

# Small Utility Rate Case Timeline

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## MO PSC Case No. SR-2021-0327

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**Utility Name & Contact Info**

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|-----------------|------------------------------------------------------------------|
| Contact Person  | Dean Cooper                                                      |
| Mailing Address | 312 East Capital Ave, Jefferson City, MO 65102                   |
| Phone Contact   | 573-635-7166                                                     |
| E-Mail Address  | <a href="mailto:dcooper@brydonlaw.com">dcooper@brydonlaw.com</a> |

|                           |                    |
|---------------------------|--------------------|
| Date Case Opened          | April 23, 2021     |
| Local Public Hearing?     | Yes                |
| Agreement Filing Due Date | September 20, 2021 |
| 9-Month Deadline          | January 23, 2022   |

Set out on the following pages is the activities timeline pertaining to the processing of the above-referenced small utility revenue increase request. This timeline assumes that the Staff's investigation of the revenue increase request will result in a conclusion that an increase in the subject utility's operating revenues is needed, that at least the Utility and Staff will reach an agreement regarding the overall resolution of the request without the need for time extensions, and that the request will be resolved without an evidentiary hearing being held. In such instances where an evidentiary hearing will be held, the 9-month deadline will need to be considered in setting the procedural schedule.

The schedule set out in the timeline establishes the Target Due Date for the completion of certain activities needed to process the request in a timely manner. Extensions of the disposition agreement filing deadline, which is 150 days after the date the utility files its request, and certain other deadlines are allowed and if executed will result in changes to the timeline. Agreements for such extensions, which are limited to a maximum of 30 days, will be reduced to writing and filed in the case file, along with an updated timeline.

The entries in the Target Day column represent the number of days from the date the utility filed its request. The entries in the Target Due Date column show the date when the Target Day hits the calendar. To determine the entries for the Calendar Due Date column, Target Due Dates falling on a weekend or a holiday are moved to the next regular working day, except that the effective dates for pending revised tariff sheets cannot be adjusted.

| Target Day | Target Due Date | Calendar Due Date | Case Activity                                                                                                                                                                                                                                   | Responsible "Party"      |
|------------|-----------------|-------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| 0          | 04/23/21        | N/A               | Request Letter Filed and Case Opened (agreement filing due date calculated from this date)                                                                                                                                                      | Utility                  |
| 5          | 04/28/21        | 04/28/21          | Compliance with Section (2)(A) of Rule Confirmed (case can be dismissed in case of non-compliance)                                                                                                                                              | Case Manager             |
| 7          | 04/30/21        | 04/30/21          | Case Activities Timeline Filed in Case File                                                                                                                                                                                                     | Case Manager             |
| 10         | 05/03/21        | 05/03/21          | Draft of Initial Customer Notice Sent to Utility & OPC                                                                                                                                                                                          | Case Manager             |
| 20         | 05/13/21        | 05/13/21          | Requests for Information Needed for Audit Communicated to Utility by Assigned Staff and filed in EFIS                                                                                                                                           | Assigned Staff           |
| 20         | 05/13/21        | 05/13/21          | Initial Customer Notice and Notice of Local Public Hearing Mailed to Customers and filed in EFIS                                                                                                                                                | Utility                  |
| 40         | 06/02/21        | 06/02/21          | Responses to Requests for Information Provided to Staff by Utility (staff provides copies of information received to OPC) <b>OR</b> Extension of Agreement Filing Due Date Agreed to by Utility <b>OR</b> Staff May File Motion to Dismiss Case | Utility & Assigned Staff |
| 50         | 06/12/21        | 06/11/21          | End of Response Period for Initial Customer Notice                                                                                                                                                                                              | N/A                      |
| 60         | 06/22/21        | 06/22/21          | Local Public Hearing(s) held no later than 60 days after case initiated                                                                                                                                                                         | All Parties              |
| 75         | 07/07/21        | 07/07/21          | Deadline for Completion of Construction Projects to be Included in Case <b>OR</b> Agreement Filing Due Date Extended So Projects Can be Included in Case                                                                                        | Utility;<br>Case Manager |

| Target Day | Target Due Date | Calendar Due Date | Case Activity                                                                                                                                                                                                                                                        | Responsible "Party"             |
|------------|-----------------|-------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|
| 80         | 07/12/21        | 07/12/21          | Basic Audit/Investigation Work Completed                                                                                                                                                                                                                             | Assigned Staff                  |
| 85         | 07/17/21        | 07/16/21          | Initial Audit/Investigation Reports Completed and Provided to Case Manager                                                                                                                                                                                           | Assigned Staff                  |
| 90         | 07/22/21        | 07/22/21          | Staff and OPC's Preliminary Audit/Investigation Results Provided to the Parties, including workpapers                                                                                                                                                                | Case Manager & OPC              |
| 100        | 08/01/21        | 07/30/21          | Utility & OPC Submit Responses Regarding Staff's Preliminary Report to Case Manager (errors/ommissions to be identified and suggested changes to be included) OR Staff Assumes Information Provided is Acceptable (Staff's audit considered "complete" at this time) | Utility & OPC                   |
| 115        | 08/16/21        | 08/16/21          | Any necessary updates to Audit/Investigation Reports finalized and provided to Case Manager                                                                                                                                                                          | Assigned Staff                  |
| 120        | 08/21/21        | 08/20/21          | Staff's Settlement Proposal Sent to the parties and arrangements made for conference call or meeting to discuss the proposal                                                                                                                                         | Case Manager                    |
| 125        | 08/26/21        | 08/26/21          | Conference Call or Meeting Held with Utility & OPC to Discuss Staff's Settlement Proposal                                                                                                                                                                            | Parties to the Case             |
| 130        | 08/31/21        | 08/31/21          | The Parties Notify Staff of Whether They Agree with the Settlement Proposal (if not, the reasons for that and suggested changes to the settlement proposal documents are provided to the Case Manager)                                                               | Parties to the Case             |
|            |                 |                   | Utility Must Respond <b>OR</b> Agree to Extension of Agreement Filing Due Date <b>OR</b> Staff May File Motion to Dismiss Case                                                                                                                                       | Utility;<br>Case Manager        |
| 140        | 09/10/21        | 09/10/21          | Agreed-Upon Changes to Settlement Proposal Documents Completed and Final Disposition Agreement Sent to Company for Signing (copy also sent to other parties, who may or may not sign)                                                                                | Case Manager                    |
| 145        | 09/15/21        | 09/15/21          | Signed Disposition Agreement Returned to Staff                                                                                                                                                                                                                       | Utility;<br>OPC (if applicable) |
|            |                 |                   | Staff Sends Revised Tariff Sheets and Draft Tariff Filing Transmittal Letter to the Utility                                                                                                                                                                          | Case Manager                    |
| 150        | 09/20/21        | 09/20/21          | Staff Files Executed Disposition Agreement                                                                                                                                                                                                                           | Case Manager                    |

Activities needed from this point forward vary based on the approach taken by the OPC. The next three sections set out the activities needed based on the different OPC approaches that are possible.

This section pertains to the situation where only the Utility and Staff reach an agreement on the overall disposition of the request, but OPC or other intervenors have not requested an evidentiary hearing. The dates shown assume that the Utility will make a 45-day tariff filing on Day 155. If an evidentiary hearing is requested, a procedural schedule will be filed for the case beyond day 150 which will supersede this timeline.

| Target Day | Target Due Date | Calendar Due Date | Case Activity                                                                                                                                                                   | Responsible "Party"     |
|------------|-----------------|-------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 150        | 09/20/21        | 09/20/21          | Utility Files Necessary Tariff Revisions with a 45-day effective date                                                                                                           | Utility                 |
| 157        | 09/27/21        | 09/27/21          | OPC or Other Intervenors File Position Statement(s)                                                                                                                             | OPC & Other Intervenors |
| 165        | 10/05/21        | 10/05/21          | Staff Recommendation Filed if applicable <b>OR</b> ordered by the Commission. (Can include motion for suspension of tariff revisions requesting correction of any deficiencies) | Case Manager            |
| 185        | 10/25/21        | 10/25/21          | Order Approving Tariff Revisions Issued                                                                                                                                         | Assigned RLJ            |
| 195        | 11/04/21        | 11/04/21          | Tariff Revisions Effective "On and After" this Date                                                                                                                             | N/A                     |
| 210        | 11/19/21        | 11/19/21          | Notice Closing Case Issued                                                                                                                                                      | Assigned RLJ            |

This section pertains to the situation where all parties reach an agreement on the overall disposition of the request. The dates shown assume that the Utility will make a 30-day tariff filing on Day 155. This timeline assumes the Commission approves the agreement and no evidentiary hearing is necessary.

| <b>Target Day</b> | <b>Target Due Date</b> | <b>Calendar Due Date</b> | <b>Case Activity</b>                                                                                                                                       | <b>Responsible "Party"</b> |
|-------------------|------------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
| 150               | 09/20/21               | 09/20/21                 | Unanimous Disposition Agreement is Filed                                                                                                                   | Staff                      |
| 157               | 09/27/21               | 09/27/21                 | Utility Files Necessary Tariff Revisions with a 30-day effective date                                                                                      | Utility                    |
| 165               | 10/05/21               | 10/05/21                 | Staff Recommendation Filed if Ordered by the Commission. (Can include motion for suspension of tariff revisions requesting correction of any deficiencies) | Case Manager               |
| 175               | 10/15/21               | 10/15/21                 | Order Approving Tariff Revisions Issued                                                                                                                    | Assigned RLJ               |
| 187               | 10/27/21               | 10/27/21                 | Tariff Revisions Effective "On and After" this Date                                                                                                        | N/A                        |
| 200               | 11/09/21               | 11/09/21                 | Notice Closing Case Issued                                                                                                                                 | Assigned RLJ               |