

Lake Region Water & Sewer Company

Company Full Certificated Name

*Do not abbreviate and include any Commission approved
AKA/DBA/Fictitious Name, if applicable.*

WATER and/or SEWER ANNUAL REPORT

SMALL COMPANY

(Fewer than 8,000 customers)

TO THE

MISSOURI PUBLIC SERVICE COMMISSION

January 1 - December 31, 2014

Please indicate which type of service the Company is certificated to provide by checking the appropriate box(es). *(Check all that apply.)*

☒ Water Service Provider

☒ Sewer Service Provider

Please choose one of the following filing type options:

☒ **Public Submission** *(NOT Highly Confidential)*

☐ **Non-Public Submission** *(Highly Confidential / Filed Under Seal)*

For this filing to be considered Highly Confidential, additional submission of materials is required pursuant to Commission rule 4 CSR 240-3.335 and/or 4 CSR 240-3.640, Section 392.210, RSMo., and/or Section 393.140, RSMo.

Public ▼

(To be used when filing under seal.)

Issue Date: 12/17 /2014

Revised: 2/5/15, 3/2/15, 3/11/15, 3/12/15, 3/16/15

1 For the calendar year of January 1 - December 31, 2014

2 **Company Name:** Lake Region Water & Sewer Company

2a **Parent Company Name:** _____
(if applicable)

3 **Company Street Address:** 62 Bittersweet Road, Four Seasons, MO 65049

4 **Company Mailing Address:** P.O. Box 9, Lake Ozark, MO 65049

5 **Company Phone Number:** 573-365-6792

6 **Company E-mail Address:** bwhittle@lakeozarks.com

7 **Name, title, address, phone number, and e-mail of person(s) to contact concerning information contained in this report:**

7a	<u>Josh Duncan / General Manager</u>	<u>Bernadette Whittle / Staff Accountant</u>
	<u>Name/Title</u>	<u>Name/Title</u>
7b	<u>P.O. Box 9</u>	<u>P.O. Box 9</u>
	<u>Mailing Address</u>	<u>Mailing Address</u>
7c	<u>62 Bittersweet Road</u>	<u>62 Bittersweet Road</u>
	<u>Street Address</u>	<u>Street Address</u>
7d	<u>Lake Ozark MO 65049</u>	<u>Lake Ozark MO 65049</u>
	<u>City State Zip</u>	<u>City State Zip</u>
7e	<u>573-365-6792</u>	<u>573-365-6792</u>
	<u>Telephone Number</u>	<u>Telephone Number</u>
7f	<u>jduncanpwsd4@gmail.com</u>	<u>bwhittle@lakeozarks.com</u>
	<u>E-mail Address</u>	<u>E-mail Address</u>

8 Provide the **Total Company and gross intrastate Operating Revenues** (i.e., Missouri Jurisdictional) for Calendar Year 2014 .

(BOTH COLUMNS MUST BE COMPLETED)

Water Revenues	**	MO Jurisdictional	**	**	Total Company	**
9 Total Operating Revenues (From Pg. W-2, Line 22)		\$ 232,479.30			\$ 241,375.00	
10 Total Non-Tariffed Revenues (Pg. W-2, Line 25)		\$ -			\$ -	
11 TOTAL REVENUES (From Pg. W-2, line 26)		\$ 232,479.30			\$ 241,375.00	

(Total MO Jurisdictional Revenue (Line 11 above) should match Statement of Revenue (MoPSC Assessment).

Sewer Revenues	**	MO Jurisdictional	**	**	Total Company	**
12 Total Operating Revenues (From Pg. S-2, Line 22)		\$ 709,157.78			\$ 712,388.00	
13 Total Non-Tariffed Revenues (From Pg. S-2, Line 25)		\$ -				
14 TOTAL REVENUES (From Pg. S-2, Line 26)		\$ 709,157.78			\$ 712,388.00	

(Total MO Jurisdictional Revenue (Line 14 above) should match Statement of Revenue (MoPSC Assessment).

Public



☐ Indicates a link to or from another worksheet within workbook

☐ Indicates formula cell(s)

(To be used when filing under seal.)

For the calendar year of January 1 - December 31, 2014

Company Name: Lake Region Water & Sewer Company

CAPITAL STOCK (COMMON AND PREFERRED)

Class and Series of Stock (a)	Total Number of Shares Authorized (b)	Par or Stated Value Per Share (c)	Total Number of Shares Issued (d)	Current Book Value of Issued Shares of Stock (e)
Common	150,000	\$ 1.00	150,000	\$ 150,000
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
Total Value				\$ 150,000

SECURITY HOLDERS AND VOTING POWERS

Report below the **NAMES** and **ADDRESSES** of the 10 stockholders who, at the end of the year, had the greatest voting powers in the respondent, **AND STATE THE NUMBER OF VOTES** each would have had a right to cast on that date. If any such holder held in trust, give the nature of the trust and the beneficial owner. Show also total votes of **ALL** securities with voting powers.

Names and Addresses (a)	Number of Votes (b)
RPS Properties, 10777 Barkley, Suite 210, Overland Park, KS 66211	75,000
Vernon Stump, 47203 Hwy 118, Alpine, TX 79830	75,000

Identify the principal or general officers of the company at the end of the year. Please include an additional sheet, if enough space is not provided on this page, to completely provide the requested information.

Title of General Officer(s)

Public

Holding Office

President

Vernon Stump

Secretary

Brian Schwermann

Indicates formula cell(s)

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 20142 Company Name: Lake Region Water & Sewer Company

Describe **MAJOR** transactions occurring during the year which will have a effect on operations, such as rate changes, replacement of major equipment and other abnormal cash expenditures of \$250 or more. (Dollar amounts to be recorded on Page W-5 and/or Page S-4 columns d and/or e.)

3 Pump for Well #2 - \$568.984 Repairs for Well #1 - \$569.925 Repairs to main water lines - \$3,674.886 Flowmeter for Lodge of Four Seasons - \$332.177 Signs for treatment plants - \$322.008 Repairs to LS #7 - \$641.529 Repairs to LS #4 - \$731.4310 Repairs to SB sewer lines - \$1,071.9711 Repairs to Spring Road sewer - \$314.3212 Repairs to Vintage Landing LS - \$380.0013 Repairs to LS #24 - \$1,367.3414 Repairs to LS #10 - \$626.4315 Repairs to LS #21 - \$285.0016 Repairs to LS #5 - \$285.0017 Capacitors for sludge pumps - \$398.7018 Pump truck repairs - \$9,660.8219 Rate Case expenses - \$59,710.8720 Lodge TP repairs - \$3357.7721 Palmer Drive LS repairs - \$3118.71

22 _____

23 _____

24 _____

25 _____

26 _____

Public



(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2014

2 Company Name: Lake Region Water & Sewer Company

NOTE: Please do not try to type over formulas. Totals will calculate automatically in this spreadsheet.



BALANCE SHEET
WATER AND SEWER OPERATIONS
ASSETS

	Account Description (a)	**	Amount (b)	**
3	Water Plant In Service (From Pg. W-5)		\$ 4,124,338	
4	LESS: Water Depreciation Reserve (From Pg. W-5)		\$ 953,595	
5	Net Water Plant in Service (Line 3 MINUS Line 4) (From Pg. W-5)		\$ 3,170,743	
6	Water Materials and Supplies		\$ 20,620	
7	Water Construction Work in Progress			
8	Water Plant Held for Future Use		\$ 7,915	
9	Water Plant Acquisition Adjustment			
10	Sewer Plant in Service (From Pg. S-4)		\$ 6,411,955	
11	LESS: Sewer Depreciation Reserve (From Pg. S-4)		\$ 2,504,297	
12	Net Sewer Plant in Service (Line 10 MINUS Line 11) (From Pg. S-4)		\$ 3,907,658	
13	Sewer Materials and Supplies		\$ 12,498	
14	Sewer Construction Work in Progress			
15	Sewer Plant Held for Future Use			
16	Sewer Plant Acquisition Adjustment			
17	Other Plant			
18	Cash		\$ 77,438	
19	Accounts Receivable (i.e., Amounts due from customers or other parties.)		\$ 368,857	
20	Other Assets		\$ 2,533	
21	Total Assets*		\$ 7,568,262	

* **Total Assets should balance with Total Equity and Liabilities on Page 5 (see instructions).**

Difference between Equity & Liabilities and Assets (from Pg. 5).

Public 

-  Indicates a link to another worksheet within workbook
-  Indicates formula cell(s)

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2014

2 Company Name: Lake Region Water & Sewer Company

NOTE: Please do not try to type over formulas. Totals will calculate automatically in this spreadsheet.

BALANCE SHEET
WATER AND SEWER OPERATIONS
EQUITY AND LIABILITIES

	Account Description (a)	**	Amount (b)	**
3	Capital Stock (From Page 2)	\$	1,996,108	
4	Retained Earnings	\$	(1,542,784)	
5	Long-Term Debt (banks, etc.- over 1 year) (From Pg. 9)	\$	1,691,133	
6	Short-Term Debt (banks, etc.- less than 1 year) (From Pg. 9)	\$	-	
7	Water Customer Deposits			
8	Water Advances for Construction			
9	Water Contributions In Aid of Construction (From Pg. 8, Line 16)	\$	3,071,033	
10	LESS: Water Amortization of Contributions In Aid of Construction (From Page 8, line 23)	\$	682,264	
11	Net Water Contributions In Aid of Construction (i.e., Line 9 MINUS Line 10)	\$	2,388,769	
12	Sewer Customer Deposits			
13	Sewer Advances for Construction			
14	Sewer Contributions In Aid of Construction (From Pg. 8, Line 16)	\$	3,842,044	
15	LESS: Sewer Amortization of Contributions In Aid of Construction (From Page 8, line 23)	\$	888,193	
16	Net Sewer Contributions In Aid of Construction (i.e., Line 14 MINUS Line 15)	\$	2,953,851	
17	Deferred Taxes - ITC	\$	(108,768)	
18	Deferred Taxes - Other			
19	Accounts Payable; (Amounts owed to other parties; other than debt listed above.)	\$	150,000	
20	Other Liabilities	\$	39,953	
21	Total Equity and Liabilities*	\$	7,568,262	

* Total Equity and Liabilities should balance with Total Assets on Pg. 4 (see instructions).
Difference between Equity & Liabilities and Assets (From Pg. 4).

- ☐ Indicates a link to another worksheet within workbook
☐ Indicates formula cell(s)

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(To be used when filing under seal.)

2

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1

For the calendar year of January 1 - December 31, 2014

2

Company Name: Lake Region Water & Sewer Company

PAYMENTS FOR SERVICES RENDERED BY OTHER THAN EMPLOYEES
(W-2 Employees should be listed on Page 6)

INSTRUCTIONS: Report below all information concerning rate, management, construction, engineering, research, financial, valuation, legal, accounting, purchasing, advertising, labor relations, public relations, contract operators and contract labor, or other similar professional services or outside services other than employees rendered the respondent under written or verbal arrangements, for which total payments during the year to any corporation, partnership, individual or organization of any kind whatsoever. Attach additional worksheet pages if necessary.

Name of Recipient and Description of Service (a)	Amount of Payments:			
	Water		Sewer	
	Expensed (b)	Capitalized (c)	Expensed (d)	Capitalized (e)
Miller, Bales & Cunningham, P.C. (accounting)	\$ 2,004		\$ 5,846	
Payne & Jones (legal)	\$ 51		\$ 149	
Newman, Comley, & Ruth (legal)	\$ 243		\$ 404	
Camden County PWSD#4 (labor)	\$ 65,240	\$ 4,413	\$ 192,653	\$ 3,608
John R. Summers (management consultant)	\$ 1,146		\$ 3,354	
Lake Utility Availability (management fees)	\$ 43,639		\$ 127,360	
Missouri One Call System, Inc. (locates)	\$ 377		\$ 1,101	
Total	\$ 112,700 (Total to Pg. W-1)	\$ 4,413	\$ Public (Total to Pg. S-1)	3,608

Indicates formula cell(s)


(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2014

2 Company Name: Lake Region Water & Sewer Company**CONTRIBUTIONS IN AID OF CONSTRUCTION**

INSTRUCTIONS: This account shall include donations or contributions in cash, services, or property for construction purposes. The records supporting the entries to this account shall be so kept that the utility can furnish information as to the purpose of each donation, the conditions, if any, upon which it was made, the amount of each donation, and the amount applicable to each utility department. The credits (deductions) to this account shall not be transferred to any other account without the approval of the Commission.

(a)		Water (b)	Sewer (c)
3	Balance at Beginning of Year <i>(From last years report, Pg. 9)</i>	\$ 3,062,673	\$ 3,805,514
4	PLUS: Additions During the Year <i>(Please provide a detailed explanation.)</i>		
5	New Water and Sewer services	\$ 8,360	\$ 3,230
6	Spring Court Estates		\$ 33,300
7			
8			
9	Total Additions	\$ 8,360	\$ 36,530
10	LESS: Deductions During the Year <i>(Please provide a detailed explanation.)</i>		
11			
12			
13	Retire and cap off service connection, but no connection fee money returned = no entry here		
14			
15	Total Deduction Attached Method 	\$ -	\$ -
16	Balance at End of Year	\$ 3,071,033	\$ 3,842,044
		<i>(Total to Pg. 5)</i>	<i>(Total to Pg. 5)</i>

AMORTIZATION OF CONTRIBUTIONS IN AID OF CONSTRUCTION*(Please identify as Account Number 271A)*

PLEASE CHOOSE FROM THE DROP DOWN BOX WHICH METHOD THE UTILITY UTILIZES FOR ITS RECORDS

Distribution Method

(a)		Water (b)	Sewer (c)
17	Balance of Amortization at First of Year <i>(not Total of CIAC line 3)</i>	\$ 622,277	\$ 809,533
18	Total Contributions in Aid at End of Year <i>(see above)</i>		
19	Total Plant in Service at End of Year <i>(From Pg. W-5 or S-4)</i>		
20	Percentage Contributions to Plant		
21	Total Depreciation Expense <i>(From Pg. W-5 or S-4)</i>		
22	Total Amortization of Contributions <i>(To Pg. W-1, S-1)</i>		
23	Balance at End of Year		
		<i>(Total to Pg. 5)</i>	<i>(Total to Pg. 5)</i>

ORPublic **Attached Method**

(a)		Water (b)	Sewer (c)
24	Balance of Amortization at First of Year <i>(not Total of CIAC Line 3)</i>	\$ 622,277	\$ 734,850
25	Total Amortization of Contributions <i>(To Pg. W-1, S-1)</i>	\$ 59,987	\$ 153,343
26	Balance at End of Year	\$ 682,264	\$ 888,193
		<i>(Total to Pg. 5)</i>	<i>(Total to Pg. 5)</i>

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1

For the calendar year of January 1 - December 31 2014

2 Company Name:

Lake Region Water & Sewer Company

INTEREST EXPENSE, NOTES PAYABLE, BONDS, BANK LOANS AND OTHER DEBTS

INSTRUCTIONS: Please report information for the current annual reporting year. List each separate item of debt. Please identify the named borrower for each debt, if different from the company. Show principal amount to which each interest rate applies. Include all items on which interest was paid during the year. Use additional worksheets if necessary.

Type of Debt (i.e., Notes Payable, Bonds, Bank Loans, Shareholder Loans, Affiliate Loans, etc.) (a)	Contact Information of Each Lender (Name, Address, Phone No., Email) (b)	Origination Date (c)	Initial Loan Amount (d)	Interest Rate (e)	Type of Interest Rate: (Fixed, Variable) (f)	Frequency of Payments (Semi- Monthly, Monthly, Quarterly, Annually, etc.) (g)	Balance of Loan at Year End		Date of Maturity (h)	Total Interest Paid During the Year (k)	Interest Paid - Charged To:	
							Long Term Debt (Over one year.) (h)	Short Term Debt (Less than one year.) (i)			Water Utility (l)	Sewer Utility (m)
3 Alterra Bank - Bank Loan	Bill Wayne, 11120 W. 135th Street, Overland Park, KS 66221, (913) 402-2416, (bwayne@alterrabank.com)	7/31/14	#####	5.00%	Fixed	Monthly	\$ 1,691,133		7/31/15	\$ 35,430	\$ 9,045	\$ 26,385
4 Alterra Bank - Bank Loan	Bill Wayne, 11120 W. 135th Street, Overland Park, KS 66221, (913) 402-2416, (bwayne@alterrabank.com)	5/10/13	#####	5.00%	Fixed	Monthly	\$		8/10/14	\$ 43,855	\$ 11,196	\$ 32,659
5												
6												
7												
8												
9												
Total							\$ 1,691,133	\$ -		\$ 79,285	\$ 20,241	\$ 59,044
							(Totals to Page 5)				(Total to Pg. W-1)	
											(Total to Pg. S-1)	

10 If the answer to column (f) is variable, please explain the method used for the interest rate calculation below with corresponding line number from above.

Page 9

Indicates formula cell(s)

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2014

2 Company Name: Lake Region Water & Sewer Company

WATER OPERATING REVENUES, EXPENSES AND STATISTICS

	Description (a)	Amount (b)
3	Total Revenues (From Pg. W-2)	\$ 232,479
	<u>Operating Expenses</u>	
4	Salaries & Wages (From Pg. 6)	\$ -
5	Employee Pensions and Benefits	
6	Purchased Water	
7	Plant Operations Expenses (From Pg. W-3, Line 12)	\$ 24,872
8	Billing Expenses	\$ 1,154
9	Supplies and Expenses	\$ 2,726
10	Transportation Expenses	\$ 20,286
11	Rent Expense	\$ 8,171
12	Insurance Expense	\$ 3,347
13	Outside Services Employed (e.g., Legal, Accounting, etc.) (From Pg.7)	\$ 112,700
14	Regulatory Commission Expenses	\$ 1,621
15	Uncollectible Expenses	\$ 481
16	Depreciation Expense (From Pg. W-5, Line 49)	\$ 100,309
17	Amortization of Contributions in Aid of Construction (From Page 8)	\$ (59,987)
18	Amortization Expense	
19	Tax Expenses (e.g., Property, State, Federal, etc.) (From Pg. W-3, Lines 13-19)	\$ 853
20	Interest Expense (From Pg. 9)	\$ 20,241
21	Other Expenses	\$ 23,100
22	Total Operating Expenses	\$ 259,874
23	Net Income (LOSS) - (A negative number indicated by () represents a loss.)	\$ (27,394)

☐ Indicates a link to another worksheet within workbook

☐ Indicates formula cell(s)

Public

(To be used when filing under seal.)

2 Company Name: Lake Region Water & Sewer Company**WATER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

(Please indicate if metered amounts are in cubic feet measurements.)

Description (a)	No. of Customers		No. of Gallons Sold (000's Omitted) (d)	Revenue Amount (e)
	Beginning of Year (b)	End of Year (c)		
<u>Unmetered Sales of Water</u>				
3 Residential - Single Family			XXXX	
4 Residential - Apartments			XXXX	
5 Residential - Mobile Homes			XXXX	
6 Commercial			XXXX	
7 Other Sales to Public Authorities			XXXX	
8 Other			XXXX	
9 Total Unmetered Sales	0	0		\$ -
<u>Metered Sales of Water</u>				
10 5/8" Meter	590	598	40,945	\$ 168,375
11 3/4" Meter				
12 1" Meter	22	23	2,553	\$ 11,377
13 1 1/2" Meter	25	25	4,705	\$ 15,927
14 2" Meter	17	17	2,471	\$ 18,203
15 Other	4	4	2,401	\$ 13,652
16 Total Metered Sales	658	667	53,075	\$ 227,534
<u>Tariffed Operating Revenues</u>				
17 Late Payment Fees				\$ 3,971
18 Returned Check Fees				\$ 205
19 Inspection Fees				
20 Reconnect Fees				
21 Other Revenue				\$ 770
22 Total Operating Revenues (From Tariffed Services) (To Pg. 1, line 9)				\$ 232,479
<u>Non Tariffed Revenues</u>				
23 Rent Income				
24 Other Income, (e.g., from Merchandising, Jobbing & Contract Work, etc.)				\$ -
25 Total Non-Tariffed Revenues (To Pg. 1, Line 10)				\$ -
26 Total Revenues * (To Pg. 1, Line 11)				\$ 232,479
				(Total to Pg. W-1 and Pg. 1)

* Total Operating Revenues should match Statement of Revenue (MOPSC Assessment).

☐ Indicates a link to another worksheet within workbook

☐ Indicates formula cell(s)

1 For the calendar year of January 1 - December 31, 2014
 2 Company Name: Lake Region Water & Sewer Company

WATER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)

Description of Expenses (a)	Amount (b)
<u>Plant Operations Expenses</u>	
3 Repairs of Water Plant - Pump Repair	
4 Repairs of Water Plant - Well Repair	\$ 1,555
5 Repairs of Water Plant - Water Line Repair	\$ 4,308
6 Repairs of Water Plant - Equipment Repair	
7 Repairs of Water Plant - Other	
8 Fuel or Power Purchases for Pumping (i.e., Electric Bills, etc.)	\$ 17,969
9 Chemicals	\$ 445
10 Water Testing Expenses	\$ 595
11 Other Plant Operations Expenses	
12 Total Plant Operations Expenses	\$ 24,872
	(Total to Page W-1)
<u>Tax Expenses</u>	
13 Tax Expense - Property Taxes	\$ 853
14 Tax Expense - Payroll Taxes	
15 Tax Expense - Franchise Taxes	
16 Tax Expense - Other Taxes	
17 Tax Expense - Federal Income Taxes	
18 Tax Expense - State Income Taxes	
19 Tax Expense - Investment Tax Credits	
20 Total Tax Expenses	\$ 853
	(Total to Pg. W-1)

- ☐ Indicates a link to another worksheet within workbook
☐ Indicates formula cell(s)

Public 

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31 2014

2 Company Name: Lake Region Water & Sewer Company

PUMPING AND PURCHASED WATER STATISTICS

(Omit 000's in reporting number of gallons or cubic feet of water. Use additional sheets if necessary.)

GALLONS PUMPED INTO SYSTEM

Gallons

Please indicate measurements given are in gallons or cubic feet by choosing from the dropdown box.

SERVICE MONTHS (Number of gallons pumped per month.) (a)	SOURCE OF SUPPLY (Please name each source below in columns b-e (i.e., Well #1, etc.))					TOTAL OF ALL METHODS (b+c+d+e=f) (f)
	Well #1	Well #2	(b)	(c)	(d)	
	(b)	(c)	(d)	(e)	(f)	
JANUARY	4,906,000	3,190,000				8,096,000
FEBRUARY	1,676,000	4,159,800				5,835,800
MARCH	1,932,000	3,535,900				5,467,900
APRIL	0	3,380,400				3,380,400
MAY	2,921,000	4,682,900				7,603,900
JUNE	4,070,000	3,336,400				7,406,400
JULY	6,926,000	3,326,800				10,252,800
AUGUST	5,507,000	331,500				5,838,500
SEPTEMBER	3,807,000	3,921,700				7,728,700
OCTOBER	1,304,000	4,249,500				5,553,500
NOVEMBER	1,000	2,715,400				2,716,400
DECEMBER	1,000	1,756,900				1,757,900
Totals for Year	33,051,000	38,587,200	0	0	0	71,638,200

18 Maximum Quantity Supplied to the System in Any One Day:

476,500.00

Minimum:

77,800

19 Range of Pressure in the Mains as Measured at the Highest Point on System:

40-50

If Water is Sold to Other Utilities for Resale, List Names, Addresses, Phone Numbers and Quantities Below:

Name of Reseller	Address	Phone Number	Quantity

Page W-4

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

WATER UTILITY PLANT IN SERVICE**DEPRECIATION EXPENSES AND RESERVE - WATER UTILITY PLANT**

Account Description (A)	Account. No. (B)	Plant Balance at Beginning of Year (C)	Additions During the Year (D)	Book Cost of Plant Retired* (E)	Cost of Removal* (F)	Salvage Credit* (G)	Plant Balance at End of Year (C+D-E) (H)	Reserve Balance at Beginning of Year (I)	Annual Depreciation Rate % (J)	Depreciation Expense** J*(C+H)/2 (K)	Reserve Balance at END of Year (I-E-F+G+K) (L)
<u>Intangible Plant</u>											
3 Organization	301	31,665					31,665	0	0.00%		0
4 Franchise and Consents	302	0					0	0			0
5 Miscellaneous Intangible Plant	303	0					0	0			0
<u>Source of Supply Plant</u>											
6 Land and Land Rights	310	427					427	0	0.00%		0
7 Structures and Improvements	311	55,598					55,598	13,040	2.50%	1,390	14,430
8 Collecting & Impounding Reservoirs	312	0					0	0			0
9 Lake, River, and Other Intakes	313	0					0	0			0
10 Wells and Springs	314	60,791					60,791	45,031	2.00%	1,216	46,247
11 Infiltration Galleries and Tunnels	315	0					0	0			0
12 Supply Mains	316	79,315					79,315	0	2.00%	0	0
13 Other Water Source Plant	317	0					0	0			0
<u>Pumping Plant</u>											
14 Land and Land Rights	320	0					0	0			0
15 Structures and Improvements	321	0					0	0			0
16 Boiler Plant Equipment	322	0					0	0			0
17 Other Power Production Equipment	323	0					0	0			0
18 Submersible Electric Pumping	325.1	90,401					90,401	15,493	10.00%	9,040	24,533
19 High Service or Booster Pumps	325.2	0					0	0			0
20 Diesel Pumping Equipment	326	0					0	0			0
21 Hydraulic Pumping Equipment	327	0					0	0			0
22 Other Pumping Equipment	328	0					0	0			0

WATER UTILITY PLANT IN SERVICE**DEPRECIATION EXPENSES AND RESERVE - WATER UTILITY PLANT**

Account Description (A)	Account. No. (B)	Plant Balance at Beginning of Year (C)	Additions During the Year (D)	Book Cost of Plant Retired* (E)	Cost of Removal* (F)	Salvage Credit* (G)	Plant Balance at End of Year (C+D-E) (H)	Reserve Balance at Beginning of Year (I)	Annual Depreciation Rate % (J)	Depreciation Expense** J*(C+H)/2 (K)	Reserve Balance at END of Year (I-E-F+G+K) (L)
<u>Water Treatment Plant</u>											
23 Land and Land Rights	330	0					0	0			0
24 Structures and Improvements	331	12,799					12,799	0	2.50%	0	0
25 Water Treatment Equipment	332	9,680					9,680	-2,670	2.90%	281	-2,389
<u>Transmission & Distribution Plant</u>											
26 Land and Land Rights	340	0					0	0			0
27 Structures and Improvements	341	0					0	0			0
28 Distribution Reservoirs & Standpipes	342	275,235					275,235	49,963	2.50%	6,881	56,844
29 Transmission & Distribution Mains	343	3,027,569					3,027,569	639,708	2.00%	60,551	700,259
30 Fire Mains	344	0					0	0			0
31 Services	345	311,909	7,433				319,342	57,176	2.50%	7,984	65,160
32 Meters	346	99,479	1,571				101,050	13,457	10.00%	10,105	23,562
33 Meter Installations	347	17,861					17,861	8,391	2.50%	447	8,838
34 Hydrants	348	24,594					24,594	4,753	2.00%	492	5,245
35 Other Transmission & Distribution Plant	349	0					0	0			0
<u>General Plant - (Class B&C are Same)</u>											
36 Land and Land Rights	B & C 370	0					0	0			0
37 Structures and Improvements	371	0					0	0			0
38 Office Furniture and Equipment	372	3,100					3,100	1,608	5.00%	155	1,763
39 Office Computer & Electronic Equipment	391.1 372.1	3,745					3,745	440	14.30%	536	976
40 Transportation Equipment	373	8,427					8,427	5,109	13.00%	1,096	6,205
41 Other General Equipment	none 379	0					0	0			0
42 Stores Equipment	393 none	0					0	0			0

1

For calendar year of January 1 - December 31, 2014

2 Company Name:

Lake Region Water & Sewer Company

WATER UTILITY PLANT IN SERVICE**DEPRECIATION EXPENSES AND RESERVE - WATER UTILITY PLANT**

Account Description (A)	Account. No. (B)	Plant Balance at Beginning of Year (C)	Additions During the Year (D)	Book Cost of Plant Retired* (E)	Retirement of Property		Plant Balance at End of Year (C+D-E) (H)	Reserve Balance at Beginning of Year (I)	Annual Depreciation Rate % (J)	Depreciation Expense** J*(C+H)/2 (K)	Reserve Balance at END of Year (I-E-F+G+K) (L)
					Cost of Removal* (F)	Salvage Credit* (G)					
43 Tools, Shop and Garage Equipment	394 none	2,739					2,739	1,787	5.00%	137	1,924
44 Laboratory Equipment	395 none	0					0	0			0
45 Power-operated Equipment	396 none	0					0	0			0
46 Communication Equipment	397 none	0					0	0			0
47 Miscellaneous Equipment	398 none	0					0	0			0
48 Other Tangible Property	399 none	0					0	0			0
49 Total Water Utility Plant In Service	Totals	4,115,334	9,004	0	0	0	4,124,338	853,286		100,309	953,595
(Total to Pages 4 & 9)								Public	(Total to Pg. 4)		

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Indicates formula cell(s)

(To be used when filing under seal.)

- * All entries included in Columns "E", "F" and "G" should be supported by records that identify the property retired and the cost of removal or salvage in detail.
- ** Annual Depreciation Expense should be calculated based upon actual in-service and retirement date(s) of new equipment and retirements during the period.
- ** The depreciation expense formula provided is only an approximation assuming all activity for the year occurred mid year.

NOTE: All entries should be supported by records that identify the property being added or retired, its location, and its original cost in as much detail as reasonably possible. If adjustments are included in Columns "E", "F" and/or "G", use additional sheets.

1 For the calendar year of January 1 - December 31, 2014

2 Company Name: Lake Region Water & Sewer Company

PUMP INFORMATION

Pump Manufacturer (a)	Type of Pump (i.e., High Service, Well, Standby, etc.) (b)	Capacity (c)	Date Installed (d)	Date of Last Motor Replacement (e)	Date of Last Pump Replacement (f)
Grundfos	Well (Submersible)	250 GPM	1994	2003	2005
Grundfos	Well (Submersible)	220 GPM	1998	2012	2012

Public

(To be used when filing under seal.)

WELL INFORMATION

3 Description of Wells (a)	Well ID# Location	Well ID# Location	Well ID# Location	Well ID# Location
	No. 1 Grand Point	No 2 Villages		
	(b)	(c)	(d)	(e)
	1994	1998		
4 Year Constructed	1994	1998		
5 Type of Construction	Deep Rock	Deep Rock		
6 Type and Depth of Casing	Steel - 447'	Steel - 470'		
7 Depth and Diameter of Well	634' & 8"	800' & 8"		
8 Yield of Well in Gallons per day	360,000	316,800		
9 Type -	N/A	N/A		
10 Annual Cost -				
11 Annual Quantity -				

Public

(To be used when filing under seal.)

2 Company Name: Lake Region Water & Sewer Company

METERS AND METER SETTINGS

Customer Class (a)	Meter Size (b)	Total at Beginning of Year (c)	Total Number of Additions (d)	Total Number Removed or Disconnected (e)	Total at End of Year (f)
<u>Residential:</u>					
	5/8"	580	8		588
	1"	16	1		17
	1.5" - 6"	27			27
<u>Other Customers:</u>					
	5/8"	10			10
	1" - 4"	25			25
Total in Use by Customers		658	9	0	667
<u>Not in Use: (i.e., Inventory)</u>					
	5/8"				0
	1" - 4"	3	6		9
Total Meters		661	15	0	676

STORAGE FACILITIES

Type of Storage (i.e., Pneumatic, Ground, Standpipes, Elevated Tanks, etc.) (a)	Construction Material (b)	Last Date Painted if Applicable (indicate interior or exterior) (c)	Capacity (d)
Elevated Tank	Steel	2003 both <div>Public</div>	200,000 gallons

☐ Indicates formula cell(s)

(To be used when filing under seal.)

2 Company Name: Lake Region Water & Sewer Company

WATER MAINS (measurement in feet)

	Kind of Pipe <i>(i.e., Cast Iron, Galvanized, Iron, PVC, etc.)</i> (a)	Diameter of Pipe (b)	Total at Beginning of Year (c)	Total Additions During the Year (d)	Total Removed or Abandoned During the Year (e)	Total at End of Year (f)
3	PVC	8"	54,000	-		54,000
4	PVC	6"	37,055	-		37,055
5	PVC	4"	3,683	-		3,683
6	PVC	2"	2,141	-		2,141
7						0
8						0
9						0
10						0
11						0
12						0
13						0
14						0
15						0
16	Total Mains		96,879	0	0	96,879

SERVICE CONNECTIONS AVAILABLE FOR USE (from Main to Property Line)

Size and Type of Material (i.e., Iron, Copper, PVC, etc.) (a)	Total No. at Beginning of Year (b)	Total No. of Additions (c)	Total No. Retired or Abandoned (d)	Total No. at End of Year (e)
<u>In Use:</u>				
1" Plastic PVC	704	17		721
				0
				0
				0
<u>For Future Use:</u>				0
				0
				0
				0
				0
Total of All Services	704	17	0	721

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(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2014


2 Company Name: Lake Region Water & Sewer Company

SEWER OPERATING REVENUES, EXPENSES AND STATISTICS

	Description (a)	Amount (b)
3	Total Revenues (From Page S-2)	\$ 709,158
	<u>Operating Expenses</u>	
4	Salaries & Wages (From Pg. 6)	\$ -
5	Employee Pensions and Benefits	
6	Purchased Water	
7	Plant Operations Expenses (From Pg. S-3)	\$ 131,549
8	Billing Expenses	\$ 3,369
9	Supplies and Expenses	\$ 14,444
10	Transportation Expenses	\$ 59,205
11	Rent Expense	\$ 23,854
12	Insurance Expense	\$ 9,806
13	Outside Services Employed (e.g., Legal, Accounting, etc.) (From Pg. 7)	\$ 330,867
14	Regulatory Commission Expenses	\$ 42,298
15	Depreciation Expense (From Pg. S-4)	\$ 224,756
16	Amortization of Contributions in Aid of Construction (From Pg. 8)	\$ (153,343)
17	Amortization Expense	\$ -
18	Tax Expenses (From Pg. S-3)	\$ 2,489
19	Interest Expense (From Pg. 9)	\$ 59,044
20	Other Expenses	\$ 79,668
21	Total Operating Expenses	\$ 828,005
22	Net Income (LOSS) - (A negative number indicated by () represents a loss.)	\$ (118,847)

☐ Indicates a link to another worksheet within workbook

☐ Indicates formula cell(s)

Public 

(To be used when filing under seal.)

2 Company Name: Lake Region Water & Sewer Company**SEWER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

(Please indicate if metered amounts are in cubic feet measurements.)

Description of Revenues (a)	No. of Customers		No. of Gallons Sold (000's Omitted) (d)	Revenue Amount (e)
	Beginning of Year (b)	End of Year (c)		
<u>Flat Rate Sales</u>				
3 Residential - Single Family	848	857	XXXX	\$ 343,878
4 Residential - Apartments	2	2	XXXX	\$ 2,773
5 Residential - Mobile Homes			XXXX	
6 Commercial			XXXX	
7 Other Sales to Public Authorities			XXXX	
8 Other			XXXX	
9 Total Unmetered Sales	850	859	XXXX	\$ 346,652
<u>Metered Sales Based on Gallon Usage</u>				
10 Residential - Single Family				
11 Residential - Apartments				
12 Residential - Mobile Homes				
13 Commercial	18	18	42,227	\$ 257,131
14 Other Sales to Public Authorities				
15 Other	12	12	16,482	\$ 102,336
16 Total Metered Sales	30	30	58,709	\$ 359,467
<u>Tariffed Operating Revenues</u>				
17 Late Payment Fees				\$ 3,039
18 Returned Check Fees				
19 Inspection Fees				
20 Reconnect Fees				
21 Other Revenue				
22 Total Operating Revenues (From Tariffed Services)(To Pg. 1, Line 12)				\$ 709,158
<u>Non-Tariffed Revenues</u>				
23 Rent Income				
24 Other Income (e.g., from Merchandising, Jobbing & Contract Work, etc.)				
25 Total Non-Tariffed Revenues (To Pg. 1, Line 13)				\$ -
26 Total Revenues * (To Pg. 1, Line 14)				\$ 709,158
(Totals to Pg. 1, Pg. S-1)				

* Total Revenues should match Statement of Revenue (MOPSC Assessment).

Public



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☐ Indicates a link to another worksheet within workbook

☐ Indicates formula cell(s)

1 For the calendar year of January 1 - December 31, 2014

2 Company Name: Lake Region Water & Sewer Company

SEWER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)

Description (a)	Amount (b)
<u>Plant Operations Expenses</u>	
3 Contracted Maintenance Expenses	
4 Repairs of Sewer Plant - Pump Repair	
5 Repairs of Sewer Plant - Treatment Repair	
6 Repairs of Sewer Plant - Collecting Sewers and Manhole Repair	
7 Repairs of Sewer Plant - Equipment Repair	\$ 6,297
8 Repairs of Sewer Plant - Other	
9 Utility Bills	\$ 59,150
10 Chemicals	\$ 24,649
11 Sludge Hauling Expenses	\$ 24,220
12 Effluent Testing Expenses	\$ 10,414
13 Other Plant Operations Expenses	\$ 6,819
14 Total Plant Operations Expenses	\$ 131,549
	(Total to Pg. S-1)
<u>Tax Expenses</u>	
15 Tax Expense - Property Taxes	\$ 2,489
16 Tax Expense - Payroll Taxes	
17 Tax Expense - Franchise Taxes	
18 Tax Expense - Other Taxes	
19 Tax Expense - Federal Income Taxes	
20 Tax Expense - State Income Taxes	
21 Tax Expense - Investment Tax Credits	
22 Total Tax Expenses	\$ 2,489
	(Total to Pg. S-1)

☐ Indicates formula cell(s)

Public

(To be used when filing under seal.)

1

For calendar year of January 1 - December 31, 2014

2 Company Name:

Lake Region Water & Sewer Company

SEWER UTILITY PLANT IN SERVICE**DEPRECIATION EXPENSES AND RESERVE - SEWER UTILITY PLANT**

Account Description (A)	Acct. No. (B)	Plant Balance at Beginning of Year (C)	Additions During the Year (D)	Book Cost of Plant Retired* (E)	Cost of Removal* (F)	Salvage Credit* (G)	Plant Balance at End of Year (C+D-E) (H)	Reserve Balance at Beginning of Year (I)	Annual Depreciation Rate % (J)	Depreciation Expense** J*(C+H)/2 (K)	Reserve Balance at END of Year (I-E- F+G+K) (L)
	Class B, C or D										
<u>Intangible Plant</u>											
3 Organization	301	22,970					22,970	0		0	0
4 Franchise and Consents	302	0					0	0			0
5 Miscellaneous Intangible Plant	303	0					0	0			0
<u>Land & Structures</u>											
6 Land and Land Rights	none	5,985					5,985	0		0	0
7 Structures and Improvements	none	4,650					4,650	1,333	3.00%	140	1,473
<u>Collection Plant</u>											
8 Land and Land Rights	350	0					0	0			0
9 Structures and Improvements	351	0					0	0			0
10 Collection Sewer - Force	352	3,243,243	35,208				3,278,451	671,456	2.00%	65,569	737,025
11 Collection Sewer - Gravity	352	371,528					371,528	101,660	2.00%	7,431	109,091
12 Other Collection Plant Facilities	353	0					0	0			0
13 Services to Customers	354	266,857	4,334				271,191	31,594	3.30%	8,949	40,543
14 Flow Measuring Devices	355	30,958					30,958	3,247	3.30%	1,022	4,269
<u>Pumping Plant</u>											
15 Land and Land Rights	360	0					0	0			0
16 Structures and Improvements	361	0					0	0			0
17 Receiving Wells and Pump Pits	362	337,300					337,300	171,305	4.00%	13,492	184,797
18 Other Pumping Equipment	363	445,501	4,569				450,070	273,189	10.00%	45,007	318,196
<u>Treatment & Disposal</u>											
19 Land and Land Rights	370	0					0	0			0
20 Structures and Improvements	371	0					0	0			0
21 Oxidation Lagoon	none	0					0	0			0
22 Treatment & Disposal Equipment	372	1,550,356					1,550,356	947,403	5.00%	77,518	1,024,921

2 Company Name:

Lake Region Water & Sewer Company

SEWER UTILITY PLANT IN SERVICE**DEPRECIATION EXPENSES AND RESERVE - SEWER UTILITY PLANT**

Account Description (A)	Acct. No. (B)	Plant Balance at Beginning of Year (C)	Additions During the Year (D)	Book Cost of Plant Retired* (E)	Cost of Removal* (F)	Salvage Credit* (G)	Plant Balance at End of Year (C+D-E) (H)	Reserve Balance at Beginning of Year (I)	Annual Depreciation Rate % (J)	Depreciation Expense** J*(C+H)/2 (K)	Reserve Balance at END of Year (I-E- F+G+K) (L)
	Class B, C or D										
23 Sewer Collection (Septic) Tanks	372	373.1	0				0	0			0
	373	374	0				0	0			0
	374	375	0				0	0			0
	375	376	0				0	0			0
General Plant											
27 Land and Land Rights	389	none	0				0	0			0
28 Structures and Improvements	390	none	0				0	0			0
29 Office Furniture and Equipment	391	391	4,148				4,148	2,151	5.00%	207	2,358
30 Office Computer & Electronic Equipment	391	391.1	5,010				5,010	589	14.30%	716	1,305
31 Transportation Equipment	392	392	58,373				58,373	65,979	5.30%	3,094	69,073
32 Other General Equipment	none	393	0				0	0			0
33 Stores Equipment	393	none	0				0	0			0
34 Tools, Shop and Garage Equipment	394	none	3,665				3,665	2,391	5.00%	183	2,574
35 Laboratory Equipment	395	none	0				0	-381	5.00%	381	0
36 Power-operated Equipment	396	none	10,704				10,704	6,133	6.70%	717	6,850
37 Communication Equipment	397	none	6,596				6,596	1,492	5.00%	330	1,822
38 Miscellaneous Equipment	398	none	0				0	0			0
39 Total Sewer Utility Plant In Service	Totals		6,367,844	44,111	0	0	6,411,955	2,270,541		224,756	2,504,297
											(Total to Pg. 4)
											Public
											(Total to Pg. 8)
											(Total to Pages 4 & 8)

Indicates a link to another worksheet within workt

Indicates formula cell(s)

(To be used when filing under seal.)

* All entries included in Columns "E", "F" and "G" should be supported by records that identify the property retired and the cost of removal or salvage in detail.

** Annual Depreciation Expense should be calculated based upon actual in-service and retirement date(s) of new equipment and retirements during the period.

*** The depreciation expense formula provided is only an approximation assuming all activity for the year occurred mid year.

NOTE: All entries should be supported by records that identify the property being added or retired, its location, and its original cost in as much detail as reasonably possible. If adjustments are included in Columns "E", "F" and/or "G", use additional sheets.

2 Company Name: Lake Region Water & Sewer Company

GENERAL INFORMATION

3 Type of Treatment Facilities - Please describe (e.g., lagoon, mechanical or sand filter) and list all that apply.

Racquet Club WWTF MO-00102628, extended aeration

4 What is the designed capacity of each treatment facility?

292,500 GPD

5 What percent of designed capacity of each facility is currently being utilized?

43%

6 Did the company pump and haul the sludge? If no, provide the name of the third party providing service.

Yes

7 What is the ultimate disposal of waste solids (e.g. land application, disposal at qualified facility, etc.)?

Transferred to Lake of the Ozark Region Treatment Plant

8 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken; major item(s) (problem(s) fixed was/were over \$250 as listed on page 3).

Repairs to pumps and control panels were made to collection system associated with this facility for this reporting period.

COLLECTING SEWERS (measurement in feet)

	Kind of Pipe (i.e. Cast Iron, VCP, PVC, etc.) (a)	Diameter of Pipe (b)	Total No. at Beginning of Year (c)	Total No. of Additions During the Year (d)	Total No. Removed or Abandoned During the Year (e)	Total No. at End of Year (f)
9	Force:					0
10	PVC	4"	800			800
11	Gravity:					0
12	PVC	8"	8,114			8,114
13						0

LIFT STATIONS

	Pumps: Name, Size, Type	Location	H.P.	GPM	TDH
14					
15					
16					
17					
18					
19					

SLUDGE

(If you have more than five (5) hauls during the year, only list the total annual amount.)

	Date of Haul	Facility/Location	Public	No. of Hauled	Rates Per Gallon	Total Cost of Removal (Include Extra Charges)
20	Jan - Dec 2015	Lake of the Ozarks Regional WWTP#1		315,000	0.04	\$ 12,600
21						
22						
23						
24						
					Total Cost	\$ 12,600

☐ Indicates a formula cell

2 Company Name: Lake Region Water & Sewer Company

GENERAL INFORMATION

3 Type of Treatment Facilities - Please describe (e.g., lagoon, mechanical or sand filter) and list all that apply.

Lodge WWTF MO-0113689, extended aeration

4 What is the designed capacity of each treatment facility?

326,500 GPD

5 What percent of designed capacity of each facility is currently being utilized?

27%

6 Did the company pump and haul the sludge? If no, provide the name of the third party providing service.

Yes

7 What is the ultimate disposal of waste solids (e.g. land application, disposal at qualified facility, etc.)?

Transferred to Lake of the Ozark Region Treatment Plant

8 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken; major item(s) (problem(s) fixed was/were over \$250 as listed on page 3).

Repairs to air diffuser system and flow meter were completed for this reporting period.

COLLECTING SEWERS (measurement in feet)

	Kind of Pipe (i.e. Cast Iron, VCP, PVC, etc.) (a)	Diameter of Pipe (b)	Total No. at Beginning of Year (c)	Total No. of Additions During the Year (d)	Total No. Removed or Abandoned During the Year (e)	Total No. at End of Year (f)
9	Force:					0
10						0
11	Gravity:					0
12	PVC	10"	10			10
13						0

LIFT STATIONS

	Pumps: Name, Size, Type	Location	H.P.	GPM	TDH
14	Flygt #CP436-3	WWTF	3	500	15"
15					
16					
17					
18					
19					

SLUDGE

(If you have more than five (5) hauls during the year, only list the total annual amount.)

	Date of Haul	Facility/Location	Public	No. of Hauled	Rates Per Gallon	Total Cost of Removal (Include Extra Charges)
20	Jan - Dec 2015	Lake of the Ozarks Regional WWTP#1		152,500	0.04	\$ 6,100
21						
22						
23						
24						
					Total Cost	\$ 6,100

☐ Indicates a formula cell

2 Company Name: Lake Region Water & Sewer Company

GENERAL INFORMATION

3 Type of Treatment Facilities - Please describe (e.g., lagoon, mechanical or sand filter) and list all that apply.

Blackhawk Estates WWTF MO-0123226, recirculating sand filter

4 What is the designed capacity of each treatment facility?

1,387 GPD

5 What percent of designed capacity of each facility is currently being utilized?

14%

6 Did the company pump and haul the sludge? If no, provide the name of the third party providing service.

Yes

7 What is the ultimate disposal of waste solids (e.g. land application, disposal at qualified facility, etc.)?

Transferred to Lake of the Ozark Region Treatment Plant

8 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken; major item(s) (problem(s) fixed was/were over \$250 as listed on page 3).

None

COLLECTING SEWERS (measurement in feet)

	Kind of Pipe (i.e. Cast Iron, VCP, PVC, etc.) (a)	Diameter of Pipe (b)	Total No. at Beginning of Year (c)	Total No. of Additions During the Year (d)	Total No. Removed or Abandoned During the Year (e)	Total No. at End of Year (f)
9	Force:					0
10						0
11	Gravity:					0
12						0
13						0

LIFT STATIONS

	Pumps: Name, Size, Type	Location	H.P.	GPM	TDH
14					
15					
16					
17					
18					
19					

SLUDGE

(If you have more than five (5) hauls during the year, only list the total annual amount.)

	Date of Haul	Facility/Location	Public	No. of Hauled	Rates Per Gallon	Total Cost of Removal (Include Extra Charges)
20		None				
21						
22						
23						
24						
					Total Cost	\$ -

☐ Indicates a formula cell

2 Company Name: Lake Region Water & Sewer Company

GENERAL INFORMATION

3 Type of Treatment Facilities - Please describe (e.g., lagoon, mechanical or sand filter) and list all that apply.

Maywood WWTF MO-0103292, extended aeration

4 What is the designed capacity of each treatment facility?

12,800 GPD

5 What percent of designed capacity of each facility is currently being utilized?

18%

6 Did the company pump and haul the sludge? If no, provide the name of the third party providing service.

Yes

7 What is the ultimate disposal of waste solids (e.g. land application, disposal at qualified facility, etc.)?

Transferred to Lake of the Ozark Region Treatment Plant

8 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken; major item(s) (problem(s) fixed was/were over \$250 as listed on page 3).

None.

COLLECTING SEWERS (measurement in feet)

	Kind of Pipe (i.e. Cast Iron, VCP, PVC, etc.) (a)	Diameter of Pipe (b)	Total No. at Beginning of Year (c)	Total No. of Additions During the Year (d)	Total No. Removed or Abandoned During the Year (e)	Total No. at End of Year (f)
9	Force:					0
10						0
11	Gravity:					0
12						0
13						0

LIFT STATIONS

	Pumps: Name, Size, Type	Location	H.P.	GPM	TDH
14					
15					
16					
17					
18					
19					

SLUDGE

(If you have more than five (5) hauls during the year, only list the total annual amount.)

	Date of Haul	Facility/Location	Public	No. of Hauled	Rates Per Gallon	Total Cost of Removal (Include Extra Charges)
20	July 2015	Lake of the Ozarks Regional WWTP#1		2,500	0.04	\$ 100
21	October 2015	Lake of the Ozarks Regional WWTP#2		2,500	0.04	\$ 100
22	December 2015	Lake of the Ozarks Regional WWTP#3		2,500	0.04	\$ 100
23						
24						
					Total Cost	\$ 300

☐ Indicates a formula cell

2 Company Name: Lake Region Water & Sewer Company

GENERAL INFORMATION

3 Type of Treatment Facilities - Please describe (e.g., lagoon, mechanical or sand filter) and list all that apply.

Grandview Amenities WWTF MO-0119768, extended aeration

4 What is the designed capacity of each treatment facility?

50,000 GPD

5 What percent of designed capacity of each facility is currently being utilized?

13%

6 Did the company pump and haul the sludge? If no, provide the name of the third party providing service.

Yes

7 What is the ultimate disposal of waste solids (e.g. land application, disposal at qualified facility, etc.)?

Transferred to Lake of the Ozark Region Treatment Plant

8 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken; major item(s) (problem(s) fixed was/were over \$250 as listed on page 3).

None.

COLLECTING SEWERS (measurement in feet)

	Kind of Pipe (i.e. Cast Iron, VCP, PVC, etc.) (a)	Diameter of Pipe (b)	Total No. at Beginning of Year (c)	Total No. of Additions During the Year (d)	Total No. Removed or Abandoned During the Year (e)	Total No. at End of Year (f)
9	Force:					0
10						0
11	Gravity:					0
12						0
13						0

LIFT STATIONS

	Pumps: Name, Size, Type	Location	H.P.	GPM	TDH
14					
15					
16					
17					
18					
19					

SLUDGE

(If you have more than five (5) hauls during the year, only list the total annual amount)

	Date of Haul	Facility/Location	Public	No. of Hauled	Rates Per Gallon	Total Cost of Removal (Include Extra Charges)
20	March 2015	Lake of the Ozarks Regional WWTP#1		10,000	0.04	\$ 400
21	September 2015	Lake of the Ozarks Regional WWTP#2		5,000	0.04	\$ 200
22						
23						
24						
					Total Cost	\$ 600

☐ Indicates a formula cell

2 Company Name: Lake Region Water & Sewer Company

GENERAL INFORMATION

3 Type of Treatment Facilities - Please describe (e.g., lagoon, mechanical or sand filter) and list all that apply.

Charleston WWTF MO-0113689, extended aeration

4 What is the designed capacity of each treatment facility?

24,000 GPD

5 What percent of designed capacity of each facility is currently being utilized?

20%

6 Did the company pump and haul the sludge? If no, provide the name of the third party providing service.

Yes

7 What is the ultimate disposal of waste solids (e.g. land application, disposal at qualified facility, etc.)?

Transferred to Lake of the Ozark Region Treatment Plant

8 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken; major item(s) (problem(s) fixed was/were over \$250 as listed on page 3).

None.

COLLECTING SEWERS (measurement in feet)

	Kind of Pipe (i.e. Cast Iron, VCP, PVC, etc.) (a)	Diameter of Pipe (b)	Total No. at Beginning of Year (c)	Total No. of Additions During the Year (d)	Total No. Removed or Abandoned During the Year (e)	Total No. at End of Year (f)
9	Force:					0
10						0
11	Gravity:					0
12						0
13						0

LIFT STATIONS

	Pumps: Name, Size, Type	Location	H.P.	GPM	TDH
14	Zoller	WWTF	2	80	10"
15					
16					
17					
18					
19					

SLUDGE

(If you have more than five (5) hauls during the year, only list the total annual amount.)

	Date of Haul	Facility/Location	Public	No. of Hauled	Rates Per Gallon	Total Cost of Removal (Include Extra Charges)
20	September 2015	Lake of the Ozarks Regional WWTP#1		10,000	0.04	\$ 400
21						
22						
23						
24						
					Total Cost	\$ 400

☐ Indicates a formula cell

2 Company Name: Lake Region Water & Sewer Company

GENERAL INFORMATION

3 Type of Treatment Facilities - Please describe (e.g., lagoon, mechanical or sand filter) and list all that apply.

Shawnee Bend WWTF MO-0123722, extended aeration

4 What is the designed capacity of each treatment facility?

100,000 GPD

5 What percent of designed capacity of each facility is currently being utilized?

66%

6 Did the company pump and haul the sludge? If no, provide the name of the third party providing service.

Yes

7 What is the ultimate disposal of waste solids (e.g. land application, disposal at qualified facility, etc.)?

Transferred to Lake of the Ozark Region Treatment Plant

8 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken; major item(s) (problem(s) fixed was/were over \$250 as listed on page 3).

Repairs to pumps and control panels were made to collection system associated with this facility for this reporting period.

COLLECTING SEWERS (measurement in feet)

	Kind of Pipe (i.e. Cast Iron, VCP, PVC, etc.) (a)	Diameter of Pipe (b)	Total No. at Beginning of Year (c)	Total No. of Additions During the Year (d)	Total No. Removed or Abandoned During the Year (e)	Total No. at End of Year (f)
9	Force:					0
10						0
11	Gravity:					0
12						0
13						0

LIFT STATIONS

	Pumps: Name, Size, Type	Location	H.P.	GPM	TDH
14	4 Myers	WWTF	2	123	12"
15					
16					
17					
18					
19					

SLUDGE

(If you have more than five (5) hauls during the year, only list the total annual amount.)

	Date of Haul	Facility/Location	Public	No. of Hauled	Rates Per Gallon	Total Cost of Removal (Include Extra Charges)
20	Jan - Dec 2015	Lake of the Ozarks Regional WWTP#1		115,000	0.04	\$ 4,600
21						
22						
23						
24						
					Total Cost	\$ 4,600

☐ Indicates a formula cell

VERIFICATION

The foregoing report must be verified by the oath of the President, Treasurer, General Manager or Receiver of the company. The oath required may be taken before any person authorized to administer an oath (*Notary Public*) by the laws of the State in which the same is taken.

OATH

State Of Missouri }
County Of Camden } ss:

Joshua Duncan makes oath and says that
Name of Affiant (Company Official/Representative)

s/he is General Manager
Official Title of the Affiant (Company Official/Representative)

of Lake Region Water and Sewer Company
Exact Legal Title or Name of the Respondent (Certificated Company Name)

and is located at 62 Bittersweet Road, Four Seasons, MO 65049 573-365-6792
Address and Telephone Number of the Affiant (Company Official/Representative)

that s/he has examined the foregoing report; that to the best of his or her knowledge, information, and belief, all statements of fact contained in the said report are true and the said report is a correct statement of the business and affairs of the above-named respondent.

from January 1, 2014, to and including December 31, 2014
Month/Day Year Month/Day Year

[Signature]
Signature of Affiant (Company Official/Representative)
(If electronic signatures are used, you **must** use "/s/" before the name.)

Subscribed and sworn to before me, a Notary Public, in and for the State and County above named,

this 13th day of July, 2015

My Commission expires October 31, 2015



[Signature]
Signature of Notary Public
(If electronic signatures are used, you **must** use "/s/" before the name.)

Missouri Revised Statutes § 392.210 or §393.140