

Appendix D

Violation History Disclosure Form Revised August 2013



March 25, 2013

Ms. Brenda Ardrey, Chief, Operations Section
Missouri Department of Natural Resources
Attn: Violation Disclosure History Statement/Annual Update
P.O. Box 176
Jefferson City, MO 65102-0176

Violation History Disclosure
Statement dated March 25,
2013 replaces previously
submitted statement dated
June 15, 2013, as required.

RE: Violation Disclosure History Statement Amendment

Dear Ms. Ardrey:

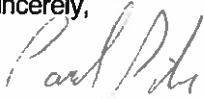
On behalf of Ameren Missouri, I am submitting documents to satisfy the requirements of 10 CSR 80-2.020, and 2.070, Violation History. Attached is a signed Violation History Disclosure Statement, an Officer/Management Summary Sheet, a figure showing Ameren's corporate structure, a CD containing an electronic copy (PDF file) of Ameren Missouri's most recently filed 10-K, and a Violation History Summary Sheet.

The following is a list of all facilities as defined in 10 CSR 80-2.070(5)(A), owned and operated by the applicant as required under 10 CSR 80-2.070(7)(B).

Facility Name	Permit or Identification Number	Type of Permit or equivalent document and dates held	Name under which the permit or equivalent document was issued	Address or location of the facility	Issuing Agency
Callaway Plant	Federal: MOD000687392 Missouri: 003518	Hazardous Waste Storage Facility Part A Interim Authorization, May 12, 1993 to present	Union Electric Company (now d.b.a. as Ameren Missouri)	Highway CC, PO Box 620, Fulton, MO 65251	MDNR
Labadie Plant	Federal: MOD000687392 Missouri: 003518	Proposed Utility Waste Landfill	Union Electric Company (now d.b.a. as Ameren Missouri)	226 Labadie Power Plant Rd, Labadie, MO 63055	MDNR
Sioux Plant	Federal: MOD086817954 Missouri: 001378	Utility Waste Landfill	Union Electric Company (now d.b.a. as Ameren Missouri)	8501 N. State Route 94, West Alton, MO 63386	MDNR

If you have any questions or require additional information, I can be reached at (314) 554-2388.

Sincerely,



Paul R. Pike
Environmental Science Executive

Enclosures

cc: Glen Mieir
Missouri Dept. of Natural Resources
P.O. Box 176
Jefferson City, MO 65102-0176

Darrell Hartley
Missouri Dept. of Natural Resources
P.O. Box 176
Jefferson City, MO 65102-0176
(5 copies of letter and forms)

Ryan Tilley, Director
St. Charles County Div. of Environmental Health & Protection
1650 Boone's Lick Rd.
St. Charles, MO 63301
(w/o enclosure)



Ameren Services

June 15, 2012

Ms. Brenda Ardrey, Chief, Operations Section
Missouri Department of Natural Resources
Attn: Violation Disclosure History Statement/Annual Update
P.O. Box 176
Jefferson City, MO 65102-0176

RE: Violation Disclosure History Statement Amendment

Dear Ms Ardrey:

On behalf of Ameren Missouri, I am amending the documents submitted on March 27, 2012 to satisfy the requirements of 10 CSR 80-2.020, and 2.070, Violation History. We are including the plant manager for the Labadie Energy Center to our Officer/Management Summary Sheet. Attached are a newly signed Violation History Disclosure Statement, and a Violation History Summary Sheet. The previously submitted figure showing Ameren Missouri's corporate structure, and most recently filed 10-K are still applicable and have not been included.

The following is a list of all facilities as defined in 10 CSR 80-2.070(5)(A), owned and operated by the applicant as required under 10 CSR 80-2.070(7)(B).

Facility Name	Permit or Identification Number	Type of Permit or equivalent document and dates held	Name under which the permit or equivalent document was issued	Address or location of the facility	Issuing Agency
Callaway Plant	Federal: MOD000687392 Missouri: 003518	Hazardous Waste Storage Facility Part A Interim Authorization, May 12, 1993 to present	Union Electric Company (now d.b.a. as Ameren Missouri)	Highway CC, PO Box 620, Fulton, MO 65251	MDNR
Labadie Plant	Federal: MOD000687392 Missouri: 003518	Proposed Utility Waste Landfill	Union Electric Company (now d.b.a. as Ameren Missouri)	226 Labadie Power Plant Rd, Labadie, MO 63055	MDNR
Sioux Plant	Federal: MOD086817954 Missouri: 001378	Utility Waste Landfill	Union Electric Company (now d.b.a. as Ameren Missouri)	8501 N. State Route 94, West Alton, MO 63386	MDNR

1901 Chouteau Avenue
PO Box 86149, MC 602

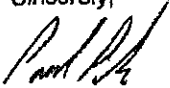
St. Louis, MO 63166-6149

Ameren.com

SCHEDULE CJG-ST1

If you have any questions or require additional information, I can be reached at (314) 554-2388.

Sincerely,

A handwritten signature in black ink, appearing to read "Paul R. Pike". The signature is stylized with a large, sweeping initial "P" and a long, horizontal stroke extending to the right.

Paul R. Pike
Environmental Science Executive

Enclosures

cc: Glen Meir, MDNR



MISSOURI DEPARTMENT OF NATURAL RESOURCES
DIVISION OF ENVIRONMENTAL QUALITY
VIOLATION HISTORY DISCLOSURE STATEMENT

This worksheet is to be used to satisfy the requirements of 10 CSR 80-2.070 and 2.020 to submit a disclosure statement as part of an application for a construction permit, a change of ownership and annual updates. The completed worksheet must be submitted with the applicable attachments.

1. Does there exist any corporation or business which owns an interest in the applicant, permittee or any business which is owned either wholly or in part by any person, corporation or business which owns an interest in the applicant or permittee?
Check one: ☒ YES ☐ NO If no, skip 1b and 1c.
 - a. The names, social security number and date of birth of each officer or management employee (as defined by 10 CSR 80-2.070(5)(C)) of the applicant or the corporations or businesses as described in 1 of this worksheet must be submitted. Provide this information on the attached Officer/Management Employee Summary Sheet.
 - b. Attach the structure of the applicant or permittee firm in relation to the corporations or businesses as described in 1 of this worksheet.
 - c. Does there exist a parent firm of the applicant or permittee? Check one: ☒ YES ☐ NO
If yes, attach a copy of the most recent annual Securities and Exchange Report Form 10-K for the parent firm. If no annual Securities and Exchange Report Form 10-K is required, please explain.
2. Do there exist any facilities (as defined by 10 CSR 80-2.070(5)(A)) which had or have held any environmental permit within the last five (5) years in Missouri or in the United States? Check one: ☒ YES ☐ NO
If yes, attach a list of all such facilities and for each identify the following:
 - a. Permits or identification numbers;
 - b. Type of permit, license, certification or equivalent document and dates held;
 - c. Name under which the permits or equivalent documents were issued;
 - d. Address or location of the facility; and
 - e. Issuing agency.
3. Have there been any environmental violations (as defined by 10 CSR 80-2.070(5)(D)) cited within the last five (5) years incurred by the applicant (permittee) or persons as defined by 10 CSR 80-2.070(5)(B).
Check one: ☐ YES ☒ NO
If yes, attach a list of all such violations and include the following information:
 - a. Dates of violations;
 - b. A brief description of the violation;
 - c. Citations to each specific statute of other regulation that was violated;
 - d. Name and location of the facility cited;
 - e. Name and address of issuing agency; and
 - f. Identification of those violations having an appeal pending.
4. Have there been any restraint of trade convictions (as defined by 10 CSR 80-2.070(5)(E)) within the last five (5) years of the applicant, permittee or persons as defined by 10 CSR 80-2.070(5)(B)? Check one: ... ☐ YES ☒ NO
If yes, attach a list of all such convictions and include the following information:
 - a. Dates of convictions;
 - b. A brief description of the conviction;
 - c. Citations to each specific statute of other regulation that was violated;
 - d. Identification of the court and case number; and
 - e. Identification of convictions having an appeal pending.



MISSOURI DEPARTMENT OF NATURAL RESOURCES
DIVISION OF ENVIRONMENTAL QUALITY
VIOLATION HISTORY DISCLOSURE STATEMENT

5. Is this pertaining to an application or permit for a commercial solid waste processing facility or solid waste disposal area? Check one: ☒ YES ☐ NO
If no, skip to 6.

a. Has the applicant, permittee, or persons as defined by 10 CSR 80-2.070(5)(B) had any environmental permit denied by a state or federal agency within the last five (5) years? Check one: ☐ YES ☒ NO

If yes, for each denial attach the following:

- (1) Date of denial;
- (2) A brief description of the reason(s) for denial;
- (3) Type of permit denied; and
- (4) A certified copy of each denial letter or court order

b. Have there been any convictions by state or federal agencies occurring within the last five (5) years incurred by the applicant, permittee, or persons as defined by 10 CSR 80-2.070(5)(B)? Check one: ☐ YES ☒ NO

If yes, attach a list of all such convictions and include the following information:

- (1) Date of convictions;
- (2) A brief description of each conviction;
- (3) Citations to each specific statute or other regulation that was violated;
- (4) Identification of the court and case number; and
- (5) Identification of convictions having an appeal pending.

6. Has the applicant, permittee or persons as defined by 10 CSR 80-2.070 (5)(B) had any convictions in this state of municipal or county public health or land use ordinances related to the management of solid waste occurring within the last five (5) years? Check one: ☐ YES ☒ NO

If yes, attach a list of all such convictions and include the following information:

- (1) Date of convictions;
- (2) A brief description of each conviction;
- (3) Citations to each specific statute or other regulation that was violated;
- (4) Identification of the court and case number; and
- (5) Identification of convictions having an appeal pending.

7. Has the applicant (permittee) or persons as defined by 10 CSR 80-2.070(5)(B) been adjudged in contempt of any court order enforcing the provisions of the Missouri solid waste or hazardous waste management laws? Check one: ☐ YES ☒ NO

8. Summarize the information in 2 through 7 above on the attached Violation History Summary Sheet.

I, the undersigned, certify that the information supplied herein is correct. I understand that the Missouri Department of Natural Resources (MDNR) shall deny or revoke a permit for failure of the applicant or permittee to provide the required information or for submission of false information. In addition, I understand that the MDNR may, for good cause, deny or revoke a permit for failure of the applicant to provide complete information when the submission of such information is required by 10 CSR 80-2.070. I understand that the MDNR or its representative shall verify the information provided on the disclosure statement as required by section 260.205.19, RSMo.

SIGNATURE OF APPLICANT, PERMITTEE OR AUTHORIZED REPRESENTATIVE

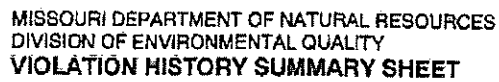
Michael L. Menne

DATE

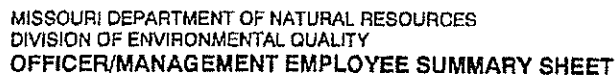
6/15/12

PRINT NAME AND TITLE OF INDIVIDUAL WHO SIGNED ABOVE

Michael L. Menne, Vice President - Environmental Services



SCHEDULE CJG-ST1



MO 780-1042 (11-11)