

DATA INFORMATION REQUEST
Confluence Rivers Utility Operating Company, Inc.
WR-2023-0006
General Rate Case

Requested From: Confluence Rivers

Date Requested: April 3, 2023

Information Requested:

Please provide a copy of the Company's Risk Management Plan(s).

Requested By: Angela Schaben

Information Provided:

Without waiving objections -

No such document exists.

Responsible Witness: Aaron Silas

DATA INFORMATION REQUEST
Confluence Rivers Utility Operating Company, Inc.
WR-2023-0006
General Rate Case

Requested From: Confluence Rivers

Date Requested: April 3, 2023

Information Requested:

Please provide all of the Company's Key Performance Indicators utilized to quantifiably measure the performance of Company goals and objectives.

Requested By: Angela Schaben

Information Provided:

Without waiving objections -

No such document exists.

Responsible Witness: Aaron Silas

DATA INFORMATION REQUEST
Confluence Rivers Utility Operating Company, Inc.
WR-2023-0006
General Rate Case

Requested From: Confluence Rivers

Date Requested: April 14, 2023

Information Requested:

Please provide the metrics/audit procedures utilized by CSWR to determine if O&M contractors are meeting or exceeding contractual requirements and expected operational performance.

Requested By: Angela Schaben

Information Provided:

No metrics or audit procedures exist.

Responsible Witness: Aaron Silas

DATA INFORMATION REQUEST
Confluence Rivers Utility Operating Company, Inc.
WR-2023-0006
General Rate Case

Requested From: Confluence Rivers

Date Requested: April 14, 2023

Information Requested:

Please provide the written security and restoration policies, procedures and controls utilized to protect data.

Requested By: Angela Schaben

Information Provided:

Without waiving any objection, no such policies or procedures exist.

Responsible Witness: Aaron Silas

Missouri Public Service Commission

Respond Data Request

Data Request No. 0036
Company Name Confluence Rivers Utility Operating Company, Inc.-(Water)
Case/Tracking No. WR-2023-0006
Date Requested 1/11/2023
Issue General Information & Miscellaneous - Other General Info & Misc.
Requested From Aaron Silas
Requested By Ron Irving
Brief Description Strategic Plans
Description 1. Please provide or make available for review, all long range plans and guidelines, short range plans and guidelines for Confluence Rivers as a whole and by tariffed rate district. Data Request submitted by Lisa Ferguson (lisa.ferguson@psc.mo.gov)
Response No long- or short-range plans or guidelines exist.
Objections NA

The attached information provided to **Missouri Public Service Commission** Staff in response to the above data information request is accurate and complete, and contains no material misrepresentations or omissions, based upon present facts of which the undersigned has knowledge, information or belief. The undersigned agrees to immediately inform the **Missouri Public Service Commission** if, during the pendency of Case No. **WR-2023-0006** before the Commission, any matters are discovered which would materially affect the accuracy or completeness of the attached information. If these data are voluminous, please (1) identify the relevant documents and their location (2) make arrangements with requestor to have documents available for inspection in the **Confluence Rivers Utility Operating Company, Inc.-(Water)** office, or other location mutually agreeable. Where identification of a document is requested, briefly describe the document (e.g. book, letter, memorandum, report) and state the following information as applicable for the particular document: name, title number, author, date of publication and publisher, addresses, date written, and the name and address of the person(s) having possession of the document. As used in this data request the term "document(s)" includes publication of any format, workpapers, letters, memoranda, notes, reports, analyses, computer analyses, test results, studies or data, recordings, transcriptions and printed, typed or written materials of every kind in your possession, custody or control or within your knowledge. The pronoun "you" or "your" refers to **Confluence Rivers Utility Operating Company, Inc.-(Water)** and its employees, contractors, agents or others employed by or acting in its behalf.

Security : Public
Rationale : NA

Missouri Public Service Commission**Respond Data Request**

Data Request No.	0082
Company Name	Confluence Rivers Utility Operating Company, Inc.-(Water)
Case/Tracking No.	WR-2023-0006
Date Requested	1/23/2023
Issue	Expense - Payroll
Requested From	Aaron Silas
Requested By	Ron Irving
Brief Description	Incentive Compensation, Bonuses, Overtime
Description	1. Please explain in detail what, if any, incentive compensation program and/or bonuses CSWR LLC employees have actually received or may be eligible to receive. 2. Please provide the actual and accrued incentive compensation and/or bonus by month, by employee, for the period of October 1, 2019 through December 31, 2022. Please include the allocation percentage to Confluence Rivers from CSWR LLC with supporting calculations and the percentage used to allocate the Confluence Rivers amounts to each Missouri tariffed rate district with supporting calculations. 3. Please list the employees by employee name and job title that are eligible for overtime, a description of what types of overtime is possible and what pay rate is associated with each type of overtime (ex. time and a half, double time, etc.) 4. For overtime incurred during the period of October 1, 2019 through December 31, 2022, please provide separately by employee, by month, the number of overtime hours by overtime pay rate (time and half, double time, holiday pay etc. and, the dollar rate by each overtime type, and the dollars incurred by overtime type). Please include the allocation percentage to Confluence Rivers from CSWR LLC with supporting calculations and the percentage used to allocate the Confluence Rivers amounts to each Missouri tariffed rate district with supporting calculations. Data Request submitted by Lisa Ferguson (lisa.ferguson@psc.mo.gov)
Response	In response to PSC's supplemental Data Request: CSWR, LLC includes an accrual for business development bonuses each month. The bonuses are then paid out in January, April, July and October. There is no specific journal entry removing the bonuses from an expense group as they are simply removed from the total budgeted expense that is included in the overall allocation pool. The Company provided a worksheet in response to DR 101, "DR-101 Allocated Cost," that displays the difference between the total cost pool and the allocated portion. Business development bonuses fall in the overhead excluded from allocation; reference column O in DR-101 Allocated Cost.
Objections	NA

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