

**Empire District Electric Company Data Request**  
**Empire District Electric Company**  
**Case # ER-2004-0570**

Requested From: Travis Allen  
Date Requested: October 26, 2004  
Requested By: Angela Cloven

**FILED**

DEC 28 2004

**Brief Description** Acquired Degrees and Work Experience

**Missouri Public  
Service Commission**

**Description**

Please list all jobs which you have held in the field of regulatory finance. For each, state the name and address of your employer, the dates of employment, and a brief description of your duties.

**Due Date**

11/15/2004

**Response:** Missouri Office of the Public Counsel  
200 Madison St.  
Jefferson City, MO  
65109

Exhibit No. 123  
Case No(s) ER-2004-0570  
Date 12-14-04 Rptr RF

Duties include providing expert testimony on cost of capital issues before the Missouri Public Service Commission, assisting legal staff at hearings, and assisting in the preparation of legal briefs.

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**Empire District Electric Company Data Request**  
**Empire District Electric Company**  
**Case # ER-2004-0570**

Requested From: Travis Allen  
 Date Requested: October 26, 2004  
 Requested By: Angela Cloven

**Brief Description** Acquired Degrees and Work Experience

**Description** Describe the last three jobs held by you immediately prior to your current position. For each, state the name and address of your employer, the dates of employment, and a brief description of your duties.

**Due Date** 11/15/2004

**Response:** FedEx Ground Evansville Indiana-- Loaded Trucks

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Graduate Assistant to Dr. Donald Elliott at Southern Illinois University – Edwardsville, Edwardsville Illinois – Tutored his Business Forecasting course and graded papers.

Graduate Assistant to Dr. Radcliffe Edmonds at Southern Illinois University – Edwardsville, Edwardsville Illinois – Tutored his Econometrics course and graded papers.

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**Empire District Electric Company Data Request**  
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**Case # ER-2004-0570**

Requested From: Travis Allen  
 Date Requested: October 26, 2004  
 Requested By: Angela Cloven

**Brief Description** Acquired Degrees and Work Experience

**Description** State the date on which you applied for your current position, describe all application materials which were provided to your current employer before a decision was reached to hire you for your current position, and state the date on which you were hired for your current position.

**Due Date** 11/15/2004

**Response:** Witness Allen applied for his current position in January 2004. Witness Allen provided his current employer a resume and a cover letter. Witness Allen was hired on March 12, 2004.

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**Case # ER-2004-0570**

Requested From: Travis Allen

Date Requested: October 26, 2004

Requested By: Angela Cloven

**Brief Description** Licenses, Certifications, Memberships



**Description** State whether you are a certified public accountant.

**Due Date** 11/15/2004

**Response:** Witness Allen is not a certified public account.

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Requested From: Travis Allen

Date Requested: October 26, 2004

Requested By: Angela Cloven

**Brief Description** Licenses, Certifications, Memberships

**Description** State whether you are a certified public accountant.

**Due Date** 11/15/2004

**Response:** Witness Allen is not a certified public account.

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Requested From: Travis Allen

Date Requested: October 26, 2004

Requested By: Angela Cloven

**Brief Description** Licenses, Certifications, Memberships



**Description** State the name of each and every professional license or certificate held by you. For each, state the name and address of the licensing/certifying entity and the date the license or certificate was obtained.

**Due Date** 11/15/2004

**Response:** Witness Allen currently holds no professional licenses or certificates.

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

**Brief Description** Licenses, Certifications, Memberships

**Description** State the name of each and every professional organization of which you are a member. For each, state the name and address of the organization and any office held by you in the organization.

**Due Date** 11/15/2004

**Response:** Witness Allen is currently not a member of any professional organization.

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**Empire District Electric Company Data Request**  
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**Case # ER-2004-0570**

Requested From: Travis Allen

Date Requested: October 26, 2004

Requested By: Angela Cloven

**Brief Description** Specialized Training

**Description** Please describe all specialized training you have had in the field of regulatory finance. Include the name and date of each seminar or class attended and the name and date of publication of all works reviewed.

**Due Date** 11/15/2004

**Response:** Witness Allen attended a week-long training seminar in public utility regulation at New Mexico State University in May of 2004.

Witness Allen received training from regulatory finance expert John A. Tuck from March 2004 – June 2004.

Witness Allen received regulatory training from Douglas Micheel in April 2004.

Witness Allen received regulatory training from Russ Trippensee in April 2004.

Witness Allen attended the 2004 FRI Utility Symposium in September 2004.

Witness Allen received training from regulatory finance expert Steve Hill in September 2004.

Witness Allen has studied numerous books, trade journals, articles, and other publications relating to the area of public utility finance.

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**Case # ER-2004-0570**

Requested From: Travis Allen

Date Requested: October 26, 2004

Requested By: Angela Cloven

**Brief Description** Specialized Training

**Description** Please describe all specialized training you have had in the area of rate of return and/or cost of capital for regulated utility companies. Include the name and date of each seminar or class attended and the name and date of publication of all works reviewed.

**Due Date** 11/15/2004

**Response:** Witness Allen attended a week-long course on public utility regulation sponsored by New Mexico State University in May 2004.

Witness Allen received training from regulatory finance expert John A. Tuck from March 2004 -- June 2004.

Witness Allen attended the 2004 FRI Utility Symposium in September 2004.

Witness Allen received training from regulatory finance expert Steve Hill in September 2004.

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**Case # ER-2004-0570**

Requested From: Travis Allen  
Date Requested: October 26, 2004  
Requested By: Angela Cloven

**Brief Description** Specialized Training

**Description** Please describe all specialized training you have had in the use of the DCF (discounted cash flow) model. Include the name and date of each seminar or class attended and the name and date of publication of all works reviewed.


**Due Date** 11/15/2004

**Response:**

- Financial Management & Decision Making – Spring 2000  
Essentials of Corporate Finance - Copyright 1999
- Portfolio Analysis – Fall 2000  
Fundamentals of Investments – Copyright 2001
- Security Analysis & Modeling – Fall 2002  
Investment Analysis and Portfolio Management – Copyright 2000
- Corporate Financial Analysis & Strategy – Spring 2003  
The Revolution in Corporate Finance – Copyright 2002
- Training by Dr. Susan Crain – Spring 2001/Spring 2004
- Training by Dr. Chris Dussold – Fall 2002/Spring 2003
- Training by John A. Tuck – April 2004  
Practical Skills for the Changing Electric, Natural Gas, and Telecommunications Industries – May 2004
- Training by Stephen G. Hill – September 2004

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Date Requested: October 26, 2004  
Requested By: Angela Cloven  
Brief Description Specialized Training

Description Please describe all specialized training you have had in the area of rate of return and/or cost of capital for regulated electric utility companies. Include the name and date of each seminar or class attended and the name and date of publication of all works reviewed.

Due Date 11/15/2004

- 
- Financial Management & Decision Making – Spring 2000  
Essentials of Corporate Finance - Copyright 1999
  - Portfolio Analysis – Fall 2000  
Fundamentals of Investments – Copyright 2001
  - Security Analysis & Modeling – Fall 2002  
Investment Analysis and Portfolio Management – Copyright 2000
  - Corporate Financial Analysis & Strategy – Spring 2003  
The Revolution in Corporate Finance – Copyright 2002
  - Training by Dr. Susan Crain – Spring 2001/Spring 2004-Fall 2004
  - Training by Dr. Chris Dussold – Fall 2002/Spring 2003
  - Training by John A. Tuck – April 2004
  - Practical Skills for the Changing Electric, Natural Gas, and Telecommunications Industries – May 2004
  - Training by Stephen G. Hill – September 2004
  - 2004 FRI Utility Symposium – September 2004
- 
-



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**Empire District Electric Company Data Request**  
**Empire District Electric Company**  
**Case # ER-2004-0570**

Requested From: Travis Allen  
 Date Requested: October 26, 2004  
 Requested By: Angela Cloven

**Brief Description** Works Reviewed

**Description** Provide the title, publisher, and date of publication for each book, treatise, or other work you have read or reviewed on the subject of rate of return methodologies for regulated utilities. Also state the general time period in which you first read or reviewed each book, treatise, or other work.

**Due Date** 11/15/2004

Witness Allen cannot remember the title, publisher, and date of every publication he has ever read or reviewed on rate of return methodologies. However witness Allen has provided the following list of material.

"The Cost of Capital to a Public Utility", Michigan State University, 1974

"Investment Analysis and Portfolio Management", Mike Roche, 2000

"Principles of Corporate Finance", McGraw-Hill Irwin, 2003

"Fundamentals of Investments", Prentice Hall, 2003

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Requested From: Travis Allen  
Date Requested: October 26, 2004  
Requested By: Angela Cloven

**Brief Description** Works Reviewed



**Description** Provide the title, publisher, and date of publication for each book, treatise, or other work you have read or reviewed on the subject of rate of return methodologies for regulated electric utilities. Also state the general time period in which you first read or reviewed each book, treatise, or other work.

**Due Date** 11/15/2004

**Response:** See OPC response to Company DR 0495.

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**Empire District Electric Company Data Request**  
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**Case # ER-2004-0570**

Requested From: Travis Allen  
Date Requested: October 26, 2004  
Requested By: Angela Cloven

**Brief Description** Teaching Experience

**Description** State whether you have taught any courses, classes, or seminars – on any subject – at the college level. If so, state the name and address of the educational institution at which you taught and provide a description of each course, class, or seminar.

**Due Date** 11/15/2004

**Response:** Witness Allen has not taught any college level courses as of yet.

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**Case # ER-2004-0570**

Requested From: Travis Allen  
Date Requested: October 26, 2004  
Requested By: Angela Cloven

**Brief Description** Teaching Experience



**Description** State whether you have taught any courses, classes, or seminars – at any level – in the field of regulatory finance. If so, state the name and address of the educational institution at which you taught and provide a description of each course, class, or seminar.

**Due Date** 11/15/2004

**Response:** Witness Allen has not taught any courses in the field of regulatory finance as of yet.

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
**Brief Description** Teaching Experience

**Description** State whether you have taught any courses, classes, or seminars – at any level – on the issues of rate of return and/or cost of capital. If so, state the name and address of the educational institution at which you taught and provide a description of each course, class, or seminar.

**Due Date** 11/15/2004

**Response:** Witness Allen has not taught any cost of capital or rate of return courses as of yet.

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**Case # ER-2004-0570**

Requested From: Travis Allen  
Date Requested: October 26, 2004  
Requested By: Angela Cloven

**Brief Description** Works Published

**Description** State whether you have written or co-written any textbooks on any topic. If so, state the title, the name of the publisher, and the date of publication for each.

**Due Date** 11/15/2004

**Response:** Witness Allen has not written any textbooks.

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Requested From: Travis Allen  
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 Requested By: Angela Cloven



**Brief Description** Works Published

**Description** State whether you have written or co-written any books, treatises, or other works on the subject of regulatory finance. If so, state the title, the name of the publisher, and the date of publication for each.

**Due Date** 11/15/2004

**Response:** Witness Allen has not written or co-written any books, treatises, or other works on the subject of regulatory finance as of yet.

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Requested From: Travis Allen  
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Requested By: Angela Cloven

**Brief Description** Works Published

**Description** State whether you have written or co-written any books, treatises, or other works on the subject of rate of return and/or cost of capital. If so, state the title, the name of the publisher, and the date of publication for each.

**Due Date** 11/15/2004

**Response:** Witness Allen has not written or co-written any books, treatises, or other works on the subject of rate of return and/or cost of capital as of yet.

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Requested By: Angela Cloven

**Brief Description** Works Published

**Description** State whether you have written or co-written any articles on the subject of regulatory finance. If so, state the title, the name of the publisher (if any), and the date of publication for each.

**Due Date** 11/15/2004

**Response:** Witness Allen has not written or co-written any articles as of yet.

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No. 0504

**Empire District Electric Company Data Request**  
**Empire District Electric Company**  
**Case # ER-2004-0570**

Requested From: Travis Allen  
Date Requested: October 26, 2004  
Requested By: Angela Cloven

**Brief Description** Works Published



**Description** State whether you have written or co-written any articles on any subject. If so, state the title, the name of the publisher (if any), and the date of publication for each.

**Due Date** 11/15/2004

**Response:** See OPC response to Empire data request No. 0503.

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TITLE \_\_\_\_\_

**Empire District Electric Company Data Request**  
**Empire District Electric Company**  
**Case # ER-2004-0570**

Requested From: Travis Allen

Date Requested: October 26, 2004

Requested By: Angela Cloven

**Brief Description** Prior Testimony and Experience



**Description** Please state the case caption (name, jurisdictional entity, case number) for each time you have provided expert testimony on a regulatory finance issue.

**Due Date** 11/15/2004

**Response:** Missouri Gas Energy, Missouri PSC, GR-2004-0209.

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TITLE \_\_\_\_\_

**Empire District Electric Company Data Request**  
**Empire District Electric Company**  
**Case # ER-2004-0570**

Requested From: Travis Allen

Date Requested: October 26, 2004

Requested By: Angela Cloven

**Brief Description** Prior Testimony and Experience

**Description** State the case caption (name, jurisdictional entity, case number) for each regulated utility "rate case" in which you have been directly involved and please describe your involvement in each.

**Due Date** 11/15/2004

**Response:** Missouri Gas Energy, Missouri PSC, GR-2004-0209, Rate of Return Witness.

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**Empire District Electric Company Data Request**  
**Empire District Electric Company**  
**Case # ER-2004-0570**

Requested From: Travis Allen  
Date Requested: October 26, 2004  
Requested By: Angela Cloven  
Brief Description Prior Testimony and Experience

**Description** State the case caption (name, jurisdictional entity, case number) for each regulated electric utility "rate case" in which you have been directly involved and please describe your involvement in each.

**Due Date** 11/15/2004

**Response:** Empire District Electric Company, Missouri PSC, ER-2004-0570, Rate of Return Witness.

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**Empire District Electric Company Data Request**  
**Empire District Electric Company**  
**Case # ER-2004-0570**

Requested From: Travis Allen  
Date Requested: October 26, 2004  
Requested By: Angela Cloven  
**Brief Description** Prior Testimony and Experience

**Description** State the date on which you first provided expert testimony before a state utility commission such as the Missouri Public Service Commission, and state the total number of times you have provided expert testimony before a state utility commission.



**Due Date** 11/15/2004

**Response:** April 15, 2004

Witness Allen has filed five pieces of expert testimony before a state utility commission.

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