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6	TRANSCRIPT OF PROCEEDINGS	
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8	On-The-Record Conference	
	August 27, 2013	
9	Jefferson City, Missouri	
10	Volume 1	
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18	(Starting time of conference: 10:00 a.m.)	
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Page 2 1 STATE OF MISSOURI 2 3 PUBLIC SERVICE COMMISSION 4 5 TRANSCRIPT OF PROCEEDINGS 6 7 On-The-Record Conference August 27, 2013 Jefferson City, Missouri 8 9 Volume 1 10 11 12 In The Matter Of Kansas City ) Power & Light Company's ) 13 Practices Regarding Customer ) File No. EO-2014-0029 Opt-Out Of Demand-Side ) Management Programs And 14 ) Related Issues ) 15 16 17 MORRIS L. WOODRUFF, Presiding CHIEF REGULATORY LAW JUDGE 18 19 20 REPORTED BY: 21 Ms. Pamela S. Gentry, CCR Missouri CCR No. 426 22 Midwest Litigation Services 3432 West Truman Boulevard 23 Suite 207 Jefferson City, MO 65109 24 (573) 636-7551 25

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15	ALSO PRESENT:
16	Mr. Tim Rush (via telephone)
	Mr. Roger Steiner (via telephone)
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(Starting time of conference: 10:00 a.m.) 1 2 PROCEEDINGS 3 JUDGE WOODRUFF: We're here for a conference in Case No. EO-2014-0029. This kind of grows out of an 4 5 earlier case that I've closed which was EO-2013-0359, and we'll get into the reasons why I closed it a little bit 6 7 later. But, for right now, let's take entries of 8 9 appearance, beginning with Staff. 10 MS. HERNANDEZ: Good morning. Jennifer Hernandez 11 and Nathan Williams appearing on behalf of Staff of the 12 Missouri Public Service Commission. Our address is P.O. Box 360, Jefferson City, Missouri, 65102. 13 14 JUDGE WOODRUFF: And for Public Counsel? MR. MILLS: On behalf of the Office of Public 15 Counsel and the public, my name is Lewis Mills. My 16 17 address is Post Office Box 2230, Jefferson City, Missouri, 18 65102. 19 JUDGE WOODRUFF: And for KCPL? MR. FISCHER: On behalf of the Company, let the 20 21 record reflect the appearance of James M. Fischer, Fischer & Dority, P.C., 101 Madison, Suite 400, Jefferson City, 22 Missouri, 65101. 23 JUDGE WOODRUFF: Okay. And for Midwest Energy? 24 MR. WOODSMALL: David Woodsmall, MECG. 25 Ι

		Page 6
1	previously provided my address to the Court Reporter.	
2	JUDGE WOODRUFF: Okay. And for MIEC?	
3	MS. ILES: Carole Iles, also entering appearance	
4	for Diana Vuylsteke, of Bryan Cave, LLP, 211 North	
5	Broadway, St. Louis.	
6	JUDGE WOODRUFF: MDNR?	
7	MR. BLACKWELL: Tim Blackwell with the Missouri	
8	Attorney General's Office. My address is P.O. Box 899,	
9	Jefferson City, Missouri, 65102.	
10	JUDGE WOODRUFF: Midwest Energy Users Association?	
11	(No response.)	
12	JUDGE WOODRUFF: I saw they were a separate party	
13	in the other cases; is that right? Are they involved at	
14	this point?	
15	MR. WOODSMALL: I haven't seen there haven't	
16	been any pleadings in this case, so I can't comment. I	
17	don't know.	
18	JUDGE WOODRUFF: Okay. Who was representing them?	
19	MR. WOODSMALL: Stu Conrad.	
20	JUDGE WOODRUFF: Stu. Okay. Okay. Well, I think	
21	that takes care of all the entries of appearance.	
22	And, first of all, I want to thank you all for	
23	being here today. And we opened this new file when you	
24	filed a motion indicating that the previous stipulation	
25	agreement had fallen apart or was rejected by the	

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1	Commission, and I opened this as a new case simply to try
2	and get a fresh start on this case, because it seemed like
3	the old case kind of spun out of control, and we want to
4	try and get started as I say, started afresh and see if
5	we can still reach some sort of an agreement on this; or,
6	if not, if we need to set a procedural schedule, we can do
7	that as well.
8	Mr. Fischer, explain to me what's going on.
9	MR. FISCHER: Well, Judge, we had entered into a
10	non-unanimous stipulation agreement in that 0359 case
11	hoping to resolve the issues that were coming out of that
12	in an expeditious way. As you know, the MIA (ph) rules
13	have an opt-out window beginning September 1st through
14	October 30, and the parties can opt out of the DSM costs
15	that are associated with the company's DSM programs. And
16	we had we had reached an agreement in our companion
17	company, GMO, on a MIA filing that had some provisions
18	that related to this topic, but Kansas City Power & Light
19	Company did not have a MIA filing that is currently
20	pending, and a number of the parties had come to us with
21	concerns about how to resolve this.
22	We did reach a non-unanimous stipulation and
23	agreement which we thought would expeditiously resolve it.
24	It was before we had a full procedural schedule, before we
25	had testimony filed, but we thought this was the most

expeditious way to get the -- the issues resolved, and we 1 2 submitted to the Commission. One of the very important 3 parts of that, though, was the approval of the stipulation and agreement, because, from the Company's perspective, 4 5 the approval of the deferred accounting that was incorporated in the stipulation and agreement was, we 6 7 believed, essential for us to be able to do that, or outside auditors were concerned if we didn't have 8 9 regulatory approval of that arrangement. And, whenever 10 the Commission chose to use a different procedure and not 11 approve the stipulation and agreement, that caused us to 12 have real concerns that we didn't have what we needed to 13 go forward.

So, I think that's, essentially, what has caused 14 -- and other parties might have a different perspective --15 but I think that's, essentially, where we've come. And we 16 17 ask that you schedule another conference for us to talk about it and to see if we need to go through a full-blown 18 procedural schedule and file testimony or if there was 19 20 another way to short circuit the process and get the deal 21 that we've agreed to be implemented. We still feel like 22 what we have in that non-unanimous stipulation and agreement should work, but we do need to have an approval 23 24 of the Commission on that point. 25 JUDGE WOODRUFF: Okay. You mentioned a window

		Page 9
1	from September 1st through October 31st. Is this	
2	something that you want in effect by September 1st of this	
3	year, if possible?	
4	MR. FISCHER: Well, I don't think it's critical,	
5	but I think that is one of the concerns that we had, that	
6	was out there, and I think we would get as if if the	
7	former agreement had been approved, there would have been	
8	a tariff in effect that people would be effectively be	
9	opting out of these rate elements that were associated	
10	with these DSM costs. And, without that, it might be	
11	difficult to do that. So, that was one of the other major	
12	things that was happening as a result of the stipulation	
13	and agreement.	
14	JUDGE WOODRUFF: Okay.	
15	MS. HERNANDEZ: If I can add something	
16	JUDGE WOODRUFF: Go ahead.	
17	MS. HERNANDEZ: on the timing effect. I	
18	believe Staff's position is, if it's at all possible, to	
19	have this finished before or at least so that the	
20	consumers out there would know what they would be opting	
21	out of if the tariff was in effect. That's why we had	
22	such an expedited schedule, procedural schedule, earlier	
23	on in this case with the hopes to resolve this matter for,	
24	if the potential customers wanted to opt out of paying DSM	
25	charges, they would know what they were opting out of. I	

Page 10 would say that's still our hope. 1 2 JUDGE WOODRUFF: Obviously, we're not going to 3 make it by September 1st at this particular time. MS. HERNANDEZ: Right. 4 5 JUDGE WOODRUFF: It's coming fairly quickly. Anything else? 6 7 (Indicating.) JUDGE WOODRUFF: Mr. Woodsmall? 8 9 MR. WOODSMALL: A couple quick things. Mr. Fischer mentioned the non-unanimous stipulation. The 10 10 11 days expired, so it could be treated as unanimous. I 12 don't believe -- there were no objections or any problems 13 there. One of the things that necessitated, in my mind, 14 was coming out of the last rate case, my clients raised a 15 point that KCP&L should have segregated these costs so the 16 17 opt-out customers didn't have to pay them. And that was a point of appeal and is now pending at the Court of 18 Appeals. So, it was our hope that by getting this 19 20 stipulation approved that we could get that taken out of 21 the Appellate case, too -- which would, you know, 22 certainly help the Commission in not having to address that. So, that would be -- if we get that resolved, we 23 24 address that point of appeal as well. 25 And, then, we could go with what Ms. Hernandez

		Page 11
1	said, representing many clients that are large enough to	
2	opt out, it's better if we can get these tariffs approved	
3	sooner rather than later. What they're trying to do is do	
4	a cost benefit analysis to see, Okay, here are the	
5	benefits we give up by opting out. What are the costs we	
6	are avoiding? And, until this tariff gets approved, we	
7	really don't know what those costs are.	
8	So, on behalf of the industrial customers, I would	
9	like to get this tariff approved so they can do an	
10	accurate cost benefit analysis.	
11	JUDGE WOODRUFF: Okay. And the consensus I'm	
12	hearing is that everyone's still on board with this	
13	stipulation agreement if the Commission will approve it.	
14	No one's fighting to go off and have a hearing.	
15	MR. FISCHER: I think, Judge, we would want to	
16	approve it, make sure there are no changes, and tweak it.	
17	JUDGE WOODRUFF: Sure.	
18	MR. FISCHER: In the matter of the concept, the	
19	Company still certainly supports resolution along this	
20	manner.	
21	JUDGE WOODRUFF: Now, one of the things I note the	
22	Commission was concerned about at the time, aside from the	
23	procedural brouhaha about this whole thing, was whether	
24	there was any foundation within the record to justify	
25	granting the deferred accounting which was referred to as	

1 an AAO.

2	MR. FISCHER: Judge, it's correct that we don't
3	have any testimony on file in the case, and if that's a
4	requirement from the Commission standpoint, then we would
5	have to go through a procedural schedule to do that.
6	JUDGE WOODRUFF: I'm not sure we need to have
7	testimony. We just the concern was we need to have
8	something in the record to justify the Commission granting
9	that. I think an affidavit from KCPL employees saying
10	this is why we need an AAO or deferred accounting. You've
11	never referred to it as an AAO.
12	MR. FISCHER: No. We refer to it as deferred
13	accounting. We do see a difference.
14	JUDGE WOODRUFF: I see the difference, too. But,
15	if there can be something either in the stipulation and
16	agreement or attached to a stipulation and agreement
17	saying this is why deferred accounting's appropriate, I
18	think that would be enough to satisfy the Commission.
19	Is that something you can do?
20	MR. FISCHER: The Company could certainly put,
21	from its perspective, an affidavit together on why it's
22	important that we have approval and what the benefits are.
23	Now, I would have to say that, in the stipulation as it's
24	drafted and other parties can certainly chime in
25	they have the provisions are that they are not

		Page 13
1	objecting to the deferred accounting. They they're not	
2	necessarily affirming a deferment in any way, but we can	
3	put an affidavit together like that and submit that in	
4	additional support of the stipulation if that's what the	
5	Commission would like.	
6	JUDGE WOODRUFF: Anyone have any problem with	
7	that? On that, anyway? I'm sure you would want to	
8	MR. MILLS: Not with the concept. Might have	
9	problems with the allegations in the affidavit.	
10	JUDGE WOODRUFF: Okay.	
11	MR. FISCHER: Could I ask my client, who happens	
12	to be on the line, whether he sees any problem with that?	
13	JUDGE WOODRUFF: Sure.	
14	MR. FISCHER: Mr. Rush, are you on the line?	
15	MR. RUSH: I am. Would you I heard parts of	
16	it, of the request for affidavit associated with why we	
17	need approval for deferred accounting, am I correct?	
18	JUDGE WOODRUFF: That would be correct, yes.	
19	MR. RUSH: I think we could do that. And it would	
20	be in an affidavit form, as I understand it.	
21	JUDGE WOODRUFF: Correct. And I wouldn't expect	
22	the other parties to necessarily agree with it, but if	
23	nobody objected to it, that would at least give the	
24	Commission something to as a foundation for approving	
25	it.	

Page 14 1 MR. RUSH: Okay. 2 JUDGE WOODRUFF: And, if you can -- as soon as you 3 can get that to me, I will put it on the agenda. MR. FISCHER: Would you like for us to reformat in 4 any way that non-unanimous stip that we filed or can we 5 file what we did before? 6 7 JUDGE WOODRUFF: I think just file it again with 8 the new number on it --9 MR. FISCHER: Okay. 10 JUDGE WOODRUFF: -- with that affidavit as an attachment. 11 12 MR. FISCHER: Okay. MR. WOODSMALL: May I inquire, your Honor? 13 14 JUDGE WOODRUFF: Sure. 15 MR. WOODSMALL: I don't want to make things any more complicated, and maybe there's no answer to this. Is 16 17 there anything that we should take out of the previous order regarding consent orders, that type of thing, going 18 forward or should we --19 JUDGE WOODRUFF: Since that order was issued about 20 21 consent orders, the Commission has made it quite clear in several other cases that have gone through agenda where 22 23 they have approved stipulation agreements that they are 24 willing to approve stipulations and agreements without the consent order language. 25

Page 15 1 MR. WOODSMALL: Okay. 2 JUDGE WOODRUFF: So, I don't think that's going to 3 be something the Commission is going to look at in the 4 future. 5 MR. WOODSMALL: Okay. Great. Thank you. 6 MR. WILLIAMS: Judge? Judge, if I could ask for a 7 a little bit of clarification. Are you looking for a 8 caption completing -- it includes the prior stipulation 9 agreement or are you looking for another stipulation and 10 agreement with this case captioned? What I'm really 11 getting at, are you looking for a new document with new 12 signatures or is the prior document sufficient just to do 13 something to show it's filed in this case as in the prior 14 one? JUDGE WOODRUFF: I think it would be -- if it's 15 not going to cause anybody too much problems, I think it 16 17 would be appropriate to file it with a new caption and a 18 new case with new signatures. 19 MR. WILLIAMS: And then he'll have another 120-day waiting period? 20 21 JUDGE WOODRUFF: It's actually seven days --22 MR. WILLIAMS: Oh, seven. 23 JUDGE WOODRUFF: -- under the rule, but it will 24 take about that long before we can get it through agenda 25 anyway.

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1	I want to make it clear that this is a new case.	
2	We're not revisiting the old case. That's to say we're	
3	starting over fresh in a new case.	
4	MR. WILLIAMS: That's why I'm asking for a new	
5	clarification.	
6	THE COURT REPORTER: Would you state your name?	
7	MR. WILLIAMS: Nathan Williams.	
8	JUDGE WOODRUFF: All right. Is there anything	
9	else anybody wants to bring up while we're on the record?	
10	MR. FISCHER: Roger Steiner may be on the line,	
11	too.	
12	Is there anything, Roger, we need to talk about	
13	with the Judge before we close?	
14	MR. STEINER: No. I think we're good, Jim.	
15	Thanks.	
16	JUDGE WOODRUFF: Okay. Well, thank you all very	
17	much for being here. I'll leave you to your discussions,	
18	if you need any; and, with that, we're off the record.	
19	MR. WOODSMALL: Just a quick point.	
20	JUDGE WOODRUFF: On the record?	
21	MR. WOODSMALL: No.	
22	JUDGE WOODRUFF: Okay. We're off the record.	
23	(Adjournment.)	
24	(Whereupon, the record ended at 10:15 a.m.)	
25	* * * * *	

## TRANSCRIPT OF PROCEEDINGS 8/27/2013

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1	CERTIFICATE	
2	STATE OF MISSOURI )	
	) ss.	
3	COUNTY OF COLE )	
4	I, Pamela S. Gentry, Certified Court	
5	Reporter with the firm of Midwest Litigation Services, do	
6	hereby certify that I was personally present at the	
7	proceedings had in the above-entitled cause at the time	
8	and place set forth in the caption sheet thereof; that I	
9	then and there took down in Stenotype the proceedings had;	
10	and that the foregoing is a full, true and correct	
11	transcript of such Stenotype notes so made at such time	
12	and place.	
13	Given at my office in the City of	
14	Jefferson, County of Cole, State of Missouri.	
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	Pamela S. Gentry, CCR #426	
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