

# MISSOURI PUBLIC SERVICE COMMISSION

## STAFF REPORT

### COST OF SERVICE

#### APPENDIX 1

##### Staff Credentials

Staff Exhibit No. 103  
Date 8-15-19 Reporter COT  
File No. GR-2019-0077

UNION ELECTRIC COMPANY,  
d/b/a AMEREN MISSOURI

CASE NO. GR-2019-0077

*Jefferson City, Missouri  
April 2019*

PENGAD 800-331-6869

EXHIBIT

103

**APPENDIX 1**  
**STAFF CREDENTIALS**  
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**Paul Amenthor**

**EDUCATIONAL BACKGROUND AND EXPERIENCE**

In December of 2016, I graduated from Southern Illinois University Edwardsville with a Bachelor of Science in Accounting. I have also earned a Bachelor of Science in Economic and Management with an emphasis in Accounting Sciences from the University of Lomé, Togo in June of 2007.

I commenced employment with the Missouri Public Service Commission as Utility Regulatory Auditor in September 2017. As a Utility Regulatory Auditor I am responsible, in part, for assisting with the audit and examination of the books and records of utility companies operating within the State of Missouri and sponsoring testimony as an expert witness in Commission proceedings.

Prior to employment with the Commission, I worked with Walmart from June 2011 to August 2017, as an Inventory Management Associate. In this position, I was primarily responsible for ensuring the accuracy of on-hand inventory in the warehouse.

I also worked with Lee Enterprises (Lee Finance) from March 2017 to August 2017 as a Circulation Accounting Clerk. In this position, I was primarily responsible for processing customers' remittances, invoices, and accounts deposits. I also provided support for senior staff with each end of month closing.

**Paul Amenthor**

**CASE PARTICIPATION**

<b><u>Company Name</u></b>	<b><u>Case No.</u></b>	<b><u>Issue</u></b>
Ameren Missouri (Gas)	GR-2019-0077	<b>Rate Base Items:</b> Prepayments, customer advances, customer deposits, Plant in service, Accumulated Reserve, natural gas inventories, materials and supplies <b>Revenues:</b> Customer Growth/seasonality, Removal of GRT, PGA, Unbilled Revenue, Provision for Rate Refunds, and Other Revenues <b>Expenses:</b> Uncollectible Expense, Capitalized depreciation, Non Labor Distribution Maintenance, and Interest on Customer Deposits
Liberty Midstates Natural Gas (MNG)	GR-2018-0013	Advertising, Miscellaneous expenses, Insurance expense, regulatory expense, Environmental expense, Dues and Donations  Filed Direct, Surrebuttal/True-Up

**MICHELLE A. BOCKLAGE**

**Present Position**

I am currently employed as a Rate & Tariff Examiner III with the Tariff/Rate Design Department within the Commission Staff Division of the Missouri Public Service Commission (Commission). The Tariff/Rate Design Department participates and makes recommendations on tariff filings and various case filings at the Commission; such as, rate, complaint, applications, territorial agreements, sales and merger cases. We also perform and provide technical support on the issues of rate design, class-cost-of-service studies and weather normalizations.

**Educational and Employment Background and Credentials**

I have been employed as a Rate & Tariff Examiner III within the Tariff/Rate Design Department since July 16, 2013. I began my employment with the Commission as a Clerk IV in December 1997. In June 1999, I moved to the Consumer Services section where my responsibilities included investigating informal and formal consumer complaints for compliance with the rules and regulations of the Commission. In January 2011, I moved to the Energy Resource Analysis section where my testimony and responsibility topics included tariff issues relating to Missouri Energy Efficiency Investment Act (MEEIA), Fuel Adjustment Clause (FAC), and promotional programs. Prior to joining the Commission I was employed by the Missouri Department of Transportation.

In December 2010, I earned a Bachelor of Science degree in Business Administration with majors in Management and Human Resources Management from Columbia College. In May 2014, I earned a Masters in Business Administration degree from Columbia College.

## Case Proceedings Participation

Michelle A. Bocklage

Case Number	Company Name	Testimony Type	Issue(s)
EO-2012-0009	Greater Missouri Operations	Rebuttal	Tariff Issue
EO-2012-0142	Ameren Missouri	Rebuttal	Tariff Issue
EO-2012-0142	Ameren Missouri	Surrebuttal	Tariff Issue
GR-2014-0007	Laclede Gas Co.	Cost of Service Report	Weather Normalization
GR-2014-0086	Summit Natural Gas	Cost of Service Report	Weather Normalization
GR-2014-0086	Summit Natural Gas	Rebuttal	Weather Normalization
GR-2014-0086	Summit Natural Gas	Surrebuttal	Weather Normalization
ER-2016-0179	Ameren Missouri	Cost of Service Report	Weather Normalization
ER-2016-0023	Empire District Electric Company	Cost of Service Report	Weather Normalization
GR-2017-0215	Laclede Gas Company	Cost of Service Report	Weather Normalization
GR-2017-0215	Laclede Gas Company	Rebuttal	Weather Normalization
GR-2018-0013	Liberty Utilities	Cost of Service	Case Manager
GR-2018-0013	Liberty Utilities	Class Cost of Service	Case Manager

## **Kory J. Boustead**

### **Education and Employment Background**

I am a Rate & Tariff Examiner in the Energy Resources Department, Commission Staff Division of the Missouri Public Service Commission (Commission). I have been employed at the Missouri Public Service Commission as Rate & Tariff Examiner since July 2012.

In December 2008, I earned a Bachelor of Science degree in Business Administration with an emphasis in Marketing from Columbia College. I went on to earn a Master of Business Administration, in Business Administration and Management from William Woods University in 2001.

Prior to joining the Commission, beginning in 2002, I was employed with Ameren Missouri as a Customer Service Representative in the Jefferson City Call Center. In this role, I was responsible for answering customer inquiries and requests through the call center including establishment of new and transfer accounts. I effectively managed customer complaints, resolving billing issues, and handling trouble calls. I was responsible for establishing payment agreements, advising customers regarding collection procedures and responsible for maintaining personal telephone statistics and call volume in excess of company average. Prior to my employment with Ameren Missouri I worked for different Jefferson City companies in the fields of telephone service, advertising and wireless phone service.

List of Previous Testimony Filed

Kory J. Boustead

<b>Date Filed</b>	<b>Issue</b>	<b>Case Number</b>	<b>Case Name</b>
05/30/2014	<b>Direct - Staff Report -</b> Low Income Weatherization Programs, Energy Efficiency, Service Line Extension	GR-2014-0086	Summit Natural Gas of Missouri, Inc.
06/06/2014	<b>Direct - Staff Report -</b> Low Income Weatherization Programs, Energy Efficiency, Main Line Extension	GR-2014-0152	Liberty Utilities
07/11/2014	<b>Rebuttal -</b> Energy Efficiency, Low Income Weatherization Program	GR-2014-0086	Summit Natural Gas of Missouri, Inc.
07/30/2014	<b>Rebuttal -</b> Low Income Weatherization Programs, Energy Efficiency, Main Line Extension	GR-2014-0152	Liberty Utilities
08/08/2014	<b>Surrebuttal -</b> Low Income Weatherization Program	GR-2014-0086	Summit Natural Gas of Missouri, Inc.
12/05/2014	<b>Direct - Staff Report -</b> Low Income Keeping Current Pilot Program	ER-2014-0258	Ameren Missouri
02/06/15	<b>Surrebuttal -</b> Low Income Keeping Current Pilot Program	ER-2014-0258	Ameren Missouri
04/03/2015	<b>Direct - Staff Report -</b> Economic Relief Pilot Program, Low Income Weatherization Program	ER-2014-0370	Kansas City Power & Light Company



cont'd Kory J. Boustead

<b>Date Filed</b>	<b>Issue</b>	<b>Case Number</b>	<b>Case Name</b>
03/25/2016	<b>Direct - Staff Report - Low Income Programs, Low Income Weatherization Program</b>	ER-2016-0023	The Empire District Electric Company
05/12/2016	<b>Rebuttal - Low Income Weatherization Program</b>	ER-2016-0023	The Empire District Electric Company
07/15/2016	<b>Direct- Staff Report -- Income-Eligible Weatherization, Economic Relief Pilot Program</b>	ER-2016-0156	KCP&L Greater Missouri Operations Company
09/02/2016	<b>Surrebuttal -- Income-Eligible Weatherization, Economic Relief Pilot Program</b>	ER-2016-0156	KCP&L Greater Missouri Operations Company
11/30/2016	<b>Direct -- Staff Report -- Income-Eligible Weatherization, Economic Relief Pilot Program</b>	ER-2016-0258	Kansas City Power & Light Company
12/09/2016	<b>Direct -- Staff Report - Low Income Keeping Current Pilot Program, Low Income Weatherization Assistance Program</b>	ER-2016-0179	Ameren Missouri
01/20/2017	<b>Rebuttal -- Low Income Weatherization Program</b>	ER-2016-0179	Ameren Missouri
02/28/2018	<b>Staff Report - Emission Allowances and Interest</b>	EO-2018-0067	Staff's Sixth Fuel Adjustment Clause Prudence Review Report -- Ameren Missouri
03/16/2018	<b>Direct -- Staff Report -- Tariff Organization</b>	GR-2018-0013	Liberty Utilities

cont'd Kory J. Boustead

<b>Date Filed</b>	<b>Issue</b>	<b>Case Number</b>	<b>Case Name</b>
06/19/2018	<b>Direct – Staff Report – Income Eligible Weatherization</b>	ER-2018-0245	Kansas City Power & Light Company
04/30/2018	<b>Direct – Staff Report – Interest</b>	EO-2018-0155	First Prudence Review for Cycle 2 of Costs Related to the Demand-Side Programs for the Electric Operations of Union Electric Company, d/b/a Ameren Missouri
06/19/2018	<b>Direct – Staff Report - Income Eligible Weatherization</b>	ER-2018-0246	KCP&L Greater Missouri Operations Company
08/30/2018	<b>Rebuttal – Low-Income Programs and Tariff design</b>	EO-2018-0122	Ameren Missouri’s 3 <sup>rd</sup> Filing to Implement Regulatory Changes in Furtherance of Energy Efficiency as Allowed by MEEIA
09/05/2018	<b>Staff Report – Interest, Renewable Energy Credit Revenue and Plant Outages</b>	EO-2018-0244	In the Matter of the Seventh Prudence Review of Costs Subject to the Commission Approved Fuel Adjustment Clause of The Empire District Electric Company
09/17/2018	<b>Surrebuttal – Low-Income Programs and Tariff design</b>	EO-2018-0122	Ameren Missouri’s 3 <sup>rd</sup> Filing to Implement Regulatory Changes in Furtherance of Energy Efficiency as Allowed by MEEIA
02/28/2019	<b>Staff Report – Renewable Energy Credits</b>	EO-2019-0067	In the Matter of the Eighth Prudence Review of Costs Subject to the Commission-Approved Fuel Adjustment Clause of KCP&L Greater Missouri Operations Company
02/28/2019	<b>Staff Report – Renewable Energy Credits</b>	EO-2019-0068	In the Matter of the Second Prudence Review of Costs Subject to the Commission-Approved Fuel Adjustment Clause of Kansas City Power and Light Company

**DAVID BUTTIG, PE**

**PRESENT POSITION:**

I am a Utility Regulatory Engineer I in the Engineering Analysis Department, Commission Staff Division, of the Missouri Public Service Commission.

**EDUCATIONAL BACKGROUND AND WORK EXPERIENCE:**

I received my Bachelor of Science Degree in Environmental Engineering from the Missouri University of Science & Technology in May of 2012. In February of 2013 I began employment with the Missouri Department of Natural Resources in the Air Pollution Control Program as an Environmental Engineer I. In February of 2014, I was promoted to an Environmental Engineer II within the Air Pollution Control Program. I began employment with the commission in July of 2018. I am a licensed professional engineer in the State of Missouri.

**SUMMARY OF CASE INVOLVEMENT:**

<b>Case Number</b>	<b>Utility</b>	<b>Type</b>	<b>Issue</b>
EA-2019-0010	Empire District Electric Company	Staff Report	Certificate of Convenience and Necessity

**Christopher D. Caldwell**

**Educational and Employment Background and Credentials**

In May 2001, I earned an Associate Degree in Applied Accounting from St. Louis Community College (Forest Park). In May 2009, I earned a Bachelor of Science Degree in Accounting from Harris-Stowe State University. I also earned a Master's Degree in Business Administration from Fontbonne University in May 2013.

I began my employment on Sept. 5, 2017 with the Missouri Public Service Commission (Commission) as a Utility Regulatory Auditor I. My duties as a Utility Regulatory Auditor I, consists of examining the regulatory books and records of utilities that operate within the State of Missouri and sponsoring testimony as an expert witness in proceedings before the Commission.

Prior to working at the Commission, between 2008 and 2017, I held the following positions: Account Clerk I with the Missouri Division of Youth Services, Account Clerk II and Financial Officer I with the Department of Mental Health, and Senior Financial Technician with the Missouri Dept. of Transportation (MODOT).

As an Account Clerk I with the Division of Youth Services, my duties consisted of processing accounts payable, filing completed invoices, maintaining gas and maintenance for the facility fleet, ordering and distributing disposable inventory such as office supplies, copy paper, hygiene supplies, and school books. I also balanced the budget, and maintained an inventory of government property at the youth facility among other duties.

As an Account Clerk II with the Department of Mental Health, my duties consisted of processing accounts payable and filing completed invoices, reconciling invoice discrepancies, processing expense reports, and maintaining an inventory of government property at Missouri state mental health facilities among other duties.

As a Financial Officer I with the Department of Mental Health, my duties consisted of monitoring bank accounts, Medicare and Medicaid benefits for clients at Missouri state mental health facilities and at contracted independent living facilities. I also communicated with staff, the public, contracted facilities and other government agencies and performed other duties as assigned.

As a Senior Financial Technician at MODOT, my duties consisted of processing accounts payable, electronically filing completed invoices, reconciling invoice discrepancies, and maintaining an inventory of government property at all MODOT facilities in the St. Louis Metropolitan Area. I communicated with staff, and contracted vendors. I was part of a committee that set-up team building events. I also participated in a diversity program, and completed required training, and other duties as assigned.

**Christopher D. Caldwell**

**Rate Case Proceeding Summary**

<b>Case Number</b>	<b>Company Name</b>	<b>Issues</b>
GR-2019-0077	Ameren Missouri Gas	Advertising Promotional Giveaways Dues and Donations Environmental Costs Miscellaneous Expenses External Audit Fees Injuries and Damages Insurance Lobbying and MEDA Miscellaneous Expense PSC Assessment Rents and Leases
GR-2018-0013	Liberty Utilities Midstates Natural Gas (MNG)	Plant In Service Accumulated Reserve Customer Deposits Interest on Customer Deposits Customer Advances Gas Storage Prepayments Capitalized Depreciation

## **Natelle Dietrich**

### **Education and Work Experience**

I received a Bachelor's of Arts Degree in English from the University of Missouri, St. Louis, and a Master's of Business Administration from William Woods University. During my tenure with the Commission, I have worked in many areas of telecommunications regulation. In October 2007, I became the Director of Utility Operations. The division was renamed the Tariff, Safety, Economic and Engineering Analysis Department in August 2011. In October 2015, I assumed my current position as Commission Staff Director. In this position, I oversee all aspects of the Commission Staff.

My responsibilities include involvement in several activities related to implementing sound energy policy in Missouri. I was the lead Director for the Commission's rulemakings on such things as the implementation of the Missouri Energy Efficiency Investment Act, the Chapter 22 rewrite, and the Commission's renewable energy standard regulations. Relevant activities relate to energy efficiency, demand side management, demand response and smart grid. I was a member of the Missouri Delegation to the Missouri/Moldova Partnership through NARUC and the US Agency for International Development.

I am a member of the National Association of Regulatory Utility Commissioners' Subcommittee on Rate Design and the Staff Subcommittee on Telecommunications. I serve on the Staff of the Federal/State Joint Board on Universal Service, serve as lead Staff for the Missouri Universal Service Board, and was a member of the Governor's MoBroadbandNow taskforce.

**Natelle Dietrich**  
**Case Summary**

Presented testimony or analysis through affidavits on the following cases and proceedings:

- Case No. TA-99-405, an analysis of the appropriateness of a “payday loan” company providing prepaid telecommunications service.
- Case No. TX-2001-73, In the Matter of Proposed New Rules on Prepaid Calling Cards.
- Case No. TO-2001-455, the AT&T/Southwestern Bell Telephone Company arbitration, which included issues associated with unbundled network elements.
- Case No. TX-2001-512, In the Matter of Proposed Amendments to Commission Rule 4 CSR 240-33.010, 33.020, 33.030, 33.040, 33.060, 33.070, 33.080, 33.110, and 33.150 (telecommunications billing practices).
- Case No. TO-2002-222, the MCI/SWBT arbitration.
- Case No. TR-2002-251, In the Matter of the Tariffs Filed by Sprint Missouri, Inc. d/b/a Sprint to Reduce the Basic Rates by the Change in the CPI-TS as Required by 392.245(4), Updating its Maximum Allowable Prices for Non-Basic Services and Adjusting Certain Rates as Allowed by 392.245(11) and Reducing Certain Switched Access Rates and Rebalancing to Local Rates as Allowed by 392.245(9).
- Case No. TX-2002-1026, In the Matter of a Proposed Rulemaking to Implement the Missouri Universal Service Fund End-User Surcharge.
- Case No. TX-2003-0379, In the Matter of Proposed Amendments to Commission Rule 4 CSR 240-3.545, formerly 4 CSR 240-30.010 (tariff filing requirements).
- Case No. TX-2003-0380, In the Matter of Proposed Amendments to Commission Rules 4 CSR 240-2.060, 4 CSR 240-3.020, 4 CSR 240-3.510, 4 CSR 240-3.520, and 4 CSR 240-3.525 (competitive local exchange carrier filing requirements and merger-type transactions).
- Case No. TX-2003-0389, In the Matter of Proposed Amendment to Commission Rules 4 CSR 240-3.530 and 4 CSR 240-3.535, and New Rules 4 CSR 240-3.560 and 4 CSR 240-3.565 (telecommunications bankruptcies and cessation of operation).
- Case No. TX-2003-0445, In the Matter of a Proposed New Rule 4 CSR 240-33.160 Regarding Customer Proprietary Network Information.
- Case No. TX-2003-0487, In the Matter of Proposed Commission Rules 4 CSR 240-36.010, 36.020, 36.030, 36.040, 36.050, 36.060, 36.070, and 36.080 (arbitration and mediation rules).
- Case No. TX-2003-0565, In the Matter of a Proposed Rulemaking to Codify Procedures for Telecommunications Carriers to Seek Approval, Amendment and Adoption of Interconnection and Resale Agreements.
- Case Nos. TX-2004-0153 and 0154, in the Matter of Proposed Rule for 211 Service (emergency and permanent rules).
- Case Nos. TO-2004-0370, IO-2004-0467, TO-2004-0505 et al, In the Matter of the Petition of various small LECs for Suspension of the Federal Communications Commission Requirement to Implement Number Portability.

## Natelle Dietrich

### Case Summary

- Case No. TX-2005-0258, In the Matter of a New Proposed Rule 4 CSR 240-33.045 (placement and identification of charges on customer bills).
- Case No. TX-2005-0460, In the Matter of the Proposed Amendments to the Missouri Universal Service Fund Rules.
- Case No. TO-2006-0093, In the Matter of the Request of Southwestern Bell Telephone, L.P. d/b/a SBC Missouri, for Competitive Classification Pursuant to Section 392.245.6, RSMo (2205) – 30-day Petition.
- Case Nos. TC-2005-0357, IR-2006-0374, TM-2006-0306, the complaint case, earnings investigation and transfer of assets case to resolve issues related to Cass County Telephone Company, LP, LEC Long Distance, FairPoint Communications, Inc., FairPoint Communications Missouri Inc. d/b/a FairPoint Communications and ST Long Distance Inc. db/a FairPoint Communications Long Distance.
- Case No. TC-2006-0068, FullTel, Inc., v. CenturyTel of Missouri, LLC.
- Case No. TX-2006-0169, In the Matter of Proposed New Rule 4 CSR 240-3.570 Regarding Eligible Telecommunications Carrier Designations for Receipt of Federal Universal Service Fund Support.
- Case No. TX-2006-0429, In the Matter of a Proposed Amendment to 4 CSR 240-3.545 (one day tariff filings).
- Case No. TX-2007-0086, In the Matter of a Proposed Rulemaking to Create Chapter 37 – Number Pooling and Number Conservation Efforts
- Case No. TA-2009-0327, In the Matter of the Petition of TracFone Wireless, Inc. for Designation as an Eligible Telecommunications Carrier in the State of Missouri for the Limited Purpose of Offering Lifeline and Link Up Service to Qualified Households.
- Case No. RA-2009-0375, In the Matter of the application of Nexus Communications, Inc. dba TSI for Designation as an Eligible Telecommunications Carrier in the State of Missouri for the Limited Purpose of Offering Wireless Lifeline and Link Up Service to Qualifying Households.
- Case No. AX-2010-0061, Office of Public Counsel’s Petition for Promulgation of Rules Relating to Billing and Payment Standards for Residential Customers.
- Case No. GT-2009-0056, In the Matter of Laclede Gas Company’s Tariff Revision Designed to Clarify its Liability for Damages Occurring on Customer Piping and Equipment Beyond the Company’s Meter.
- Case No. ER-2012-0166, In the Matter of Union Electric Company d/b/a Ameren Missouri’s Tariffs to Increase Its Revenues for Electric Service. Energy Independence and Security Act of 2007 (EISA).
- Case No. ER-2012-0174, In the Matter of Kansas City Power & Light Company’s Request for Authority to Implement A General Rate Increase for Electric Service. Energy Independence and Security Act of 2007 (EISA).
- Case No. ER-2012-0175, In the Matter of KCP&L Greater Missouri Operations Company’s Request for Authority to Implement A General Rate Increase for Electric Service. Energy Independence and Security Act of 2007 (EISA).
- Case No. ER-2012-0345, In the Matter of Empire District Electric Company of Joplin, Missouri Tariff’s Increasing Rates for Electric Service Provided to



## Natelle Dietrich

### Case Summary

Customers in the Missouri Service Area of the Company. Energy Independence and Security Act of 2007 (EISA).

- File Nos. EO-2013-0396 and EO-2013-0431, In the Matter of the Joint Application of Entergy Arkansas, Inc., Mid South TransCo, LLC, Transmission Company Arkansas, LLC and ITC Midsouth LLC for Approval of Transfer of Assets and Certificate of Convenience and Necessity, and Merger and, in connection therewith, Certain Other Related Transactions; and In the Matter of Entergy Arkansas, Inc.'s Notification of Intent to Change Functional Control of Its Missouri Electric Transmission Facilities to the Midwest Independent Transmission System Operator Inc. Regional Transmission System Organization or Alternative Request to Change Functional Control and Motions for Waiver and Expedited Treatment, respectively.
- Case No. MX-2013-0432, In the Matter of a Proposed Rulemaking to Revise Manufactured Housing Rules Regarding Installation and Monthly Reporting Requirements.
- Case No. TX-2013-0324, In the Matter of a Proposed Rulemaking to the Missouri Universal Service Fund.
- Case No. EO-2014-0095, In the Matter of Kansas City Power & Light Company's Filing for Approval of Demand-Side Programs and for Authority to Establish Demand-Side Programs Investment Mechanism.
- Case No. EA-2014-0207, In the Matter of the Application of Grain Belt Express Clean Line LLC for a Certificate of Convenience and Necessity Authorizing It to Construct, Own, Operate, Control, Manage, and Maintain a High Voltage, Direct Current Transmission Line and an Associated Converter Station Providing an Interconnection on the Maywood - Montgomery 345 kV Transmission Line.
- Case No. ER-2014-0370, In the Matter of Kansas City Power & Light Company's Request for Authority to Implement a General Rate Increase for Electric Service.
- Case No. WR-2015-0301, In the Matter of Missouri-American Water Company's Request for Authority to Implement a General Rate Increase for Water and Sewer Service Provided in Missouri Service Areas.
- Case No. ER-2016-0156, In the Matter of KCP&L Greater Missouri Operations Company's Request for Authority to Implement a General Rate Increase for Electric Service.
- Case No. ET-2016-0246, In the Matter of the Application of Union Electric Company d/b/a Ameren Missouri for Approval of a Tariff Setting a Rate for Electric Vehicle Charging Stations.
- Case No. ER-2016-0285, In the Matter of Kansas City Power & Light Company's Request for Authority to Implement a General Rate Increase for Electric Service.
- Case No. ER-2016-0179, In the Matter of Union Electric Company d/b/a Ameren Missouri's Tariffs to Increase its Revenues for Electric Service.
- Case No. EE-2017-0113, In the Matter of the Joint Application of Great Plains Energy Incorporated, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company for a Variance from the Commission's Affiliate Transactions Rule, 4 CSR 240-20.015

## Natelle Dietrich

### Case Summary

- Case No. EA-2016-0358, In the Matter of the Application of Grain Belt Express Clean Line LLC for a Certificate of Convenience and Necessity Authorizing it to Construct, Own, Operate, Control, Manage and Maintain a High Voltage, Direct Current Transmission Line and an Associated Converter Station Providing an Interconnection on the Maywood-Montgomery 345kV Transmission Line
- Case No. EM-2017-0226, In the Matter of the Application of Great Plains Energy Incorporated for Approval of its Acquisition of Westar Energy, Inc.
- Case No. GR-2017-0215, In the Matter of Laclede Gas Company's Request to Increase its Revenues for Gas Service.
- Case No. GR-2017-0216, In the Matter of Laclede Gas Company d/b/a Missouri Gas Energy's Request to increase its Revenues for Gas Service.
- Case No. WR-2017-0259, In the Matter of the Rate Increase Request of Indian Hills Utility Operating Company, Inc.
- Case No. WR-2017-0285, In the Matter of Missouri-American Water Company's Request for Authority to Implement General Rate Increase for Water and Sewer Service Provided in Missouri Service Areas.
- Case No. EM-2018-0012, In the Matter of the Application of Great Plains Energy Incorporated for Approval of its Merger with Westar Energy, Inc.
- Case No. EO-2018-0092, In the Matter of the Application of The Empire District Electric Company for Approval of Its Customer Savings Plan.
- Case No. GR-2018-0013, In the Matter of Liberty Utilities (Midstates Natural Gas) Corp. d/b/a Liberty Utilities' Tariff Revisions Designed to Implement a General Rate Increase for Natural Gas Service in the Missouri Service Areas of the Company.
- Case No. ER-2018-0145, In the Matter of Kansas City Power & Light Company's Request for Authority to Implement a General Rate Increase for Electric Service.
- Case No. ER-2018-0146, In the Matter of KCP&L Greater Missouri Operations Company's Request for Authority to Implement a General Rate Increase for Electric Service.
- Case No. EO-2018-0211, In the Matter of Union Electric Company d/b/a Ameren Missouri's 3rd Filing to Implement Regulatory Changes in Furtherance of Energy Efficiency as Allowed by MEEIA.
- Case Nos. WM-2018-0116 and SM-2018-0117, In the Matter of the Application of Confluence Rivers Utility Operating Company, Inc. to Acquire Certain Water and Sewer Assets, For a Certificate of Convenience and Necessity, and, in Connection Therewith, To Issue Indebtedness and Encumber Assets.
- Case No. EA-2019-0010, In the Matter of the Application of The Empire District Electric Company for Certificates of Convenience and Necessity Related to Wind Generation Facilities.
- Case No. EM-2019-0150, In the Matter of the Joint Application of Invenergy Transmission LLC, Invenergy Investment Company LLC, Grain Belt Express Clean Line LLC and Grain Belt Express Holding LLC for an Order Approving the Acquisition by Invenergy Transmission LLC of Grain Belt Express Clean Line LLC.

## Natelle Dietrich

### Case Summary

- Actively participated in or prepared comments on numerous issues on behalf of the Commission to be filed at the Federal Communications Commission.
- Prepared congressional testimony on behalf of the Commission on number conservation efforts in Missouri.
- A principal author on Missouri Public Service Commission Comments on the Reduction of Carbon Emissions in Missouri under Section 111(d) of the Clean Air Act.
- A principal author on Missouri Public Service Commission Comments on the Environmental Protection Agency's "Emission Guidelines for Existing Stationary Sources: Electric Generating Unity".

Commission Arbitration Advisory Lead Staff for the following cases:

- Case No. TO-2005-0336, Southwestern Bell Telephone, L.P., d/b/a SBC Missouri's Petition for Compulsory Arbitration of Unresolved Issues For a Successor Interconnection Agreement to the Missouri 271 Agreement ("M2A").
- Case No. IO-2005-0468, In the Matter of the Petition of Alma Telephone Company for Arbitration of Unresolved Issues Pertaining to a Section 251(b)(5) Agreement with T-Mobile USA, Inc.
- Case No. TO-2006-0147 et al, In the Matter of the Petition for Arbitration of Unresolved Issues in a Section 251(b)(5) Agreement with T-Mobile USA, Inc and Cingular Wireless.
- Case No. TO-2006-0299, Petition of Socket Telecom, LLC for Compulsory Arbitration of Interconnection Agreements with CenturyTel of Missouri, LLC and Spectra Communications, LLC, pursuant to Section 251(b)(1) of the Telecommunications Act of 1996.
- Case No. TO-2006-0463, In the Matter of the Petition for Arbitration of Unresolved Issues in a Section 251(b)(5) Agreement with ALLTEL Wireless and Western Wireless.
- Case No. TO-2009-0037, In the Matter of the Petition of Charter Fiberlink-Missouri, LLC for Arbitration of an Interconnection Agreement Between CenturyTel of Missouri, LLC and Charter Fiberlink-Missouri, LLC.

## **Lisa M. Ferguson**

### **Present Position:**

I am a Utility Regulatory Auditor V, Auditing Department, Commission Staff Division of the Missouri Public Service Commission. As a Utility Regulatory Auditor, I review all exhibits and testimony on assigned issues, develop accounting adjustments and issue positions that are supported by workpapers and written testimony.

### **Educational Credentials and Work Experience:**

I have an Associate of Science degree from Moberly Area Community College, a Bachelor's of Science degree in Accounting from Truman State University, and a Master's degree in Accounting from Truman State University. I have been employed by the Missouri Public Service Commission since June 2008. Prior to joining the Commission, I worked in several departments, primarily Customer Service and as an accounting assistant, for Hy-Vee Food and Drug from July 1998 to May 2002. I was also employed by Kelly L. Lovekamp as a legal office assistant during 2001. From June 2002 to May 2008, I was employed as a support staff for Chariton Valley Association. My duties included support of daily living activities for people with disabilities.

**Lisa M. Ferguson**

**Past Rate Case Proceedings:**

<u>Company Name</u>	<u>Case No.</u>	<u>Issue</u>
Ameren Missouri (Gas)	GR-2019-0077	Lead Auditor TCJA Income Tax AAO/Interim Rates Income Tax, Accumulated Deferred Income Tax (ADIT), Amortization of Excess ADIT, Pensions & OPEBs, Energy Efficiency, Regulatory Asset Overcollection
Missouri-American Water Co.	WO-2018-0373	ISRS - Accumulated Deferred Income Taxes (Inclusion of NOL)
Ameren Missouri (ELEC)	EA-2018-0202	Terra-Gen Wind Generation CCN
Ameren Missouri (ELEC)	ER-2018-0362	2017 TCJA Tax Reform effect on current and excess deferred taxes
Liberty Gas (MNG)	GR-2018-0013	Income Tax, Accumulated Deferred Income Tax (ADIT), Property Tax, Vegetation Management, Payroll, Payroll Tax, Employee Benefits Accounting Schedules/Reconciliation Filed Direct, Rebuttal, Surrebuttal (True-up Direct)

**Lisa M. Ferguson**

**Past Rate Case Proceedings:**

<u>Company Name</u>	<u>Case No.</u>	<u>Issue</u>
Spire Missouri (Laclede Gas & Missouri Gas Energy)	GR-2017-0215 GR-2017-0216	Co-Lead Auditor Insulation Financing, EnergyWise Revenue/Rate Base, Gas Safety AAO Overcollection, Natural Gas/Propane Inventory, MGE Rate base Offset, Income Taxes, ADIT, Surveillance Reporting, Uniform Expense, AMR Devices Filed Direct, Rebuttal, Surrebuttal Testified on FIN 48 as part of ADIT, Surveillance Reporting, AMR Devices, 2017 TCJA Tax Reform effect on current and excess deferred taxes
Ameren Missouri	EO-2017-0176	Cost Allocation Manual
Ameren Missouri	EO-2017-0127	Asset Sale Case – Mercy Health
Ameren Missouri (ELEC)	ER-2016-0179	Allocations, Coal Refinement, Callaway II Write-Off, Capacity, FAC expense removal, FIN 48, Income Taxes, ADIT, Mark Twain Transmission, MISO revenues & expenses, MISO Transmission revenues & expenses, Sioux Construction Accounting Accounting Schedules/Reconciliation Filed Direct, Rebuttal, Surrebuttal

**Lisa M. Ferguson**

**Past Rate Case Proceedings:**

<u>Company Name</u>	<u>Case No.</u>	<u>Issue</u>
Rex Deffenderfer Enterprises	WR-2016-0267	Lead Auditor – Oversee All Issues
House Springs Sewer Co.	SM-2016-0204	Sale of Company Assets to Jefferson County Public Sewer District
Missouri-American Water Co.	WR-2015-0301 & SR-2015-0302	Amortizations, Arnold Acquisition, Belleville Labs, Capitalized O&M Depreciation, Regulatory Assets & Liabilities, Regulatory Deferrals, Hickory Hills Receivership Costs  Accounting Schedules/Reconciliation
Missouri-American Water Co.	WO-2016-0054	Asset Purchased Case; Missouri American Acquisition of Jaxson Estates
House Springs Sewer Co.	Earnings Investigation	Operations & Maintenance Contract, Legal Fees, Office Rent & Electric, Plant/Reserve/CIAC, Repairs & Maintenance, Sludge Hauling, City of Byrnes Mill Expense, Garnishment
Ameren Missouri (ELEC)	ER-2014-0258	Fuel, NBEC, Fuel Additives, Fuel Inventory, Off System Sales, Purchased Power, Callaway Refueling, Coal Car Depreciation, Low Level Radioactive Waste Expense  Accounting Schedules/Reconciliation  Filed Direct, Rebuttal, Surrebuttal

**Lisa M. Ferguson**

**Past Rate Case Proceedings:**

<u>Company Name</u>	<u>Case No.</u>	<u>Issue</u>
Liberty Gas (MNG)	GR-2014-0152	Board of Directors Fees, Payroll, Employee Benefits, Incentive Compensation, Environmental Expense, Fleet Fuel Expense, Property Tax, Relocation Expense
Terre Du Lac Utility Co.	WR-2014-0104 SR-2014-0105	Revenues, Uncollectibles, Water Loss Adjustment
Laclede Gas Co.	GR-2013-0171	Revenue, Energy Wise and Insulation Revenues and Ratebase, Gas Costs, Gross Receipts Tax, ISRS Revenue, OSS and Capacity Release, Postage Expense, Unbilled Revenues, Uncollectibles
Lincoln County Water & Sewer	SR-2013-0321	Revenues, Bank Fees, Billing Expense, DNR Fees, Office Supplies, Postage Expense, PSC Assessment, SOS Fees, Uncollectibles
Gladlo Water and Sewer Co.	SR-2013-0258 WR-2013-0259	Informal Rate Case – All Issues
Missouri-American Water Co.	SO-2013-0260	Asset Purchased Case; Missouri American Acquisition of Meramec Sewer Co; Rate Base Determination
Ameren Missouri	EO-2013-0044	Asset Sale Case
Meramec Sewer Co	SR-2012-0309	Rate Base, Revenues, Uncollectibles



**Lisa M. Ferguson**

**Past Rate Case Proceedings:**

<u>Company Name</u>	<u>Case No.</u>	<u>Issue</u>
Ameren Missouri (ELEC)	ER-2012-0166	Advertising, AMS Allocations, Capitalized O&M Depreciation, Distribution Training, Employee Benefits other than Pensions, Environmental Expense, Incentive Compensation, Legal Expense, Name Change/Branding Expense, Payroll, Payroll Taxes, Production Training Expense, Severance, Underground Training Expense, VSE/ISP Amortization  EMS Accounting Schedules  Filed Direct and Surrebuttal Testimony  Deposed on Severance and Advertising  Testified on Severance
Missouri-American Water Co.	SO-2012-0091	Asset Purchased Case; Missouri American Acquisition of Meramec Sewer Co; Rate Base Determination
House Springs Sewer Co.	SR-2011-0274	Revenues, Billing Supplies Expense, Bank Fees, Dues & Donations, Outside Services, Miscellaneous Expense, Rent Expense, Postage Expense, PSC Assessment, Rate Case Expense, Secretary of State Fees, EMS Accounting Schedules
Missouri-American Water Co.	WO-2011-0106	ISRS Filing; Extending data to Effective Date; Retirements; Deferred Taxes; Accumulated Depreciation

**Lisa M. Ferguson**

**Past Rate Case Proceedings:**

<u>Company Name</u>	<u>Case No.</u>	<u>Issue</u>
Ameren Missouri (ELEC)	ER-2011-0028	Capitalized O&M Depreciation, Dues & Donations, 900 Account analysis, Property Taxes, Other Rate Base Items, Corporate Franchise Taxes, CWC, Plant and Reserve, PSC Assessment, Rate Case Expense, Advertising, Interest on Customer Deposits, Outside Contractors/Services, Allocations  Accounting Schedules/Reconciliation  Filed Direct and Surrebuttal Testimony  Deposed on Advertising  Testified on Property Tax
AmerenUE (GAS)	GR-2010-0363	Capitalized O&M Depreciation, Dues & Donations, 900 Account analysis, Property Taxes, Other Rate Base Items, Corporate Franchise Taxes, CWC, Plant and Reserve, PSC Assessment, Rate Case Expense, Advertising, Interest on Customer Deposits, Outside Contractors/Services  Accounting Schedules/Reconciliation  Filed Direct Testimony
KMB Utility Corporation	WR-2010-0345 & SR-2010-0346	Revenues, Late Fees, Electric Bills, Lost Water Adjustment, Uncollectibles, Master meter reads  Filed Staff Recommendation

**Lisa M. Ferguson**

**Past Rate Case Proceedings:**

<u>Company Name</u>	<u>Case No.</u>	<u>Issue</u>
Ameren UE (ELEC)	ER-2010-0036	Advertising, Capitalized O&M Depreciation, Dues & Donations, 900 Account Analysis, Property Taxes, Other Rate Base Items, Corp. Franchise Taxes, Leases, CWC, Plant, Depreciation/ Reserve, PSC Assessment, Rate Case Expense, Interest on Customer Deposits, Insurance Expenses, Accounting Runs, Injuries and Damages  Accounting Schedules/Reconciliation  Filed Direct and Surrebuttal Testimony
Peaceful Valley	SR-2009-0146 WR-2009-0145	Informal Small Water and Sewer Request for Rate Increase
Cannon Home Association	SR-2009-0144	Informal Small Water Request for Rate Increase
Atmos Energy	GO-2009-0046	Assisted on ISRS Filing; Extending data to Effective Date; Retirements; Deferred Taxes; Accumulated Depreciation; Removal of Meters
Ameren UE (GAS)	GT-2009-0038	Assisted on ISRS Filing; Extending data to Effective Date; Additions/Retirements; Deferred Taxes; Accumulated Depreciation
Laclede Gas Company	GO-2009-0029	Assisted on Abandonment Case – Recommendation Submission

**Lisa M. Ferguson**

**Past Rate Case Proceedings:**

<u>Company Name</u>	<u>Case No.</u>	<u>Issue</u>
Mill Creek	SR-2005-0116	Quarterly Reviews; Procedural Schedule; A/P Billing Calendar; Conference Calls; Discussion Notes; Revenues

## **Robin Kliethermes**

### **Present Position:**

I am the Rate and Tariff Examination Manager of the Tariff and Rate Design Department, Commission Staff Division, of the Missouri Public Service Commission ("Commission"). I have held this position since July 16<sup>th</sup>, 2016. I have been employed by the Commission since March of 2012. In May of 2013, I presented on Class Cost of Service and Cost Allocation to the National Agency for Energy Regulation of Moldova ("ANRE") as part of the National Association of Regulatory Utility Commissioners ("NARUC") Energy Regulatory Partnership Program. I am also a member of the Electric Meter Variance Committee.

### **Educational Background and Work Experience:**

I have a Bachelor of Science degree in Parks, Recreation and Tourism with a minor in Agricultural Economics from the University of Missouri – Columbia in 2008, and a Master of Science degree in Agricultural Economics from the same institution in 2010. Prior to joining the Commission, I was employed by the University of Missouri Extension as a 4-H Youth Development Specialist and County Program Director in Gasconade County.

Additionally, I completed two online classes through Bismarck State College: Energy Markets and Structures (ENRG 420) in December, 2014 and Energy Economics and Finance (ENRG 412) in May, 2015.

**Previous Testimony of Robin Kliethermes**

<b>Case No.</b>	<b>Company</b>	<b>Type of Filing</b>	<b>Issue</b>
ER-2012-0166	Ameren Missouri	Staff Report	Economic Considerations
ER-2012-0174	Kansas City Power & Light Company	Staff Report	Economic Considerations
ER-2012-0175	KCP&L Greater Missouri Operations Company	Staff Report	Economic Considerations & Large Power Revenues
ER-2012-0345	Empire District Electric Company	Staff Report	Economic Considerations, Non-Weather Sensitive Classes & Energy Efficiency
HR-2014-0066	Veolia Kansas City	Staff Report	Revenue by Class and Class Cost of Service
GR-2014-0086	Summit Natural Gas	Staff Report	Large Customer Revenues
GR-2014-0086	Summit Natural Gas	Rebuttal	Large Customer Revenues
EC-2014-0316	City of O'Fallon Missouri and City of Ballwin, Missouri v. Union Electric Company d/b/a Ameren Missouri	Staff Memorandum	Overview of Case
EO-2014-0151	KCP&L Greater Missouri Operations Company	Staff Recommendation	Renewable Energy Standard Rate Adjustment Mechanism (RESRAM)
ER-2014-0258	Ameren Missouri	Staff Report	Rate Revenue by Class, Class Cost of Service study, Residential Customer Charge
ER-2014-0258	Ameren Missouri	Rebuttal	Weather normalization adjustment to class billing units
ER-2014-0258	Ameren Missouri	Surrebuttal	Residential Customer Charge and Class allocations
ER-2014-0351	Empire District Electric Company	Staff Report	Rate Revenue by Class, Class Cost of Service study, Residential Customer Charge

**cont'd Previous Testimony of**  
**Robin Kliethermes**

<b>Case No.</b>	<b>Company</b>	<b>Type of Filing</b>	<b>Issue</b>
ER-2014-0351	Empire District Electric Company	Rebuttal & Surrebuttal	Residential Customer, Interruptible Customers
ER-2014-0370	Kansas City Power & Light Company	Staff Report	Rate Revenue by Class, Class Cost of Service study, Residential Customer Charge
ER-2014-0370	Kansas City Power & Light Company	Rebuttal & Surrebuttal	Class Cost of Service, Rate Design, Residential Customer Charge
ER-2014-0370	Kansas City Power & Light Company	True-Up Direct & True-Up Rebuttal	Customer Growth & Rate Switching
EE-2015-0177	Kansas City Power & Light Company	Staff Recommendation	Electric Meter Variance Request
EE-2016-0090	Ameren Missouri	Staff Recommendation	Tariff Variance Request
EO-2016-0100	KCP&L Greater Missouri Operations Company	Staff Recommendation	RESRAM Annual Rate Adjustment Filing
ET-2016-0185	Kansas City Power & Light Company	Staff Recommendation	Solar Rebate Tariff Change
ER-2016-0023	Empire District Electric Company	Staff Report	Rate Revenue by Class, CCOS and Residential Customer Charge
ER-2016-0023	Empire District Electric Company	Rebuttal & Surrebuttal	Residential Customer Charge and CCOS
ER-2016-0156	KCP&L Greater Missouri Operations	Staff Report	Rate Revenue by Class, CCOS and Residential Customer Charge
ER-2016-0156	KCP&L Greater Missouri Operations	Rebuttal & Surrebuttal	Data Availability, Energy Efficiency Revenue Adj., Residential Customer Charge
ER-2016-0179	Ameren Missouri	Rebuttal	Blocked Usage
ER-2016-0285	Kansas City Power & Light Company	Rebuttal & Surrebuttal	Clean Charge Network Tariff, Rate Design
GR-2017-0215	Spire (Laclede Gas Company)	Staff Report, Rebuttal & Surrebuttal	Tariff Issues, Rate Design and Class Cost of Service

**cont'd Previous Testimony of**  
**Robin Kliethermes**

<b>Case No.</b>	<b>Company</b>	<b>Type of Filing</b>	<b>Issue</b>
GR-2017-0216	Spire (Missouri Gas Energy)	Staff Report, Rebuttal & Surrebuttal	Tariff Issues, Rate Design and Class Cost of Service
EC-2018-0103	Kansas City Power & Light	Staff Report	Customer Complaint
EO-2015-0055	Ameren Missouri	Rebuttal	Flex-Pay Program
GR-2018-0013	Liberty	Staff Report	Class Cost of Service and Rate Design Report
ER-2018-0145	Kansas City Power & Light	Staff Report & Rebuttal & Surrebuttal	Tariff Issues, Rate Design, Revenue, Class Cost of Service
ER-2018-0146	KCP&L Greater Missouri Operations	Staff Report & Rebuttal & Surrebuttal	Tariff Issues, Rate Design, Revenue, Class Cost of Service
EO-2018-0211	Ameren Missouri	Staff Rebuttal Report	MEEIA Margin Rates
GO-2019-0059	Spire Missouri West	Staff Recommendation & Rebuttal	Weather Normalization Adjustment Rider (WNAR)
GO-2019-0058	Spire Missouri East	Staff Recommendation & Rebuttal	Weather Normalization Adjustment Rider (WNAR)
ET-2018-0132	Ameren Missouri	Surrebuttal	Risk Sharing Mechanism



**JASON KUNST, CPA**

**Utility Regulatory Auditor IV**

**Educational Background and Experience**

I graduated from the University of Missouri – St. Louis with a Bachelor’s of Science degree in Accounting in December 2007. I am a Certified Public Accountant (CPA) licensed in the state of Missouri.

Prior to joining the Commission in May 2014, I was employed as an Unemployment Insurance Auditor II with the Missouri Department of Labor and Industrial Relations, Division of Employment Security. As an Unemployment Insurance Auditor, I reviewed employer’s books, payroll records, and other related records to determine accuracy and compliance with Missouri Employment Security Law.

Jason Kunst, CPA

Case Summary

Company	Case	Issue
Ameren Missouri	ER-2014-0258	Formal Rate Case: Advertising, Misc. Expenses, Board of Directors Fees, Dues & Donations, Property Taxes, Property Tax Refund Tracker, Customer Accounting Expense to reflect new full page bill format, Plant in Service, Depreciation Reserve, Materials & Supplies, Prepayments, Customer Deposits, Customer Advances, Interest on Customer Deposits
Laclede Gas Company	GO-2015-0269	ISRS Filing
Missouri American Water Company	WR-2015-0301 SR-2015-0302	Formal Rate Case: Payroll & Related Expenses, Employee Benefits, Tank Painting and Inspection Expense Tracker, Waste Disposal, Transportation Expenses, Leases & Rents, Incentive Compensation, Severance Expense, PSC Assessment
Ameren Missouri	ER-2016-0179	Formal Rate Case: Payroll & Related Expenses, Employee Benefits, Incentive Compensation, Severance Expense, Cyber Security Costs, Board of Directors Fees, Dues & Donations, Misc. Expenses, Advertising, Rate Case Expense, Outside Auditor Fees, Scada Revenues & Expenses, Taum Sauk Failure Expenses

Jason Kunst, CPA  
 Cont'd Case Summary

Company	Case	Issue
Spire Missouri	GR-2017-0215 GR-2017-0216	Formal Rate Case: Forest Park Property Sale, Rents and Leases, Outside Services, Amortization Expense, NewBlue Software, Credit Card Transaction Fees, Rebranding Costs
Liberty Utilities Corp.	GR-2018-0013	Formal Rate Case: Revenues, Capitalized Depreciation, Rate Case Expense, Cash Working Capital, Outside Services, Postage Expense
Ameren Missouri	EA-2018-0202	CCN & RESRAM Application
Missouri American Water Corporation	WO-2018-0373	ISRS Filing
Ameren Missouri	EA-2019-0021	CCN

## **Joseph P. Roling**

### **Present Position:**

I am a Regulatory Economist in the Tariff and Rate Design Unit, Operational Analysis Department, Commission Staff Division, of the Missouri Public Service Commission. I have been employed by the Missouri Public Service Commission since March of 2016.

### **Educational Background and Work Experience:**

I have a Bachelor of Science degree in Business Administration, Finance & Banking with a minor in Economics from the University of Missouri – Columbia in 1991, and a Master of Business Administration from Lincoln University in 1995. Prior to joining the Commission, I was employed in the Financial Service Industry.

Additionally, I completed Financial Planning Certificate Program from Bryant University in October, 2015.

### **Regulatory Training while at PSC:**

- 5/19/16 – Carbon Markets 101 – Keys to Effective Design & Management
- 6/27/16 – Evaluation, Measurement & Verification (EM&V) for Energy Efficiency
- 6/29/16 – Long Term Gas Hedging Why and How
- 7/2/16 – Setting Baselines for Planning & Evaluation of Efficiency Programs EMV
- 8/25/16 - Leveraging Power Markets to Achieve a Reliable, Least-Cost Transition to a Low-Carbon Power System Regulatory Assistance Project
- 9/21/16 – FRI Symposium Attendance - "Managing the Triple Threat to Public Utility Profitability: Safety, Reliability & Affordability."
- 10/13/16 - Gas Efficiency Programs – What does it take to pass cost-effectiveness tests?
- 11/3/16 - More is Less - Environmentally Beneficial Electrification

**Joseph P. Roling**

**cont'd Regulatory Training while at PSC:**

01-17-17 Harness the Momentum of Power Sector Transformation - Regulatory Assistance Project (RAP) Webinar

01-25-17 New Study on Demand Charge Savings from Residential Solar – Electric Markets & Policy Group, Lawrence Berkeley National Laboratory.

01-31-17 Energy Efficiency - Consistency and Coordination of Energy Efficiency EM&V and Reporting

02-21-17 Assessment of Benefits Derived from Improved Reliability & Resiliency in Utility-owned Microgrid

03-01-17 Flexible & Customizable – Designing Decoupling for your State Regulatory Assistance Project

03-23-17 Part 1 Lessons Learned from Energy Efficiency Programs

03-30-17 Part 2 Lessons Learned from Energy Efficiency Programs

11-17-17 MISO 101 Overview for MPSC

02-06-18 One Size Does Not Fit All – Complexities, Use Case, Trends in Energy Storage

02-13-18 Clean Energy States Alliance-State of the US Energy Storage Industry 2017 Year on Review

05-02-18 Income Trends of Residential Solar Adopters

03-13-19 Enhancing the Resilience of the Nation's Electricity System

03-21-19 EV Workshop

03-26-19 Energy Storage 101, Part 1: Battery Storage Technology, Systems and Cost Trends

04-04/19 Energy Storage in State Energy Efficiency Plans: Lessons from Massachusetts

**Joseph P. Roling**

**Previous filed Testimony of Joseph P. Roling**

<b>Case No.</b>	<b>Company</b>	<b>Type of Filing</b>	<b>Issue</b>
EC-2018-0066	Ameren Missouri	Tariff Investigation Report	Customer Complaint
EC-2018-0097	Ameren Missouri	Tariff Investigation Report	Customer Complaint
GR-2018-0013	Liberty Utilities	Cost of Service Report	Revenues
GC-2017-0348	Spire Missouri, Inc., d/b/a Spire (Laclede Gas Company and Missouri Gas Energy)	Tariff Investigation Report	Customer Complaint
GR-2017-0215 and GR-2017-0216	Spire Missouri, Inc., d/b/a Spire (Laclede Gas Company and Missouri Gas Energy)	Cost of Service Report	Revenues
HO-2017-0211	Veolia Energy Kansas City, Inc.	PACC Tariff Adjustment	Revenues
ER-2016-0179	Ameren Missouri	Cost of Service / Revenue Requirement Report	Revenues

**Jeffrey Smith**  
**Utility Regulatory Auditor**  
**Financial Analysis**

## **EDUCATION**

Bachelors of Science Criminal Justice  
Central Missouri State University, Warrensburg, MO – July 2007

Master of Science Criminal Justice  
University of Central Missouri, Warrensburg, MO – December 2010

Post-Bachelors of Science Economics  
University of Central Missouri, Warrensburg, MO – December 2015

Post-Bachelors of Science and Business Administration Finance  
University of Central Missouri, Warrensburg, MO – December 2016

Certified Rate of Return Analyst  
Society of Regulatory and Financial Analysts, Richmond, VA – April 2017

Certificate in Public Policy  
Truman School of Public Affairs, University of Missouri, Columbia, MO – December 2018

Candidate in the CFA Institute CFA Program  
CFA Institute, Charlottesville, VA  
Passed CFA Level 1 Exam – December 2017

## **PROFESSIONAL EXPERIENCE**

Missouri Public Service Commission  
Utility Regulatory Auditor III  
August 2018 – Present  
Utility Regulatory Auditor II  
August 2017 – August 2018  
Utility Regulatory Auditor I  
August 2016 – August 2017

**Jeffrey Smith**  
**Utility Regulatory Auditor**  
**Financial Analysis**

**CASE INVOLVEMENT**

MO PSC	Utility	Subject
SR-2017-0099	Seges Partners Mobile Home Park, LLC	Cost of Capital
WF-2017-0110	Terre Du Lac Utilities	Finance Authority
SR-2017-0130	Gladlo Water & Sewer Company	Cost of Capital
WR-2017-0139	Stockton Hills Water Company	Cost of Capital
WR-2017-0143	Terre Du Lac Utilities	Cost of Capital
WF-2017-0143	Terre Du Lac Utilities	Finance Authority
GR-2017-0215	Laclede Gas Company	Assisted in Developing Cost of Capital Schedules
GR-2017-0216	Missouri Gas Energy	Assisted in Developing Cost of Capital Schedules
EF-2017-0242	Kansas City Power & Light Company	Finance Authority
WR-2017-0285	Missouri-American Water Company	Cost of Capital
WF-2017-0349	Missouri-American Water Company	Finance Authority
EO-2018-0062	Kansas City Power & Light Company	Triennial Decommissioning Trust Fund Adequacy
EF-2018-0114	Kansas City Power & Light Company	Finance Authority
ER-2018-0145	Kansas City Power & Light	Cost of Capital



**CASE INVOLVEMENT**

MO PSC	Utility	Subject
ER-2018-0146	Kansas City Power & Light Greater Missouri Operations	Cost of Capital
EF-2018-0314	Kansas City Power & Light	Finance Authority
WR-2018-0356	Branson Cedars Resort Utility Company, LLC	Cost of Capital
EA-2019-0010	Empire District Electric Company	Certificate of Convenience and Necessity

## **Credentials and Background of**

### **Seoung Joun Won**

I am currently employed as a Regulatory Economist III in the Tariff and Rate Design Department of the Commission Staff Division of the Missouri Public Service Commission. I have been employed at the Missouri Public Service Commission since May 2010.

I received my Bachelor of Arts, Master of Arts, and Doctor of Philosophy in Mathematics from Yonsei University in Seoul, South Korea, and earned my Doctor of Philosophy in Economics from the University of Missouri - Columbia. Also, I passed several certificate examinations for Finance Specialist in South Korea such as Enterprise Resource Planning Consultant, Financial Risk Management, Derivatives Consultant, and Financial Planner.

Prior to joining the Commission, I taught both undergraduate and graduate level mathematics at the Korean Air Force Academy and Yonsei University for 13 years. I served as the director of the Education and Technology Research Center in NeoEdu for 5 years. I have been employed at the Missouri Public Service Commission since May 2010 as a regulatory economist.

My duties at the Commission include managing weather data, calculating normal weather, conducting weather normalization, analyzing revenues and cost of services, developing rate designs, and supporting economic and statistical analysis.

## List of Previous Testimony Filed

### Seoung Joun Won

<b>Case/File Number</b>	<b>Company</b>	<b>Issue</b>
ER-2010-0355	Kansas City Power & Light Co.	Weather Variables Revenue
ER-2010-0356	KCP&L Greater Missouri Operations Co.	Weather Variables
GR-2010-0363	Union Electric Co., d/b/a Ameren Missouri	Weather Variables
ER-2011-0028	Union Electric Co., d/b/a Ameren Missouri	Weather Variables Revenue
ER-2011-0004	Empire District Electric Co.	Weather Variables Revenue
HR-2011-0028	Veolia Energy Kansas City, Inc.	Weather Variables
ER-2012-0166	Union Electric Co., d/b/a Ameren Missouri	Weather Variables Revenue
ER-2012-0174	Kansas City Power & Light Co.	Weather Variables Revenue
ER-2012-0175	KCP&L Greater Missouri Operations Co.	Weather Variables
ER-2012-0345	Empire District Electric Co.	Weather Variables Revenue
GR-2013-0171	Laclede Gas Co.	Weather Variables
HR-2014-0066	Veolia Energy Kansas City, Inc.	Weather Variables Weather Normalization

cont'd List of Previous Testimony Filed

**Seoung Joun Won**

<b>Case/File Number</b>	<b>Company</b>	<b>Issue</b>
GR-2014-0086	Summit Natural Gas of Missouri, Inc.	Weather Variables
GR-2014-0152	Liberty Utilities (Midstates Natural Gas) Corp.	Weather Variables
EC-2014-0223	Noranda Aluminum, Inc., et al, Complaint v. Union Electric Co., d/b/a Ameren Missouri	Weather Variables
ER-2014-0258	Union Electric Co., d/b/a Ameren Missouri	Weather & Normalization Net System Input
ER-2014-0351	Empire District Electric Co.	Weather & Normalization Net System Input
ER-2014-0370	Kansas City Power & Light Co	Weather & Normalization Net System Input
ER-2016-0023	Empire District Electric Co.	Weather & Normalization Net System Input
ER-2016-0156	KCP&L Greater Missouri Operations Co.	Weather & Normalization Net System Input
ER-2016-0179	Union Electric Co., d/b/a Ameren Missouri	Weather & Normalization Net System Input
ER-2016-0285	Kansas City Power & Light Co	Weather & Normalization Net System Input
GR-2017-0215	Laclede Gas Co. Spire Missouri, Inc	Weather Variables
GR-2017-0216	Missouri Gas Energy (Laclede) Spire Missouri, Inc	Weather Variables

cont'd List of Previous Testimony Filed

**Seoung Joun Won**

<b>Case/File Number</b>	<b>Company</b>	<b>Issue</b>
GR-2018-0013	Liberty Utilities (Midstates Natural Gas) Corp.	Weather Variables
ER-2018-0145	Kansas City Power & Light Co	Weather & Normalization Net System Input
ER-2018-0146	KCP&L Greater Missouri Operations Co.	Weather & Normalization Net System Input
GO-2019-0058	Spire East. Spire Missouri, Inc	Weather Variables
GO-2019-0059	Spire West Spire Missouri, Inc	Weather Variables

**Work Related Publication**

Won, Seoung Joun, X. Henry Wang, and Henry E. Warren. "Climate normals and weather normalization for utility regulation." *Energy Economics* (2016).