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BEFORE THE PUBLIC SERVICE COMMISSION
STATE OF MISSOURI

TRANSCRIPT OF PROCEEDINGS
PROCEDURAL CONFERENCE

In the Matter of Missouri-American)
Water Company for a Certificate of)
Convenience and Necessity Authorizing)
it to Install, Own, Acquire, Construct,) Case No.
Operate, Control, Manage and) WA-2026-0072
Maintain a Water System and Sewer)
System in and around the City of)
Neosho, Missouri)

MONDAY, MARCH 9, 2026
10:00 a.m.

Jefferson City, MO
via WebEx

Volume 2

KAROLIN WALKER, Presiding
REGULATORY LAW JUDGE

1 THE COURT: It's 10:00 a.m., March 9th, 2026.
2 This is a prehearing conference in Case WA-2026-0072, in
3 the matter of Missouri-American Water Company for a
4 certificate of convenience and necessity authorizing it
5 to install, own, acquire, construct, operate, control,
6 manage, and maintain a water system and sewer system in
7 and around the City of Neosho.

8 I'm Karolin Walker, the regulatory law
9 judge, who will be handling this prehearing conference.
10 And this conference is a discussion of the potential
11 hearing date and a proposed procedural schedule at, I
12 believe, Staff's request. I'm going to discuss the case
13 issues, and then I'm going to leave the call so the
14 parties can discuss the case.

15 And, the first, let's do the recitation
16 of the parties. So if you could enter your appearance -
17 - and I can't see you, so go ahead.

18 MR. COOPER: This is Dean Cooper from the
19 law firm of Brydon, Swearingen & England, P.C.,
20 appearing on behalf of Missouri-American Water Company.
21 And then I'll let Mr. Luft also enter his appearance.

22 MR. LUFT: Yeah. Tim Luft with Missouri-
23 American Water Company, 727 Craig Road,
24 St. Louis, Missouri 63141.

25 MS. HANSEN: Andrea Hansen --

1 THE COURT: Thank you.

2 MS. HANSEN: Sorry about that. Andrea
3 Hansen, Staff Counsel's Office, entering her appearance
4 on behalf of the Staff Commission. I also have Mr. Curt
5 Gateley, Ms. Casi Aslin, and Mr. Jarrod Robertson with
6 me as well.

7 MR. COOPER: And, Judge, we would mention
8 that Mr. Brian LaGrand of Missouri-American is also on
9 the call.

10 MR. SNYDER: And Judge, this --

11 THE COURT: We have --

12 MR. SNYDER: -- is Attorney Derek Snyder
13 for the Intervener, City of Neosho.

14 THE COURT: Is there --

15 MS. VANGERPEN: Good morning -- I'm
16 sorry.

17 THE COURT: Go ahead.

18 MS. VANGERPEN: Good morning, Judge
19 Walker. This is Lindsay VanGerpen on behalf of the OPC.

20 THE COURT: Thank you, Lindsay. That was
21 going to be my next question. All right. Has everyone
22 entered their appearance? I'm going to take your
23 silence as assent.

24 I, I, I want to say, you know, Ms. Hansen
25 is concerned about confidentiality, and I am as well,

1 since I wrote many of the Missouri ethics rules,
2 including the oath that all attorneys swear to when they
3 become attorneys. So we're not going to discuss
4 confidential issues while I'm on this call, and as --
5 but as I leave the call, you can have those discussion.

6 The first thing I want to do is we're
7 here because I understand that Missouri-American Water
8 Company is objecting to Staff's recommendations,
9 particularly that number two, that they don't want Staff
10 to assign the company a new tariff; and seven, that the
11 use of Staff's calculation is, is ratemaking; and item
12 eight, that the Staff use of an acquisition regulatory
13 asset should be determined under a rate case. And the
14 statute cited by Staff is 393.320.

15 I'd like to hear from Ms. Hansen first
16 why it is that she thinks those three items are not rate
17 making under that statute?

18 MS. HANSEN: So if I understand
19 correctly, you are asking why I think that these three
20 items are not ratemaking under the statute?

21 THE COURT: That's correct.

22 MS. HANSEN: Okay. I mean, Curt and
23 Jarrod and Casi, please correct me if I'm wrong, but our
24 understanding of condition two is that -- our
25 interpretation of -- our interpretation of 393.320,

1 Subsection 6 is that the -- is that Neosho would be
2 subsumed into an existing -- an existing district. And
3 so our -- we believe that our approach for condition two
4 is in compliance with that Subsection.

5 THE COURT: All right. Go ahead.

6 MS. HANSEN: And then as to seven and
7 eight -- as to seven and eight, we also believe that,
8 that our approach for seven is -- let's see, and I'm
9 just looking over it one more time. So our
10 understanding is that our approach with seven and eight
11 is still in compliance with 393.320, and I think
12 specifically 393.320.5 -- 5, Subdivision 1. That we are
13 not treating -- we are not treating these -- the
14 regulatory asset, we're not treating this as not part of
15 ratemaking rate base; we are. So we believe that our
16 approach allows us to track what's happening with the
17 regulatory asset or with the money within the regulatory
18 asset, but we are not treating it differently from, from
19 the language of the statute itself. We are still
20 considering that it would be within ratemaking rate
21 base, and that, that MAWC would be able to get a return
22 on, on that ratemaking rate base.

23 THE COURT: And item eight?

24 MS. HANSEN: So seven and eight are, are
25 -- they're, they're tied together, but my explanation

1 for seven is -- would be the same for number eight as
2 well.

3 THE COURT: So you're using as authority
4 Section 5(1) for eight as well as for seven?

5 MS. HANSEN: That is my understanding. I
6 would have to -- let's see. That is my understanding.
7 Double-check.

8 THE COURT: I just -- I would direct you
9 to Section 6, on, on your item two.

10 MS. HANSEN: Right. So Section 6, that's
11 where we, we believe that item two does, does fall under
12 Section 6. And then we do -- so reading number seven
13 again, it is my understanding that this would also fall
14 under 393.320.5(1) as well as eight -- as well -- as
15 well as condition eight would also fall under that
16 section.

17 THE COURT: I don't -- I don't -- I have
18 a statute in front of me, and I don't see in Section 6
19 that there is any authority for Staff to change rate.
20 We've talked about established proceedings for rate
21 base. I'm not saying you're wrong; I'm just trying to
22 understand what it is your arguments are for saying that
23 you have the ability to rate make under your -- -- under
24 item two -- under the item that the Missouri-American
25 Water is objecting to.

1 MS. HANSEN: So for --

2 THE COURT: And do, do you have a -- well
3 for item two, Section 6 of the statute, I don't think --

4 MS. HANSEN: I'm sorry.

5 THE COURT: -- it allows you to rate,
6 ratemake. And item seven, 5(1), do you have a specific
7 section of 5 that you are using to say that you can rate
8 make?

9 MS. HANSEN: So as it pertains to
10 condition seven, my understanding is that we were using
11 -- -- Subsection 5(1).

12 THE COURT: I get the reason. Okay.
13 Well, and then the statute goes to A and B. You're
14 using both of those, one of those?

15 MS. HANSEN: No. So, so 5(1), it -- if I
16 remember correctly -- now I'm looking at it really
17 quickly, but it says, "The lesser of the purchase price
18 or the appraised value, together with the reasonable and
19 prudent transaction, closing, and transition costs
20 incurred by the large water public utility, shall
21 constitute the ratemaking rate base for the small water
22 utility as acquired by the acquiring large water public
23 utility; provided, however, that if the small water
24 utility is a public utility subject to 386 and the small
25 water utility completed a rate case prior to the

1 acquisition, the Public Service Commission may select as
2 ratemaking rate base for the small water public utility
3 as acquired by the acquiring large water public utility
4 a ratemaking rate base in between A or B."

5 And so the small water utility that is
6 being acquired is not subject -- is not currently
7 subject to 386. So my understanding was that A and B
8 don't apply.

9 THE COURT: And is your argument that the
10 small water utility hasn't completed a rate case prior
11 to the acquisition?

12 MS. HANSEN: That is correct. They have
13 -- they are not under our jurisdiction yet, so they -- I
14 -- to my knowledge, they haven't completed a rate case.

15 THE COURT: Okay. Okay. I think I
16 understand your argument a little better now.

17 MS. HANSEN: And Curt, Jarrod, Casi,
18 please feel free to jump in if I said anything that is
19 contrary to your understanding.

20 MS. ASLIN: No, I think you've got it,
21 Andrea.

22 MS. HANSEN: Thank you.

23 THE COURT: Okay. Now I want to give
24 Missouri-American Water the opportunity to say what
25 exactly their objections are to item two, seven, and

1 eight.

2 MR. COOPER: So, Judge, on -- as to item
3 two, I think that -- well, the company's position is
4 that Neosho's current rates should continue, that being
5 a volumetric-based sewer rate does the City -- that the
6 City currently charges. And then, of course, you know,
7 what happens after a rate case is, is -- in a rate case.
8 We think that that is sufficient to comply with the
9 Section 6 that Ms. Hansen and, and you were discussing
10 earlier, because 6 requires that the Commission identify
11 the -- let me see, I'm looking for the exact language
12 here -- what -- essentially what ratemaking district
13 that the, the small utility to be acquired is going to
14 be a -- be a part of for ratemaking, ratemaking
15 purposes, which to us suggests the next rate case is
16 where rates would be addressed.

17 So I think our, our issue is to the
18 extent that Staff believes it has to change the rate for
19 Neosho, we believe that's not borne out by the statute.
20 That the -- that the existing rate is certainly a rate
21 that can be utilized on a going-forward basis until that
22 next rate case, at -- and at which point the rate would
23 be discussed.

24 As the seven and eight, they're really --
25 they're really connected. They're kind of two items

1 associated with the same issue. And again, going back
2 to Section 5, Sub 1, that very first provision, I think,
3 where it talks about what will be the ratemaking rate
4 base. And, and then in Sub 2, the Commission is to --
5 let's see -- issue its decision establishing the
6 ratemaking rate base of the small utility in its order
7 approving the acquisition.

8 Because a regulatory asset is not, I
9 guess, traditionally a guarantee of recovery or
10 necessarily a part of rate -- of the rate base until
11 that next rate case. We do believe that the, the system
12 of that has been proposed by Staff, breaking the
13 purchase price into both net book value number for
14 recording in the records and then regulatory asset, is
15 violative of these provisions, and that we would not
16 come out of the rate case with a ratemaking rate base
17 established as proposed by Staff.

18 THE COURT: So I want to restate this
19 just a little bit to make sure I understand it, and
20 please, everyone, correct me if my understanding is
21 incorrect. You're saying on 6 that because there's
22 already a rate that is set by the City, that rate should
23 continue until a rate case. And for seven and eight,
24 you think the City rate should apply, so --

25 MR. COOPER: Well, seven --

1 THE COURT: Go ahead.

2 MR. COOPER: Sorry. I was going to say
3 on seven and eight, it's really a question of what the
4 rate base number will be more than the rate itself. And
5 so, yes, as to seven and eight, we think that the
6 problem with the Staff recommendation is that it would
7 not result in a ratemaking rate base coming out of the
8 acquisition case. Now again, that number would --

9 THE COURT: Is there --

10 MR. COOPER: -- not really have an effect
11 until the rate case, but it would direct how the company
12 is to book those dollars until that rate case or in
13 preparation for that rate case.

14 THE COURT: Is, is there a plan to file a
15 rate case after this acquisition?

16 MR. COOPER: At, at some point there
17 certainly will be, but Missouri-American, when it file
18 its rate case, it, it cannot file a rate case -- oh, I
19 should be careful about that -- but it, it would not
20 file a rate case for a single service territory. It
21 files its rate cases for its entire water operations at
22 one time, so it would -- there would not be a rate case
23 until such a filing.

24 THE COURT: And do you have any idea
25 when, when that filing would occur?

1 MR. COOPER: Not, not that I would say
2 out loud, for sure.

3 THE COURT: Okay, that's fair. Okay. I,
4 I'd like to get off this call and let you all talk about
5 this. I'm not so sure about seven and eight, but the
6 cases I see now, I think the objections to item two seem
7 like they have some merit at this point. If there is
8 any opportunity for settlement, I would like you all to
9 explore that.

10 Given that Staff has asked for a
11 procedural schedule, and I've seen the hearing rate
12 (sic), I would like to grant their request. So what I
13 would like you all to do is, within the next two weeks,
14 give me a procedural schedule and give me your conflict
15 date for a hearing to be set at the very soon as the end
16 of March. And the end of March is problematic since
17 everyone with children who has a spring break will
18 probably be gone, including me. I will be wreck diving
19 in Honduras, looking at ships far below the ocean, and
20 Riley is not available until the second week in April.

21 So I'd like you to give me your conflict
22 dates for the end of March, April, and May, within the
23 next two weeks. Is that acceptable to the parties?

24 MR. COOPER: Certainly.

25 MS. HANSEN: Yeah, we can do that.

1 MR. COOPER: Yeah.

2 MR. SNYDER: It's acceptable for City of
3 Neosho.

4 THE COURT: And, and what about the
5 Office of Public Counsel, do you want to weigh in?

6 MS. VANGERPEN: Not at this time, Judge,
7 but we can certainly give you our conflict dates for
8 those time periods.

9 THE COURT: Okay. And Staff --

10 MS. HANSEN: Judge Walker --

11 THE COURT: I haven't heard from you.

12 MS. HANSEN: -- if I may. Rachel
13 Niemeier, she actually sent over a, a proposed
14 procedural schedule to us, I think, on Friday. And we
15 can -- we can discuss those, or we'd be happy to also do
16 away with that proposed procedural schedule and give you
17 our conflict dates.

18 THE COURT: Well, you're going to need
19 both.

20 MS. HANSEN: Okay.

21 THE COURT: You're going to need a
22 procedural schedule for how the hearing is going to work
23 -- you know, your witnesses, your -- you know, when you
24 want your witnesses to testify, when you want your
25 testimony. And you're going to need a procedural

1 schedule. And that procedural schedule needs to be --
2 it would be best if you discussed it with all the
3 parties on this call, because it would be great if you
4 could agree and send in a procedural schedule that has
5 agreement for it, if you all can do that. Do you have
6 any -- can you -- Staff, can you provide hearing dates
7 for the end of March, April, and May?

8 MS. HANSEN: We can do that.

9 THE COURT: Okay, that'd be great. Does
10 anybody have any else -- anything else they'd like to
11 discuss while I'm on the call?

12 MR. COOPER: Nothing here, Judge.

13 MR. SNYDER: Have a great time in
14 Honduras.

15 THE COURT: Thanks. Been decades since
16 I've been there, but I'm looking forward to it. All
17 right, so I'm going to get off the call and give you all
18 an opportunity to talk to each other about the
19 procedural schedule, hearing dates, and about your
20 arguments in the case in general. And I really thank
21 you all for participating in the call. And thank you
22 particularly to Andrea and to Dean for walking me
23 through what your arguments are. I really appreciate
24 it.

25 MS. HANSEN: Thank you very much.

1 THE COURT: All right, everybody, have a
2 good day.

3 MS. VANGERPEN: Thank you, Judge. You
4 too.

5 THE COURT: And I will end.

6

7 (End of audio recording.)

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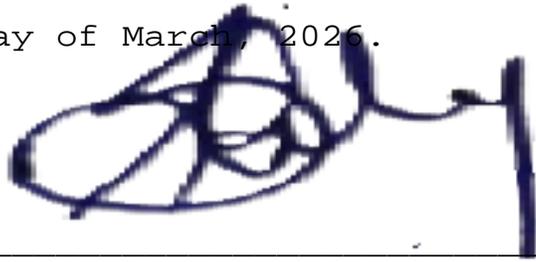
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I further certify that I am not interested in the outcome of said action, nor connected with, nor related to any of the parties in said action, nor to their respective counsel.

IN WITNESS THEREOF, I have hereunto set my hand this 17th day of March, 2026.



Sherly Augustine

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