

TRI-STATES UTILITY, INC.

Company Full Certificated Name (Do not abbreviate and include any Commission approved AKA/DBA/Fictitious Name, if applicable.)

WATER and/or SEWER ANNUAL REPORT

SMALL COMPANY

(Fewer than 8,000 customers)

TO THE

MISSOURI PUBLIC SERVICE COMMISSION

January 1 - December 31, 2012

Please select how the company is certificated with the Commission under the Company Name as shown above (check all that apply):

Water Service Provider

Sewer Service Provider

Please choose one of the following filing options:

Public Submission (NOT Highly Confidential)

Non-Public Submission (Highly Confidential / Filed Under Seal)
For this filing to be considered Highly Confidential, additional submission of materials is required pursuant to Commission rule 4 CSR 240-3.335 and/or 4 CSR 240-3.640, Section 392.210, RSMo., and/or Section 393.140, RSMo.

For the calendar year of January 1 - December 31, 2012

1
 2 **Company Name:** TRI-STATES UTILITY, INC.
Parent Company Name: _____
 (if applicable)
 3 **Company Street Address:** 302 TERRACE RD, BRANSON MO 65616
 4 **Company Mailing Address:** 302 TERRACE RD, BRANSON MO 65616
 5 **Company Phone Number:** 417-334-4189 **Company E-mail Address:** cece@tri-lakes.net

Name, address, phone number and e-mail of person(s) to contact concerning information contained in this report:

6	<u>TERRY L. McCULLOUGH</u> Name	<u>CECELIA GARCEAU</u> Name
7	<u>Mailing Address</u>	<u>Mailing Address</u>
7a	<u>820 E PRIMROSE ST</u> Street Address	<u>302 TERRACE RD</u> Street Address
8	<u>SPRINGFIELD MO 65807</u> City State Zip	<u>BRANSON MO 65616</u> City State Zip
9	<u>417-883-1212</u> Telephone Number	<u>417-334-4189</u> Telephone Number
10	<u>terrym@mca-cpa.net</u> E-mail Address	<u>cece@tri-lakes.net</u> E-mail Address

Please list the name, address, phone number and e-mail address of the primary licensed operator to contact concerning water plant operations:

Please list the name, address, phone number and e-mail address of the primary licensed operator to contact concerning sewer plant operations:

11	<u>TODD BAER</u> Name	<u>CECELIA GARCEAU</u> Name
12	<u>Mailing Address</u>	<u>Mailing Address</u>
12a	<u>302 TERRACE RD</u> Street Address	<u>302 TERRACE RD</u> Street Address
13	<u>BRANSON MO 65616</u> City State Zip	<u>BRANSON MO 65616</u> City State Zip
14	<u>417-334-4189</u> Telephone Number	<u>417-334-4189</u> Telephone Number
15	<u>todd@tri-lakes.net</u> E-mail Address	<u>cece@tri-lakes.net</u> E-mail Address
16	<u>Water Dist. Level 3 #8460</u> Operator License Number	<u>N/A</u> Operator License Number

Please provide the total company **and** gross intrastate operating revenues (i.e. Missouri Jurisdictional) Revenues for the Calendar Year: 2012

Water Revenues	**	MO Jurisdictional	**	**	Total Company	**
17 Operating Revenues from Tariffed Services	\$	1,023,160.85			\$ 1,023,160.85	
18 Other Revenues	\$	122,342.66			\$ 122,342.66	
19 TOTAL REVENUES (Page W-2, line 24)	\$	1,145,503.51			\$ 1,145,503.51	

Total MO Jurisdictional Revenue (line 19 above) should match Statement of Revenue (MoPSC Assessment).

Sewer Revenues	**	MO Jurisdictional	**	**	Total Company	**
20 Operating Revenues from Tariffed Services	\$	-				
21 Other Revenues	\$	-				
22 TOTAL REVENUES (Page S-2, line 21)	\$	-			\$ -	

Total MO Jurisdictional Revenue (line 22 above) should match Statement of Revenue (MoPSC Assessment).

Indicates a link to another worksheet within workbook
 Indicates formula cell(s)

(To be used when filing under seal.)

For the calendar year of January 1 - December 31, 2012

2 Company Name: TRI-STATES UTILITY, INC.

Describe MAJOR transactions occurring during the year which will have a major effect on operations, such as rate changes, replacement of equipment and other abnormal cash expenditures of \$250 or more.

3 THE ABOVE ENTITY PURCHASED THE FOLLOWING EQUIPMENT IN 2012:

4 NEW MASTER TANK ALARM PANEL - 9/10/12 - \$4019

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1

For the calendar year of January 1 - December 31, 2012

2 Company Name: TRI-STATES UTILITY, INC.

NOTE: Please do not type over formulas. Totals will calculate automatically in this spreadsheet.

BALANCE SHEET
WATER AND SEWER OPERATIONS
ASSETS

	Account Description (a)	**	Amount (b)	**
3	Water Plant In Service (From Pg. W-5)		\$ 3,200,249.00	
4	LESS: Water Depreciation Reserve (From Pg. W-6)		\$ 1,343,970.00	
5	Net Water Plant in Service (i.e., Water Plant In Service MINUS Water Depreciation Reserve)		\$ 1,856,279.00	
6	Water Materials and Supplies (From Pg. 11)		\$ 51,443.00	
7	Water Construction Work in Progress			
8	Water Plant Held for Future Use (List explanation on Page 4a)			
9	Water Plant Acquisition Adjustment			
10	Sewer Plant in Service (From Pg. S-4)		\$ -	
11	LESS: Sewer Depreciation Reserve (From Pg. S-5)		\$ -	
12	Net Sewer Plant in Service (i.e., Sewer Plant in Service MINUS Sewer Depreciation Reserve)		\$ -	
13	Sewer Materials and Supplies (From Pg. 11)		\$ -	
14	Sewer Construction Work in Progress			
15	Sewer Plant Held for Future Use (List explanation on Page 4a)			
16	Sewer Plant Acquisition Adjustment			
17	Other Plant (List explanation on Page 4a)			
18	Cash		\$ 173,903.00	
19	Other Assets (Accounts Receivable, etc.) (List explanation on Page 4a)		\$ 527,786.00	
20	Total Assets*		\$ 2,609,411.00	

* Total Assets should balance with Total Equity and Liabilities on Page 5 (see instructions). Difference between Equity & Liabilities and Assets (from Pg 5).

Indicates a link to another worksheet within workbook
 Indicates formula cell(s)

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012

2 Company Name: TRI-STATES UTILITY, INC.

DETAILED EXPLANATION OF ITEMS

3 Page 4, Line 8 Water Plant Held for Future Use \$ -

** Description	Amount	**
Total (should match amount on Page 4, Line 8)	\$ -	

4 Page 4, Line 15 Sewer Plant Held for Future Use \$ -

** Description	Amount	**
Total (should match amount on Page 4, Line 15)	\$ -	

5 Page 4, Line 17 Other Plant \$ -

** Description	Amount	**
Total (should match amount on Page 4, Line 17)	\$ -	

5 Page 4, Line 19 Other Assets \$ 527,786.00

** Description	Amount	**
see attached		
Total (should match amount on Page 4, Line 19)	\$ -	

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

NOTE: Please do not type over formulas. Totals will calculate automatically in this spreadsheet.

BALANCE SHEET
WATER AND SEWER OPERATIONS
EQUITY AND LIABILITIES

Account Description (a)	**	Amount (b)	**
3 Capital Stock	\$	304,959.00	
4 Retained Earnings	\$	(109,481.00)	
5 Long-Term Debt to Affiliates (owners, other owner controlled companies, etc.)			
6 Short-Term Debt to Affiliates (owners, other owner controlled companies, etc.)			
7 Long-Term Debt (banks, etc.)	\$	2,225,195.00	
8 Short-Term Debt (banks, etc.)	\$	20,458.00	
9 Water Customer Deposits	\$	22,896.00	
10 Water Advances for Construction			
11 Water CIAC (From Pg. 9)	\$	-	
12 LESS: Water Amortization of CIAC (From Pg. 9)	\$	-	
13 Net Water CIAC (i.e., Water CIAC MINUS Water Amortization of CIAC)	\$	-	
14 Sewer Customer Deposits			
15 Sewer Advances for Construction			
16 Sewer CIAC (From Pg. 9)	\$	-	
17 LESS:	\$	-	
18 Net Sewer CIAC (i.e., Sewer CIAC Minus Sewer Amortization of CIAC)	\$	-	
19 Deferred Taxes - ITC			
20 Deferred Taxes - Other (List explanation on Page 5a)			
21 Other Liabilities (Accounts Payable, etc.) (List explanation on Page 5a)	\$	145,384.00	
22 Total Equity and Liabilities*	\$	2,609,411.00	

* Total Equity and Liabilities should balance with Total Assets on Page 4 (see instructions).
 Difference between Equity & Liabilities and Assets (from Pg 4).

Indicates a link to another worksheet within workbook
 Indicates formula cell(s)

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012
 2 Company Name: TRI-STATES UTILITY, INC.

DETAILED EXPLANATION OF ITEMS

3 Page 5, Line 20 Deferred Taxes - Other \$ -

** Description	Amount	**
Total (should match amount on Page 5, Line 20)	\$ -	

4 Page 5, Line 21 Other Liabilities (Accounts Payable, etc.) \$ 145,384.00

** Description	Amount	**
see attached		
Total (should match amount on Page 5, Line 21)	\$ -	

Indicates a link to another worksheet within workbook
 Indicates formula cell(s)

(To be used when filing under seal.)

SCHEDULE OF UNCOLLECTIBLE ACCOUNTS

Account No. (a)	Describe customer account and methods used to attempt collection. Also, state why account is deemed uncollectible (bankruptcy, etc.) (b)	Amount Written Off		**
		Water Utility (c)	Sewer Utility (d)	
3	ALL CHARGES AND FEES ADJUSTED OFF			
4	BOOKS AS UNCOLLECTIBLE			
5				
6	1ST QUARTER	\$ 856.58		
7	2ND QUARTER	\$ 1,086.54		
8	3RD QUARTER	\$ 480.31		
9	4TH QUARTER PLUS YEAREND ADJUSTMENTS	\$ 4,975.22		
10				
11	ONCE CUSTOMER DISCONNECTS SERVICE AND			
12	FINAL BILL RENDERED IF CUSTOMER REFUSES			
13	TO HONOR OBLIGATION OF FINAL PAYMNET,			
14	BALANCE IS CARRIED FOR 3 BILLING CYCLES,			
15	THEN BALANCE IS ADJUSTED OFF			
16				
17	COLLECTIONS ARE BY EITHER:			
18	1. CUSTOMER RETURNS TO THE SYSTEM			
19	2. UNCOLLECTED BILLS ARE SENT TO THE			
20	COLLECTION COMPANY			
21				
22	COMPANY RARELY FILES AS CREDITOR IN ANY			
23	BANKRUPTCY PROCEEDINGS.			
24				
25				
26				
27				
28				
29				
30				
31	Total	\$ 7,398.65	\$ -	
		(Total to Pg. W-1)	(Total to Pg. S-1)	

1

2 Company Name:

TRI-STATES UTILITY, INC.

PAYROLL INFORMATION

INSTRUCTIONS: Please provide names, titles and salaries for all officers and employees. Show total compensation paid to each during the year. Include all amounts including bonuses and other allowances. Enter "0" or none where applicable. Provide explanations where necessary. Use additional sheets if necessary.

	Name and Title (a)	Payroll Charged To:				Capitalized Payroll (e)
		Total Utility Compensation (b)	Water Expense (c)	Sewer Expense (d)		
3	BAER, TODD A - SUPERINTENDENT	\$ 42,445.78	\$ 42,445.78			
4	CUNNINGHAM, PATRICK - OUTSIDE PLANT	\$ 5,120.00	\$ 5,120.00			
5	GARCEAU, CECELIA M - OFFICE MANAGER	\$ 33,828.70	\$ 33,828.70			
6	HARNSTROM, HAILEY E - OFFICE	\$ 22,628.99	\$ 22,628.99			
7	KELLEY, THOMAS C - VICE PRESIDENT/C.O.O	\$ 53,000.00	\$ 53,000.00			
8	LINKOUS, CARL D - OUTSIDE PLANT	\$ 25,089.04	\$ 25,089.04			
9	MOORE, PAUL D - OUTSIDE PLANT	\$ 9,473.49	\$ 9,473.49			
10	PADGETT, MARY E - OUTSIDE PLANT	\$ 9,090.90	\$ 9,090.90			
11	PASTRANA, VICENTE R - OUTSIDE PLANT	\$ 23,949.98	\$ 23,949.98			
12	SCHMITT, JESSICA - BOOKKEEPING	\$ 10,483.84	\$ 10,483.84			
13	ACCURUAL DIFFERENCES	\$ 2,042.92	\$ 2,042.92			
14						
15						
16						
17						
18						
19	Total	\$ 237,153.64	\$ 237,153.64	\$ -	\$ -	\$ -
			(Total to Page W-1)	(Total to Page S-1)		

(To be used when filing under seal.)

Indicates formula cell(s)

PAYMENTS FOR SERVICES RENDERED BY OTHER THAN EMPLOYEES

INSTRUCTIONS: Report below all information concerning rate, management, construction, engineering, research, financial, valuation, legal, accounting, purchasing, advertising, labor relations, public relations, or other similar professional services rendered the respondent under written or verbal arrangements, for which total payments during the year to any corporation, partnership, individual (other than for services as an employee) or organization of any kind whatsoever. Use additional sheets if necessary.

	Name of Recipient and Description of Service (a)	Amount of Payments:			
		Water		Sewer	
		Expensed (b)	Capitalized (c)	Expensed (d)	Capitalized (e)
3	MCCULLOUGH AND ASSOCIATES - ACCOUNTING	\$ 11,717.26			
4	LAW OFFICES OF W.C	\$ 327.80			
5	BRYAN W. SMITH - LEGAL	\$ 1,877.63			
6	FISHER & DORITY - LEGAL	\$ 19,800.00			
7	JOHNNY BROWN	\$ 36,000.00			
8	AKERS & AMEY INSURANCE	\$ 1,500.00			
9	OTHER - ACCOUNTING	\$ 199.76			
10	OTHER - LEGAL	\$ 356.51			
11					
12					
13					
14					
15					
16					
17					
18					
19	Total	\$ 71,778.96	\$ -	\$ -	\$ -
		(Total to Page W-1)		(Total to Page S-1)	

(To be used when filing under seal.)

CONTRIBUTIONS IN AID OF CONSTRUCTION

INSTRUCTIONS: This account shall include donations or contributions in cash, services, or property for construction purposes. The records supporting the entries to this account shall be so kept that the utility can furnish information as to the purpose of each donation, the conditions, if any, upon which it was made, the amount of each donation, and the amount applicable to each utility department. The credits (deductions) to this account shall not be transferred to any other account without the approval of the Commission.

(a)	Water (b)	Sewer (c)
3 Balance at Beginning of Year		
4 PLUS: Additions During the Year (Please provide a detailed explanation.)		
5		
6		
7		
8		
10 Total Additions	\$ -	\$ -
11 LESS: Deductions During the Year (Please provide a detailed explanation.)		
12		
13		
14 Retire and cap off service connection, but no connection fee money returned = no entry here		
15		
16 Total Deductions	\$ -	\$ -
17 Balance at End of Year	\$ -	\$ -
	(Total to Pg. 5)	(Total to Pg. 5)

AMORTIZATION OF CONTRIBUTIONS IN AID OF CONSTRUCTION

(Please identify as Account Number 271A)

Distribution Method

(a)	Water (b)	Sewer (c)
18 Balance at First of Year (Total of Amortization not Total of CIAC line 3)		
19 Total Contributions in Aid at End of Year (see above)	\$ -	\$ -
20 Total Plant in Service at End of Year (From Page W-5 or S-4)	\$ 3,200,249.00	\$ -
21 Percentage Contributions to Plant	0.00%	0.00%
22 Total Depreciation Expense (From Page W-6 or S-5)	\$ 162,374.00	\$ -
23 Total Amortization of Contributions	\$ -	\$ -
24 Balance at End of Year	\$ -	\$ -
	(Total to Pg. 5)	(Total to Pg. 5)

OR

Attached Method

(a)	Water (b)	Sewer (c)
25 Balance at First of Year (Total of Amortization not Total of CIAC line 3)		
26 Total Amortization of Contributions	\$ -	\$ -
27 Balance at End of Year	\$ -	\$ -
	(Total to Pg. 5)	(Total to Pg. 5)

Indicates a link to another worksheet within workbook
 Indicates formula cell(s)

1
2 Company Name

TRI-STATES UTILITY, INC.

INTEREST EXPENSE, NOTES PAYABLE, BONDS, BANK LOANS AND OTHER DEBTS

INSTRUCTIONS: Please report information for each separate item of debt. Show principal amount to which each interest rate applies. Include all items on which interest was paid during the year. Use additional sheets if necessary.

Description of Obligation (Include Name and Address of Each Lender) (a)	Origination Date (b)	Frequency of Payments (Semi-Monthly, Monthly, Quarterly, Annually, etc.) (c)	Date of Maturity (d)	Loan Amount (e)	Interest Rate (f)	Is Interest Rate Fixed or Variable? (g)	Paid (h)	Interest Charged To:	
								Water Utility (i)	Sewer Utility (j)
3 GREAT SOUTHERN BANK LINE OF CREDIT				\$ 1,472,286.08	4.00%	F		\$ 65,492.19	
4 1451 E BATTLEFIELD SPRINGFIELD MO 65804									
5 1ST SECURITY-OLD NATIONAL BANK				\$ 723,657.99	8.75%	F		\$ -	
6 521 PRESIDENT CLINTON AVE SUITE 800									
7 LITTLE ROCK, AR 72201									
8 FORD MOTOR CREDIT - 08 FORD F-150		9/30/2013		\$ 2,988.07	8.99%	F	\$ 2,988.07	\$ 432.00	
9 FORD MOTOR CREDIT - 08 FORD F-150		9/30/2013		\$ 3,674.83	8.99%	F	\$ 3,674.83	\$ 554.51	
10 JOHN DEERE		12/20/2015		\$ 43,046.61	3.90%	F	\$ 13,795.36	\$ 1,975.47	
11 OTHER								\$ (101.93)	
12									
13									
14									
15									
16									
17									
18									
19									
20									
21 Total				\$ 2,245,653.58			\$ 20,458.26	\$ 68,352.24	\$ -
								(Total to Pg. W-1)	(Total to Pg. S-1)

22. If the answer to column (g) is variable, please explain the method used for the interest rate calculation below with corresponding line number from above.

Indicates formula cell(s)

(To be used when filling under seal.)

1

For the calendar year of January 1 - December 31, 2012

2 Company Name: _____

TRI-STATES UTILITY, INC.

SCHEDULE OF MATERIALS AND SUPPLIES AVAILABLE AT THE END OF THE YEAR

Description of Items (Pipe, Meters, Fittings, Valves, Gas, etc.) (a)	Water		Sewer	
	Quantity (b)	Amount (c)	Quantity (d)	Amount (e)
3 SEE ATTACHED PHYSICAL INVENTORY - 12/31/12		\$ 51,443.00		
4				
5				
6				
7				
8				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
21				
22				
23				
24				
25 Total	-	\$ 51,443.00	-	\$ -
		(Total to Pg. 4)		(Total to Pg. 4)

Indicates formula cell(s)

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2012

2 Company Name: TRI-STATES UTILITY, INC.

WATER OPERATING REVENUES, EXPENSES AND STATISTICS

(a)	Amount (b)
3 Total Operating Revenues (From Page W-2)	\$ 1,145,503.51
<i>Operating Expenses</i>	
4 Salaries & Wages (From Page 7)	\$ 237,153.64
5 Employee Pensions and Benefits	\$ 20,439.45
6 Purchased Water	
7 Plant Operations Expenses (From Page W-3)	\$ 151,339.78
8 Billing Expenses	\$ 13,165.53
9 Supplies and Expenses	\$ 14,894.00
10 Transportation Expenses	\$ 22,581.47
11 Rent Expense (List explanation on Page W-1a)	\$ 102,550.00
12 Insurance Expense	\$ 21,378.67
13 Outside Services Employed (i.e., Legal, Accounting, etc.) (From Page 8)	\$ 71,778.96
14 Regulatory Commission Expenses	\$ 8,298.16
15 Uncollectible Expenses (From Page 6)	\$ 7,398.65
16 Depreciation Expense (From Page W-6)	\$ 162,374.00
17 Amortization of Contributions in Aid of Construction (Page 9)	\$ -
18 Amortization Expense	\$ 520.00
19 Tax Expenses (From Page W-3)	\$ 26,477.98
20 Interest Expense (From Page 10)	\$ 68,352.24
21 Other Expenses (List explanation on Page W-1a)	\$ 73,579.45
22 Total Operating Expenses	\$ 1,002,281.98
23 Net Income (Loss) (A negative number indicated by () represents a loss.)	\$ 143,221.53

Indicates a link to another worksheet within workbook
Indicates formula cell(s)

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012

2 Company Name: TRI-STATES UTILITY, INC.

DETAILED EXPLANATION OF ITEMS

3 Page W-1, Line 11 Rent Expense \$ 102,550.00

** Description	Amount	**
see attached		
Total (should match amount on Page W-1, Line 11)	\$ -	

4 Page W-1, Line 21 Other Expenses \$ 73,579.45

** Description	Amount	**
see attached		
Total (should match amount on Page W-1, Line 21)	\$ -	

Indicates a link to another worksheet within workbook
Indicates formula cell(s)

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(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2012

2 Company Name:

TRI-STATES UTILITY, INC.

WATER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)

(Please indicate if metered amounts are in cubic feet measurements.)

(a)	No. of Customers		Gallons Sold 000's Omitted (d)	Revenue Amount (e)
	Beginning of Year (b)	End of Year (c)		
<u>Unmetered Sales of Water</u>				
3 Residential - Single Family				
4 Residential - Apartments				
5 Residential - Mobile Homes				
6 Commercial				
7 Other Sales to Public Authorities				
8 Other (List explanation on page W-2a)				
9 Total Unmetered Sales	-	-		\$ -
<u>Metered Sales of Water</u>				
10 5/8" Meter	1,534	1,535	44,297	\$ 262,847.86
11 3/4" Meter	1,759	1,762	88,555	\$ 434,024.44
12 1" Meter	41	41	8,819	\$ 32,196.72
13 1 1/2" Meter				
14 2" Meter	108	108	55,496	\$ 197,095.78
15 Other (List explanation on page W-2a)	26	26	26,170	\$ 96,996.05
16 Total Metered Sales	3,468	3,472	223,337	\$ 1,023,160.85
<u>Other Operating Revenues</u>				
17 Late Payment Fees				\$ 35,263.24
18 Inspection Fees				
19 Reconnect Fees				\$ 23,078.67
20 Rent Income				
21 Income from Merchandising, Jobbing & Contract Work (List explanation on page W-2a)				
22 Other Revenue (List explanation on page W-2a)				\$ 64,000.75
23 Total Other Operating Revenues				\$ 122,342.66
24 Total Operating Revenues *				\$ 1,145,503.51
				(Total to Pg. W-1)

* Total Operating Revenues should match Statement of Revenue (MOPSC Assessment).

Indicates a link to another worksheet within workbook
Indicates formula cell(s)

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012
 2 Company Name: TRI-STATES UTILITY, INC.

DETAILED EXPLANATION OF ITEMS

3 Page W-2, Line 8

Other \$

** Description	Amount	**
Total (should match amount on Page W-2, Line 8)	\$ -	

4 Page W-2, Line 15

Other \$

** Description	Amount	**
see attached		
Total (should match amount on Page W-2, Line 15)	\$ -	

5 Page W-2, Line 21

Income (Merchandising, Jobbing, & Contract Work) \$

** Description	Amount	**
Total (should match amount on Page W-2, Line 21)	\$ -	

5 Page W-2, Line 22

Other Revenue \$

** Description	Amount	**
see attached		
Total (should match amount on Page W-2, Line 22)	\$ -	

Indicates a link to another worksheet within workbook
 Indicates formula cell(s)

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2012

2 Company Name: _____

TRI-STATES UTILITY, INC.

WATER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)

(a)	Amount (b)
<u>Plant Operations Expenses</u>	
3 Repairs of Water Plant - Pump Repair	\$ 7,071.89
4 Repairs of Water Plant - Well Repair	
5 Repairs of Water Plant - Water Line Repair	
6 Repairs of Water Plant - Equipment Repair	
7 Repairs of Water Plant - Other (List explanation on Page W-3a)	\$ 7,100.67
8 Fuel or Power Purchases for Pumping (i.e., Electric Bills, etc.)	\$ 133,546.84
9 Chemicals	\$ 3,412.38
10 Water Testing Expenses	\$ 208.00
11 Other Plant Operations Expenses (List explanation on Page W-3a)	
12 Total Plant Operations Expenses	\$ 151,339.78
	(Total to Page W-1)
<u>Tax Expenses</u>	
13 Tax Expense - Property Taxes	\$ 733.16
14 Tax Expense - Payroll Taxes	\$ 25,744.82
15 Tax Expense - Franchise Taxes	
16 Tax Expense - Other Taxes (List explanation on Page W-3a)	
17 Tax Expense - Federal Income Taxes	
18 Tax Expense - State Income Taxes	
19 Tax Expense - Investment Tax Credits	
20 Total Tax Expenses	\$ 26,477.98
	(Total to Page W-1)

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012
 2 Company Name: TRI-STATES UTILITY, INC.

DETAILED EXPLANATION OF ITEMS

3 Page W-3, Line 7 Repairs of Water Plant - Other \$ 7,100.67

** Description	Amount	**
see attached		
Total (should match amount on Page W-3, Line 7)	\$ -	

4 Page W-3, Line 11 Other Plant Operation Expenses \$ -

** Description	Amount	**
Total (should match amount on Page W-3, Line 11)	\$ -	

5 Page W-3, Line 16 Tax Expense - Other Taxes \$ -

** Description	Amount	**
Total (should match amount on Page W-3, Line 16)	\$ -	

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

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(To be used when filing under seal.)

1
2 Company Name:

TRI-STATES UTILITY, INC.

PUMPING AND PURCHASED WATER STATISTICS

(Omit 000's in reporting number of gallons or cubic feet of water. Use additional sheets if necessary.)

	Source of Supply (Please describe source below.)			Total of all Methods (a+b+c+d=e) (e)
	(a)	(b)	(c)	
3	Gallons Pumped Into System			
4				
5	(b) Well 5 - 265/376 - deepwell - gallons			
6				
7				
8	January	8,051	13,442	21,493
9	February	5,619	11,332	16,951
10	March	7,227	13,592	20,819
11	April	6,558	12,655	19,213
12	May	10,204	16,735	26,939
13	June	13,399	22,726	36,125
14	July	13,427	25,529	38,956
15	August	12,996	26,095	39,091
16	September	7,558	16,548	24,106
17	October	7,654	18,623	26,277
18	November	7,001	17,688	24,689
19	December	6,069	16,117	22,186
20	Total for Year	105,763	211,082	316,845

21 Maximum Quantity Supplied to the System in Any One Day: Minimum:

22 Range of Pressure in the Mains as Measured at the Highest Point on System:

If Water is Sold to Other Utilities for Resale, List Names, Addresses, Phone Numbers and Quantities Below:		
Name of Reseller	Address	Phone Number
None in 2012		

Indicates a link to another worksheet within workbook
Indicates formula cell(s)
(To be used when filing under seal.)

1
2 Company Name: _____

For the calendar year of January 1 - December 31, 2012
TRI-STATES UTILITY, INC.

WATER UTILITY PLANT IN SERVICE

Account Description (a)	Acct. No. (b)	Balance at Beginning of Year (c)	Additions During the Year (d)	Retirements During the Year (e)	Balance at End of Year (c+d-e) (f)
<u>Intangible Plant</u>					
Class B, C or D					
3 Organization	301				\$ -
4 Franchise and Consents	302				\$ -
5 Miscellaneous Intangible Plant	303				\$ -
<u>Source of Supply Plant</u>					
6 Land and Land Rights	310	\$ 15,092.00			\$ 15,092.00
7 Structures and Improvements	311	\$ 13,049.00			\$ 13,049.00
8 Collecting and Impounding Reservoirs	312				\$ -
9 Lake, River, and Other Intakes	313				\$ -
10 Wells and Springs	314	\$ 542,486.00			\$ 542,486.00
11 Infiltration Galleries and Tunnels	315				\$ -
12 Supply Mains	316	\$ 127,909.00			\$ 127,909.00
13 Other Water Source Plant (List explanation on Page W-5a)	317				\$ -
<u>Pumping Plant</u>					
14 Land and Land Rights	320	\$ 29,772.00			\$ 29,772.00
15 Structures and Improvements	321				\$ -
16 Boiler Plant Equipment	322				\$ -
17 Other Power Production Equipment (List explanation on Page W-5a)	323				\$ -
18 Submersible Electric Pumping	325.1				\$ -
19 High Service or Booster Pumps	325.2	\$ 94,474.00			\$ 94,474.00
20 Diesel Pumping Equipment	326				\$ -
21 Hydraulic Pumping Equipment	327				\$ -
22 Other Pumping Equipment (List explanation on Page W-5a)	328	\$ 17,687.00			\$ 17,687.00
<u>Water Treatment Plant</u>					
23 Land and Land Rights	330				\$ -
24 Structures and Improvements	331	\$ 28,701.00	\$ 4,019.00		\$ 32,720.00
25 Water Treatment Equipment	332	\$ 14,562.00			\$ 14,562.00
<u>Transmission and Distribution Plant</u>					
26 Land and Land Rights	340				\$ -
27 Structures and Improvements	341	\$ 777.00			\$ 777.00
28 Distribution Reservoirs and Standpipes	342	\$ 383,912.00			\$ 383,912.00
29 Transmission and Distribution Mains	343	\$ 635,915.00			\$ 635,915.00
30 Fire Mains	344				\$ -
31 Services	345	\$ 86,683.00			\$ 86,683.00
32 Meters	346	\$ 765,244.00			\$ 765,244.00
33 Meter Installations	347				\$ -
34 Hydrants	348	\$ 25,500.00			\$ 25,500.00
35 Other Transmission and Distribution Plant	349	\$ 8,434.00			\$ 8,434.00
<u>General Plant</u>					
Note: USOA CLASS B and C are the same					
		B & C	D		
36 Land and Land Rights	389	370	\$ 42.00		\$ 42.00
37 Structures and Improvements	390	371	\$ 37,652.00		\$ 37,652.00
38 Office Furniture and Equipment	391	372	\$ 79,489.00		\$ 79,489.00
39 Office Computer and Electronic Equipment	391.1	372.1	\$ 33,210.00		\$ 33,210.00
40 Transportation Equipment	392	373	\$ 190,975.00		\$ 190,975.00
41 Other General Equipment	none	379			\$ -
42 Stores Equipment	393	none			\$ -
43 Tools, Shop and Garage Equipment	394	none	\$ 34,214.00		\$ 34,214.00
44 Laboratory Equipment	395	none			\$ -
45 Power-operated Equipment	396	none	\$ 16,031.00		\$ 16,031.00
46 Communication Equipment	397	none	\$ 13,874.00		\$ 13,874.00
47 Miscellaneous Equipment	398	none			\$ -
48 Other Tangible Property (List explanation on Page W-5a)	399	none	\$ 546.00		\$ 546.00
49 Total Water Utility Plant In Service			\$ 3,196,230.00	\$ 4,019.00	\$ -
					\$ 3,200,249.00
					(Total to Pages 4 & 9)

NOTE: All entries should be supported by records that identify the property being added or retired, its location, and its original cost in as much detail as reasonably possible. If adjustments are included in Columns "d" and/or "e", use additional sheets.

(To be used when filing under seal.)

DETAILED EXPLANATION OF ITEMS

3. Page W-5, Line 13 Other Water Source Plant \$ -

** Description	Amount	**
Total (should match amount on Page W-5, Line 13)	\$ -	

4. Page W-5, Line 17 Other Power Production Equipment \$ -

** Description	Amount	**
Total (should match amount on Page W-5, Line 17)	\$ -	

5. Page W-5, Line 22 Other Pumping Equipment \$ 17,687.00

** Description	Amount	**
see attached		
Total (should match amount on Page W-5, Line 22)	\$ -	

6. Page W-5, Line 48 Other Tangible Property \$ 546.00

** Description	Amount	**
see attached		
Total (should match amount on Page W-5, Line 48)	\$ -	

Indicates a link to another worksheet within workbook
 Indicates formula cell(s)

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1
2

Company Name: TRI-STATES UTILITY, INC.

DEPRECIATION RESERVE - WATER UTILITY PLANT

Acct. No. (b)	Annual Depreciation Rate %*** (g)	Depreciation Expense (f*g) (h)	Reserve Balance at Beginning of Year (i)	Retirement of Property			Other Charges** (m)	Reserve Balance at END of Year (h+i-j-k+l+m) (n)
				Book Cost of Plant Retired (j)	Cost of Removal* (k)	Salvage Credit* (l)		
Class B, C or D								
301				\$ -				\$ -
302				\$ -				\$ -
303				\$ -				\$ -
310				\$ -				\$ -
311	2.00%	\$ 326.00	\$ 3,073.00	\$ -				\$ 3,399.00
312				\$ -				\$ -
313				\$ -				\$ -
314	3.00%	\$ 17,526.00	\$ 161,822.00	\$ -				\$ 179,348.00
315				\$ -				\$ -
316	2.00%	\$ 2,559.00	\$ 55,389.00	\$ -				\$ 57,948.00
317				\$ -				\$ -
320				\$ -				\$ -
321	3.00%	\$ 744.00	\$ 10,874.00	\$ -				\$ 11,618.00
322				\$ -				\$ -
323				\$ -				\$ -
325.1				\$ -				\$ -
325.2	3.00%	\$ 3,034.00	\$ 66,416.00	\$ -				\$ 69,450.00
326				\$ -				\$ -
327				\$ -				\$ -
328	10.00%	\$ 1,768.00	\$ 2,528.00	\$ -				\$ 4,296.00
330				\$ -				\$ -
331	3.00%	\$ 742.00	\$ 5,561.00	\$ -				\$ 6,303.00
332	3.00%	\$ 417.00	\$ 1,323.00	\$ -				\$ 1,740.00
340				\$ -				\$ -
341	3.00%	\$ 19.00	\$ 60.00	\$ -				\$ 79.00
342	3.00%	\$ 9,598.00	\$ 155,370.00	\$ -				\$ 164,968.00
343	2.00%	\$ 12,734.00	\$ 119,162.00	\$ -				\$ 131,896.00
344				\$ -				\$ -
345	3.00%	\$ 2,165.00	\$ 26,141.00	\$ -				\$ 28,306.00
346	10.00%	\$ 75,326.00	\$ 369,270.00	\$ -				\$ 444,596.00
347				\$ -				\$ -
348	2.00%	\$ 510.00	\$ 12,750.00	\$ -				\$ 13,260.00
349	10.00%	\$ 841.00	\$ 1,930.00	\$ -				\$ 2,771.00
B & C	D							
389	370	5.00%	\$ 2.00	\$ 4.00	\$ -			\$ 6.00
390	371	8.00%	\$ 3,113.00	\$ 12,218.00	\$ -			\$ 15,331.00
391	372	8.00%	\$ 7,646.00	\$ 52,676.00	\$ -			\$ 60,322.00
391.1	372.1				\$ -			\$ -
392	373	10.00%	\$ 19,699.00	\$ 101,702.00	\$ -			\$ 121,401.00
393	379				\$ -			\$ -
393	none				\$ -			\$ -
394	none	5.00%	\$ 1,720.00	\$ 13,311.00	\$ -			\$ 15,031.00
395	none				\$ -			\$ -
396	none	7.00%	\$ 1,069.00	\$ 6,109.00	\$ -			\$ 7,178.00
397	none	6.00%	\$ 789.00	\$ 3,870.00	\$ -			\$ 4,659.00
398	none	5.00%	\$ 27.00	\$ 37.00	\$ -			\$ 64.00
Total			\$ 162,374.00	\$ 1,181,596.00	\$ -	\$ -	\$ -	\$ 1,343,970.00

(Total to Pg. 9 & Pg. W-1)

(Total to Pg. 4)

NOTE: Amounts included in Column "j" should be the same as in Column "e" on the preceding page. Also, Annual Depreciation Expense is equal to Column "f" from preceding page multiplied by Column "g" found on this page.

* All entries included in Columns "k" and "l" should be supported by records that identify the property retired and the cost of removal or salvage is as much detail as reasonably possible.

** Report the details for these entries. Use additional sheets if necessary.

*** Annual Depreciation Expense must be calculated separately. This total should be calculated based upon actual in-service and retirement date(s) of new equipment and retirements during the period.



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Company Name: For the calendar year of January 1 - December 31, 2012
 TRI-STATES UTILITY, INC.

PUMPS

Manufacturer and Type (i.e., High Service, Well, Standby, etc.)	Capacity	Date Installed	Date of Last Motor Replacement	Date of Last Pump Replacement
#4 HS GRUNDFOS 625S150	660	2/1/2002	10/1/2005	2/1/2002
#5 HS HATACHI 250 GOUNDS 9CNHC 6S/S	1100	5/1/2009	1/1/2007	1/1/2007

(To be used when filing under seal.)

WELLS

	Number:	Number:	Number:
13			
14	#4 DEEP	#5 DEEP	
15	1987	1994	
16	DRILLED	DRILLED	
17	STEEL	STEEL	
18	1665/12"	1600/14"	
19	302348	534644	
20	GAS	GAS	
21	958.94	958.94	
22	6PPD	6PPD	
23			

(To be used when filing under seal.)

1

2 Company Name:

TRI-STATES UTILITY, INC.

METERS AND METER SETTINGS

Customer Class (a)	Meter Size (b)	Total at Beginning of Year (c)	Total No. of Additions (d)	Total No. Removed or Disconnected (e)	Total at End of Year (f)	Total No. Owned by Customers at End of Year (g)
Residential:	5/8	1,482	1		1,483	
	3/4	1,668	3	-	1,671	
	1	8			8	
	2	2			2	
Other Customers:	5/8, 3/4 C	143			143	
	1, 2, 2F	139			139	
	3, 4, 6, 8	26			26	
Total in Use by Customers	5/8, 3/4	3,468	4	-	3,472	-
Not in Use (i.e., Inventory)	1, 2	49			49	
	3, 8	16			16	
		3			3	
Total Meters		3,536	4	-	3,540	-

STORAGE FACILITIES

Type of Storage (i.e., Pneumatic, Ground, Standpipes, Elevated Tanks, etc.) (a)	Construction Material (b)	Last Date Painted if Applicable (indicate interior or exterior) (c)	Capacity (d)
#4 STANDPIPE 30X68 ID 5024601104	STEEL	11/5/03 EX	400000
#5 STANDPIPE 29X88 ID 5024601105	STEEL	1994 EX	445000

Indicates formula cell(s)

(To be used when filing under seal.)

SEWER OPERATING REVENUES, EXPENSES AND STATISTICS

	(a)		Amount (b)
3	Total Operating Revenues (From Page S-2)	\$	-
	<u>Operating Expenses</u>		
4	Salaries & Wages (From Page 7)	\$	-
5	Employee Pensions and Benefits		N/A
6	Purchased Water		N/A
7	Plant Operations Expenses (From Page S-3)	\$	-
8	Billing Expenses		N/A
9	Supplies and Expenses		N/A
10	Transportation Expenses		N/A
11	Rent Expense (List explanation on Page S-1a)		N/A
12	Insurance Expense		N/A
13	Outside Services Employed (i.e., Legal, Accounting, etc.) (From Page 8)	\$	-
14	Regulatory Commission Expenses		N/A
15	Uncollectible Expenses (From Page 6)	\$	-
16	Depreciation Expense (From Page S-5)	\$	-
17	Amortization of Contributions in Aid of Construction (Page 9)	\$	-
18	Amortization Expense		N/A
19	Tax Expenses (From Page S-3)	\$	-
20	Interest Expense (From Page 10)	\$	-
21	Other Expenses (List explanation on Page S-1a)		N/A
22	Total Operating Expenses	\$	-
23	Net Income (Loss: This number should be negative as it is an offset to depreciation expense)	\$	-

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2012

2 Company Name:

TRI-STATES UTILITY, INC.

DETAILED EXPLANATION OF ITEMS

3 Page S-1, Line 11

Rent Expense

** Description

Amount

**

	Description	Amount	**
	Total (should match amount on Page S-1, Line 11)	\$ -	

4 Page S-1, Line 21

Other Expenses

** Description

Amount

**

	Description	Amount	**
	Total (should match amount on Page S-1, Line 21)	\$ -	

Indicates a link to another worksheet within workbook
Indicates formula cell(s)

(To be used when filing under seal.)

1
2 Company Name: _____

TRI-STATES UTILITY, INC.

SEWER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)

(Please indicate if metered amounts are in cubic feet measurements.)

(a)	No. of Customers		Gallons Sold 000's Omitted (d)	Revenue Amount (e)
	Beginning of Year (b)	End of Year (c)		
<u>Flat Rate Sales</u>				
3 Residential - Single Family	N/A	N/A	XXXX	N/A
4 Residential - Apartments	N/A	N/A	XXXX	N/A
5 Residential - Mobile Homes	N/A	N/A	XXXX	N/A
6 Commercial	N/A	N/A	XXXX	N/A
7 Other Sales to Public Authorities	N/A	N/A	XXXX	N/A
8 Other (List explanation on Page S-2a)	N/A	N/A	XXXX	N/A
9 Total Unmetered Sales	-	-	XXXX	\$ -
<u>Metered Sales Based on Water Usage</u>				
10 Commercial	N/A	N/A		N/A
11 Other Sales to Public Authorities	N/A	N/A		N/A
12 Other (List explanation on Page S-2a)	N/A	N/A		N/A
13 Total Metered Sales	-	-	-	\$ -
<u>Other Operating Revenues</u>				
14 Late Payment Fees				N/A
15 Inspection Fees				N/A
16 Reconnect Fees				N/A
17 Rent Income				N/A
18 Income from Merchandising, Jobbing & Contract Work (List explanation on Page S-2a)				N/A
19 Other Revenue (List explanation on Page S-2a)				N/A
20 Total Other Operating Revenues				\$ -
21 Total Operating Revenues *				\$ -
				(Total to Pg. S-1)

* Total Operating Revenues should match Statement of Revenue (MOPSC Assessment).

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2012

2 Company Name:

TRI-STATES UTILITY, INC.

SEWER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)

(a)	Amount (b)
<u>Plant Operations Expenses</u>	
3 Contracted Maintenance Expenses	N/A
4 Repairs of Sewer Plant - Pump Repair	N/A
5 Repairs of Sewer Plant - Treatment Repair	N/A
6 Repairs of Sewer Plant - Collecting Sewers and Manhole Repair	N/A
7 Repairs of Sewer Plant - Equipment Repair	N/A
8 Repairs of Sewer Plant - Other (List explanation on Page S-3a)	N/A
9 Utility Bills	N/A
10 Chemicals	N/A
11 Sludge Hauling Expenses	N/A
12 Effluent Testing Expenses	N/A
13 Other Plant Operations Expenses (List explanation on Page S-3a)	N/A
14 Total Plant Operations Expenses	\$ -
	(Total to Page S-1)
<u>Tax Expenses</u>	
15 Tax Expense - Property Taxes	N/A
16 Tax Expense - Payroll Taxes	N/A
17 Tax Expense - Franchise Taxes	N/A
18 Tax Expense - Other Taxes (List explanation on Page S-3a)	N/A
19 Tax Expense - Federal Income Taxes	N/A
20 Tax Expense - State Income Taxes	N/A
21 Tax Expense - Investment Tax Credits	N/A
22 Total Tax Expenses	\$ -
	(Total to Page S-1)

Indicates formula cell(s)



(To be used when filing under seal.)

DETAILED EXPLANATION OF ITEMS

3. Page S-3, Line 8 Repairs of Sewer Plant - Other

** Description	Amount	**
Total (should match amount on Page S-3, Line 8)	\$ -	

4. Page S-3, Line 13 Other Plant Operations Expenses

** Description	Amount	**
Total (should match amount on Page S-3, Line 13)	\$ -	

5. Page S-3, Line 18 Tax Expense - Other Taxes

** Description	Amount	**
Total (should match amount on Page S-3, Line 18)	\$ -	

Indicates a link to another worksheet within workbook
 Indicates formula cell(s)

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2012

2 Company Name: _____

TRI-STATES UTILITY, INC.

SEWER UTILITY PLANT IN SERVICE

	Account Description (a)	Acct. No. (b)		Balance at Beginning of Year (c)	Additions During the Year (d)	Retirements During the Year (e)	Balance at End of Year (c+d-e) (f)
		CLASS B	CLASS C & D				
	<u>Intangible Plant</u>						
3	Organization	301	301				\$ -
4	Franchise and Consents	302	302				\$ -
5	Miscellaneous Intangible Plant	303	303				\$ -
	<u>Land and Structures</u>						
6	Land and Land Rights	none	310				\$ -
7	Structures and Improvements	none	311				\$ -
	<u>Collection Plant</u>						
8	Land and Land Rights	350	none				\$ -
9	Structures and Improvements	351	none				\$ -
10	Collection Sewer - Force	352.1	352.1				\$ -
11	Collection Sewer - Gravity	352.2	352.2				\$ -
12	Other Collection Plant Facilities (List explanation on Page S-4a)	353	353				\$ -
13	Services to Customers	354	354				\$ -
14	Flow Measuring Devices	355	355				\$ -
	<u>Pumping Plant</u>						
15	Land and Land Rights	360	none				\$ -
16	Structures and Improvements	361	none				\$ -
17	Receiving Wells and Pump Pits	362	362				\$ -
18	Pumping Equipment	363	363				\$ -
	<u>Treatment and Disposal</u>						
19	Land and Land Rights	370	none				\$ -
20	Structures and Improvements	371	none				\$ -
21	Oxidation Lagoon	none	372				\$ -
22	Treatment and Disposal Equipment	372	373				\$ -
23	Sewer Collection (Septic) Tanks	372.1	373.1				\$ -
24	Plant Sewer	373	374				\$ -
25	Outfall Sewer Lines	374	375				\$ -
26	Other Treatment and Disposal Plant Equipment (List explanation on Page S-4a)	375	376				\$ -
	<u>General Plant</u>						
27	Land and Land Rights	389	none				\$ -
28	Structures and Improvements	390	none				\$ -
29	Office Furniture and Equipment	391	391				\$ -
30	Computer and Electronic Office Equipment	391.1	391.1				\$ -
31	Transportation Equipment	392	392				\$ -
32	Other General Equipment (List explanation on Page S-4a)	none	393				\$ -
33	Stores Equipment	393	None				\$ -
34	Tools Shop and Garage Equipment	394	None				\$ -
35	Laboratory Equipment	395	None				\$ -
36	Power Operated Equipment	396	None				\$ -
37	Communications Equipment	397	None				\$ -
38	Total Sewer Utility Plant In Service			\$ -	\$ -	\$ -	\$ -

(Total to Pages 4 & 9)

NOTE: All entries should be supported by records that identify the property being added or retired, its location, and its original cost in as much detail as reasonably possible. If adjustments are included in Columns "d" and/or "e", please explain below. Use additional sheets if necessary.

39 Explanation:

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filling under seal.)

1 For the calendar year of January 1 - December 31, 2012
 2 Company Name: TRI-STATES UTILITY, INC.

DETAILED EXPLANATION OF ITEMS

3. Page S-4, Line 12 Other Collection Plant Facilities \$ -

** Description	Amount	**
Total (should match amount on Page S-4, Line 12)	\$ -	

4. Page S-4, Line 26 Other Treatment & Disposal Plant Equipment \$ -

** Description	Amount	**
Total (should match amount on Page S-4, Line 26)	\$ -	

5. Page S-4, Line 32 Other General Equipment \$ -

** Description	Amount	**
Total (should match amount on Page S-4, Line 32)	\$ -	

Indicates a link to another worksheet within workbook
 Indicates formula cell(s)

(To be used when filing under seal.)

1
2

Company Name: _____

DEPRECIATION RESERVE - SEWER UTILITY PLANT

	Acct. No. (b)		Annual Depreciation Rate % (g)	Annual Depreciation Expense (f*g) (h)***	Reserve Balance at Beginning of Year (i)	Retirement of Property			Other Charges (m)**	Reserve Balance at End of Year (h+i-j-k+l+m) (n)
	CLASS B	CLASS C & D				Book Cost of Plant Retired (j)	Cost of Removal (k)*	Salvage Credit (l)*		
3	301	301				\$ -				\$ -
4	302	302				\$ -				\$ -
5	303	303				\$ -				\$ -
6	none	310				\$ -				\$ -
7	none	311				\$ -				\$ -
8	350	none				\$ -				\$ -
9	351	none				\$ -				\$ -
10	352.1	352.1				\$ -				\$ -
11	352.2	352.2				\$ -				\$ -
12	353	353				\$ -				\$ -
13	354	354				\$ -				\$ -
14	355	355				\$ -				\$ -
15	360	none				\$ -				\$ -
16	361	none				\$ -				\$ -
17	362	362				\$ -				\$ -
18	363	363				\$ -				\$ -
19	370	none				\$ -				\$ -
20	371	none				\$ -				\$ -
21	none	372				\$ -				\$ -
22	372	373				\$ -				\$ -
23	372.1	373.1				\$ -				\$ -
24	373	374				\$ -				\$ -
25	374	375				\$ -				\$ -
26	375	376				\$ -				\$ -
27	389	none				\$ -				\$ -
28	390	none				\$ -				\$ -
29	391	391				\$ -				\$ -
30	391.1	391.1				\$ -				\$ -
31	392	392				\$ -				\$ -
32	none	393				\$ -				\$ -
33	393	None				\$ -				\$ -
34	394	None				\$ -				\$ -
35	395	None				\$ -				\$ -
36	396	None				\$ -				\$ -
37	397	None				\$ -				\$ -
38			Total	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
				(Total to Pg 9 & Pg S-1)						(Total to Pg. 4)

Indicates a link to another worksheet within workbook
 Indicates formula cell(s)

2 Company Name: _____

TRI-STATES UTILITY, INC.

GENERAL INFORMATION

3 Treatment Facilities - Please describe process.

4 Was your treatment plant: constructed in place OR purchased as a package unit?

5 Were your lift stations: constructed in place OR purchased as a package unit?

6 What is the designated capacity of your treatment facilities?

7 What percent of designed capacity is currently being utilized?

8 Please describe the treatment process for liquid waste.

9 Please describe the treatment process for waste solids.

10 Where is the point of discharge for liquid waste?

11 What is the ultimate disposal of waste solids and how obtained?

12 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken.

COLLECTING SEWERS (measurement in feet)

	Kind of Pipe (i.e. Cast Iron, VCP, PVC, etc.) (a)	Diameter of Pipe (b)	Total No. at Beginning of Year (c)	Total No. of Additions During the Year (d)	Removed or Abandoned During the Year (e)	Total No. at End of Year (f)
13 Force:						
14						
15 Gravity:						
16						
17						

LIFT STATIONS

	Pumps: Name, Size, Type	Location	H.P.	GPM	TDH
18					
19					
20					

TRI-STATES UTILITY, INC.
WATER AND SEWER ANNUAL REPORT
FOR THE YEAR ENDED DECEMBER 31, 2012

DETAILED EXPLANATION FOR PAGE W-1

RENT EXPENSE:	\$	13,300
	\$	73,850
	\$	15,400
	\$	<u>102,550</u>

Rent Expense paid to Highway 165 Investments LLC for Topeka office
Rent Expense paid to Highway 165 Investments LLC
Rent expense paid to Furman Inc for shop

OTHER EXPENSES:	\$	11,179.44
		2,477.01
		114.58
		100.00
		179.00
		11,344.10
		15,387.50
		7,824.40
		138.74
		1,989.70
		12,881.63
		1,254.80
		50.00
		8,658.55
	\$	<u>73,579.45</u>

Misc Expenses - Other Rents
Misc Expenses - Uniforms
Misc Expenses - Penalties
Misc Expenses - Travel
Misc Expenses - Other
Maintenance of General Plant
Postage
Misc Expenses - Telephone
Misc Expenses - Lunch/Meals
Misc Expenses - Dues & Subscriptions
Misreads
Payroll processing fee
Misc Expenses - Sec of State fees
Utilities for office

DETAILED EXPLANATION FOR PAGE W-2

OTHER REVENUE:		5,100.00
		125.00
		50.00
		6.50
		425.00
		57,769.25
		525.00
	\$	<u>64,000.75</u>

Connection Fees
Temp Disconnect
Call-out Reg hr
Call out after hrs
ISF check fees
Miscellaneous Income
Labor collected - Damages

DETAILED EXPLANATION FOR PAGE W-3

REPAIRS OF WATER PLANT - OTHER:	\$	136.77
		5,240.31
		1,723.59
	\$	<u>7,100.67</u>

Maintenance Supervision - Engineering
Maintenance of Services
Small Tools

TRI-STATES UTILITY, INC.
 WATER AND SEWER ANNUAL REPORT
 FOR THE YEAR ENDED DECEMBER 31, 2012

DETAILED EXPLANATION FOR PAGE 4

OTHER ASSETS:	\$	59,283.00	Accounts Receivable
		2,369.00	Amortized Loan Costs
		1,000.00	Deposit - Down Payment
		200.00	Deposit - Surety Bond
		456,934.00	Due from J & J Equities
		8,000.00	Deposit - Rent
	\$	<u>527,786.00</u>	

DETAILED EXPLANATION FOR PAGE 5

CAPITAL STOCK	\$	30,000.00	Common Stock
		274,959.00	Additional Paid-In Capital
	\$	<u>304,959.00</u>	

OTHER LIABILITIES:	\$	59,446.00	Accounts Payable
	\$	1,073.00	Payroll Liabilities
		1,244.00	Accrued SWH Payable
		1,012.00	Accrued Unemployment Payable
		6,340.00	Accrued Salaries
		329.00	Garnishments
		839.00	Accrued Primacy
		1,562.00	Accrued Sales tax
		4,000.00	Accrued rent
		(2,932.00)	Accrued other
		72,471.00	Interest Accrued
	\$	<u>145,384.00</u>	

Per client email - 5/1/13

SIZE	Sub Totals
5/8"	2381.12
3/4"	7217.55
1'	2448.04
1.5"	269.58
2"	12823.07
3"	297.96
4"	7311.59
6"	5235.19
8"	3199.58
12"	0
Pit Lids	5038.165
CL2	2267.05
Hydr Pts	885.64
MISC	2068.24
TOTAL	51442.78

TB

151,000

Annual Report of

TRI-STATES UTILITY, INC.

for the calendar year of January 1 - December 31, 2012

VERIFICATION

The foregoing report must be verified by the oath of the President, Treasurer, General Manager or Receiver of the company. The oath required may be taken before any person authorized to administer an oath (Notary Public) by the laws of the State in which the same is taken.

OATH

State Of Missouri }
County Of St Louis } ss:

KEVIN E. RIGGS makes oath and says that
Name of Affiant (Company Official/Representative)

s/he is PRESIDENT
Official Title of the Affiant (Company Official/Representative)

of TRI-STATES UTILITY, INC
Exact Legal Title or Name of the Respondent (Certificated Company Name)

and is located at 302 TERRACE RD, BRANSON, MO 65616 TEL.# 417-334-4189
Address and Telephone Number of the Affiant (Company Official/Representative)

that s/he has examined the foregoing report; that to the best of his or her knowledge, information, and belief, all statements of fact contained in the said report are true and the said report is a correct statement of the business and affairs of the above-named respondent.

from January 1, 2012, to and including December 31, 2012
Month/Day Year Month/Day Year


Signature of Affiant (Company Official/Representative)

Subscribed and sworn to before me, a Notary Public, in and for the State and County above named,
this 14th day of May, 2013.

My Commission expires 1-22-14.

Christine Bradner
Signature of Notary Public



Missouri Revised Statutes § 392.210 or §393.140