Requested Fro	om:	Travis Allen		
Date Requeste	ed:	October 26, 2004		FILED
Requested By	<i>r</i> :	Angela Cloven		DEC 2 8 2004
Brief Descript	ion	Acquired Degrees and Wo	ork Experience	Missouri Public Service Commission
Description  Due Date		Please list all jobs which y finance. For each, state the employer, the dates of emyour duties.  11/15/2004	e name and ad	dress of your
200 Madiso Jefferson C 65109 Duties include providing Public Service Commiss		n City, MO  ng expert testimony on consission, assisting legal	Da	Exhibit No. 123  ase No(s). ER-2001-05  ate 2-12-01 Rptr KR  issues before the Missourings, and assisting in the
preparation o	110541 011		naludag	
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request is accur	ate and comp	olete, and contains no material	misrepresentation	esponse to the above information s or omissions based upon present inform the The Empire District

Page 1 of 33

Electric Company if any matters are discovered whic the information provided in response to the above inf	ch would materially affect the accuracy or completeness of comparison.
DATE RECEIVED:	SIGNED BY:
	TITLE

Requested From	n: Travis Allen
Date Requeste	1: October 26, 2004
Requested By:	Angela Cloven
Brief Description	Acquired Degrees and Work Experience
Description  Describe the last three jobs held by you immediately prior to your current position. For each, state the name and address of your employer, the dates of employment, and a brief description of your duties.	
Due Date	11/15/2004
Response:	FedEx Ground Evansville Indiana-Loaded Trucks
	Graduate Assistant to Dr. Donald Elliott at Southern Illinois University – Edwardsville, Edwardsville Illinois – Tutored his Business Forecasting course and graded papers.
	Graduate Assistant to Dr. Radcliffe Edmonds at Southern Illinois University — Edwardsville, Edwardsville Illinois — Tutored his Econometrics course and graded papers.
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	# of Total#
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The information provided to The Empire District Electric Company in response to the above information request is accurate and complete, and contains no material misrepresentations or omissions based upon present facts known to the undersigned. The undersigned agrees to immediately inform the The Empire District Electric Company if any matters are discovered which would materially affect the accuracy or completeness of the information provided in response to the above information.

DATE RECEIVED:	SIGNED BY:
	TITLE

Requested From:	Travis Allen		
Date Requested:	October 26, 2004		
Requested By:	Angela Cloven		
Brief Description	Acquired Degrees and Wo	ork Experience	
Description	describe all application m current employer before a	ou applied for your current paterials which were provided decision was reached to hill and state the date on which at position.	d to your re you
Due Date	11/15/2004		
_		urrent position in Januar and a cover letter. Witness lncludes:	•
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request is accurate and comp facts known to the undersi Electric Company if any ma	olete, and contains no material gned. The undersigned agre	ric Company in response to the misrepresentations or omission the to immediately inform the uld materially affect the accuration.	ns based upon present The Empire District
DATE RECEIVED:	SIC SIC	GNED BY:	
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Requested From:	Travis Allen			
Date Requested:	October 26, 2004			
Requested By:	Angela Cloven	Angela Cloven		
Brief Description	Licenses, Certifications, N	1emberships		
Description  Due Date	State whether you are a co	ertified public accountant.		
Response: Witness	Allen is not a certified p	ublic account.		
	This Response	Includes:		
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Requested From:	Travis Allen		
Date Requested:	October 26, 2004		
Requested By:	Angela Cloven	Angela Cloven	
Brief Description	Licenses, Certifications, N	lemberships	
Description  Due Date	State whether you are a c	ertified public accountant.	
Response: Witnes	s Allen is not a certified p	ublic account.	
	This Response	Includes:	
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Requested From:	Travis Allen		
Date Requested:	October 26, 2004		
Requested By:	Angela Cloven		
Brief Description	Licenses, Certifications, M	lemberships	
Description	certificate held by you. address of the licensing, the license or certificate	and every professional lice. For each, state the name certificating entity and to was obtained.	and
Due Date	11/15/2004		
Response: Witness	Allen currently holds no	professional licenses or	certificates.
	This Response	Includes:	
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DATE RECEIVED:	SIC	GNED BY:	
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Requested From:	Travis Allen		
Date Requested:	October 26, 2004		
Requested By:	Angela Cloven		
Brief Description	Licenses, Certifications, M	lemberships	
Description		ou are a member. For each the organization and any	
Due Date	11/15/2004		
Response: Witness Allen is currently not a member of any professional organization.			
This Response Includes:			
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The information provided to The Empire District Electric Company in response to the above information request is accurate and complete, and contains no material misrepresentations or omissions based upon present facts known to the undersigned. The undersigned agrees to immediately inform the The Empire District Electric Company if any matters are discovered which would materially affect the accuracy or completeness of the information provided in response to the above information.			
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Requested From:

Travis Allen

Date Requested:

October 26, 2004

Requested By:

Angela Cloven

**Brief Description** 

Specialized Training

Description

Please describe all specialized training you have had in the field of regulatory finance. Include the name and date of each

seminar or class attended and the name and date of

publication of all works reviewed.

**Due Date** 

11/15/2004

Response:

Witness Allen attended a week-long training seminar in public utility regulation at New Mexico State University in May of 2004.

Witness Allen received training from regulatory finance expert John A. Tuck from March 2004 – June 2004.

Witness Allen received regulatory training from Douglas Micheel in April 2004.

Witness Allen received regulatory training from Russ Trippensee in April 2004.

Witness Allen attended the 2004 FRI Utility Symposium in September 2004.

Witness Allen received training from regulatory finance expert Steve Hill in September 2004.

Witness Allen has studied numerous books, trade journals, articles, and other publications relating to the area of public utility finance.

This Response Includes:

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tapes			
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DATE RECEIVED:	SI	GNED BY:	
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Requested From:		Travis Allen		
Date Requested:		October 26, 2004		
Requested By:	:	Angela Cloven		
Brief Descripti	on	Specialized Training		
		Please describe all specialized training you have had in the area of rate of return and/or cost of capital for regulated utility companies. Include the name and date of each seminar or class attended and the name and date of publication of all works reviewed.		
Due Date 11/15/2004				
Response: Witness Allen attended a week-long course on public utility reg sponsored by New Mexico State University in May 2004.		• •		
		Allen received training om March 2004 – June 20		e expert John A.
	Witness 2004.	Allen attended the 200	4 FRI Utility Symposi	um in September
		Allen received training tember 2004.	from regulatory finance	expert Steve Hill
		This Response I	ncludes:	
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The information provided to The Empire District Electric Company in response to the above information request is accurate and complete, and contains no material misrepresentations or omissions based upon present

<b>-</b>	agrees to immediately inform the The Empire District would materially affect the accuracy or completeness of mation.
DATE RECEIVED:	SIGNED BY:
	TITLE

Requested From:	Travis Allen		
Date Requested:	October 26, 2004		
Requested By:	Angela Cloven		
Brief Description	Specialized Training		
Description	use of the DCF (discounted name and date of each see	alized training you have had in the ed cash flow) model. Include the eminar or class attended and the tion of all works reviewed.	
Due Date	11/15/2004		
Essentials of Co -Portfolio Analysis – For Fundamentals of Co -Security Analysis & Months Investment An	f Investments – Copyrighodeling – Fall 2002 Ilysis and Portfolio Mananalysis & Strategy – Sprin Corporate Finance – Crain – Spring 2001/Spruck – April 2004 he Changing Electric,  Hill – September 2004	tight 1999  tht 2001  agement — Copyright 2000  ring 2003  Copyright 2002  ring 2004  ring 2003  Natural Gas, and Telecommunic	cations
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Page 14 of 33

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facts known to the undersigned. The undersigned	ed agrees to immediately inform the The Empire District
Electric Company if any matters are discovered wh	ich would materially affect the accuracy or completeness of
the information provided in response to the above in	nformation.
DATE RECEIVED:	SIGNED BY:
	TITLE

Requested From:

Travis Allen

Date Requested:

October 26, 2004

Requested By:

Angela Cloven

**Brief Description** 

Specialized Training

Please describe all specialized training you have had in

the area of rate of return and/or cost of capital for

Description

regulated electric utility companies. Include the name

and date of each seminar or class attended and the name

and date of publication of all works reviewed.

Due Date

11/15/2004

-Financial Management & Decision Making – Spring 2000

Essentials of Corporate Finance - Copyright 1999

-Portfolio Analysis – Fall 2000

Fundamentals of Investments - Copyright 2001

-Security Analysis & Modeling – Fall 2002

Investment Analysis and Portfolio Management - Copyright 2000

-Corporate Financial Analysis & Strategy - Spring 2003

The Revolution in Corporate Finance - Copyright 2002

- -Training by Dr. Susan Crain Spring 2001/Spring 2004-Fall 2004
- -Training by Dr. Chris Dussold Fall 2002/Spring 2003
- -Training by John A. Tuck April 2004
- -Practical Skills for the Changing Electric, Natural Gas, and Telecommunications Industries May 2004
- -Training by Stephen G. Hill September 2004
- 2004 FRI Utility Symposium September 2004

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TITLE

Requested From:	Travis Allen		
Date Requested:	October 26, 2004		
Requested By:	Angela Cloven		
Brief Description	Works Reviewed		
Description	book, treatise, or other wo the subject of rate of return	r, and date of publication for each ork you have read or reviewed on on methodologies for regulated deral time period in which you first ook, treatise, or other work.	
Due Date	11/15/2004		
ever read or reviewed provided the following	l on rate of return metl list of material.	sher, and date of every publication he hodologies. However witness Allen	
The Cost of C	apital to a Public Utility,	, Michigan State University, 1974	
"Investment Ar	nalysis and Portfolio Man	agement", Mike Roche, 2000	
"Principles of O	Corporate Finance", McG	raw-Hill Irwin, 2003	
"Fundamentals	of Investments", Prentice	e Hall, 2003	
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Page 18 of 33

	e District Electric Company in response to the above information tains no material misrepresentations or omissions based upon prese
facts known to the undersigned. The un	ndersigned agrees to immediately inform the The Empire Distri
Electric Company if any matters are discorthe information provided in response to the	vered which would materially affect the accuracy or completeness e above information.
DATE RECEIVED:	SIGNED BY:
	TITLE

Requested From:	Travis Allen		
Date Requested:	October 26, 2004		
Requested By:	Angela Cloven		
Brief Description	Works Reviewed		
Description	Provide the title, publisher book, treatise, or other wo the subject of rate of return electric utilities. Also state you first read or reviewed	rk you have read or revien n methodologies for regul the general time period in	wed on ated n which
Due Date	11/15/2004		
Response: See OP	C response to Company D	DR 0495.	
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Requested From:	Travis Allen		
Date Requested:			
Requested By:			
Brief Description	Teaching Experience		
Description	State whether you have taught any courses, classes, or seminars – on any subject – at the college level. If so, state the name and address of the educational institution at which you taught and provide a description of each course, class, or seminar.		
Due Date	11/15/2004		
Response: Witness	Allen has not taught any	college level courses as	of yet.
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Please number each secti	ion of multiple pages as:	File formats for data: _	
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Requested From:	Travis Allen		
Date Requested:	October 26, 2004		
Requested By:	Angela Cloven		
Brief Description	Teaching Experience		
Description	seminars – at any level – ir so, state the name and add	ught any courses, classes, n the field of regulatory fina dress of the educational ins ovide a description of each	nce. If titution
Due Date	11/15/2004		
Response: Witness as of yet.	Allen has not taught any	courses in the field of reg	gulatory finance
	This Response In	ncludes:	
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Requested From:	Travis Allen		
Date Requested:	October 26, 2004		
Requested By:	Angela Cloven		
Brief Description	Teaching Experience		
Description	seminars – at any level – and/or cost of capital. If so	aught any courses, classes on the issues of rate of reto o, state the name and addr at which you taught and po e, class, or seminar.	urn ess of
Due Date	11/15/2004		
Response: Witness of yet.	Allen has not taught any	cost of capital or rate of	return courses as
	This Response	Includes:	
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Requested From:	Travis Allen		
Date Requested:	October 26, 2004		
Requested By:	Angela Cloven		
Brief Description	Works Published		
Description		ritten or co-written any textbooks ne title, the name of the publisher, n for each.	
Due Date	11/15/2004		
Response: Witness	Allen has not written an	y textbooks.	
-	This Response	includes:	
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Requested From:	Travis Allen		
Date Requested:	October 26, 2004		
Requested By:	Angela Cloven		
Brief Description	Works Published		
Description	State whether you have wr treatises, or other works or If so, state the title, the nan of publication for each.	the subject of regulator	y finance.
Due Date	11/15/2004		
<del>-</del>	Allen has not written or c regulatory finance as of y	•	reatises, or other
	This Response Ir	ncludes:	
Printed Materials disks tapes	Total Pages	Magnetic Media	Number of or
Please number each secti	on of multiple pages as:	File formats for data:	
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Requested From:	Travis Allen		
Date Requested:	October 26, 2004		
Requested By:	Angela Cloven		
Brief Description	Works Published		
Description	treatises, or other works of	written or co-written any boo on the subject of rate of ret o, state the title, the name i publication for each.	urn
Due Date	11/15/2004	<b>P33</b>	
_	Allen has not written or rate of return and/or cos	co-written any books, tr st of capital as of yet.	reatises, or other
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Requested From:	Travis Allen		
Date Requested:	October 26, 2004		
Requested By:	Angela Cloven		
Brief Description	Works Published		
Description	the subject of regulatory fire	ritten or co-written any arti- nance. If so, state the title, ny), and the date of public	the
Due Date	11/15/2004		
Response: Witness	Allen has not written or	co-written any articles a	s of yet.
	This Response I	ncludes:	
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Please number each secti	on of multiple pages as:	File formats for data: _	
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Requested From:	Travis Allen		
Date Requested:	October 26, 2004		
Requested By:	Angela Cloven		
Brief Description	Works Published		
Description	articles on any subject.	written or co-written an If so, state the title, the r nd the date of publicatio	name of
Due Date	11/15/2004		
Response: See OP	C response to Empire dat	a request No. 0503.	
	This Response	Includes:	•
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DATE RECEIVED:	SI	GNED BY:	
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Requested From:	Travis Allen		
Date Requested:	October 26, 2004		
Requested By:	Angela Cloven		
Brief Description	Prior Testimony and Ex	rperience	
Description	_	otion (name, jurisdictions ime you have provided e ry finance issue.	• •
Due Date	, ,		
Response: Misson	uri Gas Energy, Missouri I	PSC, GR-2004-0209.	
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request is accurate and confacts known to the under Electric Company if any n	I to The Empire District Electron to The Empire District Electron to The undersigned agreement are discovered which won response to the above information.	misrepresentations or omission was to immediately inform the ald materially affect the accura-	ons based upon present The Empire District
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Requested From:	Travis Allen		
Date Requested:	October 26, 2004		
Requested By:	Angela Cloven		
Brief Description	Prior Testimony and Ex	rperience	
Description	number) for each regula	name, jurisdictional entit ated utility "rate case" in involved and please desc ch.	which
Due Date	11/15/2004		
Response: Missour Witness.	i Gas Energy, Missour	ri PSC, GR-2004-0209,	Rate of Return
	This Response	Includes:	
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Please number each secti	on of multiple pages as:	File formats for data:	-
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DATE RECEIVED:	SI	GNED BY:	
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Requested From:	Travis Allen		
Date Requested:	October 26, 2004		
Requested By:	Angela Cloven		
Brief Description	Prior Testimony and Exper	ience	
Description	State the case caption (name, jurisdictional entity, case number) for each regulated electric utility "rate case" in which you have been directly involved and please describe your involvement in each.		
Due Date	11/15/2004		
Response: Empire Return Witness.	District Electric Compan	y, Missouri PSC, ER-20	004-0570, Rate of
	This Response I	ncludes:	
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Please number each secti	on of multiple pages as:	File formats for data: _	
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request is accurate and comp facts known to the undersi Electric Company if any ma	to The Empire District Electric clete, and contains no material a gned. The undersigned agree tters are discovered which wou response to the above information	misrepresentations or omissions to immediately inform the ld materially affect the accura	ons based upon present The Empire District
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Requested From:	quested From: Travis Allen		
Date Requested:	October 26, 2004	October 26, 2004  Angela Cloven	
Requested By:	Angela Cloven		
Brief Description	Prior Testimony and Expe	rience	
Description	before a state utility comm Service Commission, and	ou first provided exert testimony nission such as the Missouri Public state the total number of times you imony before a state utility	
Due Date	11/15/2004		
Response: April 15	, 2004		
	Allen has filed five piece ommission.	es of expert testimony before a state	
	This Response	Includes:	
Printed Materials disks tapes	Total Pages	Magnetic MediaNumber of or	
Please number each secti	on of multiple pages as:	File formats for data:	
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