In the Matter of:

THE APPLICATION OF UNION ELECTRIC COMPANY d/b/a AMEREN MISSOURI, etc.

ET-2021-0082, VOL. I

April 13, 2021



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1	BEFORE THE PUBLIC SERVICE COMMISSION
2	STATE OF MISSOURI
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5	TRANSCRIPT OF PROCEEDINGS
6	Evidentiary Hearing
7	April 13, 2021
8	Jefferson City, Missouri
9	Volume 1
10	WebEx
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12	
13	In the Matter of the)
14	Application of Union Electric) Company d/b/a Ameren Missouri) File No. ET-2021-0082 for Approval of its Surge)
15	Protection Program)
16	RON PRIDGIN, Presiding
17	RON PRIDGIN, Plesiding REGULATORY LAW JUDGE
18	RYAN A. SILVEY, Chairman, SCOTT T. RUPP,
19	MAIDA J. COLEMAN, JASON R. HOLSMAN,
20	COMMISSIONERS
21	
22	
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1	PROCEEDINGS
2	JUDGE PRIDGIN: Good morning. We are on the
3	record. This is the evidentiary hearing in File No.
4	ET-2021-0082. That's In the Matter of the Application
5	of Union Electric Company d/b/a Ameren Missouri for
6	Approval of Its Surge Protection Program. I'm Ron
7	Pridgin. I'm the Regulatory Law Judge assigned to
8	preside over this hearing. It's being held April 13,
9	2021. The time is 9:03 a.m.
10	We are doing this hearing over WebEx due to
11	the COVID-19 pandemic. I would like to get entries of
12	appearance from counsel, please, beginning with Ameren
13	Missouri.
14	MR. LOWERY: Good morning, Your Honor. I'm
15	Jim Lowery. I represent Ameren Missouri in this case.
16	My contact information is reflected in my entry of
17	appearance filed in the docket.
18	JUDGE PRIDGIN: Mr. Lowery, thank you. Entry
19	of appearance on behalf of Staff, please?
20	MR. LOWERY: Your Honor, I have a co-counsel
21	as well that would like to enter his appearance.
22	JUDGE PRIDGIN: Go ahead.
23	MR. BANKS: Good morning, Your Honor. My name
24	is Eric Kendall Banks. I am co-counsel for Ameren
25	Missouri and my contact information is also indicated in

1	the entry of appearance.
2	JUDGE PRIDGIN: Mr. Banks, thank you. I
3	apologize for moving on too quickly.
4	MR. BANKS: That's okay, Your Honor.
5	JUDGE PRIDGIN: Thank you. Any entry of
6	appearance on behalf of Staff, please?
7	MS. PAYNE: Yes. Whitney Payne on behalf of
8	the Staff of the Missouri Public Service Commission.
9	Our address is 200 Madison Street, Suite 800, Jefferson
10	City, Missouri 65101.
11	JUDGE PRIDGIN: Ms. Payne, thank you. Entry
12	on behalf of the Office of the Public Counsel?
13	MR. WILLIAMS: Nathan Williams appearing on
14	behalf of the Office of the Public Counsel and the
15	public. My address is PO Box 2230, Jefferson City,
16	Missouri 65102.
17	JUDGE PRIDGIN: Mr. Williams, thank you. I
18	believe those are all the parties. Just in case, have I
19	overlooked anyone?
20	All right. I believe we have a pending motion
21	from Ameren on friendly cross. I'm going to take that
22	motion with the case and rule on any objections, if any,
23	as they are raised. I'm not real big on friendly cross.
24	If I think we're crossing into friendly cross, no pun
25	intended, I may sustain any objections that I get; but

because I don't think Staff and OPC have exact same perspectives on the case, I'm going to at least allow preliminary questioning; and again if I think we're getting into undue delay or friendly cross, I may sustain any objections on those questions.

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Is there anything further either from counsel or from the bench before we proceed into opening statements? Hearing nothing. Before opening statements, if I could remind everyone if you don't plan on speaking, please mute your microphone. Otherwise, we run the risk of getting a lot of feedback and it's hard for everyone to hear and especially our court reporter. So unless you're about to speak, please have your microphone on mute. Anything further before opening statements? All right. Hearing nothing, Mr. Lowery or Mr. Banks, whenever you're ready.

MR. LOWERY: Thank you, Your Honor. Good morning and may it please the Commission. For a couple of reasons I've been looking forward to presenting this case to you. For starters, the last time I or I think anyone at Ameren Missouri meaningfully participated in a hearing before you was about 13 months ago when I think we had the last in-person hearing that was held before the lockdown started. So it's good to be virtually at least in the hearing room again today, albeit I think we

would all rather be together in person, and hopefully that day will come soon.

Second, and more importantly, we're excited for the opportunity to let you learn more about the proposed surge protection program, because we believe that the program is a beneficial, low risk way to provide choices to our customers while also providing additional revenues for the benefit of all customers, participants and nonparticipants alike to lower electric service rates.

So what will the evidence in this case show? The evidence will show that this is not a complex case. We are proposing a relatively simple, straight-forward surge protection program that's optional that has two primary benefits. The first benefit is for those customers who choose to participate the Company will come to their house, install a device in their meter box that experience has shown will stop all but about five out of every 10,000 instances where an electrical surge would otherwise come through the electric meter and thereby protect all electrical devices plugged into the wall or otherwise wired into the electric system, which is going to be virtually all devices in most homes. And the program will also in the very unlikely event a surge happens and then also the device fails, which is very

rare, will also provide warranty coverage from the manufacturer for certain motor driven household appliances.

The second benefit of the program is that it would provide incremental revenues in excess of costs in the intermediate and the long term for the benefit of all electric customers. Those revenues will be generated by a \$9.95 per month fee to participants as a program charge. That sum is consistent with similar programs offered by other utilities across the country and importantly it is more than three times the expected cost of operating the program, which provides a high likelihood of having those excess revenues to lower rates for all.

Now, it's noteworthy that the other utility programs upon which we modeled this program operate their programs on an unregulated basis. What that means is that their excess revenues are going in the pockets of their shareholders. Ameren Missouri has deliberately proposed this program for operation as a regulated program so that the significant revenues can be an offset to the revenue requirement for all customers and improve affordability for all.

So why we are here today in contested hearing?

The evidence will reflect that the Staff has come up

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with a litany of arguments, inept illustrations and greatly overblown criticisms that I think it's fair to say are clearly designed to make the program appear far more complex and risky than it really is. Put another way, the evidence will show that the Staff has completely missed the proverbial forest for the trees and, in fact, I would contend is in the underbrush within the forest and is missing most of the trees as well.

Indeed much of Staff's testimony has very little to do with the program that we have actually put before you for approval. In addition, the evidence will show that Staff simply opposes the program based on demonstrably flawed legal conclusions and I would contend outdated and equally flawed philosophical reasons.

The primary bases for the opposition in this case is really one of the reasons that this case is really an important one, because a decision to follow the opposition's views on what the law is and what the policy should be is a decision I believe to deprive customers of choices in their electric service that would be convenient and beneficial for them and to deprive nonparticipating customers of the benefit of the revenues that can lower rates for all.

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Providing regulated programs under your supervision and providing them to only a subset of willing participants is not a novel idea nor is it a novel idea that such programs, costs and revenues along with some level of risk that those revenues might not at times cover all of the cost, that's also not a novel idea.

Consider the fact -- Well, let me back up. The evidence in this case is that that risk as to this program is very small. I mentioned the revenues exceeding the costs by more than three times in the expected case. But it's not unusual to have some level of risk for those kinds of programs regulated by the Commission consider that today we successfully operate electric and gas energy efficiency programs, we have a successful community solar program, we have an EV corridor charging program. You recently approved a Pay as you Save program. You approved all of those programs as regulated programs for various policy reasons; promotion of EVs, conservation benefits, support of renewables, but it is the case that those programs don't necessarily pay for themselves. There's some risk that they won't and they may not in certain instances but you approved them anyway. Customers want the programs, they want the choice to select them, and they want the

convenience of getting them from their regulated utility. And you have to make the judgment in each of these cases as to what the likelihood is of the program cost exceeding the revenues or vice versa.

So let's peel the onion back a little bit more on the opposition to the program. Staff's objection out of the gate, which was reflected in its recommendation filed I think it was last October, was that the surge protection devices themselves could not lawfully be considered, quote, electric plant under 386.020, and that led Staff to claim that the program should and I think, in fact, could not be regulated at all.

Basically Staff's position is that unless the service, the program is absolutely essential in the sense that without it your lights won't stay on at your house, you ought not be or you cannot regulate the program even if you believe, even if you conclude that the program is beneficial, it provides appropriate choices and even if you conclude that it will benefit participants and nonparticipants alike. Regardless, Staff's position on this matter is patently at odds with the plain black and white terms of the statutes at issue.

The plain black and white definition of electric plant provides that utility property is

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electric plant if it is, quote, used for or in connection with the furnishing of electricity. The statute doesn't say and never did say that the property must be, quote, used for and be in connection with the act of furnishing electricity. The statute uses the disjunctive or and there's a reason for that. Staff also misstates the law when it claims that if you do approve the program, then somehow you, and by you I mean future Commissions, I think, will be deprived of reviewing the reasonableness of the program charge or the other program terms.

To the contrary, this Commission has ongoing jurisdiction over the program terms, program charge, et cetera, just like you would over any other program, which would allow you to change the program charge in a rate case, change the terms, or if there was a complaint and you found that the charge or the terms were unjust and unreasonable, you've got the power to change it.

Moreover, and this is something my client probably would rather me not say out loud but I'm going to say it anyway, if the Company is operating a program that's losing money, it's not expected to make money, it's not doing well and were to persist in continuing to operate the program, I would expect that the Company would face prudence disallowance as well and you always have that

authority as well.

Speaking of prudence, the evidence will show that the Company has so much confidence that approving this program is the right thing to do for its customers that it is affirmatively asking you to condition your approval on a requirement that the Company come back after the program has operated for three full years and provide a financial analysis of the program. In fact, I would suggest that when you impose that condition you specifically indicate that the submittal of that analysis will open a docket, that Staff and OPC are entitled to discovery and hearing in that docket if they want it and that you have the power in that docket to require changes to the program or outright termination of the program if you adjudge after those three years that the program should not continue to be offered.

Now, Staff and OPC also claim and in general their positions are closely aligned in this case. They also contend that the program is unduly discriminatory again apparently because it's only offered to a subset. It's offered to everybody, I should correct myself, but it will only likely be participated in by a subset of customers yet the costs and revenues will be reflected in the rates of all customers.

Not only is such an overly narrow view of the

prohibition on undue discrimination not supported by law or policy, but if that were the law then these other programs that I was talking about that the Company has and I'm sure many other programs from other utilities would also be unduly discriminatory. I would submit to you that it's not good policy for you to have a blanket viewpoint, which is essentially what they're telling you, that if you have programs that only are participated in by a subset but the costs and revenues are reflected in the rates of all that that makes the program unduly discriminatory. That is the kind of things that would tie your hands in future cases, not approving this program here.

In addition to rejecting Staff's and OPC's flawed legal and policy arguments, you should also not allow yourself to be distracted by Staff's extremely complicated and convoluted and highly technical analyses. Consider the Staff Witness Lange's rebuttal testimony contains, I believe this is the right number, I counted them, 19 different graphs and charts that purport to have something to do with the program. The fact is that many of those charts and graphs have nothing to do with the program that Ameren Missouri has proposed. There's an entire set of charts arising from a, quote, simplified example, end quote, that Ms. Lange

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herself states is not intended to depict the program we propose or its design or parameters. There are other charts and graphs that reflect Ms. Lange's conception of a totally different program design that she came up with that also don't have anything to do with our program that we're asking you to approve. While there are some charts and graphs that use our modeling results but with varied assumptions, in most cases those results shown in those charts and graphs just make the overall benefits less positive, not negative, and in the cases where they are negative you have a transient losses on the program during a program ramp-up phase because of start-up costs and getting participants on board that the impact of which never exceeds pennies per customers.

Please note that our witnesses' surrebuttal testimony has already addressed the most egregious and obvious flaws in the Staff's analysis, and consequently we do not intend in this hearing to go into the trees and underbrush with the Staff and burden the record with a bunch of questions on these charts and graphs and analysis.

Finally, the evidence will show that the Company has provided detailed information line by line, category by category on the costs and expected revenues in this program at varying participation levels. It

will show that we conservatively modeled those analyses and it will show that even in our worst-case scenario where we only are able after five years to get 2 percent of the eligible customers to participate the program is still beneficial for nonparticipants on both a net present value and nominal basis.

That same evidence will show that imagine a case where we operate the program for that three-year period before we come back. Imagine a case where we don't get a single customer to sign up. The maximum amount of cost based on our projections of the cost, which we think we have a very good handle on, that we would incur would be about \$2.8 million not per year but over three years. That's about 300ths of 1 percent of our revenue requirement, if I did my math right, and customers would likely bear less than that because of regulatory lag and rate case timing, shareholders would likely bear some of it.

We respectfully submit that such a small and unrealistic risk actually is obviously worth taking given that our expected benefits over the 20 years period that we looked at for nonparticipants are more than \$47 million coupled with the convenience and the choice that the program would give our customers.

I encourage you to ask our witnesses questions

1	this morning. That's what they're here for. They're
2	here to answer your questions and clear up your
3	understanding of the program to the extent you need it.
4	I appreciate very much your time, your patience and
5	attention this morning. If I can answer any questions
6	at this time, I'd be happy to do so. Thank you very
7	much.
8	JUDGE PRIDGIN: Mr. Lowery, thank you. Any
9	bench questions before we move on to an opening from
10	Staff?
11	CHAIRMAN SILVEY: Thank you, Judge. This is
12	Commissioner Silvey. I have a couple of questions.
13	JUDGE PRIDGIN: Certainly.
14	CHAIRMAN SILVEY: Thank you. So could Ameren
15	offer this program right now through one of its
16	affiliates?
17	MR. LOWERY: I believe, Your Honor, that
18	Ameren could choose to offer this program as an
19	unregulated program, if that is the question.
20	CHAIRMAN SILVEY: Would they need any waivers
21	from Commission rules or anything to do so?
22	MR. LOWERY: I believe it could be offered in
23	a way that a waiver of any rule would be unnecessary. I
24	haven't thought about it, because that's not the program
25	that we're proposing to offer, and I don't believe we

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would offer it in that way. In fact, I'm quite sure we
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    wouldn't. But I believe off the top of my head that it
    could certainly be offered. There wouldn't be any rules
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     that would be implicated that a waiver would be required
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     from.
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               CHAIRMAN SILVEY: Okay. And I have some
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    questions about the causes of surges and the cost of the
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    devices. So which one of your witnesses should I direct
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     those questions to?
               MR. LOWERY: I think that Mr. Schneider would
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    be the best witness to answer those questions.
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               CHAIRMAN SILVEY: Okay. Thank you, Judge.
               MR. LOWERY: Thank you.
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               COMMISSIONER RUPP: Judge, this is
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    Commissioner Rupp. I have a couple questions.
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               JUDGE PRIDGIN: Yes, sir, when you're ready.
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               COMMISSIONER RUPP: Great. Thanks.
                                                    Great to
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     see you guys.
               MR. LOWERY: Good to see you, Commissioner.
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               COMMISSIONER RUPP: In your opening you
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     touched on something that I wanted a little more
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    clarification on is if no one were to enroll in this
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    program, you stated the max cost would be about 2.8
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    million over three years which is 300ths of a percent of
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    your revenues. What does that mean to rates? Have you
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done that calculation or did I not see it in the testimony? Point to where it is. If no one signs up, what is the impact on ratepayers as far as a rate?

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MR. LOWERY: Your Honor, I certainly haven't done that calculation. I don't think we presented that in our testimony, but Mr. Wills I'm sure could give you a reasonable approximation of it if you want to ask him about that. He's the guy that takes the revenue requirement and spreads it across billing units and he has a good understanding of -- I know it would be almost imperceptible because 300ths of 1 percent of the revenue requirement is pretty darn small, but I think Mr. Wills could answer your question much better than I can.

COMMISSIONER RUPP: Okay. Great. And
Mr. Wills, when you're listening, I don't need an exact.
I'm anticipating it's rather very, very small, but I
wanted to try to understand that on the rate side.

Let me ask this other question along the same lines that if no one was enrolled was anyone harmed. In the past we've seen programs offered by your Company that did not get an enrollment. I think there was a time of use rate from a decade or more long ago I don't think anyone used. I think there was an economic development tariff in the St. Louis region that I don't think anybody ever used. And were those -- Were

1 ratepayers harmed by the lack of enrollment in those 2 past programs?

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MR. LOWERY: I'll take a shot at it, Commissioner. The time of use pilot that you referred to, and you're right it didn't have a lot of participants, I happened to be one of them but it didn't have a lot. I think it had about 8 or 900. Mr. Wills can -- I think he knows off the top of his head. don't think there was any harm because, you know, having a tariff, and I don't think it was actively marketed so I don't think there was any really marketing, so I can't see how there would be harm because I don't think there was any material costs incurred in even offering that time of use rate. In terms of the economic development, again, we didn't -- I don't believe we had an incremental economic development employees or we did incremental marketing or anything because we had that particular tariff that you're talking about. So I don't believe there's been any harm. I will say we have current new economic development tariffs I think with which you're familiar and we are having very good success because we were able to offer parameters that were actually attractive to customers which I think under the old program we really weren't able to do that. But I don't believe there was any material harm, and

again Mr. Wills probably could give you a little better answer than I can on those.

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COMMISSIONER RUPP: Okay. I'm just trying to wrap my head around the offering of an additional option for consumers and who is going to participate and who is not and, you know, where there's potential harm versus potential benefit in trying to look at it from that aspect. So I'll address Mr. Wills.

MR. LOWERY: Commissioner, if I may. I mean, if you think about energy efficiency programs, community solar, the EV corridor charging program, those kinds of things, I mean, those have subsets of participants. They may very well not pay for themselves all of the time. If you might remember, and I know you participated in this case in the EV charging case, I believe the evidence in that case was that the electricity sales from the charging stations, they were not going to pay for the program costs and, in fact, Geoff Marke of OPC said that the Company ought to have a hold harmless agreement because it might be that the additional electricity sales for more EVs on the road might also not pay and you, in fact, declined to adopt that hold harmless because, yes, there was some risk it might not pay for itself and only some people would get the incentives but you deemed it to be worthy. The risk

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was small enough and you deemed it to be worthy to
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    approve it. So that's an example and there are others.
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     I think all the programs I mentioned are examples of
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     exactly the kind of thing we're talking about here.
               COMMISSIONER RUPP: Great. Thank you.
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                                                       That's
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    all I had right now. Thank you.
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               COMMISSIONER HOLSMAN: Judge, this is
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    Commissioner Holsman. I have a question.
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               JUDGE PRIDGIN: Yes, sir, go ahead.
               COMMISSIONER HOLSMAN: Thank you. Appreciate
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11
    you being here this morning. So one of the questions I
12
    want to talk about the equipment. You may not be -- You
13
     said Mr. Schneider may be the person to ask those
14
    questions to; is that correct?
15
               MR. LOWERY: Yes. Good to see you,
     Commissioner, yes. I think I certainly can't answer
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17
     intelligently any questions about the equipment except I
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    do know the device failure rate is, you know, about 5
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     out of every 10,000 times.
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               COMMISSIONER HOLSMAN: Okay. And then can you
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     tell me when -- what other programs Ameren runs where
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     they act as an insurance policy, because my
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    understanding is that part of this cost, the $10 a
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    month, you're going to insure a subset of appliances
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     inside the home, and I'd like to have some questions
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about which of those appliances are qualified and which ones are exempted out and then what other programs do you operate where you're actually providing some form of insurance and how does that work.

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MR. LOWERY: So Commissioner, I don't believe to my knowledge that there are any other programs where there is a warranty standing behind some product or service. Let me just clarify, Ameren Missouri isn't asking the customers, isn't going to have any cost, isn't providing the warranty. The manufacturer of the device provides the warranty. And the coverage will be according to that manufacturer's warranty. So the primary service that we're providing is we're providing the service, we're installing the device, and the device will provide protection for all electrical devices plugged into the electric system or connected to the electric system like your HVAC unit whether or not the warranty would cover that device if you actually had a surge and then you actually had a claim under the manufacturer's warranty. But to directly answer your question, I think that aspect of this where there is a manufacturer's warranty backing up the equipment being used in the program for the benefit of the participants in the program, I don't believe there's any other program like that that we have.

COMMISSIONER HOLSMAN: When you say manufacturer's warranty, are you saying warranty for the surge protection device or are you saying warranty for, say, the stove top?

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MR. LOWERY: It's warranty for the stove top. So the device provides warranty -- so it really is -- It's really a warranty might be a misnomer. It's a warranty against the device failing and damaging those covered appliances. But if the device fails and your stove is destroyed, then there is coverage from the manufacturer for your stove.

COMMISSIONER HOLSMAN: So then the ratepayer would have to seek out remedy from the brand that sold them or from the, you know, Samsung or whatever the appliance happened to come from, that's where they would get remedy if the surge protection fails, then am I understanding that correctly that it would trigger the already preexisting warranty from the appliance from the manufacturer and it's because you have the surge protection on there. I'm kind of -- I'm trying to track as to the process by which, you know, a failure occurs how does the ratepayer then get restitution.

MR. LOWERY: Yeah, I'm probably not doing a good job of explaining it. Let me try again. What would happen is the participating customer would call a

dedicated call center that will be run by a third-party administrator that the Company will hire. That third-party administrator will then assist them in administering their claim with the surge protection device manufacturer, not Samsung, not GE or whoever manufactured their stove in that example, and the surge protection manufacturer under the limits and liability that are listed in the tariff and they match what's in that surge protection manufacturer's warranty, the surge protection device manufacturer will be liable to that customer for the appropriate amount for a valid claim because of failure of the device.

COMMISSIONER HOLSMAN: Will any of the revenue that's generated from the \$10 a month surcharge that you're putting on there be in reserve to pay for those warranty remedies or is the surge protection company responsible for replacement and cost?

MR. LOWERY: The surge protection company is completely, is entirely and solely responsible for paying those claims. That 9.95 a month will just be reflected in our revenue requirement and once a rate -- when rates case happen, you build in a normalized level of cost and revenues, then if the revenues exceed the costs, which is what we expect to happen and what our analysis show will happen, then the effect of that will

be to lower rates for all customers. None of that goes to the manufacturer of the device.

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COMMISSIONER HOLSMAN: How long does the surcharge remain in place? If a customer signs up for this and they agree to pay \$10 a month, how long does that, you know, stay?

MR. LOWERY: As long as they participate.

They are paying for the protection provided by the device, and they also as an ancillary additional benefit from the protection the device is providing, they are getting this warranty coverage from the manufacturer.

COMMISSIONER HOLSMAN: And what do we have the device priced at right now?

MR. LOWERY: Well, the device isn't priced per se, but Mr. Schneider can get into this more, but I believe we will pay the manufacturer about \$70 for each device and it depends on how many installations there are in a year because a third-party administrator is going to do the installations. If we have more participants, they can spread their labor costs over more installations, but I believe in the expected case the installation costs are about \$45 per device. Our cost, pay the manufacturer to buy the device, pay the administrator to install it, in our expected case, I believe is about \$115 installed.

COMMISSIONER HOLSMAN: So then the ratepayer would expect to pay about \$120 a year for the program. So after the first year, your installation and product costs are going to be recovered?

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MR. LOWERY: They've been covered but what they're paying for is not a device itself. What they're paying for is the protection provided for. If it were to fail, we'd go out and we would have to replace it. They're paying for that service. They're not actually buying the device. It's going to be our device and our meter box.

COMMISSIONER HOLSMAN: But then none of that revenue goes towards the third-party device company so in year three the device company is still warranting a product that they're not seeing any of that revenue on; is that my understanding? So the Company continues to garner that \$120 a year but the third-party warranty or third-party surge protection device company is still on the hook for if a failure happens?

MR. LOWERY: The device manufacturer is on the hook if a failure happens over the 15 years following the installation of that device. And if let's say, let's say you participated, Commissioner, for 15 years, we're going to go out there and take that device off and put a brand new one on after 15 years if you want to

continue to participate and you'll have a new -- the clock will start again on a new manufacturer liability for claims if that device fails and damages the covered appliances.

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COMMISSIONER HOLSMAN: I'm just trying to work the math out. The devices must have almost a zero failure rate. How can you -- If you're the third-party company and you're getting \$70 for the cost of the device and then the company is installing it for \$40 and that's all I got was the one time 70 and I don't get any of the revenue generated from the \$10 a month, then where is the revenue coming from to cover the warranty if a failure happens? I've got to believe that the only way this works is that failure doesn't happen very frequently.

MR. LOWERY: I believe that's absolutely correct. So if you sell 10,000 devices at \$70 a device, you get \$700,000 of revenue but you only have 5 failures out of every 10,000 instances, then I think the manufacturer fully expects that that revenue to more than cover the warranty claims that they would pay over the life of that device. 5 out of every 10,000, that's the failure rate. So you're right, failures are rare, that is true.

COMMISSIONER HOLSMAN: I'll have more

questions about the actual device itself and how it 1 2 compares to just on the market products that you can use on the other side of the meter on the ratepayer side of 3 4 the meter. I'll hold those questions for Mr. Schneider. 5 MR. LOWERY: Thank you, Commissioner. 6 COMMISSIONER HOLSMAN: Thank you, Judge. 7 JUDGE PRIDGIN: Thank you, Commissioner 8 Holsman. 9 CHAIRMAN SILVEY: Judge, this is Commissioner Silvey. I'd like to ask a follow up, if you don't mind. 10 11 JUDGE PRIDGIN: Absolutely. 12 CHAIRMAN SILVEY: Thank you. So based on 13 Commissioner Holsman's questions, so you're paying \$120 14 a year as a consumer for this. The Company's costs are 15 recouped after about the first year. If I as a consumer 16 stop paying, if I opt out of the program let's say in 17 the second year when I've already paid for the cost of 18 the device essentially and the installation, does 19 someone come remove the device or? MR. LOWERY: Yes, Commissioner. If a 20 21 participant stops participating, we come and remove that 22 device. It is retired and taken out of service. 23 don't take a device that's been on for a year or two or five or whatever and then move that over to some new 24 participant's residence, because each new participant 2.5

gets a new device that has an expected life of 15 years and that warranty coverage for 15 years.

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CHAIRMAN SILVEY: So are there options in the market for the consumer to do this directly because as Commissioner Holsman pointed out, the math doesn't seem to be on the consumer side on this.

MR. LOWERY: Mr. Schneider can address this better than I can probably, but we don't believe there is any product on the market that is exactly the same as this product. Some of the differences are all the consumer has to do is sign up. We take care of the installation. We don't have to go in their house. don't have to go hire an electrician, figure out if they get a competent electrician, hire them, bring them to their house, let them in. If that device were to fail that they might buy somewhere else, then they're responsible to then have somebody come back and replace it or repair it. They don't have to do any of those things. Our program, we're offering a service. We're not offering so much a product per se, a physical product. It's going to be our product, but we are offering a convenient service for them of getting this program from the regulated utility and getting it in a very convenient way. We believe our service is different than they can get anywhere else.

CHAIRMAN SILVEY: So over 15 years you would pay \$1,800 for the service and you believe that that's good for the consumer to pay \$1,800 for a \$70 device and maintenance?

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MR. LOWERY: We believe that some customers will find that valuable and some significant number of There's a number of programs across the customers. country using these same devices, same -- essentially the same program design, essentially the same charges and customers, a significant number of customers, not a 50 percent of the customer base at all, but a significant number of customers find that to be a valuable and beneficial service. They don't want to pay a lump sum. They don't want to hire an electrician. They don't want to have to worry about it. They want this protection for their electrical devices in their homes. They sign up, they pay the ten bucks a month on their electric bill, they don't have to worry about it and they know they have the protection. So we do believe that it brings value to some customers and if a customer doesn't think it brings value, then, of course, they wouldn't sign up, they don't have to sign up.

CHAIRMAN SILVEY: So does this device protect against lightning?

MR. LOWERY: If lightning causes a surge to

come through the electric meter, then yes, it does. 1 2 keep in mind that most -- If you think about your own home, most things that are powered by electricity that 3 are in your home are very likely plugged into your wall 4 If a lightning 5 or wired into your electric system. 6 strike causes a surge to come through the meter, which 7 then means it goes through the electric system in your house, then yes, it protects against those surges. 8 9 CHAIRMAN SILVEY: Is that the primary purpose 10 to protect against lightning or is the primary purpose 11 to protect against surges from the Company? 12 MR. LOWERY: I don't think the primary purpose 13 is to protect against surges from the Company, because I 14 don't think we think we cause a lot of surges, but 15 lightning or other causes, whatever the cause of the 16 surge, the primary purpose of the program is to stop 17 those surges from happening at the meter. That's the 18 primary purpose. CHAIRMAN SILVEY: Your answer was very 19 20 specific on my previous question. So are there surges 21 that do not go through the meter? 22 MR. LOWERY: There are other pathways by which 23

a surge can get in the house. So if you have a cable TV line, you could get a surge through that line or a telephone line. A lot of us don't have land lines any

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more, but a surge could come through that. I suppose 1 2 obviously a surge can strike your house directly or lightning, for example, can strike your house directly. 3 So yes, there are other pathways by which a surge could come in the home and cause damage. 5 6 CHAIRMAN SILVEY: Okay. Thank you, Judge. No 7 further questions at this time. 8 COMMISSIONER RUPP: Hey, Judge, this is 9 Commissioner Rupp. If none of the other Commissioners 10 have questions, I have a follow up. 11 JUDGE PRIDGIN: When you're ready, sir. 12 COMMISSIONER RUPP: Great. So kind of 13 following up on Commissioner Holsman's line of 14 questioning. The Company is not offering insurance or a 15 warranty program. The Company is basically being an 16 intermediary to introduce an optional product to 17 customers and all of the risk is on the manufacturer of 18 the surge protector and the Company is getting excess 19 revenue off of that introduction between the customer 20 and the third party in using that to potentially lower 21 rates for all customers. Am I understanding that's how 22 you're proposing this? 23 MR. LOWERY: You are. And then the 24 participants are getting the benefit of the protection 25 from the device.

COMMISSIONER RUPP: Okay. So it's not really that Ameren is becoming an insurance company or offering a policy; you're just -- you're connecting -- you're sensing that there is a need or there is a desire on your customer's part and you're bringing an option to customers of whether or not they want to proceed. So the amount of risk is not being bore by the Company. The risk is -- other than the administration cost of this, the risk is borne by the manufacturer of the device?

MR. LOWERY: You understand it correctly, yes,

MR. LOWERY: You understand it correctly, yes, Commissioner.

COMMISSIONER RUPP: Very good. Along those lines, the value that the customer sees is, not all customers, but I'm making the assumption the value the customer would see is not in the device itself but in the peace of mind that I am protected from a loss that I don't have the cash for and they're making that choice of paying a few dollars per month to avoid an expensive repair or something in the future and that is how most of these types of products, the value proposition tends to work. Am I understanding that is how you see it as well?

MR. LOWERY: You understand it correctly, yes. COMMISSIONER RUPP: Okay. All right. So from

1	the Company's standpoint, this is a value-added service
2	you're providing to customers if they choose to
3	participate and instead of having it nonregulated and
4	taking the excess revenue for shareholders, you want to
5	offer that as a potential to lower cost for those for
6	all ratepayers, those that do and do not participate?
7	MR. LOWERY: That is correct.
8	COMMISSIONER RUPP: Okay. Great. Thank you.
9	I do have a lot of other questions to follow on
10	Commissioner Silvey's point on the types of surges, the
11	role that lightning plays and stuff, and who is the best
12	witness to ask those to?
13	MR. LOWERY: Mr. Schneider would be the best
14	witness to ask those questions.
15	COMMISSIONER RUPP: Great. Thank you, Judge.
16	That's all I had.
17	JUDGE PRIDGIN: Commissioner Rupp, thank you.
18	Anything further from the bench before we move on to
19	Staff's opening?
20	COMMISSIONER COLEMAN: Yes. This is
21	Commissioner Coleman. I have a question.
22	JUDGE PRIDGIN: Commissioner Coleman, when
23	you're ready, ma'am.
24	COMMISSIONER COLEMAN: Okay. So as to Ameren,
25	has this idea been tested anywhere or did I miss that in

your statement earlier?

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MR. LOWERY: Good morning, Commissioner. Good to see you.

COMMISSIONER COLEMAN: Good morning.

MR. LOWERY: We specifically looked at four other programs: Florida Power & Light, Duke, Georgia Power and Evergy has a program that are very similar in design and function and in three of the four cases very similar in terms of their program charge. One is a little higher. Two I think are the same. One is lower. But also we think has some different coverage levels. But those programs -- The answer is yes, and some of those programs have been operating for 20 or 30 years. And you get participation rates in those programs that run from 3 to 5 to 8 percent, 9 percent or so of the eligible customer base. And when we did our analysis, we conservatively looked at three cases, a worst case that had 2 percent of our customer base after five years participating, 5 percent after five years in an expected case, which is less than we've seen in some other benchmarking, and 8 percent in a best case. Yes, the answer to the question is yes, there is a subset of customers that utilities that have offered these that do value the protection the device gives and then the additional manufacturer's warranty coverage that the

manufacturer provides in the unlikely event of damage 1 2 value paying roughly what we're charging over a long 3 period of time. And just like we pay the \$70 for the device, we would expect they're paying something similar 4 to that as well. We've modeled this after similar 5 6 programs. The difference, and it is a difference and we 7 think it's an important difference, is we're proposing 8 to give those excess revenues back to our customers 9 instead of keeping them for shareholders to try to 10 promote affordability of rates. 11 COMMISSIONER COLEMAN: All right. Thank you.

JUDGE PRIDGIN: Commissioner, thank you.

Anything further from the bench before we move on to

Staff's opening statement? All right. Hearing nothing,

Mr. Lowery, thank you.

That answers my question. Thank you, Judge.

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Ms. Payne, when you're ready, ma'am.

MS. PAYNE: Thank you, Judge. May it please the Commission. To be clear, Staff is not intending to discourage Ameren Missouri to offer this program on an unregulated basis. However, as counsel for Ameren Missouri has just reaffirmed, it does not want to offer this program on an unregulated basis. It only wants to do so through its Commission-promulgated tariffs. So without incorporating the customer protections that

Staff recommended in its rebuttal testimony, Staff remains concerned about how this program is actually going to affect Ameren Missouri's customers.

Now, Ameren Missouri has portrayed that its customers want a surge protection program with this warranty and insurance and that they want it to be provided by Ameren Missouri and not to obtain it themselves. It also portrays that this program is going to be good for customer affordability. However, there's a multitude of ways that Ameren Missouri could be achieving customer affordability outside of offering a voluntary program such as this.

The rate treatment of the program that's been advocated here is designed to collect from the general body of ratepayers and any revenue deficiency that may exist in subscriber revenues as compared to the amount of total capital and expense that the program costs incurred by Ameren Missouri customers whether or not they participate with the hope that over the years all of the customers will be better off because Ameren Missouri has priced this program well above the estimates of the revenue requirement of providing this optional surge protection service.

What Ameren Missouri does not describe in its direct case is exactly how this optional program falls

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under the Commission's jurisdiction. And if it is under the Commission's jurisdiction, what should the Commission do about the unregulated surge protection programs currently offered by Evergy Metro, Evergy West or Liberty-Empire. Staff has expressed concerns about the lack of customer education and the proposed accounting treatments that Ameren Missouri has poorly described in this program and if it does proceed to offer this unregulated surge protection program like other electric utilities in Missouri have been doing for some time.

As proposed, the surge protection program would offer customers the opportunity to purchase this surge protection collar device, although as it's been pointed out they aren't actually purchasing the device, they're just paying a rental fee on it. But the cost of that device would be recorded in Ameren Missouri's regulated rate base and its income statement. Under the proposal, Ameren Missouri would be entitled to recover any deficiency in the program revenues received from the subscribing customers compared to the total costs of the program in each of its general rate cases.

Ameren Missouri also keeps any of the incremental revenues received above the level of program revenues assumed in its current base rates until its

next general rate case. Now, the cost of the surge protection program will also include depreciation on the devices, property taxes, insurance, as well as Ameren Missouri's third-party costs related to the device warranty.

Now, curiously Ameren Missouri includes a termination fee as a participant rate component. And while they testify that the fee is designed to recover from subscribing customers the capital costs associated with the device, it also proposes incorporating that termination fee revenue as a miscellaneous revenue, not as an offset to the rate base for the devices that are retired.

Despite the fact that electrical surges impact customer homes, electronics and appliances can arise from a variety of sources both inside and outside the home, the surge protection device proposed for this program will only protect against surges that come from outside the home and which enter through the electrical service. While lightning strikes are one of the leading causes of electrical surges, it is important to note that the collar device associated with this program is incapable of protecting against surges that occur from lightning strikes on the home or property.

The device will only be capable by Ameren's

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own admission of protecting against surges that occur from lightning strikes to Ameren Missouri's own equipment. The collars are designed to help prevent electrical surges from entering the customer's home, but the benefits to participants arising under the program are largely derived from the limited manufacturer's warranty provided by this third party and which is not under the Commission's jurisdiction and which only includes motor driven appliances that does eliminate several items from coverage.

Staff would encourage the Commission to ask questions about lightning strikes of its witness Alan Bax. It would also encourage the Commission to ask more about what exactly the warranty would require from Mr. Bax. Now, EV charging devices, solar equipment, televisions and other electronics are not going to be covered under that proposed warranty that's associated with the program. And financial protection is available only after what Ameren Missouri has referred to as a licensed technician confirms at the customer's expense by Staff's understanding that the damage was caused by an electrical surge of the type that is covered under the program's warranty.

Ms. Coffer can also talk more about the warranty and how it compares to other programs that are

offered both in Missouri and around the country that Ameren has referred to as looking at to design this program. Also, questions and concerns about the customer education and program design can be addressed to Mr. Bax and Ms. Coffer.

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The first issue really to resolve in this case is whether the program is properly subject to the Commission's jurisdiction. There are several legal barriers to Commission regulation. Statutory provision 386.250 grants the Commission jurisdiction over the manufacture, distribution and sale of electricity, none of which are occurring in this program. If the devices are necessary for the provision of safe and adequate service, then they should not be installed pursuant to an optional program. They would actually already be required pursuant to the Commission's Rule 20 CSR 4240-10.030(23). That subsection requires Ameren Missouri to operate its system in such a manner so as to maintain voltages within a practicable tolerance while not holding the utility responsible for causes beyond its control.

However, neither the surge protection collar devices are properly included in Ameren Missouri's regular rate base, nor are the warranties associated with them includable in the regulated revenue

requirement and that neither of them actually meets the statutory definition of electric plant under Section 386.020(14). This determination can be distinguished from the decision handed down by the Western District in the recent KCP&L case, In the Matter of KCP&L's Request for a General Rate Increase vs. the Missouri Public Service Commission and MECG, because the electrical vehicle charging station customers in that case were said to be purchasing nothing from KCP&L but electricity. I can also provide that citation for the Commission as well.

However, here the participating customer is paying for the Company to execute a program, including a surge protection collar, and that purchase is entirely separate from the customer's electricity rate or their purchase of energy. To be clear, though, even if the collar is found to constitute electric plant by the Commission, the same Western District opinion clarifies that the Commission has the authority to review the prudence of a utility's activities and it can disapprove expenditures before they occur and specify through rates that cost of particular activities can be borne by particular classes of ratepayers.

This Commission is charged with setting rates that are just and reasonable while not being unduly

discriminatory. It would seem proper that it would only charge participating customers the costs of this voluntary program.

Another legal barrier to the Ameren Missouri design of the program, even if the Commission does find that it is able to regulate this program, is that Ameren Missouri plans to deprive this Commission and future Commissions of the ability to adjust the rates charged under the program for the life of the program. There's no current authority for the Commission to set aside rates as unreviewable in future cases.

Staff would encourage the Commission to talk to Staff's witness Ms. Lange and discuss further the concerns under that. This proposed program's design also has flaws as outlined in Staff's Report and in its testimony. The development of the rates far in excess of Ameren Missouri's analysis of lifetime costs are particularly concerning. Staff recognizes that any program offered by a utility that seeks to recoup the costs of investment will inherently have risk. However, in this proposal the real concern is that the risk falls on nonparticipating ratepayers and it overcharges the participants themselves in an unduly discriminatory manner.

Staff has offered alternative designs and

accounting treatments that would reduce the risk to nonparticipants and it would improve the program affordability for the participants themselves.

Questions related to the program design, alternative design and the regulatory treatment could be addressed by Staff's witnesses Ms. Ferguson and Ms. Lange.

Ameren Missouri that they cannot offer this program and, in fact, we have tried to offer other alternatives and things that we believe would make this program stronger. However, Staff is charged with doing its most thorough analysis and which it has done in this and it feels that there is a lot of concerns that would shift risk not only to the customers that are actually participating in the program but to nonparticipating customers who don't even have the option of choosing whether or not the utility is going to participate in this program.

Staff would say that ingenuity and creativity are wonderful things. They can certainly benefit the utility customers, but the utility's interest in offering a program does not in and of itself provide benefits to customers that offset the costs that Staff has identified in this case and it cannot create jurisdiction where the Commission's enabling statutes did not. Thank you. And I'm happy to take any

1	questions.
2	JUDGE PRIDGIN: Ms. Payne, thank you. Let me
3	see if we have any bench questions. Mr. Chairman?
4	CHAIRMAN SILVEY: No questions at this time.
5	Thank you, Judge.
6	JUDGE PRIDGIN: Thank you. Commissioner Rupp?
7	Commissioner Rupp, any questions?
8	COMMISSIONER COLEMAN: No questions.
9	JUDGE PRIDGIN: Thank you. Commissioner
10	Coleman, any questions? Commissioner Holsman, any
11	questions?
12	COMMISSIONER HOLSMAN: Just one briefly,
13	Judge. Thank you.
14	JUDGE PRIDGIN: Certainly.
15	COMMISSIONER HOLSMAN: How often when a surge
16	occurs is it the responsibility of the Company for that
17	surge happening? Do we have information on the
18	frequency in which surges happen that the Company is
19	responsible for?
20	MS. PAYNE: I personally do not have the data
21	for how often a company has been responsible for surges,
22	but certainly under our rules that I cited in the
23	opening they are required up to a certain voltage to
24	ensure that surges are not occurring on the line to
25	damage customer equipment. I'm happy to provide more

information. 1 2 COMMISSIONER HOLSMAN: Thank you. 3 MS. PAYNE: Sure. COMMISSIONER HOLSMAN: Thank you, Judge. 4 No 5 questions. 6 JUDGE PRIDGIN: All right. Thank you. 7 Anything further from the bench before we go on to 8 Public Counsel's opening? All right. Hearing nothing. 9 Ms. Payne, thank you. Mr. Williams, when you're ready. 10 MR. WILLIAMS: May it please the Commission. 11 My name is Nathan Williams, and I'm here representing 12 the Office of the Public Counsel and the public. Office of the Public Counsel disagrees with Staff 13 14 regarding the legality of using collars in this program. 15 The Commission does have control over quality of service 16 and the collars will provide some assurance of less 17 surge on lines reaching end users if they are put on to 18 the -- included as part of the Company's facilities that 19 are used to serve those customers. Therefore, if so 20 employed it's Office of the Public Counsel's position it 21 would be electrical plant. I think that if you ask the 22 engineers in this proceeding, which should be Mr. 23 Schneider for the Company and Mr. Bax for Staff, you can 24 learn that these particular collars will provide the 25 same protection to end users whether they're on the

Company side of the meter or the customer side of the meter as long as there's not something in between them. In other words, if they're immediately adjoining the meter on either side, I think they can provide the same end user protections.

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Public Counsel's primary concerns are about the cost recovery of this program is done and believes that the main component that's attractive to customers is the assurance of the warranty, basically the insurance as Commissioner Holsman characterized it. believe that you should follow cost causation principles as you do for standard offers of service. And in this case if somebody takes the -- If the service is, in fact, offered and somebody takes it that the people who take the service are the ones who should pay for it and the utility should get the standard cost recovery that it normally does. If it has investment in say the collars so it has a capital investment, it would get a return on that investment. It would get a return of the costs that it incurs in implementing the collars. Beyond that, it should not be putting other customers at risk for the revenue stream that's supposed to come from employing this program or advantaging them either. I'd be happy to take any questions if Commissioners have them.

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JUDGE PRIDGIN: Mr. Williams, thank you. Let me see if we have any bench questions. Mr. Chairman?

CHAIRMAN SILVEY: Thank you. I do have one question. So the Company's contention that this ongoing revenue stream would benefit other customers through the revenue requirement, that's not something that you agree with?

MR. WILLIAMS: The way they proposed it I think they've overpriced the program. I think if you do it on a cost basis as you normally do programs, I mean, what I've heard is these surge protectors have a 15-year life. If you take that \$70 and spread it over 15 years and then have the charge for the cost of installation, I think you're going to be seeing a per month charge much lower than what Ameren Missouri has proposed in this case. If that was how things were priced out and it was done, we don't see why Ameren Missouri couldn't offer it. We also believe that the incremental benefit from the surge protector, the collars themselves, the devices is very minimal for most people.

CHAIRMAN SILVEY: Sure, I follow that. But it appears to me that they would be setting up a revenue stream that is not tied to rates and that far exceeds the cost of the actual equipment. So wouldn't that revenue stream have a positive offset on their overall

1	revenue requirement for the customers?
2	MR. WILLIAMS: Yes. We just don't believe
3	that's how things should be priced for regulated
4	services.
5	CHAIRMAN SILVEY: So you agree with the
6	principle that they're laying out; you just don't agree
7	that we ought to do that?
8	MR. WILLIAMS: I agree with how they say the
9	program that they're proposing will work. We disagree
10	that that's how you should be costing services by a
11	regulated utility to end users.
12	CHAIRMAN SILVEY: Okay. Thank you, sir.
13	Thank you, Judge.
14	JUDGE PRIDGIN: Thank you. Any further bench
15	questions? Commissioner Rupp?
16	COMMISSIONER HOLSMAN: Judge, I have a
17	question if Commissioner Rupp and Commissioner Coleman
18	do not.
19	JUDGE PRIDGIN: Commissioner Holsman, thank
20	you. I don't hear anything from Commissioner Rupp.
21	Commissioner Coleman, any questions? I'm not hearing
22	anything.
23	COMMISSIONER HOLSMAN: So my question is from
24	the end user perspective, we heard Staff say that
25	there's some burden on the end user to track down the

remedy if the surge occurs. Do you agree that there's additional steps that the end user will have to go through in order to -- obviously they have to contact the device company and let them know that there's been a failure, and then do they have to then track down someone to certify that that failure has occurred so they'll have additional cost associated to them to prove that the surge collar failed or that a surge did indeed destroy one of their appliances?

MR. WILLIAMS: I'm not sure what the manufacturer is going to require, but I expect it might entail having some expert come in and look to confirm that the damage was caused by a surge.

COMMISSIONER HOLSMAN: Do you know which appliances, it says motor, which ones would qualify and which ones would not qualify? So if you had a computer or some other electronic device that connects to the internet, if it happened to fail, is the consumer well informed as to what is and what is not covered by this warranty?

MR. WILLIAMS: I have not looked in depth as to the exact parameters in the tariff. It would really I think depend on the materials that were supplied by Ameren Missouri or the manufacturer, but my understanding it would cover motor driven devices. I

suspect a computer does not qualify, although some of 1 2 them have fans. I think that would fall under the category of electronics. 3 COMMISSIONER HOLSMAN: I can hold this 4 5 question for the experts in this area. My question 6 would be refrigerators and other appliances now are 7 becoming smart items that connect to the internet that 8 have more of a computer module basis to them. I would just be curious as that continues to grow if the number 9 10 of covered appliances continues to shrink, then does the 11 value of the program really maintain for what could be a 12 15-year period of time? That's the basis for my 13 question. 14 MR. WILLIAMS: My short answer is that I don't 15 I mean, it depends on what falls under the terms know. 16 of the warranty coverage as to the devices, and my 17 understanding is that they're motor driven. I suggest you ask Mr. Schneider about that. 18 COMMISSIONER HOLSMAN: Okay. Thank you. 19 20 Thank you, Judge. 21 COMMISSIONER RUPP: Hey, Judge, this is 22 Commissioner Rupp. I'll jump in if no one else has any 23 other questions. 24 JUDGE PRIDGIN: Yes, sir, when you're ready. 2.5 COMMISSIONER RUPP: Great. So Ms. Payne, in

your opening can I safely recap it as saying that Staff would be comfortable with this being an unregulated program not getting into the details of accounting and things of that nature just on its surface?

MS. PAYNE: I think we still would have concerns about the level of customer education that has been proposed in what Ameren Missouri provided in its application and subsequent discovery requests, but certainly I mean, the Company is entitled to offer it on an unregulated basis. Staff recognizes that right.

COMMISSIONER RUPP: And has Staff reviewed the educational information that would be provided to customers to the other utilities that are offering similar programs? Are they similar? Are they much less? Where does that level of concern that Staff has, is it compared to other programs around the country that are similar in scope?

MS. PAYNE: I think it's generally just in the technical details of the Ameren Missouri program that cause Staff's concern about the education levels.

However, I also know that my Staff witnesses all reviewed programs that were offered by the other utilities. So I would certainly encourage you to ask their opinion of what they thought of the other programs and how those companies educated their customers.

COMMISSIONER RUPP: And who would be best to 1 2 ask? MS. PAYNE: I know that Ms. Coffer looked at 3 4 the other programs, but I also know that Ms. Lange 5 evaluated what the other programs were offering. 6 COMMISSIONER RUPP: Okay. Great. And then if 7 this were to proceed as a regulated program as the 8 Company is proposing, would the Commission have the 9 ability to shut the program down after reviewing the, 10 you know, the success or failures or financial 11 implications of the program after the three years the 12 Company said they would be providing those documents? 13 MS. PAYNE: I would encourage you to ask Ms. Lange more about that. Based on my conversations with 14 15 her and her investigation, there are concerns about the 16 structure of the Company's proposed program that it 17 would be in place for a set amount of time. I would 18 make the argument that certainly the Commission always 19 has the ability to review and reconsider in a general 20 rate case the offerings of a utility, but we do 21 certainly have concerns about the future setup of the 22 program as it's proposed. 23 COMMISSIONER RUPP: If this was an unregulated 24 program, you feel that the Commission would have that 25 same ability to have the Company discontinue offering

1 the program if it wasn't in the public interest during a 2 general rate case? MS. PAYNE: No. If it was offered on an 3 unregulated basis, I don't think the Commission retains 4 the same jurisdiction. Certainly the Commission can 5 6 always make recommendations I would say. 7 COMMISSIONER RUPP: So it's Staff's viewpoint 8 that there is more consumer protection through the 9 regulatory -- if it's run through the regulatory and 10 there would be less protection for the consumers if it 11 was unregulated? 12 MS. PAYNE: Staff would say that this program 13 as proposed probably should not be offered. However, we recognize that the Company always retains the right to 14 15 offer it on an unregulated basis. We would certainly be 16 happy to offer our recommendations on how to make this 17 program better regardless of whether the Company wanted 18 to offer it on an unregulated basis. However, we 19 believe that by making it a regulated program that is 20 opening up nonparticipating customers especially to a 21 risk that is not justified; that it would be unduly 22 discriminatory. 23 COMMISSIONER RUPP: And the risk to the 24 nonparticipating customers is? 2.5 MS. PAYNE: They are bearing the burden of any

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failures of this program.
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               COMMISSIONER RUPP: Has Staff calculated any
     financial impact, any models of what that financial risk
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 4
     is to nonparticipating customers?
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               MS. PAYNE: I apologize. We have, absolutely,
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    and Ms. Lange can talk at length about that.
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               COMMISSIONER RUPP: And that is in her -- Ms.
 8
    Lange's testimony that's filed?
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               MS. PAYNE: Rebuttal testimony, correct.
10
               COMMISSIONER RUPP: Thank you. Rebuttal. All
11
    right.
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               MS. PAYNE: Absolutely.
               COMMISSIONER RUPP: I believe that's all I had
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14
    at this time, Judge. Thank you.
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               JUDGE PRIDGIN: Commissioner Rupp, thank you.
    Any further bench questions before we proceed to
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    cross-examination? All right. Hearing none. I believe
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    the first witness is Mr. Byrne, and I will ask that he
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19
     come forward to be sworn. I'm keeping an eye on the
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            I'm going to look for a natural break if I can
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     find one sometime in the next 30 minutes or so to have a
22
    mid-morning break. If not, I may interrupt and say hey,
23
     let's take a quick break. Mr. Byrne, are you there,
24
     sir?
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               THE WITNESS: Yes, Judge, I am.
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JUDGE PRIDGIN: If you'll please raise your 1 2 right hand to be sworn? (Witness sworn.) 3 JUDGE PRIDGIN: All right. Thank you, sir. 4 5 Mr. Lowery or Mr. Banks, whoever is going to question 6 him, whenever you're ready. 7 MR. BANKS: May it please the Judge. JUDGE PRIDGIN: When you're ready, Mr. Banks. 8 9 MR. BANKS: Thank you, Your Honor. 10 TOM BYRNE, 11 being sworn, testified as follows: 12 DIRECT EXAMINATION BY MR. BANKS: 13 Sir, would you please identify yourself for 14 the record? 15 Α. Yes. My name is Tom Byrne. 16 By whom are you employed and in what capacity? 17 I'm employed by Ameren Missouri as Senior 18 Director of Regulatory Affairs. 19 And did you cause surrebuttal testimony to be 20 filed for this case? 21 Α. I did. 22 Was it supported by an oath, affidavit or Ο. 23 attestation? 24 Yes, it was. Α. 2.5 Is that surrebuttal testimony true and correct 0.

1	to the best of your information, knowledge and belief?
2	A. Yes, it is.
3	Q. Do you have any corrections you would like to
4	make at this time?
5	A. I do not.
6	MR. BANKS: Your Honor, what exhibit number
7	will this testimony have, please?
8	JUDGE PRIDGIN: I have not numbered any. So
9	this can be Exhibit No. 1.
10	MR. BANKS: Thank you, Your Honor. Your
11	Honor, we move that Exhibit 1 be accepted into the
12	record, and we tender the witness for cross-examination.
13	JUDGE PRIDGIN: Mr. Banks, thank you. Let me
14	verify, I'm sorry, this was Mr. Byrne's is it
15	surrebuttal testimony?
16	MR. BANKS: Yes, Your Honor.
17	JUDGE PRIDGIN: Thank you. Mr. Byrne's
18	surrebuttal has been offered as Exhibit No. 1. Any
19	objections? Hearing none, Exhibit No. 1 is admitted
20	into evidence.
21	(EXHIBIT 1 WAS RECEIVED INTO EVIDENCE AND MADE
22	A PART OF THIS RECORD.)
23	JUDGE PRIDGIN: Staff is scheduled to ask
24	questions first. So Ms. Payne, when you're ready.
25	MS. PAYNE: No questions. Thank you, Your

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Honor.
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               JUDGE PRIDGIN: Very good. Thank you.
 3
    Mr. Williams, any questions?
              MR. WILLIAMS: Not at this time. Thank you.
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               JUDGE PRIDGIN: All right. Thank you. Let me
     see if we have any bench questions. Mr. Chairman?
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 7
              CHAIRMAN SILVEY: None. Thank you, Judge.
 8
              JUDGE PRIDGIN: Thank you. Commissioner Rupp?
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               COMMISSIONER RUPP: No questions, but
10
    appreciate the shout out in testimony.
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              JUDGE PRIDGIN: Thank you. Commissioner
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    Coleman? Commissioner Coleman, any questions? You may
    be on mute. Commissioner Holsman, any questions?
13
14
               COMMISSIONER HOLSMAN: Sorry, Judge. I lost
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     communication there for a second. I had to call back
16
     in. No, I'm okay right now. Thank you.
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              JUDGE PRIDGIN: All right. Thank you. I
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    think I'm going to have just a few questions, and then I
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    will allow for any other time for bench questions.
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    me just a moment.
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    OUESTIONS BY JUDGE PRIDGIN:
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              Mr. Byrne, if I can refer you to your
         Ο.
23
     surrebuttal, and I don't have the exact page, but I
24
    believe you mentioned a pole lease space revenue in your
25
    surrebuttal. Do you recall that?
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1 A. Yes, I do, Your Honor.

- Q. Can you explain what a pole space lease is?
- A. Yes, there are other parties, telephone companies and cable companies that lease space on electric poles and they provide lease revenues to the electric company, and so then in a rate case those lease revenues, I think they're called other revenues, but they're included in the calculation of the revenue requirement and so they reduce rates for all customers and in a similar way, you know, under our proposal the revenues that we receive from this surge protection service would also be treated as other revenues in a rate case and reduce the revenue requirement for all customers.
- Q. Okay. How are the fees for a pole lease determined?
- A. I believe -- Well, in some cases I believe they're set by the federal government. So I think that's how they're set.
- Q. Would they be set at all in a rate case before the Missouri Commission?
- A. No, no. It's just the revenues that we receive from those leases would be credited, the revenue requirement. No, I don't believe the amount of the lease revenues would be set in a rate case.

1	Q. You may have just answered my next question.
2	Then would those pole lease revenues be included in the
3	revenue requirement during a rate case?
4	A. Yes. A normal level of those revenues either
5	from the test year or if it's normalized or something
6	would be included in the revenue requirement.
7	Q. If you know, who are some of the entities that
8	have pole leases with Ameren Missouri?
9	A. I think telephone companies and cable TV
10	companies do.
11	Q. Do you know about how much annual revenue is
12	generated from those leases?
13	A. I don't.
14	Q. Can any entity ask to attach to Ameren
15	electric poles?
16	A. I think people can ask, but I think in general
17	it's mostly cable TV and telephone companies that
18	attach.
19	Q. What criteria does Ameren Missouri use to
20	determine whether someone can attach to an Ameren
21	electric pole?
22	A. I think it's generally the ones that are
23	required by federal law to be allowed. There are
24	federal laws that require us to allow certain people to
25	attach to our poles. I think we limit attachments to

1 poles to those entities.

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- Q. Okay. Is there a tariff sheet that addresses pole lease space fees?
- A. I do not believe it's tariffed. Again, I think it's set by the federal government, the amount of the fees.
- Q. What issues would there be for Ameren Missouri with the Commission's affiliate transactions rule if the surge protection program was nonregulated?
- A. I guess it depends how it was set up. Again, we're not proposing a nonregulated service and I don't think we would be willing to provide it. We don't have any nonregulated services. So I don't think we're willing to start with this one. If it was nonregulated, I think it depends on how it was set up. If we had a separate entity, a separate corporate entity that provided the nonregulated service, then I think that would implicate the affiliate transaction rules. If there wasn't a separate affiliate, I don't, you know, it's not an affiliate transaction if there's not two affiliates.
- Q. Okay. And was the Commission's affiliate transactions rule something that Ameren Missouri considered when deciding to propose this surge protection program as regulated?

1	A. Not really. I mean, I think the main reason
2	we proposed it as regulated is we wanted it to improve
3	affordability for all of our customers. That's why we
4	wanted to do it on a regulated basis rather than
5	unregulated.
6	Q. Does the Commission's affiliate transactions
7	rule cause you, when I say you, either you personally or
8	Ameren Missouri, concern for a regulated surge
9	protection program?
10	A. I don't think it would apply to a regulated
11	surge protection program. So no, it doesn't cause me
12	any concern.
13	Q. Okay. I think the last question, has Ameren
14	been approached by any third party asking to provide
15	surge protection to its Missouri customers?
16	A. Not to my knowledge.
17	JUDGE PRIDGIN: Mr. Byrne, thank you. Before
18	I move back to recross, are there any further bench
19	questions before I see if we have any recross?
20	COMMISSIONER RUPP: Hey, Judge, Commissioner
21	Rupp. I have a question formulating in my head.
22	JUDGE PRIDGIN: Sure, when you're ready.
23	QUESTIONS BY COMMISSIONER RUPP:
24	Q. Mr. Byrne, I'm going to stumble through this

question because it's not fully formed. So I apologize

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in advance. But on the line of questioning of the pole attachments, is there a correlation between what Ameren is currently doing, so I have a cable TV company or a telephone provider that wants to use Ameren's system to interact with customers, except the only difference in what you're proposing here is that I'm going and forming that relationship directly with whatever cable company or telephone company, and in this case the third party is just you're collecting the payment for that service through their utility bill, is there a correlation there between what you were just talking about and what you're proposing here from a conceptual standpoint?

A. I mean, I think conceptually they're different, they're different things. The only thing they have in common is they provide revenues that offset the revenue requirement. But you know, in the case of a pole attachment, we're really not providing service -- we're not providing service to anyone. We're just allowing the telephone company or the cable company to use our pole primarily because we have to under federal law. In this case we're providing a service to our customers, which we think participants will value because they have -- because other utilities across the country have had similar programs that people have signed up and wanted the service. So we believe we're

providing a service that a certain segment of our customers want and would be willing to pay for and then, you know, a side benefit of that is it will improve affordability for the rest of our customers.

- Q. So the service that you're providing in this proposal, is that not just offering this third party to use your system to lower the cost to reach all of your customers versus them individually trying to sell their product to an individual and so thereby lowering the cost for everyone that wants to participate by providing them access to your system and the billing and you're going to take care of the installation?
- A. I think we provide a whole service. It's not just the device. I mean, the device is part of it, but we also provide the installation, we remove it when it has to be removed, if the device were to fail we would replace it. We help them process claims against the manufacturer. So it's not just the device that the manufacturer provides. We provide a whole litany of things in connection with this service.
- Q. Is that service unavailable to an individual if they were to go and purchase this on their own if I wanted to put something similar on my house, that service is still available to me as a customer, I'm just going through a different vendor?

A. I think it's very hard to get exactly the	sort
of service that we're providing. For one thing, yo	ou
know, I don't think people can put surge protectors	on
our meters without interacting with us. So I think	5
Mr. Bax, for example, talked about some other forms	of
surge protection that someone could get so you could	_d
hire an electrician and he could come into your how	ıse
and he could put a surge protection device near you	ır
panel, your electric panel, and that could provide	some
similar surge protection, but to my mind it's a lit	tle
bit of a different product because you have to go	ind
an electrician, you have to let him into your house	÷ •
There's nobody that's servicing it. You'll be	
responsible for maintaining it as opposed to Amere	1
Missouri maintaining it. So I think in terms of	
convenience for the customer all they have to do is	call call
or email us and that's all they have to do. They	lon't
have to find an electrician. They don't have to le	et.
anybody in their house. They don't have to pick a	
product. We've already identified a high quality s	surge
protection product and we've already negotiated a	
warranty with the manufacturer which might be diffe	erent
than they could get. So I think our products is a	lot
more convenient way to get a service and I think ma	ıybe
it's a better service than they can get on their or	m.

1	Q. So in your opinion you don't think this
2	service is available to your customers except for
3	through the way you're proposing? When you compare
4	apples to apples, you don't believe there is a similar
5	type of service that is available to your customers
6	other than what you're proposing?
7	A. Right. Like I said, as Mr. Bax points out,
8	there are other forms of surge protection that are
9	available, but it's just they're different. They have
10	different benefits and different, you know, different
11	costs and benefits than this. It's a different product
12	than what's otherwise available.
13	COMMISSIONER RUPP: That's all I had, Judge.
14	Thank you.
15	JUDGE PRIDGIN: Commissioner Rupp, thank you.
16	COMMISSIONER HOLSMAN: Judge, I have a
17	question. Go ahead and let the Chairman go.
18	CHAIRMAN SILVEY: Commissioner Holsman, sorry.
19	Thank you. I was going to follow up on Commissioner
20	Rupp's questions. If Commissioner Holsman has another
21	set of questions, I can defer to him.
22	COMMISSIONER HOLSMAN: I was going to follow
23	up as well. So go ahead.
24	CHAIRMAN SILVEY: Thank you. Thank you,
25	Judge.

QUESTIONS BY CHAIRMAN SILVEY:

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- Q. Mr. Byrne, if this is considered to be a profitable program for the Company, why didn't the Company look at doing this as an unregulated business and give the benefits to its investors?
- I mean, I guess there's a couple of reasons. One is our business model is not to provide unregulated service. Our business model is to provide the best regulated service we can, and part of providing the best regulated service we can is to provide customers options to allow them to customize their service. Our belief is regulated service can be more than just basic provision of electricity, and so I mean, that's our business model. We don't want to have a separate unregulated service. And we also think over the long run our success is going -- We've always focused on having affordable rates and we really do have relatively low rates compared to other electric utilities in the Midwest and around the country, but I think continuing to focus on affordability in the long run is going to be a good business decision for our Company, you know.
- Q. Okay. If I'm following this correctly, the cost of getting this program up and running would be borne by customers who are not participating in the program; is that correct?

- A. I think it would be borne probably both by shareholders and by customers because there would be regulatory lag. Our expectation is when we had a rate case and, of course, we just filed a rate case but this won't be included in our recently filed rate case. But if we file another rate case, whatever the costs and revenues during the test year are would be placed in the revenue requirement. So if you're in a phase where, you know, you're in the start-up phase and the costs exceed the revenues, that would go in the revenue requirement. And later on when the revenues exceed the costs as the program matures, you know, those incremental revenues would lower rates for all customers.
- Q. So initially it would be a cost to all customers to get the program up and running like as a start-up phase, and then at some point the program becomes profitable and the Company contends at that point it's a benefit to all customers because of the revenue it's generating outside of rates; am I understanding that correctly?
- A. I think that's likely what would happen. I mean, it depends on when rate cases are filed. Perhaps if there was a long enough period between the next rate case, it could be profitable by the time the rate case is filed.

Q. So long does the Company expect for it to become profitable? Where's that breakover point?

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- A. I think in the expected case, and you might want to ask Mr. Schneider exactly, but I think it's a year or two. It turns.
- Q. Okay. And you said multiple times that you're not just providing a device; you're providing a service. In previous questioning we kind of went over the math of the cost of the device and \$10 a month and when that would be paid for. So the device and the initial startup cost, the installation, all of that is probably covered by the end of year one. So after that, moving forward for the next 14 years or however long a customer keeps that, what is the Company's projected annualized cost of providing that service?
- A. I think we calculated -- I think

 Mr. Schneider's testimony calculates, or perhaps it's

 Mr. Wills', anyway, we calculated a levelized cost of

 the program over 15 years of about \$3 a month. So

 that's where we get the idea that the price we're

 charging for this service is about three times what the

 cost is. So you know, there's other costs.
 - Q. Does that include the cost of --
- A. That includes all the costs. But there's, you know, beyond the device and the installation, there's

cost of removal, there's cost of maintenance, you know, there's other costs.

- Q. But if that \$3 a month over 15 years includes the initial year where you're essentially paying for the equipment and the original installation, after that first year it is essentially it sounds to me for all calculation purposes pure profit after that?
 - A. Well, I mean --
 - O. 90 percent?

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- I believe that the profit is about -- I Α. believe the cost is about a third. Over the 15 years, I think the total cost we figured is about \$3 a month over the 15 years. So we are charging a higher, you know, a significantly higher amount than the cost of the It's not -- The reason we set the charge is we looked at programs across the country that are being provided on an unregulated basis and generally our \$9.95 a month is consistent with what those other programs charged on an unregulated basis. So it's not -- Our proposed charge is not based on the cost of service. It's based on -- I quess it's more based on the value of service, what people are willing to pay. And we believe that's appropriate since it's a voluntary program. Nobody has to sign up at all if they don't want to.
 - Q. Right. So it's just a simple demand curve it

sounds like, but your surveys or if I remember reading in some of the testimony did you question about \$10 a month or was it \$5 a month that was in the survey?

- A. I think in the customer survey they said that -- they asked what price would you like to pay for the service and I think it was like \$5.08 or something, but they also said there was a range -- they also said a range that went up to like \$11 would be acceptable too. But we didn't price the service based on that survey. We priced it based on what other successful programs across the country are charging.
- Q. Okay. So then to kind of circle back to my first question about where the breakover point is, you think it's about one to two years?
 - A. Yes, yes.

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- Q. So would it be appropriate for the Commission to track that participation as a contingency for continuing the program after that to make sure you've hit your benchmarks?
- A. Well, I guess what we are proposing is that the Commission examine the program after three years, and we believe after three years you'll be able to get a good view of whether the program is succeeding or not. I mean, it all kind of depends on how many customers choose to participate, but I think you'll get a good

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view of it after three years. If after three years it
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    doesn't look like it's working, you can terminate the
    program or if it is working, then you would presumably
 3
    want to let us continue to do it.
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               So if after three years it's not working,
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    would that mean that the costs were not prudently
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     incurred?
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         Α.
              Not necessarily. I don't think that
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    necessarily follows. It could be but just, you know,
     the imprudence -- you could be imprudent and not succeed
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11
     -- I mean, you can be prudent and not succeed sometimes.
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               CHAIRMAN SILVEY: Okay. All right.
    you, Judge. Thank you, Commissioner Holsman.
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               JUDGE PRIDGIN: Mr. Chairman, thank you. Any
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15
     further bench questions?
               COMMISSIONER HOLSMAN: Yes, Judge. I have a
16
17
     couple.
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               JUDGE PRIDGIN: Commissioner Holsman, when
19
    you're ready, sir.
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               COMMISSIONER HOLSMAN: Thank you.
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    OUESTIONS BY COMMISSIONER HOLSMAN:
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               Do you have a third-party manufacturer of the
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23
     surge collars identified?
               Yes. And I believe --
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         Α.
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O.

Go ahead.

A. I think we have a contract with them that will take effect if this program is approved by the Commission and we've locked in the prices and things subject to Commission approval.

- Q. Is that manufacturer in multiple states then?

 I suspect that if you're drawing from other states, then
 they must have a track record of providing the service?
- A. Yes. Mr. Schneider knows for sure, but I believe it's the most commonly used by the other utilities that have these programs. So yes, it's the leading manufacturer of surge protection devices.
- Q. And so if I understand the testimony correct at this point, if ratepayer got ahold of this company directly and said I want one of your devices on my meter, then they would say to them we can't do it in Ameren territory because this program is not established? Is that the response you would get if you called them directly and asked them to put one on?
- A. I don't think they sell the devices directly to the public, but Mr. Schneider would know better than me.
- Q. Okay. What is -- So, you know, we don't have this program today. How often is Ameren coming in to surge failure contact with ratepayers that is -- So we decide this idea that this would help out all of the

ratepayers out by establishing this program, do we have a cost for what the ratepayers are incurring today because of the fact that this program doesn't exist?

- A. I mean, I don't believe that we keep track of like each, you know, each person's damaged appliances from surges right now.
- Q. So if that's the case, then we don't really know what benefit overall this would provide to all the ratepayers because we don't have an idea what cost there is without the program; is that accurate?
- A. Well, I mean, I think part of the benefit that it provides is peace of mind for people that their appliances will be protected if there are surges. So to my mind part of the benefit doesn't depend on how many appliances have been damaged.
- Q. Do we have the list of exemptions from the third-party manufacturer that is -- I haven't seen that in there -- that would indicate rather a refrigerator that has a smart application to it or, you know, that the appliances are becoming more computerized. Do we know where that stands?
- A. Well, they have, you know, the warranty says it's as someone briefly said that it's motor driven appliances and then it lists a bunch of examples of motor driven appliances and some examples are

dishwashers, HVAC systems, washers, dryers,
refrigerators, freezers, cooking appliances and hard
wired fans. So I do think refrigerators are covered
even though they're becoming, sort of more smart than
they previously were because their function is driven by
a motor.

- Q. I see. And do we have any data that shows how many surges were the responsibility of the Company in any given time period?
- A. I don't know that off the top of my head. We do have claims that are made, and I think Mr. Schneider responded to a data request in this case that listed the claims over a certain period of time, but the claims, you know, relate I think only to surges that are caused by the Company. We're not responsible for things that are beyond our control like lightning strikes and things like that. So we wouldn't necessarily have claims for all the surges that occurred through acts of God, for example.
- Q. Okay. But you do have data on the claims that are because of operational surges?
- A. Yes, we did answer a data request on that, and I think Mr. Schneider answered that data request.
- COMMISSIONER HOLSMAN: Okay. All right.
- 25 That's all the questions I have right now, Judge. Thank

1 you. 2 JUDGE PRIDGIN: Commissioner Holsman, thank you. Any further bench questions before we go on to 3 recross? All right. Hearing none. Recross based on 4 5 bench questions. Ms. Payne? 6 MS. PAYNE: Yes, thank you, Judge. 7 RECROSS-EXAMINATION BY MS. PAYNE: 8 Q. Mr. Byrne -- Good morning, Mr. Byrne, by the 9 way. 10 Good morning. Α. Does Ameren intend to hire additional 11 Ο. 12 employees connected with the surge protection program? I think not, but Mr. Schneider knows exactly 13 14 for sure. We're going to hire an administrator and 15 we've done an RFP for the administrator, and that administrator will do installations and removals and 16 17 they'll have a dedicated customer support staff. So all 18 that is being done by an administrator. So I don't 19 think we're hiring -- I don't think we're hiring any or 20 maybe we're hiring one, but Mr. Schneider would know for 21 sure. 22 Thank you. So Ameren is not going to be doing Ο. 23 patrols to check the devices regularly? That would fall to the administrator? 24

25

Α.

Yes, and I think there's, as I understand it,

it's a sampling type of a thing where they examine a sample of the customers over a period of time.

- Q. And to be clear, the device warranty says that there is like a light on the device and that if the light is on then the device is functioning; is that correct?
 - A. I believe that's correct.

- Q. And then if a customer would want to make a claim, then they would have to somehow have evidence that the light had gone off thereby signifying that the device was no longer functioning?
- A. I think if they want to make a claim they do have to provide some evidence that a surge caused damage to their appliances. So yes.
- Q. Okay. And when you say Ameren negotiated for the warranty, the warranty that's provided is the standard manufacturer's warranty that, Kenick I believe is the name of the company, provides to all purchasers of its devices, that's correct?
- A. I think, I believe, Mr. Schneider did this, but I believe we negotiated a slightly better limits or some aspect of the warranty that wasn't standard, but Mr. Schneider was involved in that. He can tell you.
- Q. And then you referenced when you were talking with Commissioner Holsman a DR response. Would you

agree that Ameren Missouri provided Staff with DR 1 2 Response 13 that says that Ameren Missouri has made 1,003 payouts related to surge incidents from 2000 to 3 2020, I believe? 4 5 Α. I believe that's true. Would you mind if I 6 just checked it real quick? 7 Sure. DR 13, Staff's DR 13. I would also say Ο. 8 that Staff is happy to provide a copy of the Kenick 9 warranty and also the response to DR 13 in relation to 10 Commissioner Holsman's questions as exhibits. I can 11 email those out over the break if we want to take those 12 into consideration. 13 JUDGE PRIDGIN: Thank you, Ms. Payne. THE WITNESS: Yes, I did check DR 13. That is 14 15 what it is. MS. PAYNE: Thank you, Mr. Byrne. No further 16 17 questions. 18 JUDGE PRIDGIN: Thank you. Mr. Williams, any 19 questions? 20 MR. WILLIAMS: Just -- Hopefully just one. 21 RECROSS-EXAMINATION BY MR. WILLIAMS: 22 Mr. Byrne, you remember in your response to Ο. 23 Chairman Silvey that you made a point that the surge 24 protection program that Ameren Missouri is proposing to

offer would be voluntary? Do you recall that?

Τ	A. Yes.
2	Q. Does Ameren Missouri offer any service that is
3	not voluntary?
4	A. Well, I think in some ways the receipt of
5	electric service is not that voluntary because we have
6	an exclusive service territory and we're the only people
7	who can provide electric service. I don't know. I
8	guess what you're saying is people could not take any
9	electric service. I guess they would have that option,
10	yes.
11	Q. Customers are not Well, you don't have to
12	take service from Ameren Missouri, do you?
13	A. Only if you want electricity in our service
14	territory, but no.
15	MR. WILLIAMS: Thank you.
16	JUDGE PRIDGIN: Mr. Williams, thank you. Any
17	redirect, Mr. Banks?
18	MR. BANKS: No, thank you, Your Honor.
19	JUDGE PRIDGIN: I believe that would then move
20	us on to Mr. Lansford. This looks to be a very natural
21	time to take a mid-morning break. I would suggest we go
22	back on the record at 11:00 a.m. I'm showing the time
23	to be 10:46. So if we can resume at 11:00 a.m.
24	Anything from counsel or the bench before we
25	take a break? Hearing nothing. Since we're not in the

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hearing room, I believe this is being streamed. So our
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     IT department will probably mute and do things like
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    normal. Also, it's your responsibility to make sure
    your machine is muted or your device is muted and we'll
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     just be sure we unmute and come back on the record at
     11:00. We are in recess until 11:00 a.m. We are off
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     the record.
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               (Off the record.)
               JUDGE PRIDGIN: I'm showing 11:02. Madam
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    Court Reporter, are you ready?
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               THE COURT REPORTER: Yes.
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               JUDGE PRIDGIN: On the record, please. We are
    back on the record. I believe Mr. Lansford is the next
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14
    witness. Anything further from the bench or from
15
     counsel before he is sworn in? Okay. Hearing nothing.
    Mr. Lansford, are you there, sir?
16
17
               THE WITNESS: Yes, sir.
               JUDGE PRIDGIN: All right. If you'll raise
18
    your right hand to be sworn, please?
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20
               (Witness sworn.)
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               JUDGE PRIDGIN: Thank you, sir. And either
22
    Mr. Lowery or Mr. Banks, when you're ready.
23
               MR. BANKS: Thank you, Your Honor.
24
                        MITCHELL LANSFORD,
    being sworn, testified as follows:
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DIRECT EXAMINATION BY MR. BANKS: 1 2 Sir, will you please identify yourself for the record? 3 Mitchell Lansford. Α. By whom are you employed and in what capacity? 5 Q. Ameren Missouri as the Director of Regulatory 6 Α. 7 Accounting. 8 Q. Did you cause testimony to be prefiled for 9 this case? 10 Yes, I did. Α. 11 Was that testimony supported by either an 12 oath, an affidavit, or an affirmation? 13 Α. Yes. Was that testimony true and correct, to the 14 15 best of your information, knowledge and belief? 16 Α. Yes. 17 Do you have any corrections you would like to offer at this time? 18 19 Α. I do not. 20 MR. BANKS: Your Honor, would Mr. Lansford's 21 testimony be Exhibit 2? 22 JUDGE PRIDGIN: I'm sorry. Yes, it would. Is 23 this direct or surrebuttal? MR. BANKS: Surrebuttal. 24 2.5 JUDGE PRIDGIN: Thank you. Yes, this would be

1	Exhibit 2.
2	MR. BANKS: Thank you, Your Honor. Your
3	Honor, I move that Exhibit 2 be accepted into the
4	record, and I tender the witness for cross-examination.
5	JUDGE PRIDGIN: Thank you, Mr. Banks. Exhibit
6	No. 2 has been offered. Any objections? Hearing none,
7	Exhibit 2 is admitted into evidence.
8	(EXHIBIT 2 WAS RECEIVED INTO EVIDENCE AND MADE
9	A PART OF THIS RECORD.)
10	MR. PRIDGIN: Moving to cross-examination.
11	Ms. Payne, any questions?
12	MS. PAYNE: None. Thank you, Judge.
13	MR. PRIDGIN: Thank you. Mr. Williams?
14	MR. WILLIAMS: No, thank you.
15	MR. PRIDGIN: Thank you. Let me see if we
16	have any bench questions. Mr. Chairman? Commissioner
17	Rupp, any questions? Commissioner Coleman?
18	Commissioner Holsman? All right. I'm hearing no
19	questions. I believe that witness then can be excused.
20	We have no need for recross or redirect if we have no
21	questions. I guess we can move on to the next witness,
22	which I believe is Mr. Schneider; is that correct?
23	MR. BANKS: Yes, Your Honor.
24	JUDGE PRIDGIN: All right. Anything further
25	before we move on to Mr. Schneider?

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All right. Mr. Schneider, are you there, sir?
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               COMMISSIONER HOLSMAN: Holsman is back on.
               JUDGE PRIDGIN: Commissioner Holsman, I'm
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 4
     sorry, did you have any questions for Mr. Lansford?
                                                          Ι
 5
     think we went through and nobody had any questions.
 6
              COMMISSIONER HOLSMAN: No questions. Thank
 7
    you.
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               JUDGE PRIDGIN: All right. Thank you. I
 9
    believe Mr. Schneider is the next witness, if I'm not
10
    mistaken. I'm trying to find my witness list. Is Mr.
11
    Schneider available?
12
               MR. LOWERY: I believe that he's just getting
13
    his headset on, Your Honor.
14
               JUDGE PRIDGIN: Very good. If Mr. Schneider
15
    can let me know when he's ready, we'll move on.
16
               THE WITNESS: All right. I'm ready. Can you
17
    hear me?
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               JUDGE PRIDGIN: Mr. Schneider, is that you,
19
    sir?
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               THE WITNESS: Yes, sir.
21
               JUDGE PRIDGIN: All right. If you'll raise
22
    your right hand to be sworn, please?
23
               (Witness sworn.)
               JUDGE PRIDGIN: All right. Thank you, sir.
24
25
    Mr. Lowery or Mr. Banks, when you're ready.
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1	MR. LOWERY: Thank you, Your Honor.
2	JARED SCHNEIDER,
3	being sworn, testified as follows:
4	DIRECT EXAMINATION BY MR. LOWERY:
5	Q. Please state your name for the record.
6	A. Jared Schneider.
7	Q. Mr. Schneider, did you cause to be prepared
8	for filing in this docket direct and surrebuttal
9	testimony, which I believe, Your Honor, would be
10	Exhibits 3 and 4; is that correct?
11	A. That's correct.
12	JUDGE PRIDGIN: Yes, that will be correct.
13	We'll label Mr. Schneider's direct as Exhibit 3 and his
14	surrebuttal as Exhibit 4.
15	BY MR. LOWERY:
16	Q. Mr. Schneider, if I were to pose the questions
17	in those two testimonies today, would your answers be
18	the same?
19	A. They would.
20	Q. Do you have any corrections to either
21	testimony?
22	A. I do not.
23	Q. Is the testimony you gave in Exhibits 3 and 4
24	true and correct, to the best of your knowledge and
2 5	haliaf?

1	A. Yes, they are.
2	MR. LOWERY: Your Honor, with that I would
3	move admission of Exhibits 3 and 4 and tender the
4	witness for cross-examination.
5	JUDGE PRIDGIN: Mr. Lowery, thank you.
6	Exhibits 3 and 4 have been offered. Any objections?
7	Hearing none, Exhibits 3 and 4 are admitted.
8	(EXHIBITS 3 AND 4 WERE RECEIVED INTO EVIDENCE
9	AND MADE A PART OF THIS RECORD.)
10	JUDGE PRIDGIN: We will proceed to
11	cross-examination, Ms. Payne, when you're ready.
12	MS. PAYNE: Thank you, Judge.
13	CROSS-EXAMINATION BY MS. PAYNE:
14	Q. Good morning, Mr. Schneider.
15	A. Good morning.
16	Q. Out of curiosity, what are the associated
17	protection ratings of these proposed surge protection
18	collar devices?
19	A. What do you mean by protection ratings?
20	Q. What are they Well, in your testimony on
21	page 3 of your direct testimony you state that they have
22	a failure rate of 5 in 10,000; is that correct?
23	A. I believe so, yes.
24	Q. Okay. So what exactly would be the
25	proposition for the alternative to that, the coverage I

1	guess I would say?
2	A. I'm not I'm not quite sure I understand
3	your question.
4	Q. No, I understand. I guess what percentage of
5	overall surges is the device designed to protect
6	against?
7	A. I don't know the specifics of, you know, the
8	entire world of surges, but I know that for any surge
9	that comes through the meter that the device is very
10	successful in protecting against that.
11	Q. Okay. And Ameren Missouri has stated, I think
12	even just this morning, that the device will protect
13	against surges to Ameren Missouri's facilities?
14	A. It will protect against surges that come
15	through the service line.
16	Q. And that's regardless of the cause of the
17	surge?
18	A. That's correct.
19	Q. Okay. And out of curiosity, has Ameren
20	Missouri run anything related to the warranty associated
21	with this program by the Department of Insurance?
22	A. I'm not sure. I don't believe so.
23	MS. PAYNE: Okay. Thank you. I have no
24	further questions.

JUDGE PRIDGIN: Ms. Payne, thank you. I'm

- 1 sorry. I was shuffling through too many screens.
- 2 Mr. Williams, any questions?

- 3 MR. WILLIAMS: Thank you.
- 4 CROSS-EXAMINATION BY MR. WILLIAMS:
 - Q. Mr. Schneider, the collar device, if it were located on the customer's side of the meter immediately adjoining it as opposed to as Ameren Missouri is proposing on the utility side of the meter, would it still provide the same protections to the end users in terms of devices being protected?
 - A. If you're saying that the device -- This exact device can't be installed at the panel, but I recognize I think the fact that there's other devices that could do something similar to what this device can do.
 - Q. I didn't say panel. I just said on the customer side of the meter. It does not have to be at the panel necessarily. All I'm really wanting to know is does this device offer the same protection regardless of which side of the meter it's sitting on?
 - A. Again, this specific device I don't think -It's installed inside the meter box. I can't say -Like this specific device I don't think could be
 installed on the other side of the meter, if that's what
 you're referencing.
 - Q. Let's say that it is adapted so that it can be

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installed on the customer side of the meter. Would it 1 2 still offer the same surge protection regardless of which side of the meter it's on? 3 MR. LOWERY: Your Honor, objection. Calls for 5 facts not in evidence. JUDGE PRIDGIN: I'll overrule and let -- I 6 7 think what he's trying to get at is if it's physically 8 possible to do what he's asking what would the result 9 be. MR. LOWERY: Your Honor, could we just clarify 10 11 that he's asking a hypothetical question then; is that 12 correct? 13 JUDGE PRIDGIN: I mean, I've overruled the 14 objection. If Mr. Williams wants to rephrase his 15 question, he can. THE WITNESS: So in this hypothetical 16 17 scenario, yes, the device would likely provide the same level of protection. 18 19 BY MR. WILLIAMS: 20 Ο. You said likely. What would cause it not to? 21 Well, it's a hypothetical scenario, but, you 22 know, the device specifications in the scenario that 23 you've put forth would essentially still apply whether 24 it was placed where we propose it or it was placed adjacent on the customer side of the meter. 25

1	Q. What I'm really trying to get it, and maybe
2	you've provided it by how you've answered, in terms of
3	surge protection, does it matter whether that device or
4	one equivalent to it is located on the customer's side
5	or the utility side of the meter?
6	A. No, sir.
7	MR. WILLIAMS: Thank you. No further
8	questions at this time.
9	JUDGE PRIDGIN: Mr. Williams, thank you. Let
10	me see if we have any bench questions. Mr. Chairman,
11	any questions? No response. Any questions?
12	COMMISSIONER RUPP: Judge, I have some
13	questions, Commissioner Rupp.
14	JUDGE PRIDGIN: When you're ready, sir.
15	COMMISSIONER RUPP: Great. Thank you.
16	QUESTIONS BY COMMISSIONER RUPP:
17	Q. The questions about lightning we were directed
18	to talk to you about. So has there been studies done
19	What percentage of surges come through the meter in the
20	world? Let's just say that.
21	A. Yeah. To be honest, Commissioner, I don't
22	have the specific numbers as to what comes through the
23	meter versus what may come through another entry point.
24	I can't say I don't know if there's studies that
25	exist that give that specific number so I can't say with

certainty.

- Q. Okay. Is there any data from other utilities that have had programs or similar programs on the number of claims that were denied because they did not come in through the meter?
- A. I don't have that specific information as to what percent of claims. You know, that came up because of the program that were denied because it didn't come through the meter. I don't know that specific information.
- Q. So if lightning were to strike Ameren's system, how far down from the lightning strike typically would customers be affected or does it depend on if it's hitting a substation or if it's hitting a line? How does lightning interact with the system as far as causing surges?
- A. So I don't know if it, you know -- Certainly if it did hit the substation there could be implications for each customer's downstream of that. Certainly if it hit the service entrance outside the home, it could come in. In terms of between the service entrance and the substation, I don't know exactly what, you know, where at along that line it's going to directly affect, you know, directly affect the customer. In any breath between the service line and the substation essentially,

you know, the customer could be at risk.

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- Q. So I'm making the assumption without having data in front of me is that surges are a relatively rare thing?
- A. I mean, surges exist. We know this based upon the fact that customers have called in to Ameren Missouri, you know, saying that, you know, equipment has been damaged because a surge entered their home. I think even in the surveys we had asked customers whether or not they had experienced surges. Our response was yes. Surges do exist. Do they happen everyday for every customer, no, that's not the case.
- Q. So the impetus of this program is like I don't think I'm going to get by a bus when I walk outside of my house.
 - A. I hope not.
- Q. There's a statistical likelihood that that could happen. I'm trying to protect against that statistical likelihood. A concern that I do have is if I walk out and I get hit by a bus, my contract to mitigate that risk is pretty clearly spelled out, you know, unless I'm committing a criminal offense, I'm fleeing from the cops or something like that. There are instances where my life would not be covered under whatever insurance program or risk mitigation tool I

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happen to have in place. Can you speak to the clarity of which this program will be able to define that risk to a homeowner in regards to the different types of surge events, because I'm making an assumption about the average consumer is that they're going to think hey, cool, this is going to protect me against surge and lightning strikes. The devil is in the details. Walk me through that level of education information comparative to most customers are going to think lightning versus what's not covered via lightning.

Okay. So inside the tariff we do explain that Ο. it's for surges that come through the meter. I think Mr. Byrne referenced that list of examples of the specific items that are covered underneath the warranty. But in addition to that, obviously when we set up the website for this program, this type of information is going to be shared. It's not in our interest to mislead customers. So we intend to share the information. I've also stated in my surrebuttal testimony and Staff has requested that we put together, you know, a rigorous set of frequently asked questions and we've agreed to do that, again, the information about the surges coming through the meter and what's covered and other things to make sure that customers aren't misled about this program will be made clear to them before they are

enrolled in the program.

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- I have no doubt that the Company is going to do its best to provide that information. A level of concern that I would have would be you can provide that information but how much of that information is the consumer grasping, how much are they fully understanding? And so to me if -- Do you have information on the other utilities that have had some type of a similar program, maybe not apples for apples, and their complaint rate has other regulated entities, has this come up in other rate cases in other jurisdictions where they've looked at the loss of goodwill amongst the customer base towards the company because of misunderstanding or lack of information or unclear information of what is covered in this product and have other utilities discontinued the program due to that or have they made changes? Can you speak to anything of that level of information?
- A. I can't give the specific details as to customers calling in because they're dissatisfied, they feel like they were misled with the other utility programs. What I do know is that they have, as Mr. Lowery had alluded to in his opening statement, that some of these customers have 2 percent, 5 percent, 8 percent adoption rates for these programs. So we know

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that customers are joining, and some of these other programs also don't have a termination fee. And so they're free to go and leave the program whenever they like at their own leisure. If they were dissatisfied, they can simply opt out of the program and they no longer have to, you know, pay that monthly fee. So they do have the flexibility to leave that program.

- Q. Has the Company looked at any information regarding Better Business Bureau complaints, J.D. Power scores of how other utilities that have had a similar program and how this program has impacted their customer service scores or complaints or anything? For example, we had several -- we had hearings all about the complaints that another utility, not your company, in this state had on a third-party system when people would move into there and get all their different systems and things and it was kind of a customer service nightmare. There was a lot of problems. We started to see that. Have you seen any of that data from other utilities that have had that through Better Business complaints, complaints to the regulatory bodies, J.D. Power scores, anything of that nature?
- A. No, Commissioner, I don't have that information.
 - Q. Okay. So you said that adoption rates have

continued and a lot of the ones you've looked at have been climbing in the utilities. Are there utilities that have had a program like this that adoption rates have declined since the initial offering of the program?

- A. I don't know that for sure. What I can say is that of the utilities, the other utilities that we've benchmarked they've had these programs for 20 and 30 years in some instances so these programs have existed for a long time.
- Q. Okay. And so I guess the risk -- The risk is on the company that's providing the product which their product then provides the warranty. They're assuming the risk. The other risk I see is the loss of goodwill amongst its customers to Ameren based off that third party's structure of their warranty. And in your opinion, how is Ameren planning to mitigate that risk to the Company's goodwill?
- A. Well, again, we want to make sure that the customers are informed; that they understand to the best of our ability the coverage that they're getting, the capability of the device, you know, what other types of surges, you know, that there's other entry points for surges that this device can't protect against. So again, it's all about we want to make sure that the customer is informed before they can make their decision

as to whether or not they want to enroll. If they feel like that after being informed it's not something they want to do, they don't have to enroll.

- Q. But at the end of the day it is their choice of whether or not to enroll?
 - A. That's correct.

- Q. And the information that you were providing them, does the customer have to sign off and specifically initial by certain lightning will not be covered or is it just part of a blanket, here's all the things and then when you sign at the bottom to sign up you're acknowledging the information or is there multiple steps to clarify the customer has to take an action to agree to that list?
- A. I don't think we've outlined the specific details as to, you know, what they have to mark off to enroll in the program. So I can't say that with certainty that there's going to be a checklist of items that you are aware of this, you are aware of this. I don't have that specific detail.
- Q. I would recommend that when you're designing this if we were to go forward that you do have something other than check this box for our term of service type of thing which no one ever reads and no one reads that that there would be -- to mitigate that risk to the

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company of which, you know, everyone buys something,
 1
 2
     doesn't read the fine print and then when it doesn't
 3
     work out they blame the person that sold it to them and
     the consumer will look at this as something that Ameren
 4
     is not the third party. I would encourage your
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 6
     development staff if we were to move forward to take
 7
     those things into consideration.
 8
          Α.
               Yes, sir. Thank you.
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               COMMISSIONER RUPP: Thanks, Judge. That's all
     I had.
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               JUDGE PRIDGIN: Commissioner Rupp, thank you.
12
     Commissioner Coleman, any questions? Hearing nothing
     from Commissioner Coleman. Commissioner Holsman, any
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14
     questions?
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               COMMISSIONER HOLSMAN: Yes, Judge, I have a
16
     quick question.
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               JUDGE PRIDGIN: When you're ready.
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               COMMISSIONER HOLSMAN:
                                      Thank you.
19
     OUESTIONS BY COMMISSIONER HOLSMAN:
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               So by my math if you take 115 and divide it by
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     180 months, which is the 15-year useful life of the
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     device, you're looking at about 63 cents a month. Now,
23
     we heard earlier that the cost of service is going to be
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     about $3 a month is what you kind of figured. Can you
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     tell me so the installation is 40 and we know what the
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failure rate is on having to swap them out, it has the 15-year life expectancy, where are you getting the additional \$2.37 a month in order to provide service when the device itself doesn't actually require any maintenance itself? Can you tell me where those costs come from?

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- A. If you don't mind, let me pull up my workpaper that has this. Give me one second here to find it.

 Okay. Let me make sure I've got -- All right. So what also goes into that \$3 a month is the other expenses that are associated with the program. So you have -- You know, like I said, you have the administration costs of, you know, for managing the call center that comes with enrollment in this program, you have property taxes, you know, insurance costs. There's other expenses in the program that go into that \$3 number that you're referring to that are outside of just the direct installation and labor to install that device.
- Q. Okay. So we heard earlier that the \$10 a month is based on other successful programs, but is there any data that you use to try to get to \$10 a month? I mean, if the survey came back as \$5 a month and that's \$2 more than the program is costing and OPC said that they wouldn't necessarily oppose something that was cost based for the program, what is the

justification for going from \$5 to \$10 or \$3 to \$10?

- A. Yeah. Again, you know, what we were really trying to do with this program, and, you know, this was on the forefront of our mind in designing it is that we are trying to lower rates for all customers. There has to be some delta between the cost of service and essentially what we're charging customers to produce that excess revenue that will flow back to lower rates for all customers. So the difference --
- Q. Why not \$20 a month? Why stop at 10? Why not \$20 a month? Why is 10 the stopping point?
- A. Yeah. So again, the \$10 is based off the benchmarking we did with, you know, with other utilities. And we certainly could have gone up to 20 like you're alluding to, but then we would expect that the adoption rates to be substantially less than what the other utilities are. So we looked at these successful programs that exist. We mirrored the structure of their programs as closely as possible and that includes the \$10 per month knowing that there's a delta there that's going to flow back to all customers.

COMMISSIONER HOLSMAN: Okay. Thank you.

23 Thank you, Judge. I have no further questions.

JUDGE PRIDGIN: Very good. Thank you. Any

25 | further bench questions? I'm going to have some

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questions of Mr. Schneider. Do you have or can you get 1 2 a copy of the proposed tariff in front of you? I'm 3 going to have some questions about the tariff. THE WITNESS: Sure. Give me one second here 5 if you don't mind. 6 JUDGE PRIDGIN: Just let me know when you're 7 ready. 8 MR. LOWERY: Your Honor, can I assist Mr. 9 Schneider in finding the tariff that he's looking for? 10 JUDGE PRIDGIN: Certainly. 11 MR. LOWERY: I'm going to step away for just a 12 second. 13 JUDGE PRIDGIN: Thank you. 14 MR. LOWERY: He didn't need my help after all, 15 Your Honor. 16 THE WITNESS: I got it figured out. Sorry 17 about that. 18 JUDGE PRIDGIN: No problem. 19 OUESTIONS BY JUDGE PRIDGIN: 20 O. Do you have a copy of that tariff in front of 21 you, sir? 22 Yes, sir. Α. 23 Ο. If I can direct you to I think it's Revised 24 Sheet 166.2, probably about the middle of the tariff. 25 Α. I've got it here.

1	Q. Try to find that. 166.2. Specifically I'm
2	looking at paragraph 4 that begins by enrolling in the
3	program. Do you have that in front of you?
4	A. Yes, sir.
5	Q. Okay. If you can review that paragraph in
6	response to I guess the next few questions. Can you
7	define what a normal household voltage is and where it's
8	defined in that sheet or in that tariff?
9	Specifically I'm looking for under paragraph D
10	the tariff states any overvoltage passing through the
11	surge protection device and overvoltages of transmission
12	at greater than normal household voltages and longer
13	than a momentary surge. I'm asking what a normal
14	household voltage is and where it's defined.
15	A. So I see that Your Honor, I don't know the
16	specific household voltage and it doesn't appear,
17	obviously it doesn't appear to be defined here.
18	Q. Okay. Is the manufacturer's warranty, is it
19	posted either in this tariff or on Ameren Missouri's
20	website?
21	A. So it would be upon approval of the program
22	set up. Then I've sent it in a data response request.
23	Q. I think another witness testified about Ameren
24	Missouri having a contract with another provider; is

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that correct?

- A. So when you say another provider, you mean the device manufacturer?
 - Q. Yes, that's correct.

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- A. So we do not currently have a contract in place with the device manufacturer. We conducted a request for proposal where we received their pricing and the pricing is held through and the terms are held through this approval process. So the pricing is currently held, but we do not have a contract in place at the moment.
- Q. Okay. Well, assuming you end up getting a contract with this other provider, what would be covered under the warranty and what would not be covered under the warranty?
- A. So I don't have, you know, I don't remember verbatim everything that's specifically outlined in the warranty. In terms of, you know, we use the same language that's in the warranty. We use the same language in our tariff, which is, you know, like we've all talked about to this point motor driven household equipment such as HVAC units, refrigerators, clothes washers and dryers, dishwashers, freezers, hard wired fans and cooking appliances. That's the language that we have here listed in the tariff, which matches the warranty.

Okay. How will you inform customers of 1 Ο. 2 explicitly what is and is not covered in the warranty? So you mean that's outside this language or 3 Α. what do you mean by that, sir? 4 I guess how else will you communicate Yes.

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- besides just this language, how will you reach out to customers to let them know what is and is not covered?
- Α. So I would recommend that if there's specific items that are beyond the specific scope of, you know, this language that you're referring to that there's not necessarily clarity about, that they could reach out to the call center who would be in contact with the manufacturer. They could reach out to the manufacturer directly to get specific clarity as to what -- if there's an item that they're unsure about is specifically covered.
- Okay. And I guess that kind of leads into where I was going. Do you plan on mail inserts or phone contacts or online? How do you plan to share the scope of this program?
- So I don't have all the details exactly how our marketing team would go about doing every piece of marketing, but there would probably be some mail inserts that would, you know, explain that Ameren has a surge protection program and outline the program that

obviously could reference them to, you know, the website where there would be a breath of information to make sure that they were informed as to what is covered and, you know, the scope of the program.

- Q. Okay. Thank you. If only normal household voltages are covered under this program, what exactly does the surge protection program cover?
- A. There's probably some, and I don't want to speculate here, I'm not an electrical engineer, I don't know all the specific details with this, but I think if there was -- yeah, I don't want to specifically speculate on that. But you know, I can't -- I don't know. I can't say that with certainty.
- Q. Okay. When, if ever, would a normal household voltage be considered a surge and would that be normal if it damages an appliance?
 - A. I'm reading the tariff language again here.
- Q. If you don't know, it's okay to say I don't know.
 - A. I don't know.

Q. Okay. That's fine. If a homeowner can have a whole home surge protector and pay a one-time installation and equipment fee, or, you know, hypothetically go anywhere from 250 to \$500 and can get a discount on their homeowner's insurance for installing

it, why would they enroll in Ameren's regulated program instead?

- Well, again, you know, the difference with our Α. program is, you know, as Mr. Byrne references that, you know, they don't have to find out what they need and hire an electrician to come in their home and do this and they maintain it themselves. Part of the benefit of what we're providing is they don't know what they don't know and we are providing a device that we know is going to be sufficient in protecting them as evidenced by the fact that this, you know, manufacturer and this device is just used across other major utility programs that exist and so it's not just the let alone protection. It's the fact that, you know, it's the peace of mind that they don't have to rely on their own knowledge to get the right device and worry about hiring an electrician to come in and do this.
- Q. Thank you. If I can direct you back to I guess it's Sheet 166.1 up at the very top where it says monthly charges?
 - A. Yes, sir.

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Q. Okay. Thank you. Under that monthly charges section, is the 9.95 per month fee charged in perpetuity or it's charged until it's canceled? How long is that charged?

- It would be charged for that 15 years, which 1 Α. 2 at that point we would have to replace them with another device and at that point there would be a decision about 3 whether or not they want to continue to enroll. 4 5 Would the fee cease after the 24 months of 6 enrollment or upon the equipment cost being recouped? So the -- As long as they are staying on the 7 Α. 8 program they are going to be continued to be charged 9.95 a month. 9
 - Q. Okay. And then under the enrollment section of the tariff, the paragraph right under monthly charges?
 - A. Yes, sir.
 - Q. I think according and you -- Do you have Staff's recommendation handy if you need to review it?
 - A. Give me a second here.
- 17 Q. Okay.

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- A. I've got it.
 - Q. Thank you. I believe according to Staff's rec Duke Energy has a program that includes a \$60 removal fee but no cancellation fee and Georgia Power has no cancellation fee. So why not offer a program that's more similar to Duke or Georgia Power's cancellation fees?
 - A. Yeah. So couple things. I've also submitted

in one of my data requests, they pulled this table from one of my original data requests, and then I've supplemented a different table which provides a little bit more clarity. So essentially the \$60, you know, the removal fee, cancellation fee, it's, you know, it's one in the same. If you cancel the program for 24 months, you're paying \$60 to exit that program.

We've instituted a 24-month period where if you leave prior to being on the program 24 months you have to pay -- you have to pay a fee. And that again is because we wanted to really protect against any nonparticipant subsidy. So we wanted to recoup the costs that we felt -- We wanted to recoup the costs that we needed to to ensure that there was not going to be a customer subsidy.

- Q. If a customer cancels within the first 24 months, are the remaining unpaid fees accelerated and the remaining balance due?
 - A. I believe so, yes.

- Q. Okay. And if that same customer cancels inside the 24 months, will Ameren Missouri or someone else come remove the protector from the home?
- A. Yes. So if the customer elects to leave the program, then we will remove the device from their meter.

Okay. Will the customer get any sort of 1 O. 2 credit for the removal and the return of the equipment? Α. No. 3 And then back under the additional program terms, I think that's where we started, Mr. Schneider, 5 there on 166.2. 6 7 Α. Okay. 8 How would a participant appeal or challenge a 9 rejection of a claim or some sort of ineligibility of 10 the claim? 11 Α. I do not have that information. Let me see 12 here. I do not have that information. Okay. Under subsection I think under 4, let 13 me double check and I'll read off the tariff myself. 14 15 Under -- I guess it's under 166.2 you'll see a list 16 beginning in paragraph 4 there's several different sub 17 paragraphs, A, B, C? 18 Α. Yes. 19 Under section F, would lightning be an act of Ο. God? 20 21 If a surge -- If lightning creates a surge 22 that comes through the meter and the device fails, then 23 the warranty would provide coverage for that. 24 Under subsection E, does surrounding property Ο.

include a neighbor's property or does it just mean all

the land around the residence up to the property line?

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- A. I don't know that specific information.
- Q. Okay. If lightning strikes a utility pole or equipment or meter that serves a residence, would that claim be covered or denied?
- A. If the lightning struck the utility pole and a surge came through the meter through the service line, then yes, that would be covered.
- Q. Okay. Under subsection H under state of emergency, understand our current pandemic has resulted in executive orders declaring states of emergency for Missouri, would that mean that had this program been in place and the participant as -- let me start over.

If the program had been in place before the COVID-19 pandemic and a participant had a surge event, would the customer's claim be denied?

- A. I don't think that that's what that is referring to. I think that's, you know, referring to some, you know, situation, you know, where there's like, you know, war or something like that where there's been some type of attack and it's creating -- it's damaging our infrastructure. I think that's what that's referring to, not something like the pandemic.
- Q. Okay. Under additional program terms, let me be sure I find the reference. I think under 6A, it's

- going to be on Sheet 166.3, I'm looking under paragraph 6A.
 - A. Okay.

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- Q. How are bill payments applied if a customer makes a partial or prorated payment?
 - A. For the surge program you're saying?
 - O. Yes.
 - A. I don't have that specific detail.
 - Q. Under 6B, the Company's toll free customer service line?
- 11 A. Uh-huh.
- Q. How was the seven-day period determined or established and how can a claimant prove that event timeline?
 - A. I believe that the seven days is in reference to one of the numbers and the manufacturer's limited warranty, but it's in terms of, you know, whenever you discover you have seven days to report it.
- 19 Q. It's the customer then that kind of starts 20 that seven-day clock?
 - A. Correct.
 - Q. Can anything stop or pause that clock?
- A. I don't think that is specifically outlined here in the tariff.
 - Q. Is it possible a surge could occur when the

equipment is damaged in a way where the performance deteriorates but does not fall within the seven-day clock?

- A. Can you repeat that question, please, sir?
- Q. I'll try, yes. Is it possible that some sort of surge event could occur and the equipment is damaged but the performance due to deterioration doesn't start within the seven days? For example, lightning hits somebody's home, the owners are gone, they return, they're unaware of the lightning strike and, you know, perhaps it happens, you know, in early spring and it damages, say, an air conditioner and they don't turn the air conditioner on until June. What would be the consequences of that where the lightning strike happened and the seven-day clock arguably started running, would such a claim be covered or denied?
- A. I think that clock starts upon -- not upon when the surge happened but upon when discovering or suspecting a surge related problem.
- Q. Okay. And I guess -- Well, going back quickly to an act of God, I think I had asked about lightning. What about the recent February cold event, would that be an act of God where such a claim would not be covered?
- A. No, I don't think that would be considered an act of God.

1	Q. If a claimant wants to make a claim, what sort
2	of proof would he or she have to present?
3	A. So there would be a claim form that they would
4	have to fill out and they would have to have a document
5	from a service repair person, a certified service repair
6	person that says that this damage was, in fact, created
7	by a surge.
8	Q. Okay. Thank you. And I think if I understood
9	your testimony, and I think another witness testified to
10	this as well, you have maybe a contention contract or a
11	possible contract in place should this program be
12	approved with a vendor?
13	A. Contract discussions are taking place with all
14	the vendors but no formal contracts have been signed
15	yet.
16	JUDGE PRIDGIN: Okay. All right. I think
17	that's all I have. Let me see if we have any further
18	bench questions before we go to recross. I don't hear
19	anything. Let me see if we have any recross. Ms.
20	Payne, any questions?
21	MS. PAYNE: A few. Thank you, Your Honor.
22	COMMISSIONER HOLSMAN: Hey, Judge. Can you
23	hear me? I'm sorry.
24	JUDGE PRIDGIN: Is this Commissioner Holsman?
25	COMMISSIONER HOLSMAN: Yes, it is.

JUDGE PRIDGIN: I'm sorry. When you're ready, sir.

COMMISSIONER HOLSMAN: Okay. Thank you. I apologize for that.

QUESTIONS BY COMMISSIONER HOLSMAN:

- Q. What is the -- What will the policy be when someone moves and a new owner comes into a home and they would inherit the device and the \$10 payment and they decided they didn't want to pay the \$10 any more? Is that something they automatically just come out and take off?
- A. So anytime a customer leaves the program, whether it's because they move or they don't want to be on the program any more, the device will be removed.
- Q. Okay. And so one of the questions I have is if you have done this program for two years, three years, you've well paid for the cost of the device and the initial installation. And if you stop paying for the responses to come and pull it, is that -- is there any discussion to be had there in terms of once the device has already been paid for? It just seems like into perpetuity on a \$10 a month payment after the cost has been recouped over the first year is a little bit drastic. You know, I'm just having a little bit of question about that part of it. I don't know how I

formulate that into a question for you other than to maybe just express, you know, a little bit of disconcerting I have for it. I don't have a question for you. I mean, so okay, I guess the question would be is the device and the installation paid for after the first year of paying the \$10?

- A. I can't say with certainty it would be like exactly right after the first year. We've made essentially the term, you know, before when they have to pay a cancellation fee we've made that two years. I think specifically the installation and the labor to install it, the cost is about \$115. But again if they elect to leave the program, then we have to come out and remove it so there's a cost associated with that. The way that we've, you know, designed the program is that we believe after two years of being on the program that that's enough to recoup all the costs associated there with them having initially enrolled.
- Q. But if they cancel, you're still going to come get it even though it's been paid for?
 - A. That's correct.
- COMMISSIONER HOLSMAN: All right. Thank you.
- 23 | No further questions, Judge.

- 24 QUESTIONS BY COMMISSIONER COLEMAN:
- 25 | Q. Judge, I have a follow up to Commissioner

Holsman's question regarding if a person has moved and a new person has moved in and they don't want the service, this function to continue, the answer was that they would come out and it would be removed. At whose cost is my question regarding that? The new tenant or is that something that you eat?

A. So if I'm living in a home and I move, then I am responsible for, you know, I'm responsible for the monthly payment. I'm responsible for any termination fee and anyone coming in after wouldn't have, even have that device there to make that decision to say I want to be on the surge program so keep the device. If I move, that device is going to be removed.

COMMISSIONER COLEMAN: Thank you.

JUDGE PRIDGIN: Okay. Thank you.

Commissioner Coleman, thank you. I think a couple of follow up questions.

OUESTIONS BY JUDGE PRIDGIN:

- Q. Is there a copy of the draft warranty either attached to someone's testimony or if not, can it be provided?
- MS. PAYNE: Judge, I actually sent an email just a few minutes ago that includes the copy of the warranty that was provided by Ameren Missouri in response to Staff's DR.

1 JUDGE PRIDGIN: Very good. 2 MS. PAYNE: I was hoping that Mr. Schneider could answer a few questions to verify that and we can 3 offer it. 4 5 JUDGE PRIDGIN: Thank you. I'll let you 6 question Mr. Schneider here in just a moment. Thank 7 you, Ms. Payne. I think one more question, Mr. Schneider. 8 9 BY JUDGE PRIDGIN: 10 Doesn't a claimant have to get a certified 11 professional's attestation about damage to their 12 property? 13 Yes, that's correct. Α. 14 Ο. Okay. Why wouldn't the cost of getting that 15 attestation be reimbursed to the program participant? 16 Upon approved validity of that claim, it would Α. 17 be. It falls within those claim limits. 18 Okay. Is there a tariff language that says, Ο. you know, this is how this is done? 19 20 I don't know if we have that explicitly stated in the tariff. 21 22 JUDGE PRIDGIN: Okay. All right. Thank you. 23 All right. Any further bench questions before we go back to cross? All right. Ms. Payne, when you're 24

ready, ma'am.

1	MS. PAYNE: Thank you, Judge.
2	RECROSS-EXAMINATION BY MS. PAYNE:
3	Q. To follow up on some of the questions that
4	Commissioner Holsman was just asking you, to be clear, I
5	guess stated a different way once a customer has chosen
6	to participate in the program, they are essentially
7	going to be paying costs equal to that \$10 monthly fee
8	for two years regardless of how long they choose to stay
9	in the program; is that correct?
10	A. Spread out over that initial two-year period,
11	9.95 a month.
12	Q. Unless they were to stop participating in the
13	program prior to the two years, then they would pay it
14	all at once, correct?
15	A. That's correct.
16	Q. Okay. And also for the record even if they
17	choose not to participate in the program for a full two
18	years or even beyond two years, that device is still
19	going to be incorporated into rate base costs after that
20	two years, correct?
21	A. That is correct, but, you know, the two years
22	being on would cover the subsequent return for that

considered in rate base actually going forward for 15

But essentially it's still going to need to be

being in rate base.

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years, correct?

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- A. Yes, I believe that's correct.
- Q. Okay. Thanks. I'm hoping your counsel provided you with the documents that I sent.
 - A. Let me see here. I don't know if it's in this

MR. LOWERY: Well, he has access to them.

Maybe I can short circuit this a little bit, Whitney.

We don't have any objection to you putting these data request responses in the record. I'd like the entire response to be in the record. I don't think you sent maybe the actual responses in all cases. You just sent some attachments. Your Honor, we'll stipulate these responses that Ms. Payne has sent around can be admitted into the record as our accurate data request responses as long as we put the entire response in.

MS. PAYNE: I appreciate it. Yes, I was kind of assembling them while we've been questioning. I admit I think I missed the cover sheet on one for sure. I'll turn them into more appropriate exhibits to offer over the lunch hour. But essentially just to clarify, they are the Ameren Missouri response to DR 24 which included a copy of the warranty that's offered by the manufacturer of the device and then DR 13 included a spreadsheet that shows the claims that were made to

Ameren Missouri from 2000 to 2020 related to surge incidents and then also DR 23 which included a spec sheet for the device itself. Does that sound correct?

- A. Are you asking me -- Is that to me or to Jim?
- Q. Yes.

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- A. Yes, that's correct.
- Q. I'd take an answer from either. Just to clarify, I don't know if you have the spec sheet in front of you but that's what was provided in response to Staff's DR 23.
- A. Okay.
- Q. Would you clarify that the voltage rating on that spec sheet says it's 120/240?
 - A. I've got it pulled up here. It says for single -- Yeah, it's rated for single phase applications 120/240 volt.
 - Q. Okay. Thanks. And then just one other question. We talked a lot about the 5 in 10,000 failure rating. Would you agree that that was equated to the possibility of a customer experiencing damage to their electric equipment?
 - A. Can you -- I'm not sure I understand your question. Can you maybe ask that a different way? Sorry.
 - O. So the actual determination of this 5 in

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10,000 failure rating was actually -- it was actually
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     calculated based on the possibility of a customer
     experiencing damage to a piece of electrical equipment?
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               I don't know exactly how they determined what,
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    you know, instituted that, you know, 5 out of 10,000
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     failure rate, but perhaps that could be why.
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               MS. PAYNE: Okay. Thank you. I have no
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     further questions. Thank you, Judge.
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               JUDGE PRIDGIN: Ms. Payne, thank you.
    Mr. Williams? Mr. Williams, any questions? I don't
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    know if we have lost Mr. Williams. I still see him
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     showing on our screen without video. Let me see if we
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    have any redirect to keep things moving. If need be,
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    we'll come back to Mr. Williams and give him a chance to
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    cross and we'll go from there. I don't know if he has
     any cross or not. Any redirect?
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               MR. LOWERY: I do have a number of questions,
    Your Honor.
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               JUDGE PRIDGIN: When you're ready. We will
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     likely break for lunch after this witness.
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               MR. LOWERY: All right. Very well.
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    REDIRECT EXAMINATION BY MR. LOWERY:
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          Ο.
               Mr. Schneider, you were asked -- I'm probably
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     just going to mostly go in reverse order just for
    primacy of the questions. You were asked some questions
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about this technical specification sheet which is included in DR No. 23, I believe. Do you have an understanding about why the 120 and 240 is listed in the technical specification sheet?

- A. I don't have those specific details as to why that was necessarily listed there.
- Q. Do you know what kind of installation this device is meant to be installed for?
- A. Yes. This is meant for essentially residential applications. That's what this thing is meant to be used for.
- Q. So do you have an understanding of whether it would be intended or properly functional at a higher voltage than those?
 - A. I don't know that for sure.
- Q. Okay. You were asked some questions I think about whether the devices that have been removed will still be reflected in the Company's rate base for the remainder of the 15 years. Do you remember that?
 - A. I do.

Q. Do you have an opinion about whether or not the 24 months worth of fees that will have been paid for each device either because somebody participated for the whole two years or they left in less than that and paid a termination fee, do you have an opinion about whether

or not those fees are equal to, less than, more than the return and depreciation on the devices for the remainder of the 15 years?

- A. Yes, I do have an opinion on that.
- Q. What is that opinion?

- A. That the fees for the two years would be enough to cover the associated costs with that device in rate base.
- Q. There were a number of questions I think about the termination fees, and so on. Do you know what the magnitude of those fees are in terms of your overall economics of the program?
- A. They are -- I do. They are a very small percentage. I think the termination fees in our expected case are about 1.1 percent of the overall revenue for the program.
- Q. About how many -- In dollars, about how many -- about what is that?
 - A. I think it's about 726,000, I believe.
- Q. And do you know what those figures are for your worst-case scenario and your best-case scenario?
- A. Yes. In the best-case scenario it's about 672,000 which is about half percent of the total revenues and then in the worst-case we said that there would be no termination fee revenue.

- Q. Commission Holsman, he asked you a number of questions and I think we both understood sort of what he was getting at. I think he even expressed -- I don't remember if he used discomfort or exactly the word he used, but he had some questions about why are customers going to pay 9.95 per month instead of I guess paying 9.95 for a period of time and then not paying any more. Do you remember those questions?
 - A. I do.

- Q. Can you just try to put in your own words explain your rationale, the Company's rationale, you know, for what these customers are getting and why you're charging 9.95 a month as opposed to somehow tying this to the cost of the installed device?
- A. Yeah. Again, customers I believe they want convenience. They don't know a ton about surges. And they may not know a lot about all the devices that exist at retail. So you know, they may not want to hire an electrician to come in and install a device. So we're proposing to make it very easy for them to get the protection of a surge protection device where, you know, we take care of it, we install it. If it fails, we're going to remove it. We don't have to come in your home to install it. The service component of this program, you know, I believe is, you know, what customers want.

If you look at the other utility programs that exist, we know that customers are enrolling in these other programs and they find this type of service valuable.

- Q. How does Ameren Missouri's program compare to the other programs in terms of paying an ongoing, whatever it is, 9.95 fee, and I think that's actually similar to the other programs as opposed to somehow tying it to the installed cost of the device?
- A. It's our program is very comparable to the other programs. They all have a reoccurring monthly fee. They're all installing a surge protection device on the meter. In fact, most of these other programs are using this exact same device by the same manufacturer so our program matches up very closely with these other programs.
- Q. Speaking of that, I think you were asked some questions about whether you have a contract and you said you didn't; is that right?
 - A. That's correct.

- Q. Is there some question about whether this is going to be the manufacturer or has that been settled?
- A. Kenick is going to be our manufacturer for the device.
 - Q. You know the price too?
 - A. Yeah. The price is, like I mentioned, through

the RFP process the pricing is held up until, you know, is held through the hearing negotiations. They're aware that we're going through this process and they've given us our price and they've agreed to hold it.

- Q. There were a number of questions I think by different examiners about lightning. And could you just -- I think there might still be a little confusion about the relationship of lightning and the coverage by the device, the warranty. Can you just please try to explain as clearly as you can how the program works in terms of surges caused by lightning?
- A. Sure. And I apologize if I didn't make this clear earlier. This is a new thing for me. I was probably a little bit nervous. If a lightning strike causes a surge that would come in through the service meter, the device is going to protect it. If it doesn't, if the device fails, that type of surge would, you know, be -- would fall underneath the warranty. It would be protected by the warranty. If a lightning strike, you know, directly strikes the home or, you know, comes in through a data line, you know, that is something that would not be protected by the device and therefore would not be covered underneath the warranty, but, you know, and I know that this came up in the data request, but the device does protect against surges that

are initiated by lightning and the subsequent warranty offers coverage for those.

- Q. As long as the surge comes through the meter, right?
 - A. That's correct.

- Q. And just so the record is clear, and Judge Pridgin, you had a number of questions about this, you have testimony in your prefiled testimony, I guess it would be Exhibit 4, that addresses a clarification to the tariff language that you agree probably needs to be made; is that right?
 - A. Yes, that's correct.
- Q. You were asked some questions about Duke's and Georgia Power's termination fees. Why does our program have a different termination fee than Duke and Georgia Power have? What was your rationale?
- A. So we're instituting a termination fee because we're trying to ensure that there's little risk for any nonparticipant subsidy. We want to make sure that we're recouping as much cost as we can to ensure that nonparticipants aren't paying for any aspect of this program. It's truly to eliminate that risk.
- Q. Was it a judgment call as to what the right level should be?
 - A. I think so. I mean, we could have, you know,

we could have instituted no potential termination fee and, you know, hoped that, you know, potentially more customers would enroll, but we felt like that this was the best approach to again ensure that there's little risk to nonsubscribers.

- Q. You were asked some questions, I think, I think this was Judge Pridgin, about the warranty and whether it's in the tariff, and so on. What's your expectation about potential participants' access and ability to understand the warranty before they sign on the dotted line so to speak and sign up to participate? Do you have an expectation?
- A. Yeah, I would expect that they would be very informed about what the warranty does and does not cover and they would, you know, know the aspects of the program and why they're enrolling and yeah, I believe they will be informed and understand the warranty.
- Q. I think Commissioner Rupp asked you some questions, and also I think was giving some advice. What is your expectation about making sure that the enrollment process, whether it's on the phone or in the website or whatever, requires customers to acknowledge and understand what they're not getting? Do you have an expectation about that?
 - A. Yeah. You know, and I think he made that

clear too that we need to make sure that they understand what it can and cannot do and what type of coverage they are not getting. So we want to make that clear before, you know, any customer elects to enroll in the program.

- Q. I think Commissioner Holsman asked you some questions about the -- he used a figure of \$2.37 a month and I think he might have been comparing that to, I don't know, maybe perhaps a different price point. Do you recall that question? I don't have excellent notes on that to be honest. Do you recall a question about \$2.37?
- A. I don't remember explicitly what he was referring to.
- Q. That's all right. I think it was Commissioner Rupp was asking you about adoption rates of other programs. Do you remember that?
 - A. I do, yes.

- Q. Do you know what the adoption levels are for these other peer programs upon which you modeled the Ameren Missouri program?
- A. Yes. So the Florida Power & Light and Duke programs have approximately an 8 percent adoption rate of their customer base, you know, which matches up with our best-case scenario. Again, we took a conservative approach with our expected case being after five years

we would have a 5 percent adoption rate. Then the Georgia Power program, they have approximately a 2 percent adoption rate after having just had the program for two to three years.

- Q. How does that compare to your assumed adoption rates?
- A. So our expected case, we're projecting a 5 percent adoption rate after five years which, you know, again we believe is very attainable considering the adoption rates of the other programs, and I would note that our worst-case scenario is worse than the adoption rates of all the other programs. And even in our worst-case scenario that we've put forth in our workpapers, it still proves to be beneficial to all customers in lowering rates.
- Q. What is your -- If that worst case came to pass, only a 2 percent adoption rate after five years, what is the levelized cost of the program over the modeling period even in that scenario?
- A. Let me see here. The levelized cost per month looks like it's about \$7.23 in that worst-case scenario.
- Q. So in round figures, a buck seventy-five less than the program charge?
 - A. Yes, that's right.
 - Q. Over what period of time did you look at the

1 figures to come up with that 7.23 and I think a \$3 was 2 mentioned for the expected case. What period of time did you use? 3 That's over the 20 years that we modeled in 5 the program. 6 MS. PAYNE: Objection, Judge. I think we've wandered outside of what questions were actually asked. 7 8 JUDGE PRIDGIN: Mr. Lowery? 9 MR. LOWERY: Your Honor, I think the witness was asked questions about the levelized cost by 10 11 Commissioner Holsman. He was asked questions about the 12 various adoption rates. So I think both of those 13 questions get into the areas that I've been questioning Mr. Schneider about. 14 15 MS. PAYNE: I think the witness has answered 16 the questions within the scope of what Commissioner 17 Holsman was asking him about. I think we've gone on 18 into him giving an entirely new piece of testimony. 19 MR. LOWERY: I mean, I don't have any further 20 questions about the topic, Your Honor. I was about 21 ready to move on anyway. 22 JUDGE PRIDGIN: All right. I'll overrule. 23 MR. LOWERY: Bear with me just a second, Your 24 Honor, but that may be all my questions. I might have 25

missed a page. Let me take a quick look here. Nope,

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1	Your Honor, those are all the questions I have. Thank
2	you very much.
3	JUDGE PRIDGIN: Thank you. I will have just a
4	few more and I'll see also if we have any other bench
5	questions, recross and redirect based on my questions.
6	Are there any further bench questions for Mr. Schneider?
7	Okay. Hearing none.
8	QUESTIONS BY JUDGE PRIDGIN:
9	Q. Mr. Schneider, would the device give some sort
10	of signal if it fails?
11	A. So the device has an LED light on it. If the
12	device is not working, then that light would go off.
13	That light is visible from outside the meter. So the
14	customer could go out and look and see the light is not
15	on, the device is not working, I need to get this fixed.
16	Q. Okay. Thank you. Would either Ameren
17	Missouri or someone else inspect those devices
18	periodically?
19	A. So we intend to do a sampling of the devices
20	to ensure that, you know, that the lights are on and
21	that the devices are working properly.
22	JUDGE PRIDGIN: Okay. I think that is all
23	that I have. Any recross based on those questions? Ms.
24	Payne?
25	MR. WILLIAMS: Judge.

MR. WILLIAMS: Judge.

MR. PRIDGIN: Mr. Williams, I'm sorry. I 1 2 forgot. Mr. Williams, yes. MR. WILLIAMS: I was unable to I guess be 3 heard earlier whenever you asked me for cross. I do 4 have a couple of questions, I think, or at least one 5 6 brief topic. 7 JUDGE PRIDGIN: Sure, whenever you're ready. RECROSS-EXAMINATION BY MR. WILLIAMS: 8 9 Mr. Schneider, do you recall when Commissioner Ο. 10 Holsman was asking you about the pricing on the -- the 11 proposed pricing and how you arrived at it for the surge 12 protection program? 13 Α. Yes, I do. And you responded that it was benchmarked 14 Ο. 15 against programs that are offered as nonregulated 16 offerings in other states, correct? 17 Α. Yes, that's correct. Does Ameren Missouri offer any utility 18 Ο. 19 services currently that are priced by benchmarking? 20 I don't know that. I don't know that specific 21 answer. 22 MR. WILLIAMS: No further questions. 23 JUDGE PRIDGIN: Thank you, Mr. Williams. Ms. 24 Payne, any further cross? MS. PAYNE: No questions. Thank you, Judge. 2.5

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JUDGE PRIDGIN: Thank you. Redirect, Mr.
 1
 2
    Lowery?
              MR. LOWERY: I don't have any further
 3
 4
    questions, Your Honor. Thank you.
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               JUDGE PRIDGIN: Very good. This looks to be a
    convenient time to break for lunch. I show 12:17 on my
 6
 7
    computer. Unless the bench or counsel has any issue
 8
    with it, I would propose that we will resume the hearing
 9
    at 1:30. Is that an issue for anyone?
10
              MR. LOWERY: Not for us, Your Honor. Thank
11
    you.
12
               JUDGE PRIDGIN: Thank you. I know some people
13
    need to work over the lunch hour so I want to give a
14
     little extra time. Unless there's anything further.
15
    Going once, going twice. Very good. We will stand in
    recess until 1:30. Thank you very much. We're off the
16
17
    record.
18
               (Off the record.)
19
               JUDGE PRIDGIN: On the record, please.
20
    afternoon. We are back on the record. I'm showing that
     it's 1:30. I'm going to give people another two or
21
22
     three minutes. We have some people that are a little
23
     late getting on and then we'll get started.
24
              Very good. All right. On the record, please.
    Good afternoon. We are back on the record. I believe
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as we left before lunch we finished with Mr. Schneider 1 2 and we'll be moving on to Mr. Wills who is Ameren Missouri's final witness for this case. 3 Is there 4 anything counsel needs to bring up before we call him to 5 the stand? 6 MR. LOWERY: No, Your Honor. 7 JUDGE PRIDGIN: All right. Hearing nothing. 8 Mr. Wills, are you there, sir? 9 THE WITNESS: Yes, I'm here, Your Honor. 10 Thank you. 11 JUDGE PRIDGIN: Very good. If you'll raise 12 your right hand to be sworn, please. 13 (Witness sworn.) JUDGE PRIDGIN: Thank you, sir. Mr. Lowery or 14 15 Mr. Banks, whenever you're ready. 16 MR. LOWERY: Thank you, Judge. 17 STEVEN M. WILLS, being sworn, testified as follows: 18 19 DIRECT EXAMINATION BY MR. LOWERY: 20 Would you please state your name for the 21 record? 22 Steven Wills. Α. Mr. Wills, did you cause to be prepared for 23 24 filing in this docket direct and corrected surrebuttal 25 testimony, which I believe, Your Honor, will be Exhibits

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5 and 6 respectively; is that correct?
 1
 2
               JUDGE PRIDGIN: Yes, that's correct. Thank
 3
    you.
               THE WITNESS: Yes, I did.
 4
 5
     BY MR. LOWERY:
 6
          O.
               Mr. Wills, is that testimony true and correct,
 7
     to the best of your knowledge, information and belief?
 8
          Α.
               Yes, it is.
 9
               If I were to pose the questions in both
10
     Exhibits 5 and 6 to you today, would your answers be the
11
     same?
12
               Yes.
         Α.
13
               MR. LOWERY: With that, Your Honor, I would
     move the admission of Exhibits 5 and 6 into evidence,
14
15
     and I'll tender Mr. Wills for cross-examination.
16
               JUDGE PRIDGIN: Mr. Lowery, thank you.
17
     Exhibits 5 and 6 have been offered. Any objections?
18
     Hearing none, Exhibits 5 and 6 are admitted.
19
               (EXHIBITS 5 AND 6 WERE RECEIVED INTO EVIDENCE
20
     AND MADE A PART OF THIS RECORD.)
21
                               Ms. Payne, any cross?
               JUDGE PRIDGIN:
22
               MS. PAYNE: No questions, thank you.
23
               JUDGE PRIDGIN: Thank you. Mr. Williams, any
24
     cross?
2.5
               MR. WILLIAMS:
                              Thank you.
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CROSS-EXAMINATION BY MR. WILLIAMS:

- Q. Mr. Wills, good afternoon.
- A. Good afternoon.

- Q. Does Ameren Missouri currently offer any utility services at anything other than cost based rates?
- A. Well, let me think about that for a minute. Certainly all of our services are, you know, in every rate case there's a class cost of service model done that, you know, allocates costs, you know, to different customer classes. It is typical that the final rates don't precisely follow that. I think we generally believe that they roughly approximate cost overall. I'm trying to think of any other circumstances. I think in general the cost of service standard is typically what we're going to -- our rates are based on in terms of the basic electric services that we provide through our standard tariffs to customers.
- Q. Does Ameren Missouri currently offer any utility service based on a benchmark pricing and benchmarked against services provided by nonregulated entities?
- A. I can't think of any benchmarks provided against nonregulated entities. I think that certainly some of our incentive programs like charge ahead and

perhaps energy efficiency, I'm not quite as close, I was 1 2 very involved in charge ahead, one of the things that we looked at as far as the level of incentives paid to 3 4 customers was other utility programs and how much they 5 paid for charging infrastructure incentives. That's not 6 a rate per se, but it's an incentive that we pay under a 7 tariff. There were certainly no unregulated entities that would have been included in that benchmarking. 8 9 MR. WILLIAMS: No further questions at this Thank you. 10 time. 11 JUDGE PRIDGIN: Mr. Williams, thank you. 12 me see if we have any bench questions and, Commissioner Coleman, I think you're the only Commissioner in 13 attendance right now, do you have any questions? 14 15 don't hearing anything from Commissioner Coleman. 16 think you might be on mute. Commissioner Coleman, any 17 questions? All right. I don't hear any questions. just have a few. 18 19 OUESTIONS BY JUDGE PRIDGIN: 20 Mr. Wills, given what recently happened in 21 Texas, what would happen if there was a similar event

- Q. Mr. Wills, given what recently happened in Texas, what would happen if there was a similar event that caused a significant amount of surges that damaged a significant number of appliances?
- A. I'm definitely familiar with some of the events in Texas. I'm not aware of it having caused

22

23

24

significant number of surges. I mean, I think if there was some sort of natural disaster event or weather occurrence event that caused a large number of surges I think without the program those would have the potential to impact our customers', you know, equipment and we may experience some claims and some expense as a result of that depending on what the nature of the surges was and all of that. You know, the program would certainly have a mitigating impact on those customers who had enrolled in the program, I think, but again, I'm not familiar with surges being one of the major problems that happened in Texas but I think were that the case that's probably what would happen.

- Q. What happens if the replacement costs of these appliances exceeds the revenue?
- A. So the replacement costs are covered by, as I understand it, and Mr. Schneider was, you know, obviously the one who worked most closely with the vendor but the replacement costs are covered by the vendor of the surge protection device. So really it's just subject to the coverage limitations customers would get paid all the way up to the coverage limitation, but anything that exceeds the coverage limitation I think would be the responsibility of the customer.
 - O. Either in the upcoming rate case or in a

future rate case, will the Company seek to include the surge protection equipment in rate base?

- A. Yes. I think our intention is to include the surge protection equipment in rate base.
- Q. Did I understand I think from a prior witness it would not be in the test year in the rate case that Ameren Missouri just filed. So it would be in a future rate case; is that correct?
- A. I think that's by far the most likely outcome. I think the currently pending rate case has a proposed true update through September. I think it's highly unlikely that we would be through this proceeding and we would have an approved program that was up and running before the true update.
- Q. Okay. Based on customer studies that Ameren Missouri has performed, what are the general demographics of the customers that have expressed interest in enrolling in this program?
- A. I apologize. I mean, I've seen the documents that Mr. Schneider provided in terms of the customer surveys, but I'm not intimately familiar with them. So I can't really speak to the makeup of the customers that were included in that surveying. I'm just not familiar enough with the makeup of that panel.

JUDGE PRIDGIN: Okay. All right. Thank you.

I think those are all the questions that I have. Let me 1 2 see if we have any recross based on bench questions. 3 Ms. Payne? MS. PAYNE: No, thank you, Judge. 5 JUDGE PRIDGIN: Mr. Williams? MR. WILLIAMS: Yes, thank you. 6 7 RECROSS-EXAMINATION BY MR. WILLIAMS: 8 Q. Mr. Wills, do you recall Judge Pridgin asking 9 you about Texas and you responded about surges? 10 Α. I recall that question, yes. 11 There's been a lot of talk about surge here. Ο. 12 I'm not sure anybody has actually defined it. What do 13 you mean whenever you use the term surge? I'm not an engineer, Mr. Williams. So I think 14 15 it would be just my kind of lay person's understanding 16 in terms of the engineering of the system is that an 17 overvoltage or an overcurrent beyond the normal levels 18 that we would be providing that pass through the meter 19 that are above the levels that, you know, residential 20 equipment is typically designed to handle. Again, 21 that's not -- Based on my unengineering background, 22 that's my understanding of it. 23 MR. WILLIAMS: Thank you. 24 THE WITNESS: Thank you, sir. 2.5 JUDGE PRIDGIN: Thank you. Any redirect?

1 MR. LOWERY: Very little, Your Honor.
2 REDIRECT EXAMINATION BY MR. LOWERY:

- Q. Mr. Wills, Judge Pridgin asked you, and I wasn't sure if your answer was clear, he asked you about what happens if the replacement costs, I guess he meant replacement costs of refrigerators or HVAC or whatever replacement costs that the warranty would cover, what happened if those costs were more than the -- I think he meant program revenues. Do you remember that question?
 - A. Yes, I remember the question.
- Q. Do the replacement costs paid under the warranty have anything to do with the program costs incurred by Ameren Missouri?
- A. No. Those costs -- The point I was trying to make is that those costs are borne by the manufacturer of the device and so they don't experience -- they don't, I'm sorry, impact the Company's expenses and therefore any test year expenses that could get reflected in customer rates.

MR. LOWERY: Judge, I don't have any more redirect questions. I did want to bring up a procedural. Commissioner Silvey asked me a specific question about what does the 2.8 million translate into in terms of rates, and I specifically told him that Mr. Wills could answer that question. He's not here,

but Mr. Wills, of course, hearing that did calculate
that number. Would you like for me to ask him so he can
provide that to the Commissioner?

JUDGE PRIDGIN: Yes, please.

BY MR. LOWERY:

2.5

- Q. Mr. Wills, you heard the exchange I just had with the Judge. Can you please explain or answer the question the Commissioner had and that is the 2.8 million in that three-year case if we didn't have any participants, what does that translate into in terms of rate impact?
- A. Sure. Thanks, Mr. Lowery. I think the question that I heard Commissioner Silvey ask was about a worst-case financial outcome, and the 2.8 million what that looks at is really the three, first three years of O&M expense because the very worst case would be no customers sign up and we get no revenue and we've got to cover all of those O&M costs with no revenue and across those three years would be about 2.8 million. So that's a little under a million dollars per year and a million dollars per year, just going through some very basic math, the Company's revenue requirement for retail rates proposed in the current case is about \$2.8 billion. So a million dollars in a year would be about 300ths of a percent of the revenue requirement. So just thinking

about, you know, our typical residential customer's bill 1 2 is roughly a hundred dollars a month on average. three-tenths of a percent of a \$100 bill would be about 3 4 three cents a month. And again, you know, those costs 5 may be incurred over the three years that we've asked 6 the Commission to allow the program to run before we evaluate the program. You know, it would totally depend 7 8 -- how much of that actually went into a customer's bill would depend on how much those fell into a test year of 9 10 a rate case. But if you took that average of a million, 11 you'd think about three cents a month for the length of 12 duration that rates may be in effect during the case. So there's a lot of little nuances that can influence 13 14 the precise amount, but I think one of the points that, 15 you know, that we'd like to make is just that the nuances make a difference between three cents and one 16 17 cent or zero cents or something, but the overall 18 economics of the program are not going to be impacted, you know, significantly by any of those kind of 19 20 differences that may occur. 21 JUDGE PRIDGIN: I think you might have been on 22 mute, Mr. Lowery. Any further questions? I think 23 you're on mute. I'm taking that no more questions. 24 MR. LOWERY: I'm sorry. I keep getting it 25 backwards. Those are all the questions I have. The

technology is defeating me. Thank you. 1 2 JUDGE PRIDGIN: I understand. I've been Because that was an answer to a bench question, 3 there. let me quickly go around and see if we have any other 4 5 bench questions first. I think Commissioner Coleman 6 might be with us. I don't have if any of the other 7 Commissioners are with us. Any other bench questions? 8 Hearing none. Any recross based on that bench question? 9 Ms. Payne? 10 MS. PAYNE: No. I would bring up there is an 11 OPC DR 1102 in which the Company provided the results of 12 the customer surveys that were taken of its customers and which it's basing some of its testimony on for how 13 14 it established the program. I wondered if that exhibit 15 would be helpful in light of the questions that you 16 asked about the demographics of the customer interest. 17 JUDGE PRIDGIN: That's something if you wanted to offer that, I mean, that's something the Commission 18 19 would be happy to look at if that's something you wanted 20 to offer into evidence. 21 MS. PAYNE: Okay. Because it's OPC's DR, I 22 didn't know if they would prefer that I provide it or if 23

they would want to provide a copy of that.

JUDGE PRIDGIN: I have no preference. let you and Mr. Williams figure that out. Either way is

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1 fine with me.

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MR. LOWERY: Your Honor, I don't know if I have an objection or not. Of course, they both had an opportunity to ask questions of the person who, of course, answered that data request and they didn't ask questions about it. So I'm not sure that -- I think I might have an objection to just dumping the survey into the record. I don't think it was specifically asked for by a Commissioner.

JUDGE PRIDGIN: If and when the objection is lodged and the exhibit is offered, I'll deal with it.

Any more questions of this witness? Ms. Payne?

MS. PAYNE: I guess I would ask was Ameren Missouri asked a DR by OPC regarding the customer surveys that were taken and that it used on which to base its proposal for this program?

MR. LOWERY: Your Honor, I'm going to object. That's beyond the scope of any bench questions given to this witness.

MS. PAYNE: He was specifically asked about the demographics of the customer interest in this program. The customer demographics of the interests in the program would be contained in surveys that were taken of Ameren Missouri customers, would they not?

MR. PRIDGIN: I'll overrule the objection, and

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you might need to ask the question again.
 1
               THE WITNESS: Yes, that would be helpful.
 2
     RECROSS-EXAMINATION BY MS. PAYNE:
 3
               Mr. Wills, I apologize. So Ameren Missouri
 5
     did perform customer surveys to gauge the interest in a
     program of this sort, did it not?
 6
               That's my understanding, yeah. I mean, I've
 7
          Α.
     seen some of that information.
 8
               And were you aware that OPC asked a DR request
 9
          Ο.
     of Ameren Missouri in relation to this case in which it
10
11
     asked for copies of the results of those surveys for
12
     review?
13
               I believe I was aware that there were some
          Α.
     data requests on that topic. I didn't respond to them
14
15
     personally myself, but yes, I think that there were some
16
     questions asked.
17
               MS. PAYNE: Okay. Thank you. No further
18
     questions.
19
               JUDGE PRIDGIN: Ms. Payne, thank you.
20
     Mr. Williams?
21
               MR. WILLIAMS: No questions. Thank you.
22
               JUDGE PRIDGIN: Thank you. Mr. Lowery, any
23
     redirect?
               MR. LOWERY: No, thank you, Your Honor.
24
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               JUDGE PRIDGIN: All right. Thank you.
                                                       Ι
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1 believe this witness can be excused, and then I think we 2 would be now going on to Staff's witnesses. And I'm sorry. Is it Ms. Coffer is the first witness? 3 MS. PAYNE: That's correct. Staff would call 5 its witness Amanda Coffer to the stand. 6 JUDGE PRIDGIN: All right. Ms. Coffer, are 7 you there? 8 THE WITNESS: Yes, I am. 9 JUDGE PRIDGIN: All right. Thank you. If you 10 would please raise your right hand to be sworn? 11 (Witness sworn.) 12 JUDGE PRIDGIN: Thank you. Ms. Payne, when 13 you're ready. 14 MS. PAYNE: Yes. 15 AMANDA COFFER, 16 being sworn, testified as follows: 17 DIRECT EXAMINATION BY MS. PAYNE: 18 Would you please state and spell your name for 19 the court reporter? 20 Amanda Coffer. That's C-o-f-f-e-r. 21 And by whom are you employed and in what Ο. 22 capacity? The Public Service Commission as an associate 23 Α. 24 engineer in the engineering analysis department. 2.5 Ο. And are you the same Amanda Coffer who

prepared or caused to be prepared portions of Staff's 1 2 report in this matter, as well as rebuttal testimony? I am. 3 Α. And do you have any changes or corrections to 5 make to any of your testimony at this time? 6 Α. I do not. 7 If I were to ask you the questions that were Ο. 8 contained in that testimony, would your answers be 9 substantially the same today? 10 Yes, they would. Α. 11 MS. PAYNE: Okay. Your Honor, I would offer 12 her rebuttal testimony. I'm not sure what exhibit 13 number you'd like to mark that as. JUDGE PRIDGIN: I have it as Exhibit No. 7. 14 15 MS. PAYNE: Okay. That's great. Then I would offer Exhibit 7 into the record at this time. 16 17 JUDGE PRIDGIN: Actually Exhibit 7 has been 18 offered. Any objections? 19 MR. BANKS: No, Your Honor. 20 JUDGE PRIDGIN: Hearing no objections, Exhibit 7 is admitted. 21 22 (EXHIBIT 7 WAS RECEIVED INTO EVIDENCE AND MADE 23 A PART OF THIS RECORD.) MS. PAYNE: I would tender the witness for 24 25 cross.

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1
               JUDGE PRIDGIN: Ms. Payne, thank you.
 2
    Cross-Examination, Mr. Williams?
 3
              MR. WILLIAMS: Thank you.
                                         No.
               JUDGE PRIDGIN: Thank you. Cross-examination,
 4
 5
    Mr. Banks?
 6
              MR. BANKS: Not on behalf of Ameren Missouri,
 7
    Your Honor.
 8
              JUDGE PRIDGIN: Thank you. Any bench
 9
    questions? I believe Commissioner Coleman. Is there
10
    any bench questions? Going once, going twice.
11
    responses. I don't think I have any questions. Let me
12
    double check. I do not see any questions. Ms. Coffer,
13
     thank you. I believe you can step down.
14
               THE WITNESS: Thank you.
15
               JUDGE PRIDGIN: Thank you. I believe Mr. Bax
     then would be the next Staff witness.
16
              MS. PAYNE: That's correct. Staff would call
17
18
    Alan Bax to the stand, and he is appearing only by phone
     just as a reminder.
19
20
              JUDGE PRIDGIN: I do recall that.
21
     for reminding me. Mr. Bax, can you hear me, sir? I
22
    don't hear Mr. Bax. Mr. Bax, can you hear me? I'm not
23
    getting any kind of response. Ms. Payne, did you want
     to check with him?
24
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              MS. PAYNE: I guess let's go ahead and take
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the next witness. In the meantime, I will reach out to
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 2
    him and find out if he's having difficulties.
 3
               MS. KEELY: Judge, if I may. If they're on
 4
    the phone and they've been muted by our system, they'll
    have to hit *6 on their telephone to unmute.
 5
 6
               JUDGE PRIDGIN: I have not muted anyone.
                                                         I
 7
    don't know if anyone else has. I don't see him
 8
    appearing, although he could be on a phone call under --
 9
               THE WITNESS: This is Staff Witness Alan Bax.
10
               JUDGE PRIDGIN: Mr. Bax, thank you. I can
11
    hear you now.
12
               MS. PAYNE: Alan, if you can mute the hearing
13
     in the background. We can hear it coming through.
               THE WITNESS: Yes, sorry about that.
14
15
               MR. PAYNE: That's okay.
16
               JUDGE PRIDGIN: Mr. Bax, can you hear me, sir?
17
               MR. BAX: Yes, Judge.
18
               JUDGE PRIDGIN: All right. Thank you.
    you'll raise your right hand to be sworn, please.
19
20
               (Witness sworn.)
21
               JUDGE PRIDGIN: All right. Very good, Mr.
22
    Bax.
          Ms. Payne, when you're ready, ma'am.
23
               MS. PAYNE: Thank you.
24
                             ALAN BAX,
25
    being sworn, testified as follows:
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DIRECT EXAMINATION BY MS. PAYNE:

- Q. Would you please state and spell your name for the court reporter?
 - A. Yes. Alan J. Bax. Last name is B-a-x.
 - Q. And by whom are you employed and in what capacity?
 - A. I'm employed by the Missouri Public Service Commission as an associate engineer in the industrial analysis department.
 - Q. I apologize. And are you the same Alan Bax who prepared or caused to be prepared portions of Staff's Report in this matter, as well as rebuttal testimony?
 - A. Yes, I am.
 - Q. And do you have any changes or corrections to make to your testimony today?
- 17 A. No.

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- Q. And is the testimony true and correct, to the best of your knowledge and belief?
 - A. Yes, it is.
- Q. And if I were to ask you the questions in your testimony again today, would your answers be substantially the same?
- 24 A. Yes, they would.
- 25 MS. PAYNE: Your Honor, I would offer the

rebuttal testimony of Mr. Bax into the record. 1 2 JUDGE PRIDGIN: I have that marked as Exhibit 8. Exhibit 8 has been offered. Any objections? All 3 right. Hearing none, Exhibit 8 is admitted. 4 5 (EXHIBIT 8 WAS RECEIVED INTO EVIDENCE AND MADE 6 A PART OF THIS RECORD.) 7 MS. PAYNE: I will tender this witness for 8 cross as well. 9 JUDGE PRIDGIN: Ms. Payne, thank you. Cross-examination. Mr. Williams? 10 11 MR. WILLIAMS: Thank you, Judge. Just a few 12 questions. CROSS-EXAMINATION BY MR. WILLIAMS: 13 14 Ο. Mr. Bax, you're an engineer, are you not? 15 Yes, I am. Α. How do you define surge as it's been used in 16 17 these proceedings and by you? 18 A surge is a transient wave of voltage current 19 power in excess of nominal rating. 20 And you're familiar with the collar that 21 Ameren Missouri has spoken of in connection with its 22 surge protection program? 23 Α. Yes. 24 If a device such as that collar, does it

matter where that device is placed, and when I say

device I'm referring to a device like that collar whether it is on the customer's side or the utility side of the meter in terms of the protection it will provide the customer?

- A. The collar device is a type one device which is installed in the meter base. And if you want to install a type two device, that's typically installed in the panel, in a service panel in the home, then that's going to have additional -- that's typically going to have additional protective -- additional protection provided.
- Q. What I'm really getting at does it matter which sequence is between a surge protection device and the meter in terms of the customer benefit?
- A. A type one device is part -- a customer should assumingly also have a type two and a type three for full protection.
- Q. I'll do a hypothetical. Let's assume we have a surge protection device that has the same capabilities no matter where it's located on the system, and does it matter whether it's on the utility side of the meter or the customer side of the meter in terms of the protection it will provide the customer?

MR. BANKS: Objection, asked and answered.

JUDGE PRIDGIN: I'll overrule. I'm not sure

what his answer was. So I'll let him answer. 1 2 THE WITNESS: The type -- a type one device, the rating, the protection available to a type one 3 4 device may not be the same as what can be installed on 5 the customer service panel of the type two device. BY MR. WILLIAMS: 6 7 Let's get away from type one and type two Ο. 8 device. Let's just assume we're dealing with a type one 9 device. What I'm asking is, does it matter in terms of the protection it will provide which side of the 10 11 customer meter it's located on? 12 MR. BANKS: Same objection. 13 JUDGE PRIDGIN: Overruled. 14 THE WITNESS: I'm sorry. What was the 15 question again? BY MR. WILLIAMS: 16 We're dealing with a type one device. Let's 17 assume it can be installed on the customer side of the 18 19 meter or the utility side of the meter. I'm asking you 20 to make that assumption. What I'm really getting at 21 does it matter what sequence that device is relative to 22 the meter for the protection it would provide a customer

A type one device is installed in the meter

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base.

in terms of service?

Are you saying you can't answer the question? 1 Q. 2 Α. A type one device is installed in the meter 3 base. Let's assume we have a surge protection Ο. Ignore what type it is. It has the 5 6 characteristics of a type one but it can be installed in 7 the meter base on the utility side or it can be 8 installed past the meter base on the customer side. 9 A type one device is not going to be installed Α. on the customer side of the meter. 10 11 I'm asking you to assume it can be or one that 12 has the same parameters of a surge protection. Okay. 13 You understand that? MR. BANKS: Objection. Calls for facts that 14 15 are not in evidence as well as speculation. 16 JUDGE PRIDGIN: I'm going to overrule. He's 17 asking a hypothetical, and I think he's just trying to 18 get an answer to a hypothetical. 19 BY MR. WILLIAMS: 20 Ο. Can you make that assumption? 21 If you install a type one -- If indeed you 22 could install a type one device on the customer side of 23 the meter, then there would be -- there would most

likely be additional protection available in that

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device.

1 I'm asking you to assume it provides the same Ο. 2 protection regardless of which side of the meter it's located on. Can you do that? 3 It would provide -- If a type two device is 5 going to include the protection level of a type one 6 device. 7 MR. WILLIAMS: Judge, I give up. No more 8 questions of this witness. 9 JUDGE PRIDGIN: All right. Thank you, Mr. Williams. Let me see if we have any bench 10 11 questions. Commissioner Coleman, do you have any 12 I don't hear any. I think I have just a few questions? 13 questions. 14 QUESTIONS BY JUDGE PRIDGIN: 15 Mr. Bax, did you -- I think you indicate in Ο. your testimony you assisted in developing a surge 16 17 protection program at Empire District Electric; is that 18 correct? 19 Α. Yes. 20 O. When did you work on that program? 21 In the late 1990s. Α. 22 And did Empire eventually implement that Q. 23 program? 24 Α. Yes.

Is that program regulated by the Commission?

25

Q.

1	A. No.
2	Q. Do you recall if that nonregulated surge
3	protection program includes a collar attachment to the
4	meter?
5	A. It included It could include type one, two
6	and three devices.
7	Q. Is Empire
8	A. It does include a type one device such as the
9	collar device in the current case.
10	Q. Okay. Is Empire compensated by its
11	nonregulated affiliate that operates the surge
12	protection program?
13	A. Not that I'm aware of.
14	Q. Okay. Who installs the collar or the
15	attachment? Is it an Empire employee or a third party?
16	A. In this program from the 1990s, this was
17	installed by Empire.
18	Q. Okay. To your knowledge, does Empire have any
19	other nonregulated programs that serve Empire customers?
20	A. I'm not sure. Currently they have a surge
21	protection program currently is my understanding. They
22	have had They've had some on and off over the years.
23	Q. Would you expect that any costs between Empire
24	and its nonregulated affiliates would be reported to the

Commission under the affiliate transactions rule?

1 Α. No. 2 O. Why is that? 3 Α. Since it's an unregulated program, the costs 4 associated, they may ask this question of Sarah Lange or 5 Lisa Ferguson, but the fact that it's an unregulated 6 program you wouldn't -- there wouldn't be a reporting to 7 the Commission. 8 JUDGE PRIDGIN: All right. Thank you. 9 think that's all I have. Let's see if we have any 10 recross based on bench questions. Mr. Williams? 11 MR. WILLIAMS: No, thank you. 12 JUDGE PRIDGIN: Ms. Payne -- excuse me. 13 Mr. Banks? 14 MR. BANKS: No, thank you, Your Honor. 15 JUDGE PRIDGIN: Thank you. Any redirect, Ms. 16 Payne? 17 MS. PAYNE: A few. Thank you. 18 REDIRECT EXAMINATION BY MS. PAYNE: 19 Mr. Bax, when you were asked about the collar 20 device just to be clear, were you referring to the 21 collar device that Ameren Missouri is proposing in this 22 program? 23 Yeah, the collar device that, if I understand 24 the question, the collar device we would -- you're 25 talking about the program at Empire?

Q. Well, you were asked initially by Mr. Williams	
about the collar device that's being used and in that	
instance you were talking about the collar device that	
is being proposed in Ameren Missouri's program?	
A. Yes.	
Q. And Mr. Williams was asking you some questions	
about the side of the meter that a device is installed	
on. Is there a difference as to the level of protection	
that might come from any device whether it's installed	
on the utility side or the customer side of the meter?	
A. Yes.	
Q. And that can be dependent on what level or	
type of devices is being installed?	
A. Yes, and also the corresponding ratings of the	
device being discussed.	
Q. But to be clear, the device that Ameren	
Missouri is proposing using is only to be installed on	
the utility side of the meter?	
A. That's right.	
Q. Okay. And then you were asked some questions	
by the Judge about the work that you did on the Empire	
surge protection program and how it's similar to the one	
that's being proposed by Ameren. Did you identify	

No, I don't think it was similar. It was

differences in the two programs?

Α.

- deregulated and it included more equipment. It included more equipment for the customer.
 - Q. And you were in support of the program that was proposed by Empire?
 - A. Yes.
 - Q. But you do not support the program that's being proposed by Ameren Missouri in this case?
 - A. No.

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- Q. Mr. Bax, do you work with the affiliate transaction rules regularly in your line of work with the Commission?
- 12 A. I do not.
- Q. Do you have any reason to believe that

 Empire-Liberty is not currently following any of the
 affiliate transaction rules?
- MR. BANKS: Objection. Calls for speculation, irrelevant and immaterial.
- JUDGE PRIDGIN: I'll overrule. I'll let the
 witness answer if he knows. If he doesn't know, he can
 state so.
- 21 THE WITNESS: Yeah, I don't know.
- 22 BY MS. PAYNE:
- Q. To your knowledge, they're not in violation of any rules?
- 25 A. To my knowledge.

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1	MS. PAYNE: Thank you. I have no further
2	questions.
3	JUDGE PRIDGIN: Ms. Payne, thank you. I think
4	that will be it for that witness. Let me check. Is Ms.
5	Ferguson the next witness? Is that my recollection?
6	MS. PAYNE: That is correct.
7	JUDGE PRIDGIN: If Ms. Ferguson wants to come
8	forward and be sworn. Ms. Ferguson, can you hear me?
9	THE WITNESS: I can. Can you hear me?
10	JUDGE PRIDGIN: I can. Thank you. Could you
11	raise your right hand to be sworn, please?
12	(Witness sworn.)
13	JUDGE PRIDGIN: Thank you. Ms. Payne, when
14	you're ready.
15	LISA FERGUSON,
16	being sworn, testified as follows:
17	DIRECT EXAMINATION BY MS. PAYNE:
18	Q. Ms. Ferguson, would you please state and spell
19	name for the court reporter?
20	A. My name is Lisa Ferguson. Last name is
21	spelled F-e-r-g-u-s-o-n.
22	Q. And by whom are you employed and in what
23	capacity?
24	A. I'm employed by the Missouri Public Service
25	Commission. I am a utility regulatory supervisor in the

1 auditing department of the financial and business 2 analysis division. And are you the same Lisa Ferguson who caused 3 4 to be prepared portions of Staff's Report in this matter, as well as rebuttal testimony? 5 6 Α. Yes, I am. 7 Do you have any changes or corrections to make 0. 8 to your testimony today? 9 Α. No. 10 And is your testimony true and correct, to the best of your knowledge and belief? 11 12 Α. Yes. 13 And if I were to ask you the questions 14 contained in your testimony today, would your answers be 15 substantially the same? 16 Α. Yes. 17 MS. PAYNE: I would offer Ms. Ferguson's 18 rebuttal testimony into the record at this time. 19 JUDGE PRIDGIN: Thank you. I've got that 20 labeled as Exhibit No. 9. Exhibit No. 9 has been 21 offered. Any objection? Hearing no objections, Exhibit 22 9 is admitted. 23 (EXHIBIT 9 WAS RECEIVED INTO EVIDENCE AND MADE A PART OF THIS RECORD.) 24

MS. PAYNE: Your Honor, at this time would it

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also be proper to offer the DR responses that I provided
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 2
     over the lunch hour that we were discussing earlier?
               JUDGE PRIDGIN: We can certainly do that if
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    you'd like. I scanned over those. My thoughts were to
 5
     simply log each DR with its answer as one exhibit.
 6
     for example, DR 13 would now be I guess Exhibit 10. DR
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     23 and its answers would be Exhibit 11. DR 24 and its
 8
     answers would be 12. I think the answers to DR 13 were
 9
     confidential, if I remember correctly?
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               MS. PAYNE: That is correct.
11
               JUDGE PRIDGIN: So we would need to have an
12
    Exhibit 10 and Exhibit 10 HC. Is it my understanding
13
    you're offering those now?
               MS. PAYNE: I would offer those. I know we
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15
    discussed them earlier. If my witness needs to qualify
16
     them further, she can.
               JUDGE PRIDGIN: Well, they've been offered.
17
    Let me see first if we have any objections.
18
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               MR. LOWERY: No objection, Your Honor.
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               MR. WILLIAMS: No objection.
21
               JUDGE PRIDGIN: Thank you. I'm hearing no
22
     objection. So I will type this while I'm talking.
23
     Exhibits 10, 10HC, 11 and 12 are admitted.
24
               (EXHIBITS 10, 10HC, 11 AND 12 WERE RECEIVED
     INTO EVIDENCE AND MADE A PART OF THIS RECORD.)
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1	MS. PAYNE: Thank you. I also proposed
2	offering the response to OPC's DR 1102. Unfortunately I
3	have not been able to provide a copy of that. Is that
4	something that we want to take up at the end of the day?
5	JUDGE PRIDGIN: We certainly can.
6	MS. PAYNE: Okay. Then I will reserve that
7	for then and I will tender Ms. Ferguson for cross.
8	JUDGE PRIDGIN: Thank you.
9	MR. WILLIAMS: I'm sorry. You broke up.
10	JUDGE PRIDGIN: I've got Ms. Ferguson
11	available for cross-examination. Mr. Williams, any
12	cross?
13	MR. WILLIAMS: No, thank you.
14	JUDGE PRIDGIN: Mr. Banks or Mr. Lowery?
15	MR. LOWERY: No, thank you, Your Honor.
16	JUDGE PRIDGIN: All right. Let's see if we
17	have any bench questions. I'm not sure which
18	Commissioners are here. Commissioner Coleman, might you
19	have any questions? I don't hear a response. I don't
20	have any questions. I take that back. I have a few.
21	Just a moment.
22	MS. PAYNE: Judge, I might bring up there's a
23	slight echo. I think someone needs to mute.
24	JUDGE PRIDGIN: Okay. Thank you for the
25	reminder, Ms. Payne. If you're not speaking, if you

could please mute. That does cause problems. So if
you're not speaking or plan to speak, if you can mute
I'd appreciate it. Ms. Ferguson, I have just a few
questions for you.

QUESTIONS BY JUDGE PRIDGIN:

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- Q. Would Staff be able to submit Ameren's response to Staff DR No. 6 as an exhibit? It's the memo that mentions the significance of recording termination fees in Account 451.
 - A. I apologize. The question again?
- Q. Sure. Would Staff be willing to submit
 Ameren's response to Staff DR 6 as an exhibit?
 - A. I guess I would have to see DR 6 again.
 - Q. It's a memo and I don't have it. It's a memo that mentions the significance of recording termination fees in Account 451, and Ms. Payne might need to speak to that as well.
 - A. Let me see what it says.
- Q. If you don't know now, we can come back to it later.
 - A. Well, I can tell you that, you know, in my testimony I have stated that I don't necessarily -- If this program would be regulated, I don't necessarily have a problem with booking in 451. However, Witness Lange has brought up a good position in that if you are

talking about termination revenue, you could offset that 1 2 revenue in rate base and treat the investment as CIAC 3 and that would be one way to lessen any kind of excess 4 cost in investment that might exceed revenues during the 5 program's life. So I mean, that is one area, but I 6 mean, Staff has multiple proposals. I myself proposed to remove any kind of excess cost over program revenues 7 8 if this --9 MR. LOWERY: Your Honor, I'm going to object. I think that she's gone well beyond answering your 10 11 question and the rest of this response is not responsive 12 to your question. I'd move to strike. 13 JUDGE PRIDGIN: I'm going to overrule because 14 she perhaps can read my mind. I was going to ask 15 questions about 451 and CIAC anyway. So I'm going to 16 overrule. She's answering questions I was about to ask. MR. LOWERY: Very well, Your Honor. 17 18 MR. PRIDGIN: Thank you. I'm sorry, Ms. 19 Ferguson. 20 THE COURT REPORTER: I'm sorry. Judge, could 21 you start again? You were kind of muffled. 22 BY JUDGE PRIDGIN: 23 Ο. Yes. Let me reask the question. Could the Commission record the cost of the collars as CIAC or 24

C-I-A-C and still consider the programs regulated?

Τ	A. Yes.
2	Q. And would that minimize the risk to
3	nonparticipating customers?
4	A. I believe that if you record that revenue as
5	CIAC instead of as 451 revenue, that would immediately
6	remove some risk to the nonparticipants because then
7	that investment is not going into the cost of service
8	calculation. So it is an option, yes.
9	JUDGE PRIDGIN: Ms. Ferguson, thank you. Let
10	me see if we have any questions based on my questions.
11	Mr. Williams, any questions?
12	MR. WILLIAMS: No, thank you.
13	JUDGE PRIDGIN: Thank you. Mr. Lowery?
14	MR. LOWERY: No questions, Your Honor.
15	JUDGE PRIDGIN: Thank you. Any redirect, Ms.
16	Payne?
17	MS. PAYNE: I would just say first of all that
18	I would offer the DR 6 response into evidence and I
19	apologize. I was trying to get that sent out before we
20	got to this stage.
21	JUDGE PRIDGIN: That's no problem at all.
22	Have you sent that to the parties in an email or have I
23	seen it yet?
24	MS. PAYNE: No, I'm about to send it. It's
25	just a one page. If you would like to give me one

1	second, I can actually send that.
2	JUDGE PRIDGIN: Sure. Thank you.
3	THE WITNESS: Judge, this is Lisa Ferguson.
4	Can I clarify my response just a little bit that I gave
5	you?
6	JUDGE PRIDGIN: I'm okay with it. I'll
7	certainly give the parties a chance to ask questions on
8	that. Yeah, I'm okay with you clarifying your response.
9	THE WITNESS: I just want to make sure that
10	it's understood that any revenues in offset investments,
11	that's not all of the cost of the program though. So
12	it's not going to remove all possible, maybe just a
13	portion of it. Does that make sense?
14	BY JUDGE PRIDGIN:
15	Q. It does. I think I asked earlier if that
16	would eliminate or minimize the risk.
17	A. It's going to be a minimization, not an
18	elimination.
19	JUDGE PRIDGIN: I understand. Thank you.
20	Since we had the clarification, do we have any further
21	questions from Mr. Williams?
22	MR. WILLIAMS: No, thank you.
23	JUDGE PRIDGIN: Mr. Lowery?
24	MR. LOWERY: I'll might have one or two, Your
25	Honor.

1	JUDGE PRIDGIN: When you're ready.
2	CROSS-EXAMINATION BY MR. LOWERY:
3	Q. Ms. Ferguson, the Company is not proposing to
4	charge the customer for the device so that it becomes
5	the customer's device, is it?
6	A. It will not be the customer's device. It will
7	remain in rate base and be a company asset.
8	Q. Can you define
9	MR. LOWERY: I think we're still getting
10	feedback, Judge.
11	JUDGE PRIDGIN: We are getting feedback.
12	Looks like everybody is muted except the people
13	speaking. I apologize.
14	MR. LOWERY: I'll try. I just didn't know if
15	you wanted me to wait a minute.
16	JUDGE PRIDGIN: You're fine. Thank you.
17	THE COURT REPORTER: Judge, it appears that
18	Justin McKinzie is not muted. I don't know if that's
19	the problem.
20	MR. McKINZIE: Judge, it's Justin McKinzie. I
21	have two streams going. The one that was unmuted was
22	the one that's actually hosting the live stream. So
23	it's pretty much got to stay as it is, but there's no
24	background noise to it. Other than that, my second
25	stream is muted which is the one I'm talking through.

1	JUDGE PRIDGIN: Thank you so much.
2	MS. KEELY: Sounds like we're getting the echo
3	on Ms. Ferguson's connection. So if you just mute and
4	then unmute whenever you respond.
5	THE WITNESS: Okay. I apologize about that.
6	I don't have anything on. So I didn't know it was me.
7	JUDGE PRIDGIN: No problem. Mr. Lowery, when
8	you want to ask your question again, go ahead. Thank
9	you.
10	MR. LOWERY: Your Honor, I think those are the
11	only questions I have. Thank you.
12	JUDGE PRIDGIN: Thank you. I believe those
13	are all the questions for Ms. Ferguson unless I've I
14	think we've been through the rounds a couple of times.
15	If there are no questions for Ms. Ferguson, thank you
16	very much. I believe is Ms. Lange the next witness for
17	Staff?
18	MS. PAYNE: She is, Your Honor.
19	JUDGE PRIDGIN: All right. Ms. Lange, can you
20	hear me?
21	THE WITNESS: Yes, Judge.
22	JUDGE PRIDGIN: Thank you. If you'll raise
23	your right hand to be sworn, please.
24	(Witness sworn.)
25	JUDGE PRIDGIN: Thank you. Ms. Payne, when

rroughno mondre
you're ready.
SARAH LANGE,
being sworn, testified as follows:
DIRECT EXAMINATION BY MS. PAYNE:
Q. Would you please state and spell your name for
the court reporter?
A. Sarah Lange, S-a-r-a-h, Lange, L-a-n-g-e.
Q. And by whom are you employed and in what
capacity?
A. I'm employed with the Commission on the Staff
in the tariff and rate design unit I think is our title
now, and I believe my title is economist. There was
some recent changes to that is the confusion there.
Q. I also am not always aware. Are you the same
Sarah Lange who prepared or caused to be prepared
portions of Staff's Report, as well as rebuttal
testimony in this matter?
A. Yes.
Q. Do you have any changes or corrections to make
to your testimony at this time?
A. So in the Staff Report I stated some
corrections to the Staff Report in my surrebuttal
testimony, and within my surrebuttal testimony at page
8, line 5, the word bar should be line.

Q. And just to be clear, you mean your rebuttal

1 testimony, correct?
2 A. Yes, I do

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- A. Yes, I do. I'm sorry.
- Q. That's fine. I just want to make sure it's clear for the record. And with that change, is your testimony considered true and correct, to the best of your knowledge and belief? Ms. Lange?
 - A. I said yes.
- Q. Sorry. It broke up as you said it. If I were to ask you the same questions that is in that testimony today, would your answers be substantially the same?
 - A. They would.
- MS. PAYNE: Then at this time I would go ahead and I would offer Ms. Lange's rebuttal testimony, as well as the Staff Report.
- JUDGE PRIDGIN: I have Ms. Lange's rebuttal as Exhibit 13, I believe. Let me double check. I do. And then Ms. Payne, you'll have the Staff Report as Exhibit 14; is that correct?
- MS. PAYNE: That's correct by my numbering.
- JUDGE PRIDGIN: I've got Ms. Lange's rebuttal
 as 13 and Staff Report as 14. Ms. Payne, you've offered
 both of those?
 - MS. PAYNE: I have. I would also go ahead and state that I did send around the response to DR 6 which was requested by Ms. Lange. So I would offer that at

this time as well. I see that email and I will label that as Exhibit 15. Make sure I've got my score card correct, if you'll give me just a moment. All right. So 13, 14 and 15 have been offered. Any objections?

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MR. LOWERY: Your Honor, I do have an objection to Exhibit 14. The Staff Report is filed in the docket, of course, but we have a procedural schedule in the case. We called for prefiled testimony. That testimony was filed. I think it would be unusual to say the least that we're going to then admit as an exhibit in the case a pleading that was filed in the docket when we ended up having a procedural schedule and we had testimony. I don't have objections to the other two exhibits.

MS. PAYNE: I would respond, if I can. I think that particularly in this case that Staff would have filed direct testimony had it not been under the understanding that its report was going to be considered as part of the record in this matter, and the report itself is referred to in the subsequent testimony that was filed after its filing. So I think that with all due consideration it would be appropriate for it to be considered as part of the record in this case. So either we can take official notice of it or it can be filed as an exhibit.

1	JUDGE PRIDGIN: All right.
2	MR. LOWERY: May I briefly respond, Your
3	Honor?
4	JUDGE PRIDGIN: You may.
5	MR. LOWERY: It is referred to in the
6	testimony in one footnote where Ms. Lange acknowledges
7	that a mistake was made or a misapprehension was made in
8	a particular graph. That was fully corrected. I don't
9	know why Ms. Payne said Staff would have filed direct
10	testimony in a case where the Company has the burden of
11	proof and the Company filed direct testimony to initiate
12	the case. I think the typical process, in fact,
13	happened in this case. We filed direct, they filed
14	rebuttal, and we filed surrebuttal as the proponent with
15	the burden of proof. I don't see any justification at
16	all that pleadings are now going to become exhibits,
17	evidentiary exhibits in the case.
18	JUDGE PRIDGIN: All right. The objection is
19	overruled. Exhibits 13, 14 and 15 are admitted into
20	evidence.
21	(EXHIBITS 13, 14 AND 15 WERE RECEIVED INTO
22	EVIDENCE AND MADE A PART OF THIS RECORD.)
23	JUDGE PRIDGIN: Ms. Payne, did you have any
24	further questions?
25	MS. PAYNE: I do not. I would go ahead and

tender the witness. 1 2 JUDGE PRIDGIN: Thank you. Mr. Williams, any 3 questions? 4 MR. WILLIAMS: No, thank you. 5 JUDGE PRIDGIN: Mr. Lowery? 6 MR. LOWERY: No questions, Your Honor. 7 JUDGE PRIDGIN: All right. Thank you. I 8 think I may have just a few questions. Let me see if we 9 have any bench questions first. I don't know if any 10 Commissioners are with us. Let me pause for a moment 11 and see if there's anybody who has any questions. 12 COMMISSIONER COLEMAN: No questions. 13 JUDGE PRIDGIN: Commissioner Coleman, thank 14 you. I have just a few. 15 QUESTIONS BY JUDGE PRIDGIN: 16 Ms. Lange, if you know, about how many 17 customers does Ameren project will sign up for this 18 program? 19 I don't know that Ameren projects that anyone 20 will sign up for the program. I think Mr. Schneider, Mr. Schneider, I apologize, I don't recall the 21 22 pronunciation, uses a couple scenarios saying that if 23 this percent install at this rate, here's the levelized cost that results, but I have not found in Ameren's 24 25 testimony anything that I would categorize as a

projection. I think the scenarios he used I recall the most aggressive one I believe is a 5 percent uptake rate over five years. I'm not certain on that.

- Q. What would be the impact on projected revenues and costs if there's a much lower actual customer participation?
- A. If there's lower overall participation, a lot of the costs would be avoided. So I don't know the details of their contract. I don't know how much expense Ameren would be on the hook for to the third-party vendor if no one or nearly no one signs up, but you know, if they are not actually out there installing collars, then obviously the cost of the program would be lower, and I'm not sure if that answered your question or not.
- Q. I think it does. And I have kind of a couple questions on timing. What would be the impact on the length of time before the program is profitable if only half the projected customers sign up?
- A. I think that the range that Mr. Schneider looks at in using Mr. Wills' analysis, again neither of those I wouldn't call them projections but they're scenarios, it all depends on rate case timing. If you're lowering that uptake, I think currently, and this is in my testimony just to make sure if I misstate a

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number here, I believe they say that it's like the middle of 2024 to the end of 2026 would be when those — the detriment to customers reverses. That, of course, assumed that this went into this rate case. So I think that everything would shift with that. So then that would push out another rate case cycle. If you want to use their projection of rate case timing, that would be 22 months after the end of 2026, I believe.

And I apologize for the vagaries of that, but there's not really neat projections to go off of for that question.

- Q. No, I appreciate it. I think I'll have another equally frustrating question for you, Ms. Lange. To the best of your knowledge, what would be the impact if twice as many customers signed up than are projected?
- A. You would have initially a much larger detriment and then after regulatory lag takes effect you would have presumably a larger offset. It's all a question of rate case timing and regulatory lag.
- Q. Okay. And I'm going to try to ask you the same question I asked Mr. Schneider. If a homeowner can arrange to buy and install a protector and pay a one-time installation fee and get a discount on their home insurance, why would they choose to enroll in Ameren's program?

```
I think that's an excellent question. I think
 1
         Α.
 2
     the survey results from I believe it's the December
    research pdf indicate that that's what customers
 3
 4
    preferred was a one-time payment.
                                        That's certainly what
 5
    we suggest as an alternative design with potential for
 6
     financing being available as an alternative design if
 7
     the Commission determines it's lawful to proceed with
 8
     this as a regulated service. We think that that is a
 9
    vast improvement that could be made to improve the
    quality of service for those customers choosing to
10
11
    participate while mitigating the risk placed on
12
    nonparticipants.
13
               JUDGE PRIDGIN: All right. I think those are
    all the questions that I have, Ms. Lange. Thank you.
14
15
    Let me see if we have any questions based on my
    questions. Mr. Williams, any recross?
16
17
               MR. WILLIAMS: No, thank you.
18
               JUDGE PRIDGIN: Thank you. Where am I?
19
               MR. LOWERY: Mr. Lowery.
20
               JUDGE PRIDGIN:
                               Mr. Lowery, thank you.
21
    you are. I found you.
22
               MR. LOWERY: I do not have any questions, Your
23
    Honor.
             Thank you.
24
                               Thank you. I apologize.
               JUDGE PRIDGIN:
                                                         Any
    redirect, Ms. Payne?
2.5
```

1		MS.	PAYNE:	A	few	. Thank	you
2	REDIRECT	EXAM	INATION	BY	MS.	PAYNE:	

- Q. Ms. Lange, you were asked about basically the outlook given different participation rates in the program. To be clear, the program is based on estimates and projections, correct?
- A. I would not characterize any of Ameren's workpapers as projections. They're based on scenarios that say if this happens and then this happens, here's what falls out of those assumptions. But I wouldn't say that any of them rise to meet the level of projections but yes, I think to answer your question these results are based on scenarios that Ameren has looked at. I don't think they represent the universe of possible outcomes.
- Q. You were also asked about the rate impact of the different levels of sign up and how that might affect the overall affordability of this case or this program I should say?
 - A. Yes.
- Q. I was just curious how that might affect the affordability.
- A. One of the really weird things with the modeling that has been done by Ameren is an assumption of an ongoing level of termination revenue to help

offset that initial program cost. So that is an area that certainly as an analyst makes me wonder why those assumptions are in place. I see why those assumptions need to be considered in some scenarios, but it's not just the uptake that needs to be considered. It's also the revenue stream that Ameren is assuming will come from those termination revenues. So that is another sort of curious element of the modeling.

- Q. Are any items really in the program right now known and measurable?
- MR. LOWERY: Objection. I don't believe any questions were asked about whether the items are known and measurable by any of the questions by anybody.

 Certainly not from the bench or from you, Your Honor.

JUDGE PRIDGIN: Ms. Payne?

MS. PAYNE: I would say it progresses naturally from concerns about the rate impact of the program which the witness was asked.

JUDGE PRIDGIN: I'm going to sustain. I agree with Mr. Lowery.

BY MS. PAYNE:

2.5

Q. Finally, you were asked specifically about the survey of customer interests that was taken. You referenced specifically the one in December. What did Staff see was the overall customer interest based on the

surveys that were conducted? 1 If I recall correctly, it was preferred --2 3 MR. LOWERY: I'm sorry, Your Honor -- pardon 4 me, Ms. Lange, but I couldn't get off mute. I'm going 5 to object to that question as well. She wasn't asked 6 any questions about the surveys. She responded in an 7 answer by talking about the surveys. She wasn't asked 8 about the surveys. 9 JUDGE PRIDGIN: Ms. Payne? MS. PAYNE: I would say that she talked about 10 11 the surveys in her response, thereby in the line of 12 questioning the surveys naturally became part of her 13 scope of her cross. 14 JUDGE PRIDGIN: I'm going to sustain that one 15 as well. I don't think it's in the scope of cross. 16 MS. PAYNE: I have no further questions. 17 JUDGE PRIDGIN: Ms. Payne, thank you. Any 18 further bench questions before Ms. Lange is excused? 19 All right. Hearing none. Ms. Lange, thank you very 20 much. You may be excused. I think that brings us to 21 OPC's witness Ms. Roth and she will be the final witness; is that correct? 22 23 MR. WILLIAMS: Yes. 24 JUDGE PRIDGIN: I'm sorry, Mr. Lowery, did you 2.5 have something?

```
MR. LOWERY: I'm sorry. Just give us just a
 1
 2
     second to switch counsel and then we'll be ready to go.
               JUDGE PRIDGIN: Yes, sir, thank you. We'll
 3
    give Mr. Lowery a minute. Thank you. All right.
 4
    Mr. Banks, are you ready, sir?
 5
 6
              MR. BANKS: Yes, Your Honor. Thank you.
              JUDGE PRIDGIN: Thank you. Let me swear in
 7
 8
    Ms. Roth. If you will, ma'am, please raise your right
 9
    hand to be sworn?
10
               (Witness sworn.)
11
               JUDGE PRIDGIN: Thank you, ma'am.
12
    Mr. Williams, when you're ready.
               MR. WILLIAMS: Judge, I'd like to have an
13
14
    exhibit marked now. It's the rebuttal testimony of OPC
15
    Witness Keri Roth with the errata sheet attached. I
    believe it would be 16.
16
17
               JUDGE PRIDGIN: Let me double check. You are
18
    correct. I'm sorry. It's going to be Roth, is it
19
    rebuttal? I'm sorry.
20
               MR. WILLIAMS: Yes.
               JUDGE PRIDGIN: Rebuttal. And an errata
21
22
     sheet?
23
              MR. WILLIAMS: Yes.
               JUDGE PRIDGIN: I have that marked as an
24
    Exhibit 16. Mr. Williams, were you offering that now?
25
```

1	MR. WILLIAMS: Not yet, but I will shortly.
2	JUDGE PRIDGIN: Thank you. When you're ready.
3	KERI ROTH,
4	being sworn, testified as follows:
5	DIRECT EXAMINATION BY MR. WILLIAMS:
6	Q. What is your name?
7	A. My name is Keri Roth. Last name is R-o-t-h.
8	Q. You anticipated me. By whom are you employed
9	and in what capacity?
10	A. I'm employed by Missouri Office of the Public
11	Counsel as a senior regulatory auditor.
12	Q. Did you prepare rebuttal testimony to which
13	you made an errata that has been marked as Exhibit 16
14	for purposes of this hearing?
15	A. I did.
16	Q. And is that your testimony here today or would
17	you have changes to it?
18	A. That is my testimony today with the errata
19	sheet.
20	MR. WILLIAMS: Judge, I offer Exhibit 16.
21	JUDGE PRIDGIN: No objection, Your Honor.
22	MS. KEELY: Judge, you're muted.
23	JUDGE PRIDGIN: I'm so sorry. Thank you.
24	Mr. Banks, I may have misunderstood. Did you say
25	objection or no objection?

MR. BANKS: No objection, Your Honor. 1 2 JUDGE PRIDGIN: Thank you. I appreciate it. Exhibit 16 is admitted. 3 (EXHIBIT 16 WAS RECEIVED INTO EVIDENCE AND 4 5 MADE A PART OF THIS RECORD.) JUDGE PRIDGIN: Mr. Williams? 6 7 MR. WILLIAMS: I tender the witness for 8 examination by others. 9 JUDGE PRIDGIN: Thank you. Ms. Payne, any 10 questions? 11 CROSS-EXAMINATION BY MS. PAYNE: 12 Q. Good afternoon, Ms. Roth. I'm not even sure what time of the day it is. 13 14 A. Good afternoon. 15 To be clear, did you ask DR 1102 of Ameren Missouri in relation to this case? 16 17 Α. I did. 18 Ο. And do you recall --MR. BANKS: I'm sorry, Your Honor. 19 20 BY MS. PAYNE: 21 And do you recall what the purpose of DR 1102 Ο. 22 was? 23 Α. Just to find out the customer interest gauged 24 about the program or something similar to. 2.5 O. Okay. And did you derive customer interest

1	based on what was provided to you in response to DR
2	1102?
3	A. I reviewed the information and I wrote about
4	my conclusion and what I thought of it in my rebuttal
5	testimony.
6	Q. And the position you took in rebuttal was
7	partly based on your review of the survey results
8	contained in 1102?
9	A. Yes.
10	MS. PAYNE: Judge, I apologize I haven't
11	offered it, but I will go ahead and say that I think
12	that it's been qualified at this time. I will provide
13	the document following the hearing and we can lobby
14	objections at that time if that's okay with you.
15	JUDGE PRIDGIN: Ms. Payne, let me understand
16	what you're offering. Is that what you emailed?
17	MS. PAYNE: I have not been able to email this
18	particular exhibit yet. I'm just incorporating into the
19	record at this time that that's my foundation for the
20	offering of it.
21	JUDGE PRIDGIN: That's something you're going
22	to ask to late file later; is that correct?
23	MS. PAYNE: I believe so, yes.
24	JUDGE PRIDGIN: All right. Thank you.
25	MS. PAYNE: Thank you. Then I have no further

```
questions at this time.
 1
 2
               JUDGE PRIDGIN: All right. Thank you.
                                                       Ι
 3
    would see if we have any questions from Ameren.
    Mr. Banks?
 4
 5
               MR. BANKS: No, thank you, Your Honor.
 6
               JUDGE PRIDGIN: All right. Thank you.
                                                       I
 7
    don't believe I have any questions. Any bench
 8
    questions? Commissioner Rupp?
 9
               COMMISSIONER RUPP: None at this time, Judge.
     Thank you.
10
11
               JUDGE PRIDGIN: Thank you. Commissioner
12
    Coleman, any questions?
              COMMISSIONER COLEMAN: Not at this time.
13
               JUDGE PRIDGIN: Thank you. I don't believe I
14
15
    have any questions. So Ms. Roth, thank you. You may be
16
     excused if there's nothing further from any counsel.
17
               THE WITNESS: Thank you.
18
              MR. WILLIAMS: Not with regard to this
19
    witness.
20
               JUDGE PRIDGIN: Thank you. Ms. Roth, thank
21
    you.
22
               THE WITNESS: Thank you.
23
               JUDGE PRIDGIN:
                               I believe we have reached the
24
     end of our list of witnesses, and we may have some
25
    housekeeping to take care of. I think Ms. Payne had
```

```
something that she was going to late file. Is there
 1
 2
    anything else, any other exhibits counsel wish to
 3
     introduce or anything else you need to bring to my
 4
    attention?
               MR. WILLIAMS:
 5
                              Judge.
 6
               JUDGE PRIDGIN: Mr. Williams.
 7
               MR. WILLIAMS: Judge, if you recall, I did an
 8
     ex parte chat with you when I was having difficulty with
 9
    accessing the system. I disclosed that to the parties
     in an email.
10
11
               JUDGE PRIDGIN: Yes.
12
               MR. WILLIAMS: I'm not opposed to that being
    made part of the record if parties want it. I want to
13
14
    put it on the record that occurred and what I've done.
15
               JUDGE PRIDGIN: That's right. If you want to
16
     file a pleading to that extent, that's fine.
17
    happened, Mr. Williams was unable to connect on WebEx.
18
    He emailed me and said here's some questions I may have.
19
    He asked those questions anyway. Mr. Williams, is that
20
    your take on what happened?
21
               MR. WILLIAMS: Yes. And as I said, I actually
22
     copied out of the chat and sent that into an email to
23
    all of the parties so they can see exactly what
24
     transpired and when.
2.5
               JUDGE PRIDGIN: Thank you. I appreciate that.
```

I'll leave it up to you if you want to file a pleading 1 2 to that extent, you can. If it, it doesn't bother me 3 unless it bothers any other parties, because you were able to ask those questions anyway. I appreciate you 5 bringing it to everybody's attention on the record. 6 MR. LOWERY: Your Honor, as does Ameren, I 7 certainly don't see any need for him to file anything based on that contact at all. I appreciate you bringing 8 9 it to our attention though. MS. PAYNE: Thank you. Staff has no objection 10 11 or concerns as well. 12 JUDGE PRIDGIN: Okay. Thank you. Then is 13 there anything further from counsel or from the bench 14 before we go off the record? 15 MS. PAYNE: I would just note that I did manage to send the email with the related attachments 16 17 that I'm offering as what I will call Exhibit 17, if I'm 18 marking correctly. 19 JUDGE PRIDGIN: Yes, that's correct. 20 want to late file that, file a brief pleading saying 21 this is what I propose to offer as Exhibit 17, I'll give 22 people just a little bit of time to respond in case we 23 have any objections and we'll go from there. 24 MS. PAYNE: That sounds great. Thank you,

2.5

Your Honor.

1	JUDGE PRIDGIN: Thank you. Anything further
2	from counsel or from the bench before we go off the
3	record?
4	MR. LOWERY: Your Honor, very quickly and I
5	was pulling up the schedule here. Our initial brief,
6	it's not due for some time. We expect the transcript in
7	probably about a week or is that a question for the
8	court reporter?
9	JUDGE PRIDGIN: That's a question for the
10	court reporter. I think we can probably more easily
11	discuss that once we go off the record
12	MR. LOWERY: Okay. That would be fine.
13	JUDGE PRIDGIN: to answer your question
14	directly and accurately. Anything further before we go
15	off the record? All right. Thank you very much. That
16	concludes the hearing. We are off the record. Thank
17	you very much.
18	(Off the record.)
19	
20	
21	
22	
23	
24	
25	

1	CERTIFICATE OF REPORTER
2	
3	I, Beverly Jean Bentch, RPR, CCR No. 640,
4	Certified Court Reporter with the firm of Tiger Court
5	Reporting, LLC, within the State of Missouri, do hereby
6	certify that I was personally present at the proceedings
7	had in the above-entitled cause at the time and place
8	set forth in the caption sheet thereof; that I then and
9	there took down in Stenotype the proceedings had; and
10	that the foregoing is a full, true and correct
11	transcript of such Stenotype notes so made at such time
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