

**BEFORE THE PUBLIC SERVICE COMMISSION  
STATE OF MISSOURI**

In the Matter of Roy-L Utilities Request for an    )  
Increase in Sewer System Operating Revenue )

**Case No. SR-2013-0544**

**NOTICE OF COMPANY/STAFF AGREEMENT  
REGARDING DISPOSITION OF  
SMALL COMPANY RATE INCREASE REQUEST**

**COMES NOW** the Staff of the Missouri Public Service Commission (“Staff”), by and through counsel, and on behalf of Roy-L Utilities, Inc. (“Roy-L” or “the Company”), files this *Notice of Staff/Company Agreement Regarding Disposition of Small Company Rate Increase Request*, stating:

1. On June 26, 2013, the Missouri Public Service Commission (“Commission”) received a Rate Increase Request Letter (“Request”) from Marie Rock, Secretary of Roy-L, seeking review of a revenue increase request for both the Company’s sewer and water systems.

2. In its Request, the Company requested Commission approval of a 150.8% increase in its annual sewer system operating revenues and a 47.9% increase in its annual water system operating revenues pursuant to Commission Rule 4 CSR 240-3.050 (“Small Utility Rate Case Procedure”), which were respectively assigned Commission Case Nos. SR-2013-0544 and WR-2013-0543.

3. Upon completion of Staff’s investigation of the Company’s Request, Staff provided the Company and the Office of the Public Counsel (“Public Counsel”) with materials related to Staff’s investigation, as well as the Staff’s initial recommendation for the resolution of the Request.

4. Subsequent to the Staff’s investigation and pursuant to negotiations

between Staff and the Company, the Staff and the Company have been able to reach a *Company/Staff Agreement Regarding Disposition of Small Company Revenue Increase Request* ("*Company/Staff Agreement*"), attached hereto as Appendix A, and incorporated by reference herein.

5. Included in Appendix A is a copy of the above-referenced *Company/Staff Agreement*, as well as a prescribed schedule of depreciation rates and reflects the Company's agreement to implement Staff's recommendations. It also includes various other attachments related to the *Company/Staff Agreement*. Additionally, Appendix A contains affidavits from Staff members that participated in the investigation of this matter.

6. The *Company/Staff Agreement* provides for an increase of 120.79% or \$ 8,609 in operating revenues for the sewer system.

7. In addition, the *Company/Staff Agreement* provides the agreed-upon net rate base of \$ 34,678 in the Company's sewer operating system.

8. Pursuant to Rule 4 CSR 240-3.050 (14), "[i]f the disposition agreement filed by the staff provides for a full resolution of the utility's request and is executed only by the utility and the staff, the utility shall file new and/or revised tariff sheets, bearing an effective date that is not fewer than forty-five (45) days after they are filed, to implement the agreement." The Company will file revised tariff sheets seeking to implement the terms of the *Company/Staff Agreement*. The tariff shall bear an effective date of May 23, 2014, in compliance with Rule 4 CSR 240.3050 (14).

9. The Company is current on the filing of its annual report.

10. The Company is current on payment of all of its annual assessments.

**WHEREFORE**, the Staff submits this *Notice of Company/Staff Agreement Regarding Disposition of Small Company Rate Increase Request* and the attached Appendix A for the Commission's information and consideration in this case and requests that the Commission enter an Order adopting the terms agreed upon by the Company and Staff and contained herein.

Respectfully submitted,

/s/ Kevin A. Thompson

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### **Certificate of Service**

The undersigned hereby certifies that a true and correct copy of the foregoing has been served, by hand delivery, electronic mail, or First Class United States Mail, postage prepaid, to all parties of record on the Service List maintained for this case by the Data Center of the Missouri Public Service Commission, **on this 1<sup>st</sup> Day of April, 2014.**

/s/ Kevin A. Thompson