

**LAKE REGION WATER & SEWER COMPANY, INC.**

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**Company Full Certificated Name** (Do not abbreviate and include any Commission approved AKA/DBA/Fictitious Name, if applicable.)

**WATER and/or SEWER ANNUAL REPORT**

**SMALL COMPANY**

(Fewer than 8,000 customers)

**TO THE**

**MISSOURI PUBLIC SERVICE COMMISSION**

**January 1 - December 31, 2012**

**Please select how the company is certificated with the Commission under the Company Name as shown above (check all that apply):**

☒

Water Service Provider

☒

Sewer Service Provider

**Please choose one of the following filing options:**

☐

**Public Submission** (NOT Highly Confidential)

☐

**Non-Public Submission** (Highly Confidential / Filed Under Seal)

For this filing to be considered Highly Confidential, additional submission of materials is required pursuant to Commission rule 4 CSR 240-3.335 and/or 4 CSR 240-3.640, Section 392.210, RSMo., and/or Section 393.140, RSMo.

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012

2 **Company Name:** LAKE REGION WATER & SEWER COMPANY, INC.

**Parent Company Name:** \_\_\_\_\_  
(if applicable)

3 **Company Street Address:** 62 Bittersweet Road, Four Seasons, MO 65049

4 **Company Mailing Address:** P.O. Box 9, Lake Ozark, MO 65049

5 **Company Phone Number:** 573-365-6792 **Company E-mail Address:** jrsummers@lakeozarks.com

**Name, address, phone number and e-mail of person(s) to contact concerning information contained in this report:**

6	John R. Summers Name	John R. Summers Name
7	P.O. Box 9 Mailing Address	P.O. Box 9 Mailing Address
7a	62 Bittersweet Road Street Address	62 Bittersweet Road Street Address
8	Lake Ozark MO 65049 City State Zip	Lake Ozark MO 65049 City State Zip
9	573-365-6792 Telephone Number	573-365-6792 Telephone Number
10	jrsummers@lakeozarks.com E-mail Address	 E-mail Address

**Please list the name, address, phone number and e-mail address of the primary licensed operator to contact concerning water plant operations:**

11 Joshua Duncan  
Name

12 P.O. Box 9  
Mailing Address

12a 62 Bittersweet Road  
Street Address

13 Lake Ozark MO 65049  
City State Zip

14 573-365-6792  
Telephone Number

15 jduncanpwsd4@gmail.com  
E-mail Address

16 5369  
Operator License Number

**Please list the name, address, phone number and e-mail address of the primary licensed operator to contact concerning sewer plant operations:**

Joshua Duncan  
Name

P.O. Box 9  
Mailing Address

62 Bittersweet Road  
Street Address

Lake Ozark MO 65049  
City State Zip

573-365-6792  
Telephone Number

jduncanpwsd4@gmail.com  
E-mail Address

5369  
Operator License Number

Please provide the total company **and** gross intrastate operating revenues (i.e. Missouri Jurisdictional) Revenues for the Calendar Year: 2012

**Water Revenues**

17 Operating Revenues from Tariffed Services

18 Other Revenues

19 **TOTAL REVENUES** (Page W-2, line 24)

**	MO Jurisdictional	**	**	Total Company	**
	\$ 224,163			\$ 224,163	
				\$ -	
	\$ 224,163			\$ 224,163	

Total MO Jurisdictional Revenue (line 19 above) should match Statement of Revenue (MoPSC Assessment).

**Sewer Revenues**

20 Operating Revenues from Tariffed Services

21 Other Revenues

22 **TOTAL REVENUES** (Page S-2, line 21)

**	MO Jurisdictional	**	**	Total Company	**
	\$ 752,306			\$ 752,306	
	\$ 2,046			\$ 2,046	
	\$ 754,352			\$ 754,352	

Total MO Jurisdictional Revenue (line 22 above) should match Statement of Revenue (MoPSC Assessment).

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For the calendar year of January 1 - December 31, 2012  
Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**CAPITAL STOCK (COMMON AND DEFERRED)**

Class and Series of Stock (a)	Number of Shares Authorized (b)	Par or Stated Value Per Share (c)	Number of Shares Issued (d)
	150,000	\$ 1.00	150,000

**SECURITY HOLDERS AND VOTING POWERS**

Report below the NAMES and ADDRESS of the ten stockholders who, at the end of the year, had the greatest voting powers in the respondent. AND STATE THE NUMBER OF VOTES each would have had a right to cast on that date. If any such holder held in trust, give the nature of the trust and the beneficial owner. Show also total votes of ALL securities with voting powers.

Names and Addresses (a)	Number of Votes (b)
RPS Properties, 10777 Barkley, Suite 210, Overland Park, KS 66211	75,000
Vernon Stump, 47203 Hwy 118, Alpine, TX 79830	75,000
16 Total Number of Votes Held by Above	150,000
17 Total Number of Votes of all Securities with Voting Powers	150,000

Identify the principal or general officers of the company at the end of the year. Please include an additional sheet, if enough space is not provided on this page, to completely provide the requested information.

Title of General Officer	Name of Person Holding Office
18 President	Vernon Stump
19 Secretary	Brian Schwermann
20	
21	
22	
23	

Please check all of the following for which the company has filed / is current:

- 27 ☒ Secretary of State Requirements ☒ Federal Tax Return  
28 ☒ Department of Natural Resources Permits ☒ State Tax Return

29 DNR Permit Number: See Attached List (If you hold more than one permit, please attach list.)

30 Original DNR Permit Date: \_\_\_\_\_

31 DNR Permit Expiration Date: \_\_\_\_\_

Please list your most recent rate increase request Case No(s). and effective date(s).

32 Water Case No.: SR-2010-0110 Effective Date: 9/6/2010  
33 Sewer Case No.: WR-2010-0111 Effective Date: 9/6/2010

For the calendar year of January 1 - December 31, 2012

LAKE REGION WATER & SEWER COMPANY, INC.

	Permit Number	Begin	Expire
1	MO-0123226	05/11/12	05/11/17
2	MO-0113689	12/15/08	12/14/13
3	MO-0082171	04/16/12	04/15/17
4	MO-0118605	04/24/09	04/23/14
5	MO-0102628	11/26/07	11/25/12
6	MO-0123722	06/29/10	06/28/15
7	MO-0119768	02/01/13	12/31/16
8	MO-0103292	12/12/12	12/19/16
9	MO-3031201	03/26/13	N/A

1 For the calendar year of January 1 - December 31, 2012

2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

Describe MAJOR transactions occurring during the year which will have a major effect on operations, such as rate changes, replacement of equipment and other abnormal cash expenditures of \$250 or more.

3 Company was approved to borrow up to \$2,000,000 in File No. WF-2013-0118. Company drew

4 \$1,400,000 on June 18.

5 Well #2 lightning repair: \$6,039.86 (net after insurance payment)

6 Blowoff repair at Grand Point Island: \$573.16

7 Fire hydrant repair at Magnolia Point: \$1,457.88

8 Lodge WWTP repairs: \$2,844.15

9 Grandview WWTP Acquisition: \$40,932.48 97 customers

10 Grandview WWTP Repair: \$379.27

11 Lift Station #13 Pump: \$2,958.18

12 Horseshoe Bend Sewer Equipment Maintenance: \$6,223.87

13 Shawnee Bend Sewer Equipment Maintenance: \$11,151.07

14 Computer/Software Upgrade: \$1,888.86

15 Truck Repairs: \$11,413.67

16 Maywood WWTP Acquisition: \$1378 small condominium complex billed as one customer

17 Notice of case filing for WR-2013-0461 & SR-2013-0459 filed April 15, 2013 in anticipation of

18 filing a general rate increase to comply with Ordered 5. in Cases SR-2010-0110 & WR-2010-0111

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1 For the calendar year of January 1 - December 31, 2012

2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

NOTE: Please do not type over formulas. Totals will calculate automatically in this spreadsheet.

**BALANCE SHEET**  
**WATER AND SEWER OPERATIONS**  
**ASSETS**

	Account Description (a)	**	Amount (b)	**
3	Water Plant In Service (From Pg. W-5)		\$ 4,394,075	
4	LESS: Water Depreciation Reserve (From Pg. W-6)		\$ 844,315	
5	Net Water Plant in Service (i.e., Water Plant In Service MINUS Water Depreciation Reserve)		\$ 3,549,760	
6	Water Materials and Supplies (From Pg. 11)		\$ 20,146	
7	Water Construction Work in Progress		\$ -	
8	Water Plant Held for Future Use (List explanation on Page 4a)		\$ 7,915	
9	Water Plant Acquisition Adjustment			
10	Sewer Plant in Service (From Pg. S-4)		\$ 6,363,475	
11	LESS: Sewer Depreciation Reserve (From Pg. S-5)		\$ 2,512,174	
12	Net Sewer Plant in Service (i.e., Sewer Plant in Service MINUS Sewer Depreciation Reserve)		\$ 3,851,301	
13	Sewer Materials and Supplies (From Pg. 11)		\$ 13,952	
14	Sewer Construction Work in Progress		\$ 24,203	
15	Sewer Plant Held for Future Use (List explanation on Page 4a)		\$ -	
16	Sewer Plant Acquisition Adjustment		\$ -	
17	Other Plant (List explanation on Page 4a)		\$ -	
18	Cash		\$ 46,573	
19	Other Assets (Accounts Receivable, etc.) (List explanation on Page 4a)		\$ 324,234	
20	<b>Total Assets*</b>		<b>\$ 7,838,083</b>	

\* Total Assets should balance with Total Equity and Liabilities on Page 5 (see instructions). Difference between Equity & Liabilities and Assets (from Pg 5).

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**DETAILED EXPLANATION OF ITEMS**

3 Page 4, Line 8 Water Plant Held for Future Use \$ 7,915

** Description	Amount	**
Land	\$ 7,915	
Total (should match amount on Page 4, Line 8)	\$ 7,915	

4 Page 4, Line 15 Sewer Plant Held for Future Use

** Description	Amount	**
Total (should match amount on Page 4, Line 15)		

5 Page 4, Line 17 Other Plant

** Description	Amount	**
Total (should match amount on Page 4, Line 17)		

5 Page 4, Line 19 Other Assets \$ 324,234

** Description	Amount	**
Accounts Receivable	\$ 323,107	
Missouri Dept of Revenue Deposit	\$ 400	
Chlorine Bottle Deposits	\$ 727	
Total (should match amount on Page 4, Line 19)	\$ 324,234	

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**NOTE:** Please do not type over formulas. Totals will calculate automatically in this spreadsheet.

**BALANCE SHEET**  
**WATER AND SEWER OPERATIONS**  
**EQUITY AND LIABILITIES**

	Account Description (a)	**	Amount (b)	**
3	Capital Stock	\$	3,395,068	
4	Retained Earnings	\$	(1,331,792)	
5	Long-Term Debt to Affiliates (owners, other owner controlled companies, etc.)	\$	-	
6	Short-Term Debt to Affiliates (owners, other owner controlled companies, etc.)	\$	781	
7	Long-Term Debt (banks, etc.)	\$	1,915	
8	Short-Term Debt (banks, etc.)	\$	-	
9	Water Customer Deposits	\$	-	
10	Water Advances for Construction	\$	409,875	
11	Water CIAC (From Pg. 9)	\$	2,787,513	
12	LESS: Water Amortization of CIAC (From Pg. 9)	\$	563,775	
13	Net Water CIAC (i.e., Water CIAC MINUS Water Amortization of CIAC)	\$	2,223,738	
14	Sewer Customer Deposits	\$	-	
15	Sewer Advances for Construction	\$	-	
16	Sewer CIAC (From Pg. 9)	\$	3,667,784	
17	LESS:	\$	734,850	
18	Net Sewer CIAC (i.e., Sewer CIAC Minus Sewer Amortization of CIAC)	\$	2,932,934	
19	Deferred Taxes - ITC	\$	(96,668)	
20	Deferred Taxes - Other (List explanation on Page 5a)	\$	-	
21	Other Liabilities (Accounts Payable, etc.) (List explanation on Page 5a)	\$	302,232	
22	<b>Total Equity and Liabilities*</b>	\$	7,838,083	

\* Total Equity and Liabilities should balance with Total Assets on Page 4 (see instructions).  
 Difference between Equity & Liabilities and Assets (from Pg 4).



1 For the calendar year of January 1 - December 31, 2012  
2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**DETAILED EXPLANATION OF ITEMS**

3 Page 5, Line 20

Deferred Taxes - Other

** Description	Amount	**
Total (should match amount on Page 5, Line 20)		

4 Page 5, Line 21

Other Liabilities (Accounts Payable, etc.)

\$ 302,232

** Description	Amount	**
Accounts Payable	\$ 301,729	
Customer Refunds	\$ 233	
Sales Taxes Payable	\$ 270	
Total (should match amount on Page 5, Line 21)	\$ 302,232	

(To be used when filing under seal.)

**SCHEDULE OF UNCOLLECTIBLE ACCOUNTS**

Account No. (a)	Describe customer account and methods used to attempt collection. Also, state why account is deemed uncollectible (bankruptcy, etc.) (b)		Amount Written Off		
			Water Utility (c)	Sewer Utility (d)	
3	None				
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
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17					
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22					
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24					
25					
26					
27					
28					
29					
30					
31					
<b>Total</b>					
			(Total to Pg. W-1)	(Total to Pg. S-1)	

2

LAKE REGION WATER & SEWER COMPANY, INC.

**PAYROLL INFORMATION**

**INSTRUCTIONS:** Please provide names, titles and salaries for all officers and employees. Show total compensation paid to each during the year. Include all amounts including bonuses and other allowances. Enter "0" or none where applicable. Provide explanations where necessary. Use additional sheets if necessary.

Name and Title (a)		Payroll Charged To:			
		Total Utility Compensation (b)	Water Expense (c)	Sewer Expense (d)	Capitalized Payroll (e)
3	Vernon Stump, President	\$ -	\$ -	\$ -	\$ -
4	Brian Schwermann, Secretary	\$ -	\$ -	\$ -	\$ -
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19	Total	\$ -	\$ -	\$ -	\$ -
		(Total to Page S-1)			
		(Total to Page S-1)			

1

For the calendar year of January 1 - December 31, 2012

2 Company Name:

LAKE REGION WATER &amp; SEWER COMPANY, INC.

**PAYMENTS FOR SERVICES RENDERED BY OTHER THAN EMPLOYEES**

INSTRUCTIONS: Report below all information concerning rate, management, construction, engineering, research, financial, valuation, legal, accounting, purchasing, advertising, labor relations, public relations, or other similar professional services rendered the respondent under written or verbal arrangements, for which total payments during the year to any corporation, partnership, individual (other than for services as an employee) or organization of any kind whatsoever. Use additional sheets if necessary.

Name of Recipient and Description of Service (a)	Amount of Payments:			
	Water		Sewer	
	Expensed (b)	Capitalized (c)	Expensed (d)	Capitalized (e)
3 Beck & Company, LC-Accounting	\$ 3,624		\$ 10,576	
4 Newman, Comley & Ruth-Attorney	\$ 8,483		\$ 16,974	
5 Camden County PWSD4-Labor	\$ 58,268	\$ 4,400	\$ 178,432	\$ 8,650
6 Lake Utility Availability-Executive Management	\$ 59,800		\$ 170,200	
7 Missouri One Call- underground locates	\$ 113		\$ 320	
8 Handy Jon-Sanitation	\$ 206		\$ 586	
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
Total	\$ 130,493	\$ 4,400	\$ 377,089	\$ 8,650
	(Total to Page W-1)		(Total to Page S-1)	

8 Outside Svcs

(To be used when filing under seal.)

**CONTRIBUTIONS IN AID OF CONSTRUCTION**

INSTRUCTIONS: This account shall include donations or contributions in cash, services, or property for construction purposes. The records supporting the entries to this account shall be so kept that the utility can furnish information as to the purpose of each donation, the conditions, if any, upon which it was made, the amount of each donation, and the amount applicable to each utility department. The credits (deductions) to this account shall not be transferred to any other account without the approval of the Commission.

	(a)	Water (b)	Sewer (c)
3	Balance at Beginning of Year	\$ 2,783,258	\$ 3,666,384
4	PLUS: Additions During the Year (Please provide a detailed explanation.)		
5	New Services	\$ 4,255	\$ 1,400
6			
7			
8			
10	Total Additions		
11	LESS: Deductions During the Year (Please provide a detailed explanation.)		
12			
13			
14	Retire and cap off service connection, but no connection fee money returned = no entry here		
15			
16	Total Deductions		
17	Balance at End of Year	\$ 2,787,513 (Total to Pg. 5)	\$ 3,667,784 (Total to Pg. 5)

**AMORTIZATION OF CONTRIBUTIONS IN AID OF CONSTRUCTION**

(Please identify as Account Number 271A)

**Distribution Method**

	(a)	Water (b)	Sewer (c)
18	Balance at First of Year (Total of Amortization not Total of CIAC line 3)	\$ 508,066	\$ 661,458
19	Total Contributions in Aid at End of Year (see above)	\$ 2,787,513	\$ 3,667,784
20	Total Plant in Service at End of Year (From Page W-5 or S-4)	\$ 4,394,075	\$ 6,363,475
21	Percentage Contributions to Plant	63%	58%
22	Total Depreciation Expense (From Page W-6 or S-5)	\$ 91,449	\$ 236,345
23	Total Amortization of Contributions	\$ 55,708	\$ 73,392
24	Balance at End of Year	\$ 563,775 (Total to Pg. 5)	\$ 734,850 (Total to Pg. 5)

**OR**

**Attached Method**

	(a)	Water (b)	Sewer (c)
25	Balance at First of Year (Total of Amortization not Total of CIAC line 3)		
26	Total Amortization of Contributions		
27	Balance at End of Year		
		(Total to Pg. 5)	(Total to Pg. 5)

2

LAKE REGION WATER & SEWER COMPANY, INC.

**INTEREST EXPENSE, NOTES PAYABLE, BONDS, BANK LOANS AND OTHER DEBTS**

**INSTRUCTIONS:** Please report information for each separate item of debt. Show principal amount to which each interest rate applies. Include all items on which interest was paid during the year. Use additional sheets if necessary.

Description of Obligation (Include Name and Address of Each Lender) (a)	Origination Date (b)	Frequency of Payments (Semi-Monthly, Monthly, Quarterly, Annually, etc.) (c)	Date of Maturity (d)	Loan Amount (e)	Interest Rate (f)	Is Interest Rate Fixed or Variable? (g)	Paid (h)	Interest Charged To:	
								Water Utility (i)	Sewer Utility (i)
Central Bank Lake Ozark, Pump Truck	6/10/08	Monthly	5/19/13	\$ 30,152.00	6.00%	V	\$ 6,859	\$ 89	\$ 252
Central Bank Lake Ozark, Pickup Truck	11/18/08	Monthly	9/17/12	\$ 18,332.00	6.00%	V	\$ 3,588	(4)	(11)
P.O. Box 207									
Osage Beach, MO 65065									
Interest rates are variable tied to prime rate.									
Minimum rate is 6%.									
Total				\$ 48,484			\$ 10,447	\$ 85	\$ 241
								(Total to Pg. W-1)	(Total to Pg. S-1)

22. If the answer to column (g) is variable, please explain the method used for the interest rate calculation below with corresponding line number from above. For both loans interest rates are variable tied to prime rate and the minimum rate is 6%.

## 10 Debt

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2012

2 Company Name: \_\_\_\_\_

LAKE REGION WATER &amp; SEWER COMPANY, INC.

**SCHEDULE OF MATERIALS AND SUPPLIES AVAILABLE AT THE END OF THE YEAR**

Description of Items (Pipe, Meters, Fittings, Valves, Gas, etc.) (a)	Water		Sewer	
	Quantity (b)	Amount (c)	Quantity (d)	Amount (e)
3 Elbows	47	\$ 1,702	27	\$ 719
4 Gate Valves	3	\$ 1,319	3	\$ 1,024
5 Hymax Couplings	3	\$ 518	4	\$ 668
6 Tees	28	\$ 902	-	
7 Wyes	37	\$ 2,414	-	
8 Pipe	137	\$ 520	-	
9 Meters	10	\$ 8,306	-	
10 Air Release	-		2	\$ 1,740
11 Sewer Pumps	-		5	\$ 6,957
12 Flush Hydrant	1	\$ 427	-	
13 Check Valves	-	\$ -	34	\$ 1,180
14 Other	302	\$ 4,038	34	\$ 1,665
15				
16				
17				
18				
19				
20				
21				
22				
23				
24				
25 Total		\$ 20,146		\$ 13,952
		(Total to Pg. 4)		(Total to Pg. 4)

1

For the calendar year of January 1 - December 31, 2012

2 Company Name:

LAKE REGION WATER &amp; SEWER COMPANY, INC.

**WATER OPERATING REVENUES, EXPENSES AND STATISTICS**

(a)	Amount (b)
3 Total Operating Revenues (From Page W-2)	\$ 224,163
<u>Operating Expenses</u>	
4 Salaries & Wages (From Page 7)	\$ -
5 Employee Pensions and Benefits	\$ -
6 Purchased Water	\$ -
7 Plant Operations Expenses (From Page W-3)	\$ 26,712
8 Billing Expenses	\$ 1,055
9 Supplies and Expenses	\$ 961
10 Transportation Expenses	\$ 12,328
11 Rent Expense (List explanation on Page W-1a)	\$ 7,869
12 Insurance Expense	\$ 2,256
13 Outside Services Employed (i.e., Legal, Accounting, etc.) (From Page 8)	\$ 134,893
14 Regulatory Commission Expenses	\$ 1,273
15 Uncollectible Expenses (From Page 6)	\$ -
16 Depreciation Expense (From Page W-6)	\$ 91,449
17 Amortization of Contributions in Aid of Construction (Page 9)	\$ (55,708)
18 Amortization Expense	\$ -
19 Tax Expenses (From Page W-3)	\$ 967
20 Interest Expense (From Page 10)	\$ 85
21 Other Expenses (List explanation on Page W-1a)	\$ 24,731
22 Total Operating Expenses	\$ 248,871
23 Net Income (Loss)	\$ (24,708)



1 For the calendar year of January 1 - December 31, 2012  
2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**DETAILED EXPLANATION OF ITEMS**

3 Page W-1, Line 11

Rent Expense \$ 7,869

\*\* Description Amount \*\*

Equipment Rental	\$ 4,500
Building Rental	\$ 3,369
Total (should match amount on Page W-1, Line 11)	\$ 7,869

4 Page W-1, Line 21

Other Expenses \$ 24,731

\*\* Description Amount \*\*

Telephone	\$ 933
Licenses & Permits	\$ 491
Write off old Construction Project uncompleted	\$ 9,750
Office Supplies	\$ 8,233
Travel & Ent, Customer Refunds & Misc	\$ 5,324
Total (should match amount on Page W-1, Line 21)	\$ 24,731

**WATER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

(Please indicate if metered amounts are in cubic feet measurements.)

(a)		No. of Customers		Gallons Sold 000's Omitted (d)	Revenue Amount (e)
		Beginning of Year (b)	End of Year (c)		
<u>Unmetered Sales of Water</u>					
3	Residential - Single Family			XXXX	
4	Residential - Apartments			XXXX	
5	Residential - Mobile Homes			XXXX	
6	Commercial			XXXX	
7	Other Sales to Public Authorities			XXXX	
8	Other (List explanation on page W-2a)			XXXX	
9	Total Unmetered Sales				
<u>Metered Sales of Water</u>					
10	5/8" Meter	579	584	48,354	\$ 161,772
11	3/4" Meter	-	-	-	\$ -
12	1" Meter	21	22	2,435	\$ 10,136
13	1 1/2" Meter	24	25	5,645	\$ 17,594
14	2" Meter	15	17	1,886	\$ 15,643
15	Other (List explanation on page W-2a)	4	4	2,728	\$ 13,105
16	Total Metered Sales	643	652	61,048	\$ 218,250
<u>Other Operating Revenues</u>					
17	Late Payment Fees				\$ 4,485
18	Inspection Fees				\$ -
19	Reconnect Fees				\$ -
20	Rent Income				\$ -
21	Income from Merchandising, Jobbing & Contract Work (List explanation on page W-2a)				\$ 1,428
22	Other Revenue (List explanation on page W-2a)				
23	Total Other Operating Revenues				\$ 5,913
24	Total Operating Revenues *				\$ 224,163
(Total to Pg. W-1)					

\* Total Operating Revenues should match Statement of Revenue (MOPSC Assessment).

2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

Other \_\_\_\_\_

\*\*\*

Description	
Total (should match amount on Page W-2, Line 8)	

Other	\$	13,105
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Description	
Meters larger than 2"	\$ 13,105
Total (should match amount on Page W-2, Line 15)	\$ 13,105

### Income (Merchandising, Jobbing, & Contract Work)

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Description	
Total (should match amount on Page W-2, Line 21)	

Other Revenue	\$	1,429
---------------	----	-------

\$	1,429
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\*.

Returned Checks & Discounts	\$	334
Inventory Billed to Contractors for Repairs	\$	527
Equipment & Labor Billed to Contractors for Repairs	\$	568
Total (should match amount on Page W-2, Line 22)	\$	1,429

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**WATER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

(a)	Amount (b)
<u>Plant Operations Expenses</u>	
3 Repairs of Water Plant - Pump Repair	\$ -
4 Repairs of Water Plant - Well Repair	\$ 175
5 Repairs of Water Plant - Water Line Repair	\$ 7,354
6 Repairs of Water Plant - Equipment Repair	\$ -
7 Repairs of Water Plant - Other (List explanation on Page W-3a)	\$ 1,053
8 Fuel or Power Purchases for Pumping (i.e., Electric Bills, etc.)	\$ 15,623
9 Chemicals	\$ 602
10 Water Testing Expenses	\$ 1,905
11 Other Plant Operations Expenses (List explanation on Page W-3a)	\$ -
12 Total Plant Operations Expenses	\$ 26,712
	(Total to Page W-1)
<u>Tax Expenses</u>	
13 Tax Expense - Property Taxes	\$ 967
14 Tax Expense - Payroll Taxes	
15 Tax Expense - Franchise Taxes	
16 Tax Expense - Other Taxes (List explanation on Page W-3a)	
17 Tax Expense - Federal Income Taxes	
18 Tax Expense - State Income Taxes	
19 Tax Expense - Investment Tax Credits	
20 Total Tax Expenses	\$ 967
	(Total to Page W-1)

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**DETAILED EXPLANATION OF ITEMS**

3 Page W-3, Line 7 Repairs of Water Plant - Other \$ 1,053

** Description	Amount
Blowoff Hydrant Repair	\$ 573
Fire Hydrant Repair	\$ 480
Total (should match amount on Page W-3, Line 7)	\$ 1,053

4 Page W-3, Line 11 Other Plant Operation Expenses

** Description	Amount
Total (should match amount on Page W-3, Line 11)	

5 Page W-3, Line 16 Tax Expense - Other Taxes

** Description	Amount
Total (should match amount on Page W-3, Line 16)	

1

For the calendar year of January 1 - December 31, 2012

2 Company Name:

LAKE REGION WATER &amp; SEWER COMPANY, INC.

**PUMPING AND PURCHASED WATER STATISTICS**

(Omit 000's in reporting number of gallons or cubic feet of water. Use additional sheets if necessary.)

Gallons Pumped into System	Source of Supply (Please describe source below.)				Total of all Methods (a+b+c+d=e) (e)
	Deep Water Wells, Information in Gallons				
	Well #1 (a)	Well #2 (b)	(c)	(d)	
8 January	2,106	-			2,106
9 February	1,868	5			1,873
10 March	2,064	-			2,064
11 April	1,359	2,915			4,274
12 May	1,916	5,953			7,869
13 June	6,070	3,268			9,338
14 July	7,328	5,603			12,931
15 August	5,263	4,465			9,728
16 September	653	4,718			5,371
17 October	660	2,909			3,569
18 November	200	1,585			1,785
19 December	134	1,601			1,735
20 Total for Year	29,621	33,022			62,643

21 Maximum Quantity Supplied to the System in Any One Day:

934

Minimum:

8

22 Range of Pressure in the Mains as Measured at the Highest Point on System:

40-45

If Water is Sold to Other Utilities for Resale, List Names, Addresses, Phone Numbers and Quantities Below:

Name of Reseller	Address	Phone Number	Quantity
23 N/A			
24			
25			
26			

(To be used when filing under seal.)

W-4 Pump &amp; Purch

## WATER UTILITY PLANT IN SERVICE

Account Description (a)	Acct. No. (b)	Balance at Beginning of Year (c)	Additions During the Year (d)	Retirements During the Year (e)	Balance at End of Year (c+d-e) (f)
<u>Intangible Plant</u>					
3 Organization	Class B, C or D				
4 Franchise and Consents	301	\$ 39,968		\$ 8,303	\$ 31,665
5 Miscellaneous Intangible Plant	302				
	303				
<u>Source of Supply Plant</u>					
6 Land and Land Rights	310	\$ 427	\$ 101,799		\$ 102,225
7 Structures and Improvements	311	\$ 20,515	\$ 1,187		\$ 21,702
8 Collecting and Impounding Reservoirs	312				
9 Lake, River, and Other Intakes	313				
10 Wells and Springs	314	\$ 486,431	\$ 180,192		\$ 666,623
11 Infiltration Galleries and Tunnels	315				
12 Supply Mains	316				
13 Other Water Source Plant (List explanation on Page W-5a)	317				
<u>Pumping Plant</u>					
14 Land and Land Rights	320				
15 Structures and Improvements	321				
16 Boiler Plant Equipment	322				
17 Other Power Production Equipment (List explanation on Page W-5a)	323				
18 Submersible Electric Pumping	325.1	\$ 11,793			\$ 11,793
19 High Service or Booster Pumps	325.2				
20 Diesel Pumping Equipment	326				
21 Hydraulic Pumping Equipment	327				
22 Other Pumping Equipment (List explanation on Page W-5a)	328				
<u>Water Treatment Plant</u>					
23 Land and Land Rights	330				
24 Structures and Improvements	331	\$ 12,799			\$ 12,799
25 Water Treatment Equipment	332	\$ 16,564			\$ 16,564
<u>Transmission and Distribution Plant</u>					
26 Land and Land Rights	340				
27 Structures and Improvements	341				
28 Distribution Reservoirs and Standpipes	342				
29 Transmission and Distribution Mains	343	\$ 3,071,869			\$ 3,071,869
30 Fire Mains	344				
31 Services	345	\$ 274,303	\$ 32,632		\$ 306,935
32 Meters	346	\$ 95,955	\$ 2,445		\$ 98,400
33 Meter Installations	347	\$ 33,161			\$ 33,161
34 Hydrants	348				
35 Other Transmission and Distribution Plant	349				
<u>General Plant</u>					
<b>Note:</b> USOA CLASS B and C are the same					
	B & C	D			
36 Land and Land Rights	389	370			
37 Structures and Improvements	390	371			
38 Office Furniture and Equipment	391	372	\$ 4,511		\$ 4,511
39 Office Computer and Electronic Equipment	391.1	372.1	\$ 4,218	\$ 679	\$ 4,897
40 Transportation Equipment	392	373	\$ 7,739		\$ 7,739
41 Other General Equipment	none	379			
42 Stores Equipment	393	none			
43 Tools, Shop and Garage Equipment	394	none	\$ 3,192		\$ 3,192
44 Laboratory Equipment	395	none			
45 Power-operated Equipment	396	none			
46 Communication Equipment	397	none			
47 Miscellaneous Equipment	398	none			
48 Other Tangible Property (List explanation on Page W-5a)	399	none			
49 Total Water Utility Plant In Service			\$ 4,083,445	\$ 318,934	\$ 8,303
					\$ 4,394,075
					(Total to Pages 4 & 9)

**NOTE:** All entries should be supported by records that identify the property being added or retired, its location, and its original cost in as much detail as reasonably possible. If adjustments are included in Columns "d" and/or "e", use additional sheets.

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012

2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

### DETAILED EXPLANATION OF ITEMS

**3. Page W-5, Line 13**

### Other Water Source Plant

## \*\* Description

Amount

\*\*\*

Total (should match amount on Page W-5, Line 13)	

**4. Page W-5, Line 17**

## Other Power Production Equipment

## \*\* Description

Amount \*\*

Description		
	Total (should match amount on Page W-5, Line 17)	

**5. Page W-5, Line 22**

### Other Pumping Equipment

**\*\* Description**

Amount

\*\*\*

Description	
Total (should match amount on Page W-5, Line 22)	

**6. Page W-5, Line 48**

### Other Tangible Property

## \*\* Description

Amount

**\*\***

Total (should match amount on Page W-5, Line 48)	

### W-5a Explanations

(To be used when filing under seal.)



1  
2

For the calendar year of January 1 - December 31, 2012  
Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**DEPRECIATION RESERVE - WATER UTILITY PLANT**

Acct. No. (b)	Annual Depreciation Rate %*** (g)	Depreciation Expense (f*g) (h)	Reserve Balance at Beginning of Year (i)	Retirement of Property			Other Charges** (m)	Reserve Balance at END of Year (h+i-j-k+l+m) (n)
				Book Cost of Plant Retired (j)	Cost of Removal* (k)	Salvage Credit* (l)		
Class B, C or D								
301								
302								
303								
310								
311	2.50%	\$ 543	\$ 6,668					\$ 7,211
312								
313								
314	2.00%	\$ 13,332	\$ 93,200	\$ 12,283				\$ 94,248
315								
316								
317								
320								
321								
322								
323								
325.1	10.0%	\$ 1,179	\$ 12,330					\$ 13,509
325.2								
326								
327								
328								
330								
331	2.50%	\$ 320	\$ 4,160					\$ 4,480
332	2.90%	\$ 480	\$ 7,703					\$ 8,183
340								
341								
342								
343	2.00%	\$ 61,438	\$ 575,134					\$ 636,572
344								
345	2.90%	\$ 8,901	\$ 37,691					\$ 46,592
346	2.70%	\$ 2,657	\$ 9,154					\$ 11,811
347	2.90%	\$ 962	\$ 5,476					\$ 6,438
348								
349								
B & C	D							
389	370							
390	371							
391	372	5.00%	\$ 226	\$ 4,404				\$ 4,630
391.1	372.1	5.00%	\$ 245	\$ 4,443				\$ 4,688
392	373	13.00%	\$ 1,006	\$ 3,131				\$ 4,137
none	379							
393	none							
394	none	5.00%	\$ 160	\$ 1,655				\$ 1,815
395	none							
396	none							
397	none							
398	none							
Total		\$ 91,449	\$ 765,149	\$ 12,283				\$ 844,315
		(Total to Pg. 9 & Pg. W-1)						(Total to Pg. 4)

NOTE: Amounts included in Column "j" should be the same as in Column "e" on the preceding page. Also, Annual Depreciation Expense is equal to Column "f" from preceding page multiplied by Column "g" found on this page.

\* All entries included in Columns "k" and "l" should be supported by records that identify the property retired and the cost of removal or salvage is as much detail as reasonably possible.

\*\* Report the details for these entries. Use additional sheets if necessary.

\*\*\* Annual Depreciation Expense must be calculated separately. This total should be calculated based upon actual in-service and retirement date(s) of new equipment and retirements during the period.

(To be used when filing under seal.)

**PUMPS**

	Manufacturer and Type (i.e., High Service, Well, Standby, etc.)	Capacity	Date Installed	Date of Last Motor Replacement	Date of Last Pump Replacement
3	Grundfoss, Well	240 GPM	1994	2003	2005
4	Grundfoss, Well	250 GPM	1998	2012	2012
5					
6					
7					
8					
9					
10					
11					
12					

**WELLS**

13	Number:	Number:	Number:
14 Description (i.e., Deep, Artisan, Spring, etc.)	Deep	Deep	
15 Year Constructed	1994	1998	
16 Type of Construction			
17 Type of Casing	Steel	Steel	
18 Depth and Diameter of Well	634' & 8"	800' & 8"	
19 Yield of Well in Gallons per day	345,600	360,000	
20 Chemicals (i.e., Provide Type, Cost and Quantities of Each):	N/A	N/A	
21 Type -			
22 Cost -			
23 Quantity -			

2 Company Name:

LAKE REGION WATER &amp; SEWER COMPANY, INC.

**MAINS (measurement in feet)**

Kind of Pipe (i.e., cast iron, galvanized, iron, plastic, etc.) (a)	Diameter of Pipe (b)	Total at Beginning of Year (c)	Total Additions During the Year (d)	Total Removed or Abandoned During the Year (e)	Total at End of Year (f)
PVC	8"	54,000			54,000
PVC	6"	37,055			37,055
PVC	4"	3,683			3,683
PVC	2"	2,109			2,109
Total Mains		96,847			96,847

**SERVICES AVAILABLE FOR USE (from Main to Property Line)**

Size and Description by Type of Material (i.e., iron, copper, plastic, etc.) (a)	Owned by Utility				Total No. Owned by Customers at End of Year (f)
	Total No. at Beginning of Year (b)	Total No. of Additions (c)	Total No. Retired or Abandoned (d)	Total No. at End of Year (e)	
In Use: 1" Plastic CTS	689	9		698	-
For Future Use:					
Total of All Services	689	9		698	

(To be used when filling under seal.)

W-9 Mains &amp; Svcs

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**SEWER OPERATING REVENUES, EXPENSES AND STATISTICS**

(a)	Amount (b)
3 Total Operating Revenues (From Page S-2)	\$ 754,352
<u>Operating Expenses</u>	
4 Salaries & Wages (From Page 7)	\$ -
5 Employee Pensions and Benefits	\$ -
6 Purchased Water	\$ -
7 Plant Operations Expenses (From Page S-3)	\$ 136,308
8 Billing Expenses	\$ 3,080
9 Supplies and Expenses	\$ 6,342
10 Transportation Expenses	\$ 35,979
11 Rent Expense (List explanation on Page S-1a)	\$ 23,478
12 Insurance Expense	\$ 6,683
13 Outside Services Employed (i.e., Legal, Accounting, etc.) (From Page 8)	\$ 385,739
14 Regulatory Commission Expenses	\$ 62,147
15 Uncollectible Expenses (From Page 6)	\$ -
16 Depreciation Expense (From Page S-5)	\$ 236,345
17 Amortization of Contributions in Aid of Construction (Page 9)	\$ (73,392)
18 Amortization Expense	\$ -
19 Tax Expenses (From Page S-3)	\$ 2,752
20 Interest Expense (From Page 10)	\$ 241
21 Other Expenses (List explanation on Page S-1a)	\$ 29,534
22 Total Operating Expenses	\$ 855,236
23 Net Income (Loss)	\$ (100,884)

1

For the calendar year of January 1 - December 31, 2012

2 Company Name:

LAKE REGION WATER &amp; SEWER COMPANY, INC.

**DETAILED EXPLANATION OF ITEMS**

3 Page S-1, Line 11

Rent Expense \$ 23,478.33

\*\* Description

Amount

Equipment Rental	\$ 13,646.97
Building Rental	\$ 9,831.36
Total (should match amount on Page S-1, Line 11)	\$ 23,478.33

4 Page S-1, Line 21

Other Expenses \$ 29,533.74

\*\* Description

Amount

Licenses & Permits	\$ 10,828.50
Office Supplies	\$ 2,821.12
Telephone	\$ 2,721.77
Travel & Entertainment	\$ 10,729.36
Customer Refunds, Misc General & Loss on Disposal of Assets	\$ 2,432.99
Total (should match amount on Page S-1, Line 21)	\$ 29,533.74

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**SEWER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

(Please indicate if metered amounts are in cubic feet measurements.)

(a)		No. of Customers		Gallons Sold 000's Omitted (d)	Revenue Amount (e)
		Beginning of Year (b)	End of Year (c)		
<u>Flat Rate Sales</u>					
3	Residential - Single Family	741	841	XXXX	
4	Residential - Apartments	2	2	XXXX	
5	Residential - Mobile Homes			XXXX	
6	Commercial			XXXX	
7	Other Sales to Public Authorities			XXXX	
8	Other (List explanation on Page S-2a)			XXXX	
9	Total Unmetered Sales	743	843	XXXX	\$ 331,177
<u>Metered Sales Based on Water Usage</u>					
10	Commercial	18	18		\$ 317,587
11	Other Sales to Public Authorities	-	-		\$ -
12	Other (List explanation on Page S-2a)	11	11		\$ 102,158
13	Total Metered Sales	29	29		\$ 419,745
<u>Other Operating Revenues</u>					
14	Late Payment Fees				\$ 1,385
15	Inspection Fees				\$ -
16	Reconnect Fees				\$ -
17	Rent Income				\$ -
18	Income from Merchandising, Jobbing & Contract Work (List explanation on Page S-2a)				\$ -
19	Other Revenue (List explanation on Page S-2a)				\$ 2,046
20	Total Other Operating Revenues				\$ 3,430
21	Total Operating Revenues *				\$ 754,352
(Total to Pg. S-1)					

\* Total Operating Revenues should match Statement of Revenue (MOPSC Assessment)

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**DETAILED EXPLANATION OF ITEMS**

**3 Page S-2, Line 8**

Other

\*\* Description

Amount

	Total (should match amount on Page S-2, Line 8)	

**4 Page S-2, Line 12**

Other \$ 102,158

\*\* Description

Amount

	Condominium Complexes	\$ 102,158
	Total (should match amount on Page S-2, Line 12)	\$ 102,158

**5 Page S-2, Line 18**

Income (Merchandising, Jobbing, & Contract Work)

\*\* Description

Amount

	Total (should match amount on Page S-2, Line 18)	

**5 Page S-2, Line 19**

Other Revenue \$ 2,046

\*\* Description

Amount

	Return Checks & Discounts	\$ 951
	Equipment & Labor Billed to Contractors for Repairs	\$ 1,094
	Total (should match amount on Page S-2, Line 19)	\$ 2,046

S-2a Explanations



1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**SEWER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

(a)	Amount (b)
<u>Plant Operations Expenses</u>	
3 Contracted Maintenance Expenses	\$ -
4 Repairs of Sewer Plant - Pump Repair	\$ -
5 Repairs of Sewer Plant - Treatment Repair	\$ -
6 Repairs of Sewer Plant - Collecting Sewers and Manhole Repair	\$ -
7 Repairs of Sewer Plant - Equipment Repair	\$ 7,946
8 Repairs of Sewer Plant - Other (List explanation on Page S-3a)	\$ -
9 Utility Bills	\$ 54,093
10 Chemicals	\$ 30,445
11 Sludge Hauling Expenses	\$ 24,395
12 Effluent Testing Expenses	\$ 10,604
13 Other Plant Operations Expenses (List explanation on Page S-3a)	\$ 8,825
14 Total Plant Operations Expenses	\$ 136,308
	(Total to Page S-1)
<u>Tax Expenses</u>	
15 Tax Expense - Property Taxes	\$ 2,752
16 Tax Expense - Payroll Taxes	
17 Tax Expense - Franchise Taxes	
18 Tax Expense - Other Taxes (List explanation on Page S-3a)	
19 Tax Expense - Federal Income Taxes	
20 Tax Expense - State Income Taxes	
21 Tax Expense - Investment Tax Credits	
22 Total Tax Expenses	\$ 2,752
	(Total to Page S-1)

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**DETAILED EXPLANATION OF ITEMS**

**3. Page S-3, Line 8**

**Repairs of Sewer Plant - Other**

**\*\* Description** **Amount**

	Total (should match amount on Page S-3, Line 8)	

**4. Page S-3, Line 13**

**Other Plant Operations Expenses** \$

**\*\* Description** **Amount**

	Treatment Plant Supplies	\$ 1,665
	Sewer Leak Repairs	\$ 735
	Treatment Plant Compressors	\$ 441
	Lift Station Repairs	\$ 1,844
	Testing & Reporting	\$ 4,140
	Total (should match amount on Page S-3, Line 13)	\$ 8,825

**5. Page S-3, Line 18**

**Tax Expense - Other Taxes**

**\*\* Description** **Amount**

	Total (should match amount on Page S-3, Line 18)	

**SEWER UTILITY PLANT IN SERVICE**

Account Description (a)			Acct. No. (b)		Balance at Beginning of Year (c)	Additions During the Year (d)	Retirements During the Year (e)	Balance at End of Year (c+d-e) (f)
			CLASS B	CLASS C & D				
<u>Intangible Plant</u>								
3	Organization	301	301	\$ 24,424	\$ 8,304		\$ 32,728	
4	Franchise and Consents	302	302					
5	Miscellaneous Intangible Plant	303	303					
<u>Land and Structures</u>								
6	Land and Land Rights	none	310	\$ 5,985.00			\$ 5,985	
7	Structures and Improvements	none	311	\$ 4,650.00			\$ 4,650	
<u>Collection Plant</u>								
8	Land and Land Rights	350	none					
9	Structures and Improvements	351	none					
10	Collection Sewer - Force	352.1	352.1	\$ 3,112,074	\$ 35,073		\$ 3,147,147	
11	Collection Sewer - Gravity	352.2	352.2	\$ 162,667			\$ 162,667	
12	Other Collection Plant Facilities (List explanation on Page S-4a)	353	353					
13	Services to Customers	354	354	\$ 222,783	\$ 6,768		\$ 229,551	
14	Flow Measuring Devices	355	355	\$ 29,999			\$ 29,999	
<u>Pumping Plant</u>								
15	Land and Land Rights	360	none					
16	Structures and Improvements	361	none					
17	Receiving Wells and Pump Pits	362	362	\$ 258,042			\$ 258,042	
18	Pumping Equipment	363	363	\$ 566,145	\$ 16,086		\$ 582,231	
<u>Treatment and Disposal</u>								
19	Land and Land Rights	370	none					
20	Structures and Improvements	371	none					
21	Oxidation Lagoon	none	372					
22	Treatment and Disposal Equipment	372	373	\$ 1,031,569	\$ 8,150		\$ 1,039,719	
23	Sewer Collection (Septic) Tanks	372.1	373.1					
24	Plant Sewer	373	374	\$ 310,868	\$ 45,472		\$ 356,340	
25	Outfall Sewer Lines	374	375					
26	Other Treatment and Disposal Plant Equipment (List explanation on Page S-4a)	375	376	\$ 571,498		\$ 146,430	\$ 425,068	
<u>General Plant</u>								
27	Land and Land Rights	389	none					
28	Structures and Improvements	390	none					
29	Office Furniture and Equipment	391	391	\$ 6,378	\$ 595		\$ 6,973	
30	Computer and Electronic Office Equipment	391.1	391.1	\$ 7,116	\$ 455		\$ 7,571	
31	Transportation Equipment	392	392	\$ 53,988	\$ 3,075		\$ 57,063	
32	Other General Equipment (List explanation on Page S-4a)	none	393					
33	Stores Equipment	393	None					
34	Tools Shop and Garage Equipment	394	None	\$ 4,934			\$ 4,934	
35	Laboratory Equipment	395	None	\$ 1,284			\$ 1,284	
36	Power Operated Equipment	396	None	\$ 11,523			\$ 11,523	
37	Communications Equipment	397	None					
38	<b>Total Sewer Utility Plant In Service</b>			<b>\$ 6,385,927</b>	<b>\$ 123,978</b>	<b>\$ 146,430</b>	<b>\$ 6,363,475</b>	
				(Total to Pgs 4 & 9)				

NOTE: All entries should be supported by records that identify the property being added or retired, its location, and its original cost in as much detail as reasonably possible. If adjustments are included in Columns "d" and/or "e", please explain below. Use additional sheets if necessary.

39 Explanation:

For the calendar year of January 1 - December 31, 2012

LAKE REGION WATER & SEWER COMPANY, INC.

## DETAILED EXPLANATION OF ITEMS

## Other Collection Plant Facilities

Amount

	Total (should match amount on Page S-4, Line 12)	

### Other Treatment & Disposal Plant Equipment

\$	425,068
----	---------

Amount

Treatment Plant Upgrades & Repairs	\$ 571,498.00
Closing of Lagoon	\$ (146,430.00)
Total (should match amount on Page S-4, Line 26)	

### Other General Equipment

Amount

	Total (should match amount on Page S-4, Line 32)	

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2012

2

Company Name:

LAKE REGION WATER &amp; SEWER COMPANY, INC.

**DEPRECIATION RESERVE - SEWER UTILITY PLANT**

	Acct. No. (b)		Annual Depreciation Rate % (g)	Annual Depreciation Expense (f*g) (h)***	Reserve Balance at Beginning of Year (i)	Retirement of Property			Other Charges (m)**	Reserve Balance at End of Year (h+i-j-k+l+m) (n)
	CLASS B	CLASS C & D				Book Cost of Plant Retired (j)	Cost of Removal (k)*	Salvage Credit (l)*		
3	301	301								
4	302	302								
5	303	303								
6	none	310								
7	none	311	3.00%	\$ 140	\$ 1,235					\$ 1,375
8	350	none								
9	351	none								
10	352.1	352.1	2.00%	\$ 62,943	\$ 577,947					\$ 640,890
11	352.2	352.2	2.00%	\$ 3,253	\$ 63,266					\$ 66,519
12	353	353								
13	354	354	2.00%	\$ 4,591	\$ 28,000					\$ 32,591
14	355	355	3.30%	\$ 990	\$ 6,425					\$ 7,416
15	360	none								
16	361	none								
17	362	362	5.00%	\$ 12,902	\$ 146,068					\$ 158,970
18	363	363	10.00%	\$ 58,224	\$ 382,039					\$ 440,263
19	370	none								
20	371	none								
21	none	372								
22	372	373	4.50%	\$ 46,787	\$ 797,707					\$ 844,493
23	372.1	373.1								
24	373	374	4.50%	\$ 16,035	\$ 105,117					\$ 121,152
25	374	375								
26	375	376	5.00%	\$ 21,253	\$ 201,498	\$ 91,650.00				\$ 131,102
27	389	none								
28	390	none								
29	391	391	5.00%	\$ 349	\$ 6,871					\$ 7,219
30	391.1	391.1	5.00%	\$ 378	\$ 6,790					\$ 7,169
31	392	392	13.00%	\$ 7,417	\$ 34,754					\$ 42,171
32	none	393								
33	393	None								
34	394	None	5.00%	\$ 247	\$ 2,568					\$ 2,815
35	395	None	5.00%	\$ 64	\$ 807					\$ 871
36	396	None	6.70%	\$ 772	\$ 6,386					\$ 7,158
37	397	None								
38	Total			\$ 236,345	\$ 2,367,478	\$ 91,650.00				\$ 2,512,174
				(Total to Pg 9 & Pg S-1)						(Total to Pg. 4)

**GENERAL INFORMATION**

3 Treatment Facilities - Please describe process.

Racquet Club WWTF #MO-00102628, extended aeration

4 Was your treatment plant: ☒ constructed in place OR ☐ purchased as a package unit?

5 Were your lift stations: ☐ constructed in place OR ☐ purchased as a package unit?

6 What is the designated capacity of your treatment facilities? 292,500 GPD

7 What percent of designed capacity is currently being utilized?

8 Please describe the treatment process for liquid waste.

Extended Aeration

9 Please describe the treatment process for waste solids.

Aerobic Digestion

10 Where is the point of discharge for liquid waste?

Receiving stream is Lake of the Ozarks

11 What is the ultimate disposal of waste solids and how obtained?

Pumped out and transferred to Lake of the Ozarks Regional Treatment Plant

12 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken.

N/A

**COLLECTING SEWERS (measurement in feet)**

	Kind of Pipe (i.e. Cast Iron, VCP, PVC, etc.) (a)	Diameter of Pipe (b)	Total No. at Beginning of Year (c)	Total No. of Additions During the Year (d)	Removed or Abandoned During the Year (e)	Total No. at End of Year (f)
13	Force: PVC	4"	800			800
14						
15	Gravity: PVC	8"	8114			8114
16						
17						

**LIFT STATIONS**

	Pumps: Name, Size, Type	Location	H.P.	GPM	TDH
18					
19					
20					

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**GENERAL INFORMATION**

3 Treatment Facilities - Please describe process.

Lodge WWTF #MO-0113689, extended aeration

4 Was your treatment plant: ☒ constructed in place OR ☐ purchased as a package unit?

5 Were your lift stations: ☐ constructed in place OR ☐ purchased as a package unit?

6 What is the designated capacity of your treatment facilities? 326,500 GPD

7 What percent of designed capacity is currently being utilized?

8 Please describe the treatment process for liquid waste.

Extended Aeration with flow equalization

9 Please describe the treatment process for waste solids.

Aerobic Digestion with sludge thickener

10 Where is the point of discharge for liquid waste?

Receiving stream is Lake of the Ozarks

11 What is the ultimate disposal of waste solids and how obtained?

Pumped out and transferred to Lake of the Ozarks Regional Treatment Plant

12 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken.

N/A

**COLLECTING SEWERS (measurement in feet)**

	Kind of Pipe (i.e. Cast Iron, VCP, PVC, etc.) (a)	Diameter of Pipe (b)	Total No. at Beginning of Year (c)	Total No. of Additions During the Year (d)	Removed or Abandoned During the Year (e)	Total No. at End of Year (f)
13 Force:						
14						
15 Gravity:		10"	10			10
16						
17						

**LIFT STATIONS**

	Pumps: Name, Size, Type	Location	H.P.	GPM	TDH
18	Flygt #CP436-3	WWTF	3	500	15"
19					
20					

(To be used when filling under seal.)

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**GENERAL INFORMATION**

3 Treatment Facilities - Please describe process.

Blackhawk Estates WWTF #MO-0123226, recirculating sand filter

4 Was your treatment plant: ☒ constructed in place OR ☐ purchased as a package unit?

5 Were your lift stations: ☐ constructed in place OR ☐ purchased as a package unit?

6 What is the designated capacity of your treatment facilities? 1,387 GPD

7 What percent of designed capacity is currently being utilized?

8 Please describe the treatment process for liquid waste.

Septic tank/recirculating sand filter

9 Please describe the treatment process for waste solids.

10 Where is the point of discharge for liquid waste?

Receiving stream is Lake of the Ozarks

11 What is the ultimate disposal of waste solids and how obtained?

Pumped out and transferred to Lake of the Ozarks Regional Treatment Plant

12 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken.

N/A

**COLLECTING SEWERS (measurement in feet)**

	Kind of Pipe (i.e. Cast Iron, VCP, PVC, etc.) (a)	Diameter of Pipe (b)	Total No. at Beginning of Year (c)	Total No. of Additions During the Year (d)	Removed or Abandoned During the Year (e)	Total No. at End of Year (f)
13	Force:					
14						
15	Gravity:					
16						
17						

**LIFT STATIONS**

	Pumps: Name, Size, Type	Location	H.P.	GPM	TDH
18					
19					
20					



2 Company Name: LAKE REGION WATER &amp; SEWER COMPANY, INC.

**GENERAL INFORMATION**

3 Treatment Facilities - Please describe process.

Maywood WWTF #MO-0103292, extended aeration

4 Was your treatment plant: ☒ constructed in place OR ☐ purchased as a package unit?5 Were your lift stations: ☐ constructed in place OR ☐ purchased as a package unit?

6 What is the designated capacity of your treatment facilities? 12,800 GPD

7 What percent of designed capacity is currently being utilized?

8 Please describe the treatment process for liquid waste.

Extended Aeration

9 Please describe the treatment process for waste solids.

Aerobic digestion

10 Where is the point of discharge for liquid waste?

Receiving stream is Lake of the Ozarks

11 What is the ultimate disposal of waste solids and how obtained?

Pumped out and transferred to Lake of the Ozarks Regional Treatment Plant

12 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken.

N/A

**COLLECTING SEWERS (measurement in feet)**

	Kind of Pipe (i.e. Cast Iron, VCP, PVC, etc.) (a)	Diameter of Pipe (b)	Total No. at Beginning of Year (c)	Total No. of Additions During the Year (d)	Removed or Abandoned During the Year (e)	Total No. at End of Year (f)
13 Force:						
14						
15 Gravity:						
16						
17						

**LIFT STATIONS**

	Pumps: Name, Size, Type	Location	H.P.	GPM	TDH
18					
19					
20					

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**GENERAL INFORMATION**

3 Treatment Facilities - Please describe process.

Grandview Amenities WWTF #MO-0119768, extended aeration

4 Was your treatment plant: ☒ constructed in place OR ☐ purchased as a package unit?

5 Were your lift stations: ☐ constructed in place OR ☐ purchased as a package unit?

6 What is the designated capacity of your treatment facilities? 50,000 GPD

7 What percent of designed capacity is currently being utilized?

8 Please describe the treatment process for liquid waste.

Extended Aeration

9 Please describe the treatment process for waste solids.

Aerobic digestion

10 Where is the point of discharge for liquid waste?

Receiving stream is Lake of the Ozarks

11 What is the ultimate disposal of waste solids and how obtained?

Pumped out and transferred to Lake of the Ozarks Regional Treatment Plant

12 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken.

N/A

**COLLECTING SEWERS (measurement in feet)**

	Kind of Pipe (i.e. Cast Iron, VCP, PVC, etc.) (a)	Diameter of Pipe (b)	Total No. at Beginning of Year (c)	Total No. of Additions During the Year (d)	Removed or Abandoned During the Year (e)	Total No. at End of Year (f)
13	Force:					
14						
15	Gravity:					
16						
17						

**LIFT STATIONS**

	Pumps: Name, Size, Type	Location	H.P.	GPM	TDH
18					
19					
20					

(To be used when filing under seal.)

2 Company Name: LAKE REGION WATER &amp; SEWER COMPANY, INC.

**GENERAL INFORMATION**

3 Treatment Facilities - Please describe process.

Charleston WWTF #MO-0113689, extended aeration

4 Was your treatment plant: ☒ constructed in place OR ☐ purchased as a package unit?5 Were your lift stations: ☐ constructed in place OR ☐ purchased as a package unit?

6 What is the designated capacity of your treatment facilities? 24,000 GPD

7 What percent of designed capacity is currently being utilized?

8 Please describe the treatment process for liquid waste.

Extended Aeration with flow equalization

9 Please describe the treatment process for waste solids.

Aerobic digestion

10 Where is the point of discharge for liquid waste?

Receiving stream is Lake of the Ozarks

11 What is the ultimate disposal of waste solids and how obtained?

Pumped out and transferred to Lake of the Ozarks Regional Treatment Plant

12 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken.

N/A

**COLLECTING SEWERS (measurement in feet)**

	Kind of Pipe (i.e. Cast Iron, VCP, PVC, etc.) (a)	Diameter of Pipe (b)	Total No. at Beginning of Year (c)	Total No. of Additions During the Year (d)	Removed or Abandoned During the Year (e)	Total No. at End of Year (f)
13	Force:					
14						
15	Gravity:					
16						
17						

**LIFT STATIONS**

	Pumps: Name, Size, Type	Location	H.P.	GPM	TDH
18	Zoller	WWTF	2	80	10"
19					
20					

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2012  
 2 Company Name: LAKE REGION WATER & SEWER COMPANY, INC.

**GENERAL INFORMATION**

3 Treatment Facilities - Please describe process.

Shawnee Bend WWTF #MO-0123722, extended aeration

4 Was your treatment plant: ☒ constructed in place OR ☐ purchased as a package unit?

5 Were your lift stations: ☐ constructed in place OR ☐ purchased as a package unit?

6 What is the designated capacity of your treatment facilities? 100,000 GPD

7 What percent of designed capacity is currently being utilized?

8 Please describe the treatment process for liquid waste.

Extended Aeration with flow equalization

9 Please describe the treatment process for waste solids.

Aerobic digestion

10 Where is the point of discharge for liquid waste?

Outfall to losing stream to unnamed tributary to Forked Hollow Cove Lake of the Ozarks

11 What is the ultimate disposal of waste solids and how obtained?

Pumped out and transferred to Lake of the Ozarks Regional Treatment Plant

12 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken.

N/A

**COLLECTING SEWERS (measurement in feet)**

	Kind of Pipe (i.e. Cast Iron, VCP, PVC, etc.) (a)	Diameter of Pipe (b)	Total No. at Beginning of Year (c)	Total No. of Additions During the Year (d)	Removed or Abandoned During the Year (e)	Total No. at End of Year (f)
13	Force:					
14						
15	Gravity:					
16						
17						

**LIFT STATIONS**

	Pumps: Name, Size, Type	Location	H.P.	GPM	TDH
18	4 Myers	WWTF	2	123	12"
19					
20					

### VERIFICATION

The foregoing report must be verified by the oath of the President, Treasurer, General Manager or Receiver of the company. The oath required may be taken before any person authorized to administer an oath (Notary Public) by the laws of the State in which the same is taken.

### OATH

State Of Missouri }  
County Of Camden } ss:

John R Summers makes oath and says that  
Name of Affiant (Company Official/Representative)

s/he is General Manager  
Official Title of the Affiant (Company Official/Representative)

of Lake Region Water & Sewer Company, Inc.  
Exact Legal Title or Name of the Respondent (Certificated Company Name)

and is located at 62 Bittersweet Road, Four Seasons, MO 65049 573-365-6792  
Address and Telephone Number of the Affiant (Company Official/Representative)

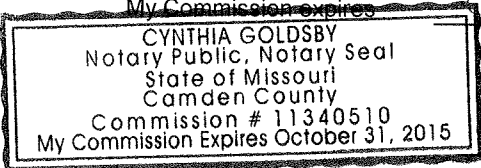
that s/he has examined the foregoing report; that to the best of his or her knowledge, information, and belief, all statements of fact contained in the said report are true and the said report is a correct statement of the business and affairs of the above-named respondent.

from January 1, 2012, to and including December 31, 2012  
Month/Day Year Month/Day Year

[Signature]  
Signature of Affiant (Company Official/Representative)

Subscribed and sworn to before me, a Notary Public, in and for the State and County above named,

this 8th day of July, 2013.

My Commission expires October 31, 2015  
  
[Signature]  
Signature of Notary Public

Missouri Revised Statutes § 392.210 or §393.140

(See Instructions Pages II and III for more information to complete this page.)