



The Empire District Electric Company
A Liberty Utilities Company

Case No. ER-2019-0374
OPC Data Request – 1034

Data Request Received: 04/03/20
Request No. 1034

Date of Response: 04/13/2020
Respondent: Mark Timpe

Submitted by: Robert Schallenberg

REQUEST:

Please provide copies of the invoice, approvals, and supporting documentation related to the payment of the \$450,000 financing fee Empire paid LUCo in connection with the 15-year loan, the proceeds of which Empire used to pay its \$90 million first mortgage bonds that matured and came due on June 1, 2018, please include the name, title, employer, party(ies) represented in this financing fee transaction, and a description of the role of each individual who participated in this process.

RESPONSE:

This response is provided subject to and without waiving the previously served objections.

As noted in the Empire Board of Directors resolution provided in support of OPC DR 1033, the payment to LUCo by Empire of the \$450,000 fee was authorized by Empire's Board of Directors. A copy of the internal wire transfer form, with invoice attached, and accounting entry screen are provided with this response. The payment was effected by a wire transfer from Empire's UMB Bank account to LUCo's JPMorgan Chase Bank on June 20, 2018.