Expense - Investment Tax Credits	
20 <b>Total Tax Expenses</b>	<b>\$ 440</b>
	(Total to Pg. W-1)

 Indicates a link to another worksheet within workbook

 Indicates formula cell(s)

(To be used when filing under seal.)

# **PUMPING AND PURCHASED WATER STATISTICS**

(Omit 000's in reporting number of gallons or cubic feet of water. Use additional sheets if necessary.)



1  
2 Company Name: **Valley Woods Utility, LLC**  
For the calendar year of January 1 - December 31 **2017**

GALLONS PUMPED INTO SYSTEM					
3	Please indicate measurements given are in gallons or cubic feet by choosing from the dropdown box.				Gallons
4	SERVICE MONTHS (Number of gallons pumped per month.) (a)	SOURCE OF SUPPLY (Please name <u>each</u> source below in columns b-e (i.e., Well #1, etc.)			
		Well #1			TOTAL OF ALL METHODS (b+c+d+e=f) (f)
		(b)	(c)	(d)	(e)
5	JANUARY	191,100			191,100
6	FEBRUARY	155,800			155,800
7	MARCH	149,200			149,200
8	APRIL	202,800			202,800
9	MAY	124,700			124,700
10	JUNE	149,400			149,400
11	JULY	166,300			166,300
12	AUGUST	222,000			222,000
13	SEPTEMBER	164,200			164,200
14	OCTOBER	204,500			204,500
15	NOVEMBER	161,600			161,600
16	DECEMBER	143,600			143,600
17	Totals for Year	2,035,200	0	0	0

18 Maximum Quantity Supplied to the System in Any One Day:  Minimum:

19 Range of Pressure in the Mains as Measured at the Highest Point on System:

If Water is Sold to Other Utilities for Resale, List Names, Addresses, Phone Numbers and Quantities Below:			
Name of Reseller	Address	Phone Number	Quantity
20			
21			
22			
23			

 Indicates a link to another worksheet within workbook  
 Indicates formula cell(s)

(To be used when filing under seal.)

1

For calendar year of January 1 - December 31, **2017**

2

Company Name: **Valley Woods Utility, LLC****WATER UTILITY PLANT IN SERVICE****DEPRECIATION EXPENSES AND RESERVE - WATER UTILITY PLANT**

Account Description (A)		USOA Account. No. Class B, C or D (B)	Plant Balance at Beginning of Year (C)	Additions During the Year (D)	Book Cost of Plant Retired* (E)	Cost of Removal* (F)	Salvage Credit* (G)	Plant Balance at End of Year (C+D-E) (H)	Reserve Balance at Beginning of Year (I)	Annual Depreciation Rate % (J)	Depreciation Expense** J*(C+H)/2 (K)	Reserve Balance at END of Year (I-E-F+G+K) (L)
					Retirement of Property							
<u><b>Intangible Plant</b></u>												
3	Organization	301	5,086					5,086				0
4	Franchise and Consents	302						0				0
5	Miscellaneous Intangible Plant	303						0				0
<u><b>Source of Supply Plant</b></u>												
6	Land and Land Rights	310	250					250				0
7	Structures and Improvements	311						0				0
8	Collecting & Impounding Reservoirs	312						0				0
9	Lake, River, and Other Intakes	313						0				0
10	Wells and Springs	314	14,022					14,022	6,028	2.00%	280	6,308
11	Infiltration Galleries and Tunnels	315						0				0
12	Supply Mains	316						0				0
13	Other Water Source Plant	317						0				0
<u><b>Pumping Plant</b></u>												
14	Land and Land Rights	320						0				0
15	Structures and Improvements	321	8,950					8,950	4,054	2.50%	224	4,278
16	Boiler Plant Equipment	322						0				0
17	Other Power Production Equipment	323						0				0
18	Submersible Electric Pumping	325.1	14,569					14,569	10,639	10.00%	1,457	12,096
19	High Service or Booster Pumps	325.2	11,346	2,042	2,042			11,346	6,594	6.70%	760	5,312
20	Diesel Pumping Equipment	326						0				0
21	Hydraulic Pumping Equipment	327						0				0
22	Other Pumping Equipment	328						0				0

1  
2

For calendar year of January 1 - December 31, **2017**

Company Name: **Valley Woods Utility, LLC**

**WATER UTILITY PLANT IN SERVICE**

**DEPRECIATION EXPENSES AND RESERVE - WATER UTILITY PLANT**

Account Description (A)		USOA Account. No. Class B, C or D (B)	Plant Balance at Beginning of Year (C)	Additions During the Year (D)	Book Cost of Plant Retired* (E)	Cost of Removal* (F)	Salvage Credit* (G)	Plant Balance at End of Year (C+D-E) (H)	Reserve Balance at Beginning of Year (I)	Annual Depreciation Rate % (J)	Depreciation Expense** J*(C+H)/2 (K)	Reserve Balance at END of Year (I-E-F+G+K) (L)
<b><u>Water Treatment Plant</u></b>												
23	Land and Land Rights	330						0				0
24	Structures and Improvements	331						0				0
25	Water Treatment Equipment	332						0				0
<b><u>Transmission &amp; Distribution Plant</u></b>												
26	Land and Land Rights	340						0				0
27	Structures and Improvements	341						0				0
28	Distribution Reservoirs & Standpipes	342	6,518					6,518	3,503	2.50%	163	3,666
29	Transmission & Distribution Mains	343	40,417					40,417	13,392	2.00%	808	14,200
30	Fire Mains	344						0				0
31	Services	345	4,925					4,925	1,560	2.50%	123	1,683
32	Meters	346	2,446					2,446	2,154	10.00%	245	2,399
33	Meter Installations	347	9,310					9,310	3,473	2.50%	233	3,706
34	Hydrants	348	300					300	139	2.00%	6	145
35	Other Transmission & Distribution Plant	349						0				0
<b><u>General Plant - (Class B&amp;C are Same)</u></b>		B & C	D									
36	Land and Land Rights	389	370					0				0
37	Structures and Improvements	390	371	3,837				3,837	1,104	2.50%	96	1,200
38	Office Furniture and Equipment	391	372					0				0
39	Office Computer & Electronic Equipment	391.1	372.1					0				0
40	Transportation Equipment	392	373					0				0
41	Other General Equipment	none	379	1,750				1,750	468	6.70%	117	585
42	Stores Equipment	393	none					0				0



1  
2


For calendar year of January 1 - December 31, **2017**


Company Name: **Valley Woods Utility, LLC**

**WATER UTILITY PLANT IN SERVICE**

**DEPRECIATION EXPENSES AND RESERVE - WATER UTILITY PLANT**

Account Description (A)	USOA Account. No. Class B, C or D (B)		Plant Balance at Beginning of Year (C)	Additions During the Year (D)	Book Cost of Plant Retired* (E)	Cost of Removal* (F)	Salvage Credit* (G)	Plant Balance at End of Year (C+D-E) (H)	Reserve Balance at Beginning of Year (I)	Annual Depreciation Rate % (J)	Depreciation Expense** J*(C+H)/2 (K)	Reserve Balance at END of Year (I-E-F+G+K) (L)
43 Tools, Shop and Garage Equipment	394	none						0				0
44 Laboratory Equipment	395	none						0				0
45 Power-operated Equipment	396	none						0				0
46 Communication Equipment	397	none						0				0
47 Miscellaneous Equipment	398	none						0				0
48 Other Tangible Property	399	none						0				0
49 Total Water Utility Plant In Service	Totals		123,726	2,042	2,042	0	0	123,726	53,108		4,512	55,578
								(Total to Pg. 4 & 8)			(Total to Pg. 8 & Pg. W-1)	(Total to Pg. 4)

 Indicates a link to another worksheet within workbook

 Indicates formula cell(s)

(To be used when filing under seal.)

- \* All entries included in Columns "E", "F" and "G" should be supported by records that identify the property retired and the cost of removal or salvage in detail.
- \*\* Annual Depreciation Expense should be calculated based upon actual in-service and retirement date(s) of new equipment and retirements during the period.
- \*\* The depreciation expense formula provided is only an approximation assuming all activity for the year occurred mid year.

**NOTE:** All entries should be supported by records that identify the property being added or retired, its location, and its original cost in as much detail as reasonably possible.  
If adjustments are included in Columns "E", "F" and/or "G", use additional sheets.

Comments:

1  
2

For the calendar year of January 1 - December 31, 2017

Company Name: Valley Woods Utility, LLC

**PUMP INFORMATION**

Pump Manufacturer (a)	Type of Pump (i.e., High Service, Well, Standby, etc.) (b)	Capacity (c)	Date Installed (d)	Date of Last Motor Replacement (e)	Date of Last Pump Replacement (f)
STA-RITE	16 HP 460 V Well Pump	85 gpm	9/12/07	in 2016	in 2016
	15 HP 230 Volt Phase 3 Motor		11/1/16		
10 HP Booster Pump	Well	70 gpm	8/1/17		

(To be used when filing under seal.)

Company Name: **Valley Woods Utility, LLC****WELL INFORMATION**

Description of Wells (a)	Well ID#/ Location	Well ID#/ Location	Well ID#/ Location	Well ID#/ Location
	Bennington			
	(b)	(c)	(d)	(e)
Year Constructed	in 1995			
Type of Construction	Drilled			
Type and Depth of Casing	Steel, 400 ft.			
Depth and Diameter of Well	848' x 6"			
Yield of Well in Gallons per day	216,000			
<b><u>Chemicals</u></b>				
Type -	none			
Annual Cost -				
Annual Quantity -				

(To be used when filing under seal.)

**METERS AND METER SETTINGS**

	Customer Class (a)	Meter Size (b)	Total at Beginning of Year (c)	Total Number of Additions (d)	Total Number Removed or Disconnected (e)	Total at End of Year (f)
3	<u>Residential:</u>					
4		5/8"	37	39	0	76
5						0
6		1"	1	1		2
7	<u>Other Customers:</u>					
8						0
9						0
10	<b>Total in Use by Customers</b>		38	40	0	78
11	<u>Not in Use:</u> (i.e., Inventory)					
12						0
13						0
14	<b>Total Meters</b>		38	40	0	78

**STORAGE FACILITIES**

	Type of Storage (i.e., Pneumatic, Ground, Standpipes, Elevated Tanks, etc.) (a)	Construction Material (b)	Last Date Painted if Applicable (indicate interior or exterior) (c)	Capacity (d)
15	Ground	Steel	01/01/03	8800 gal
16	Hydropneumatic	Steel	01/01/06	119 gal
17	Hydropneumatic	Steel	1/1/08	86 gal
18				
19				

**WATER MAINS (measurement in feet)**

	Kind of Pipe (i.e., Cast Iron, Galvanized, Iron, PVC, etc.) (a)	Diameter of Pipe (b)	Total at Beginning of Year (c)	Total Additions During the Year (d)	Total Removed or Abandoned During the Year (e)	Total at End of Year (f)
3	Class 200 PVC	6"	2,675			2,675
4	Class 200 PVC	4"	10			10
5	Class 200 PVC	2"	1,454			1,454
6						0
7						0
8						0
9						0
10						0
11						0
12						0
13						0
14						0
15						0
16	<b>Total Mains</b>		4,139	0	0	4,139

**SERVICE CONNECTIONS AVAILABLE FOR USE (from Main to Property Line)**

	Size and Type of Material (i.e., Iron, Copper, PVC, etc.) (a)	Total No. at Beginning of Year (b)	Total No. of Additions (c)	Total No. Retired or Abandoned (d)	Total No. at End of Year (e)
	<u>In Use:</u>				
17	1" PVC	33			33
18	3/4" PVC	19			19
19					0
20					0
	<u>For Future Use:</u>				
21					0
22					0
23					0
24					0
25	<b>Total of All Services</b>	52	0	0	52

Company Name: Valley Woods Utility, LLC

For the calendar year of January 1 - December 31, 2017

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

(To be used when filing under seal.)


1 For the calendar year of January 1 - December 31, **2017**

2 Company Name: **Valley Woods Utility, LLC**

**SEWER OPERATING REVENUES, EXPENSES AND STATISTICS**

	Description (a)	Amount (b)
3	<b>Total Revenues</b> <i>(From Page S-2)</i>	\$ 13,702
	<b><u>Operating Expenses</u></b>	
4	Salaries & Wages <i>(From Pg. 6)</i>	\$ 3,980
5	Employee Pensions and Benefits	
6	Purchased Water	
7	Plant Operations Expenses <i>(From Pg. S-3)</i>	\$ 7,743
8	Billing Expenses	\$ 177
9	Supplies and Expenses	\$ 556
10	Transportation Expenses	\$ 216
11	Rent Expense	\$ 144
12	Insurance Expense	\$ 154
13	Outside Services Employed <i>(e.g., Legal, Accounting, etc.) (From Pg. 7)</i>	\$ 88
14	Regulatory Commission Expenses	\$ 157
15	Depreciation Expense <i>(From Pg. S-4)</i>	\$ 6,019
16	Amoritization of Contributions in Aid of Construction <i>(From Pg. 8)</i>	\$ -
17	Amortization Expense	
18	Tax Expenses <i>(From Pg. S-3)</i>	\$ 894
19	Interest Expense <i>(From Pg. 9)</i>	\$ -
20	Other Expenses	
21	<b>Total Operating Expenses</b>	\$ 20,129
22	<b>Net Income (Loss)</b> - <i>(A negative number indicated by ( ) represents a loss.)</i>	\$ (6,427)

 Indicates a link to another worksheet within workbook  
 Indicates formula cell(s)

  
 (To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, **2017**2 Company Name: **Valley Woods Utility, LLC****SEWER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)***(Please indicate if metered amounts are in cubic feet measurements.)*

Description of Revenues (a)		No. of Customers		No. of Gallons Sold (000's Omitted) (d)	Revenue Amount (e)
		Beginning of Year (b)	End of Year (c)		
<b><u>Flat Rate Sales</u></b>					
3	Residential - Single Family	32	32	XXXX	\$ 13,702
4	Residential - Apartments			XXXX	
5	Residential - Mobile Homes			XXXX	
6	Commercial	1	1	XXXX	
7	Other Sales to Public Authorities			XXXX	
8	Other			XXXX	
9	<b>Total Unmetered Sales</b>	<b>33</b>	<b>33</b>	<b>XXXX</b>	<b>\$ 13,702</b>
<b><u>Metered Sales Based on Gallon Usage</u></b>					
10	Residential - Single Family				
11	Residential - Apartments				
12	Residential - Mobile Homes				
13	Commercial				
14	Other Sales to Public Authorities				
15	Other				
16	<b>Total Metered Sales</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>\$ -</b>
<b><u>Tariffed Operating Revenues</u></b>					
17	Late Payment Fees				
18	Returned Check Fees				
19	Inspection Fees				
20	Reconnect Fees				
21	Other Revenue				
22	<b>Total Operating Revenues</b> (From Tariffed Services)(To Pg. 1, Line 12)				<b>\$ 13,702</b>
<b><u>Non-Tariffed Revenues</u></b>					
23	Rent Income				
24	Other Income (e.g., from Merchandising, Jobing & Contract Work, etc.)				
25	<b>Total Non-Tariffed Revenues</b> (To Pg. 1, Line 13)				<b>\$ -</b>
26	<b>Total Revenues</b> * (To Pg. 1, Line 14)				<b>\$ 13,702</b>
(Totals to Pg. 1, Pg. S-1)					

\* **Total Revenues should match Statement of Revenue (MOPSC Assessment).**

(To be used when filing under seal.)


Indicates a link to another worksheet within workbook

Indicates formula cell(s)

1

For the calendar year of January 1 - December 31, **2017**2 Company Name: **Valley Woods Utility, LLC****SEWER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

Description (a)	Amount (b)
<b><u>Plant Operations Expenses</u></b>	
3 Contracted Maintenance Expenses	
4 Repairs of Sewer Plant - Pump Repair	
5 Repairs of Sewer Plant - Treatment Repair	
6 Repairs of Sewer Plant - Collecting Sewers and Manhole Repair	
7 Repairs of Sewer Plant - Equipment Repair	
8 Repairs of Sewer Plant - Other	
9 Utility Bills	\$ 497
10 Chemicals	\$ 2,391
11 Sludge Hauling Expenses	\$ 4,375
12 Effluent Testing Expenses	\$ 480
13 Other Plant Operations Expenses	
14 <b>Total Plant Operations Expenses</b>	<b>\$ 7,743</b>
	(Total to Pg. S-1)
<b><u>Tax Expenses</u></b>	
15 Tax Expense - Property Taxes	\$ 563
16 Tax Expense - Payroll Taxes	\$ 331
17 Tax Expense - Franchise Taxes	
18 Tax Expense - Other Taxes	
19 Tax Expense - Federal Income Taxes	
20 Tax Expense - State Income Taxes	
21 Tax Expense - Investment Tax Credits	
22 <b>Total Tax Expenses</b>	<b>\$ 894</b>
	(Total to Pg. S-1)

 Indicates formula cell(s)



(To be used when filing under seal.)



Company Name: **Valley Woods Utility, LLC**

1

2


**SEWER UTILITY PLANT IN SERVICE****DEPRECIATION EXPENSES AND RESERVE - SEWER UTILITY PLANT**

Account Description (A)	USOA Account No. Class: B, C or D (B)	Plant Balance at Beginning of Year (C)	Additions During the Year (D)	Book Cost of Plant Retired* (E)	Cost of Removal* (F)	Salvage Credit* (G)	Plant Balance at End of Year (C+D-E) (H)	Reserve Balance at Beginning of Year (I)	Annual Depreciation Rate % (J)	Depreciation Expense** J*(C+H)/2 (K)	Reserve Balance at END of Year (I-E-F+G+K) (L)
				Retirement of Property							
<u><b>Intangible Plant</b></u>											
3 Organization	301	301					0				0
4 Franchise and Consents	302	302					0				0
5 Miscellaneous Intangible Plant	303	303					0				0
<u><b>Land &amp; Structures</b></u>											
6 Land and Land Rights	none	310	350				350				0
7 Structures and Improvements	none	311	6,283				6,283	1,807	2.50%	157	1,964
<u><b>Collection Plant</b></u>											
8 Land and Land Rights	350	none					0				0
9 Structures and Improvements	351	none					0				0
10 Collection Sewer - Force	352.1	352.1					0				0
11 Collection Sewer - Gravity	352.2	352.2	47,960				47,960	12,220	2.00%	959	13,179
12 Other Collection Plant Facilities	353	353					0				0
13 Services to Customers	354	354	49,985				49,985	12,456	2.00%	1,000	13,456
14 Flow Measuring Devices	355	355					0				0
<u><b>Pumping Plant</b></u>											
15 Land and Land Rights	360	none					0				0
16 Structures and Improvements	361	none					0				0
17 Receiving Wells and Pump Pits	362	362					0				0
18 Other Pumping Equipment	363	363					0				0
<u><b>Treatment &amp; Disposal</b></u>											
19 Land and Land Rights	370	none					0				0
20 Structures and Improvements	371	none					0				0
21 Oxidation Lagoon	none	372					0				0
22 Treatment & Disposal Equipment	372	373					0				0

Company Name: **Valley Woods Utility, LLC**

SEWER UTILITY PLANT IN SERVICE				DEPRECIATION EXPENSES AND RESERVE - SEWER UTILITY PLANT								
Account Description (A)	USOA Account No. Class: B, C or D (B)		Plant Balance at Beginning of Year (C)	Additions During the Year (D)	Book Cost of Plant Retired* (E)	Cost of Removal* (F)	Salvage Credit* (G)	Plant Balance at End of Year (C+D-E) (H)	Reserve Balance at Beginning of Year (I)	Annual Depreciation Rate % (J)	Depreciation Expense** J*(C+H)/2 (K)	Reserve Balance at END of Year (I-E-F+G+K) (L)
	Retirement of Property											
23 Sewer Collection (Septic) Tanks	372.1	373.1						0				0
24 Plant Sewer	373	374	75,054					75,054	54,479	5.00%	3,753	58,232
25 Outfall Sewer Lines	374	375						0				0
26 Other Treatment & Disposal Plant Equipment	375	376						0				0
<u>General Plant</u>												
27 Land and Land Rights	389	none						0				0
28 Structures and Improvements	390	none						0				0
29 Office Furniture and Equipment	391	391						0				0
30 Office Computer & Electronic Equipment	391.1	391.1						0				0
31 Transportation Equipment	392	392						0				0
32 Other General Equipment	none	393	500					500	219	6.70%	34	253
33 Stores Equipment	393	none						0				0
34 Tools, Shop and Garage Equipment	394	none						0				0
35 Laboratory Equipment	395	none						0				0
36 Power-operated Equipment	396	none	1,750					1,750	351	6.70%	117	468
37 Communication Equipment	397	none						0				0
38 Miscellaneous Equipment	398	none						0				0
39 Total Sewer Utility Plant In Service	Totals		181,882	0	0	0	0	181,882	81,532		6,019	87,551
								(Total to Pg. 4 & Pg. 8)			(Total to Pg. 8 & Pg. S-1)	(Total to Pg. 4)

 Indicates a link to another worksheet within workbook

 Indicates formula cell(s)

\* All entries included in Columns "E", "F" and "G" should be supported by records that identify the property retired and the cost of removal or salvage in detail.

\*\* Annual Depreciation Expense should be calculated based upon actual in-service and retirement date(s) of new equipment and retirements during the period.

\*\* The depreciation expense formula provided is only an approximation assuming all activity for the year occurred mid year.

**NOTE:** All entries should be supported by records that identify the property being added or retired, its location, and its original cost in as much detail as reasonably possible. If adjustments are included in Columns "E", "F" and/or "G", use additional sheets.

Comments:

2 Company Name: **Valley Woods Utility, LLC**

**GENERAL INFORMATION**

3 Type of Treatment Facilities - Please describe (e.g., lagoon, mechanical or sand filter) and list all that apply.

Recirculating Sand Filter

4 What is the designed capacity of each treatment facility?

13320 gpd

5 What percent of designed capacity of each facility is currently being utilized?

38%

**SLUDGE**

6 Was sludge pumped and hauled from your facility? ☒ Y ☐ N Please provide the hauling provider information in the section below.

(If you have more than five (5) hauls during the year, only list the total annual amount.)

Name of Hauling Co.	Hauling Company's Facility/Location	Date of Haul	No. of Gallons Hauled	Rates Per Gallon	Total Cost of Removal
Rogersville Septic	289 Loganberry Dr., Rogersville				\$ 4,375
				Total Cost	\$ 4,375

7 What is the ultimate disposal of waste solids (e.g. land application, disposal at qualified facility, etc.)?

Land application


8 List any equipment failure(s) that occurred during the year. Please list when failure(s) occurred and briefly describe them and any corrective measure(s) taken specifically major item(s), (i.e., problem(s) fixed was/were \$250 or above as listed on page 3). Denote "N/A", if applicable.

**COLLECTING SEWERS (measurement in feet)**

	Kind of Pipe (i.e. Cast Iron, VCP, PVC, etc.) (a)	Diameter of Pipe (b)	Total No. at Beginning of Year (c)	Total No. of Additions During the Year (d)	Total No. Removed or Abandoned During the Year (e)	Total No. at End of Year (f)
9	Force:					0
10						0
11	Gravity:					0
12	PVC	6"	2,288			2,288
13	PVC	4"	3,927			3,927

**LIFT STATIONS**

	Pumps: Name, Size, Type	Location	H.P.	GPM	TDH
14	N/A				
15					
16					
17					
18					
19					

 Indicates a formula cell

(To be used when filing under seal.)

## VERIFICATION

The foregoing report must be verified by the Oath of the President, Treasurer, General Manager or Receiver of the Company. The Oath required may be taken before any person authorized to administer an oath (Notary Public) by the laws of the State in which the same is taken.

## OATH

State Of Missouri }  
County Of Christian } ss:  
Cheryl H. Brower makes oath and says that  
Name of Affiant (Company Official/Representative)  
s/he is President  
Official Title of the Affiant (Company Official/Representative)  
of Ozark International Inc  
Exact Legal Title or Name of the Respondent (Certificated Company Name)  
Valley Woods Water LLC  
and is located at 786 Coley Blvd Nixa MO 65714  
Address and Telephone Number of the Affiant (Company Official/Representative)  
417-725-4141

that s/he has 1) examined the foregoing report; that to the best of his or her knowledge, information, and belief, all statements of fact contained in the said report are true and the said report is a correct statement of the business and affairs of the above-named respondent, and 2) examined (and updated as applicable) the Company's contact information in EFIS; to the best of his or her knowledge, information, and belief, all listed contacts are correct.

from January 1, 2017, to and including December 31, 2017  
Month/Day Year Month/Day Year

Cheryl H. Brower  
Signature of Affiant (Company Official/Representative)

(If electronic signatures are used, you must use "/s/" before the name.)

Subscribed and sworn to before me, a Notary Public, in and for the State and County above named,  
this 11th day of May, 2018  
My Commission expires: 8/10/21

JOMANDA M HANAFIN  
Notary Public - Notary Seal  
STATE OF MISSOURI  
Christian County  
My Commission Expires Aug. 10, 2021  
Commission #17901773

Jomanda M. Hanafin  
Signature of Notary Public

(If electronic signatures are used, you must use "/s/" before the name.)

17901773

Notary Public Commission Number