



The Empire District Electric Company

A Liberty Utilities Company

Case No. ER-2019-0374

OPC Data Request – 1022

Data Request Received: 03/30/2020

Request No. 1022

Date of Response: 04/09/20

Respondent: Jill Schwartz

Submitted by: Robert Schallenberg

REQUEST:

Did the Company examine its APUC's CAM effective January 1, 2017, or later for its compliance with the MoPSC affiliate transaction rules? If yes, what was the scope of the examination? Please provide copies of documentation authorizing employees to conduct this analysis and the documentation created from the examination?

RESPONSE:

This response is provided subject to and without waiving the previously served objections.

Yes, as discussed in the Direct Testimony of Jill Schwartz in this proceeding, and as part of the conditions of the merger docket (Case No. EM-2016-0213) Liberty-Empire, the Staff of the MoPSC, and the Office of the Public Counsel ("OPC") filed a Unanimous Stipulation and Agreement and Joint Request to Suspend Procedural Schedule in Liberty-Empire's CAM docket (Case No. AO-2012-0062) and agreed that Liberty-Empire would file a new CAM within six months of the close of the merger transaction. Following the close of the merger transaction on January 1, 2017, significant work was done by Staff, OPC and Liberty-Empire to ensure that the APUC CAM, including the Missouri-specific appendix, complies with the MoPSC affiliate transaction rules. On June 30, 2017, six months after the close of the merger transaction and in accordance with the Commission's approval of the Unanimous Stipulation and Agreement, Liberty-Empire filed and requested approval of the revised APUC CAM, including the Missouri-specific appendix, in Case No. AO-2017-0360.